The format below follows the structure of the *CITES Strategic Vision: 2008-2020* and aims to collect information to enable the Strategic Vision indicators to be implemented.

#### **CITES vision statement**

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: <u>info@cites.org</u> Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Georgia
Period covered in this report	2015-2017
Department or agency preparing this report	Biodiversity and Forestry Department, Ministry of Environmental Protection and Agriculture
Contributing departments, agencies and organizations	

### GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1Parties comply with their obligations under the Convention through appropriate policies,<br/>legislation and procedures.<br/>All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12,<br/>Target 17 and Target 18.

#### Indicator 1.1.1: The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No If 'Yes', have you shared information with the Secretariat? Yes No Not Applicable If 'No', please provide details to the Secretariat with this report:
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)?         Yes ⊠ No □         If 'No', please provide details of the constraints faced: Theoretically this is possible, though, practically it is very difficult.

#### **Objective 1.2** Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens. Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	$\boxtimes$		
	Are the procedures publicly available?	$\boxtimes$		
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	$\boxtimes$		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries			
	Electronic permit information exchange to Management Authorities of all countries?			
	Electronic permit data exchange between Management Authorities and customs?			
	Electronic permit used to cross border with electronic validation by customs?			
	If 'Yes' to any of the above, please provide information on challen	ges faced	or issues	overcome:

If 'No', do you have any plans to move towards e-permitting <sup>1</sup> ?				
If you are planning to move towards e-permitting, please expl Ministry of Environmental Protection and Agriculture (CITES I electronic database which was shared with the Customs Dep issue was that while the Customs Department was able to ac permits, they could not clear them in the same system, since Customs were not compatible. The Ministry also could not ge	lanagen artment o ess the he syste	nent Aut of Georç informa ems of tl	thority) ha gia. Howe ation abou he Minist	ad an ever, ti ut the ry and

#### Indicator 1.2.2: The number of Parties making use of the simplified procedures provided for in <u>Resolution</u> <u>Conf. 12.3 (Rev. CoP16)</u>.

1.2.2a	Has your country developed simplified procedures for any of the	U	-	
		Yes	ick all ap	No information
	Where biological samples of the type and size specified in Annex 4 of <u>Resolution Conf. 12.3</u> (Rev. CoP16) are urgently required.			
	For the issuance of pre-Convention certificates or equivalent documents in accordance with <u>Article VII</u> , paragraph 2.			
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.			
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.			
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:			

### **Objective 1.3** Implementation of the Convention at the national level is consistent with decisions adopted by the Conference of the Parties.

All Aichi targets relevant to CITES, particularly Target 9, Target 14 and Target 18.

Indicator 1.3.1: The number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?
	Responses provided to ALL relevant reporting requirements Responses provided to SOME of the relevant reporting requirements
	Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable

<sup>&</sup>lt;sup>1</sup> e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes 🛛 No 🗌
	If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered? In most cases, difficulties are caused by lack of financial and human resources, also

**Objective 1.4** The Appendices correctly reflect the conservation needs of species. Aichi Target 1, Target 12, Target 14 and Target 19.

1.4.1: The number and proportion of species that have been found to meet the criteria contained in Resolution Conf. 9.24 or its successors. This includes both the periodic review and amendment proposals.

1.4.1a Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes ⊠ No □
If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online): The project was undertaken in 2015 to assess certain plant species. Though, it was concluded that sufficient information is not available to make a decision whether the species would benefit from listing on the CITES Appendices.

### **Objective 1.5**Best available scientific information is the basis for non-detriment findings.<br/>Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 9, Target 12 and Target 14.

- Indicator 1.5.1: The number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 on Non-detriment findings related to:
  - a) the population status of Appendix-II species;
  - b) the trends and impact of trade upon Appendix-II species; and
  - c) the status of and trend in naturally-occurring Appendix I species and the impact of any recovery plans.

1.5.1a	Have any surveys, studies or other analyses been undertaken <u>in your country</u> in relation to:	Yes	No	Not Applicable	If Yes, How many?
	- the population status of Appendix II species?	$\boxtimes$			
	<ul> <li>the trends and impact of trade on Appendix II species?</li> </ul>	$\boxtimes$			
	<ul> <li>the status of and trend in naturally-occurring Appendix I species?</li> </ul>	$\boxtimes$			
	- the impact of any recovery plans on Appendix I species?		$\boxtimes$		
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?			$\boxtimes$	

	If there are such studies that you are willing	to share, pleas	e provide:		
		or other analy	ary of the results o vsis (e.g. populatio ase, off-take levels	on status, de	ecline /
	Species name (scientific)		hed reference ma		
1.5.1b	How are the results of such surveys, studies findings (NDFs)? Please tick all that app		ses used in makin	ig non-detri	ment
			Revised harve		•
			Otviata a d	-	export
		C	Stricter d hanged managen	lomestic me	
			ussion with Mana		
			Discussion with o	•	
	Othe	er (please provi	de a short summa	ıry): □□□□	
1.5.1c	Do you have specific conservation measure plans for naturally occurring Appendix-I liste		Yes No		
			Not Applicable		
			No information		
	If 'Yes', please provide a brief summary, incl impact:	uding, if possib	le, an evaluation o	of their	
1.5.1d	Have you published any non-detriment findi	ngs that can be	shared?Yes 🗌 I	No 🖂	
	If 'Yes', please provide links or examples to The NDF-s are not usually published.	the Secretariat	within this report:		
1.5.1e	Which of the following (A to F of paragraph a do you use in making non-detriment findings		<u>ion Conf. 16.7</u> )	Yes	No
	A. relevant scientific literature concerning sp distribution and population trends.	ecies biology, l	ife history,	$\boxtimes$	
	B. details of any ecological risk assessment	s conducted.			$\bowtie$
	C. scientific surveys conducted at harvest lo from harvest and other impacts.	cations and at	sites protected	$\boxtimes$	
	D. relevant knowledge and expertise of loca	l and indigenou	is communities.		$\boxtimes$
	E. consultations with relevant local, regional	and internation	nal experts.	$\boxtimes$	
	F. national and international trade informatio the CITES trade database maintained by UN Monitoring Centre (UNEP-WCMC), publication on trade and investigations of sales at mark example.	NEP World Cor ions on trade, le	nservation ocal knowledge		

### Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No
1.J.Za			Yes	No	information
	Do you have standard procedures for making non-detrimen	+			
	findings in line with <u>Resolution Conf. 16.7</u> ?	L			
	If 'Yes', please briefly describe your procedures for making or attach as an annex to this report, or provide a link to whe on the internet:	re the inforr	mation	can be	
	There is no formal procedure. The scientific authority is free they are advised to use guidelines adopted by COP, when a		on meth	nodolo	gy. Though,
1.5.2b	When establishing non-detriment findings, have any of the f	ollowing			
	guidance been used?		Plea	se tick	all that apply
	Virtu	al College			
	IUCN	I Checklist			$\boxtimes$
	Resolution	Conf. 16.7			$\boxtimes$
	2008 NDF	2008 NDF workshop			
	Species specific	c guidance			$\boxtimes$
		Other			$\boxtimes$
	If 'Other' or 'Species specific guidance', please specify deta Guidance for Perennial Plants The nine-step process	ils: <u>CITES N</u>	<u>on-detri</u>	ment Fi	indings
1.5.2c	How often do you review and/or change your non-	Case by	/ case		
	detriment findings?	Annually	у		$\bowtie$
		Every tv	•		
		Less fre	• •	,	
		A mix of	the ab	ove	
	Please describe the circumstances under which non-detrim	ent findings	would	be cha	anged:

#### Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how		
	quotas are set:		Other,
	Species Name (scientific)	Population Survey?	please specify
	Galanthus woronowii	$\boxtimes$	
	Cyclamen coum		Expertise of the SA members
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	
	If 'Yes', please describe how this fits into your non-detriment	t finding process:	

# Objective 1.6Parties cooperate in managing shared wildlife resources.<br/>Aichi Target 4, Target 5, Target 6, Target 7, Target 10, Target 12 and Target 19.Indicator 1.6.1:The number of bilateral and multilateral agreements that specifically provide for co-<br/>management of shared CITES listed species by range States.

1.6.1a	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species?Yes ☐ No ⊠
	If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:

### Indicator 1.6.2: The number of cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species.

1.6.2a	Do you have any cooperative in place for shared population	management plans, including recovery plans, s of CITES-listed species?	Yes 🗌 No 🔀
	If 'Yes', please list the species to a published plan for each s	for which these plans are in place and provide a link pecies.	or reference
	Species Name (scientific)	Link or reference to a published plan	

### Indicator 1.6.3: The number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared, CITES listed, species.

1.6.3a	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources <sup>1</sup> ?
	Staff of Management Authority						TRAFFIC, training on NDF nine-step process
	Staff of Scientific Authority						TRAFFIC, training on NDF nine-step process
	Staff of enforcement authorities						Training on CITES regulations, national legislation, detection of illegal trade, identification of species. The trainings was supported by GIZ and FFI, as well as WWF
	Traders	$\square$					
	NGOs						
	Public	$\square$					

<sup>&</sup>lt;sup>1</sup> Please provide the names of Parties, and any non-Parties, involved.

	Other (please specify):						
1.6.3b	Have the CITES authorities been the other range States?	provide	rs of any	/ of the f	ollowi	ng ca	pacity-building activities to
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other Parties/International meetings						
	Other (please specify)						
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
		Never	Rarely	Sometimes	Very Often	Alwavs	Further detail / examples
	Information exchange			$\square$			
	Monitoring / survey					Ľ	
	Habitat management						
	Species management					Ľ	
	Law enforcement					Ľ	
	Capacity building						
	Other (please provide details) $\Box \Box \Box \Box$						

#### **Objective 1.7** Parties are enforcing the Convention to reduce illegal wildlife trade.

Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

#### Indicator 1.7.1: The number of Parties that have, are covered by, or engaged with:

- an international enforcement strategy and/or action plan;
- formal international cooperation, such as an international enforcement network;
- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	Do you have, are you engaged in, or covered by:	Yes	No	No Information		
	– an international enforcement strategy and/or action plan?		$\square$			
	– formal international cooperation, such as an international enforcement network?		$\boxtimes$			
	– a national enforcement strategy and/or action plan?		$\square$			
	<ul> <li>formal national interagency cooperation, such as a national interagency enforcement committee?</li> </ul>		$\boxtimes$			
	If 'Yes' to any of the above, please specify the level of engagement and provide additional					

details:		

### Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do? □□□□□		
	If 'Yes' or 'No, but review is under consideration', which tools do y	ou find of value? □□□□□	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	YesImage: ConsiderationNoImage: ConsiderationNoImage: ConsiderationNoImage: ConsiderationNoImage: Consideration	r
	If 'Yes', please provide feedback on the parts of the toolkit used a equivalent tools have been. Please specify improvements that co I I I I If 'No', please provide feedback on why not or what is needed to r	uld be made:	t
	tools useful to you:		

# Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No	$\square$				
		No information					
	If 'Yes', please provide the title of the legislation and a summary of the penalties available Administrative offence code; Criminal code (will enter into force from January, 2019)						
1.7.3b	Are criminal offences such as poaching and wildlife trafficking	Yes	$\boxtimes$				
	recognized as serious crime <sup>1</sup> in your country?	No					
		No information					
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes: Legislation was developed (which will enter into force in January 2019) based on which the case of illegal trade is considered as a serious crime if it is an organized crime. In case of poaching, if the case concerns the red list species.						
1.7.3c	Do you have capacity to use forensic technology <sup>2</sup> to support the	Yes					
	investigation of CITES offences?	No	$\boxtimes$				
		No information					
	If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:						
	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:						

<sup>&</sup>lt;sup>1</sup> The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

<sup>&</sup>lt;sup>2</sup> Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

1.7.3d	<ul> <li>Did your authorities participate in or initiate any multi-disciplinary<sup>1</sup> law enforcement operation(s) targeting CITES-listed species during the period covered in this report?</li> <li>If 'Yes', please provide a brief summary, including any lessons learn other Parties:</li></ul>				Yes  No information No information		
1.7.3e	Do you have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?Yes No No information				mation		
1.7.3f	Do you have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?		
	General crime <sup>2</sup>	$\square$					
	Predicate offences <sup>3</sup>			$\square$			
	Asset forfeiture <sup>4</sup>	$\square$					
	Corruption <sup>5</sup>	$\square$					
	International cooperation in criminal matters <sup>6</sup>	$\square$					
	Organized crime <sup>7</sup>	$\square$					
	Specialized investigation techniques <sup>8</sup>		$\square$				
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties: In relation to CITES offences only general crime or asset forfeiture provisions are used. Confiscation is used in case of all CITES related offences. Use of General Crime provisions, particularly fraud, has started in 2018.						
1.7.3g	Do you have institutional capacity to implement the le provisions listed in question 1.7.3f against CITES offer If 'No', please provide a brief summary of your major	Yes No No informati					
	capacity building is necessary.	capaci	ry-pull	ang needs. Th	ougn, auullonai		

#### Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITESlisted species.

<sup>&</sup>lt;sup>1</sup> A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

<sup>&</sup>lt;sup>2</sup> General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

<sup>&</sup>lt;sup>3</sup> Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

<sup>&</sup>lt;sup>4</sup> Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

<sup>&</sup>lt;sup>5</sup> Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

<sup>&</sup>lt;sup>6</sup> International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

<sup>&</sup>lt;sup>7</sup> Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

<sup>&</sup>lt;sup>8</sup> Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always Very often Sometimes Rarely Never No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species? Though, this is clearly not enough and additional capacity is needed.	Yes No No information	
1.7.4c	Do you use criminal intelligence <sup>1</sup> to inform investigations into illegal trade in CITES-listed species?	Always Very often Sometimes Rarely Never No information	
1.74d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	

<sup>&</sup>lt;sup>1</sup> Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

### Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

During the	e period covered in this report:	Yes	No	No Information				
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?							
	If 'Yes', please indicate how many and for what types of offences. If available, please attach details:							
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?		$\boxtimes$					
	If 'Yes', how many and for what types of offences? If available, please attach details: Amendments in criminal code concerning CITES related offences will enter into force in January 2019							
1.7.5c	Have there been any other court actions against CITES- related offences?							
	If 'Yes', what were the offences involved and what were the results court is involved in all CITES related offences.	? Please a	attach detail	s: Since 2017,				
1.7.5d	How were any confiscated specimens disposed of?		Ticł	k all that apply				
	<ul> <li>Return to country of export</li> </ul>							
	<ul> <li>Public zoos or botanical gardens</li> </ul>			$\boxtimes$				
	<ul> <li>Designated rescue centres</li> </ul>							
	<ul> <li>Approved private facilities</li> </ul>							
	– Euthanasia							
	<ul> <li>Other (please specify): Destruction in case of dead specime</li> </ul>	ens		$\boxtimes$				
	Have you encountered any challenges in disposing of confiscated specimens? Financial resources are required to ensure proper disposal of confiscated live specimens.							
	Do you have good practice that you would like to share with ot	her Partie	s?					

#### **Objective 1.8** Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

# Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? 🛛 🗌 Permit officers? 🔹 💭 Enforcement officers? 💭 🗍	No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	
1.8.1c	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

### GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

**Objective 2.1** Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

**Objective 2.2** Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention. Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s) <sup>1</sup> for your Management Authority(ies)? If 'No', please go to Question 2.2.1d.		Yes No	$\square$	
	If 'Yes', for which services are there standards, and what are those standards?	issuing permi adopted. Timeframe fo issuing the pe	Timeframe for issuing the permit is 20 days, with possibility of extension if		
	If 'Yes', do you have performance targets for these standards <sup>2</sup> ? If 'Yes', what are your performance targets?		Yes No	$\square$	
	Do you publish your performance against service standard targets?		Yes No	$\square$	
	If possible, please provide your performance against service standards during the period covered in this report:				
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No	
	<ul> <li>availability of funding?</li> </ul>			$\boxtimes$	
	– number of staff?			$\boxtimes$	
	– a shortage of skills?			$\boxtimes$	
	If 'Yes' to a shortage of skills, which skills do you need more of?				
2.2.1b	Do you have an approved service standard(s) <sup>47</sup> for your Scientific Authority(ies)?		Yes No	$\square$	
	If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?	Timeline in decision mak	ing		
	If 'Yes', do you have performance targets for these standards <sup>48</sup> ? If 'Yes', what are your performance targets?		Yes No		
	If possible, please provide your performance against service standards during the period covered in this report:				
	If you did not meet your performance targets then was this shortfall a result of:	Yes		No	

<sup>&</sup>lt;sup>1</sup> For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

<sup>&</sup>lt;sup>2</sup> For example, 85% of all decisions will take place within the service standard.

	– availability	of funding?			$\boxtimes$	
	- number of	staff?				$\square$
	<ul> <li>a shortage</li> </ul>	of skills?				$\boxtimes$
	lf 'Yes' to a sh	ortage of skills, which skills	s do you need more of?			
2.2.1c	Do you have a enforcement a	an approved service standa authority(ies)?	ard(s) <sup>47</sup> for your		Yes No	$\square$
		go to Question 2.2.1d.				
	If 'Yes', for wh standards?	ich services are there stan				
	-	u have performance targets			Yes	
	If 'Yes', what a	are your performance targe	ets?		No	
		ease provide your performation ing the period covered in the time of the period covered in the period covered in the time of time of the time of time of the time of time				
	If you did not a	waa at waxwa waafa waa ahaa tay				
	a result of:	meet your performance tar	gets then was this shortfall	Yes		No
	a result of:	of funding?	gets then was this shortfall	Yes		No
	a result of:	of funding?	gets then was this shortfall	Yes		
	a result of: – availability	of funding? staff?	gets then was this shortfall	Yes		
	a result of: – availability – number of – a shortage	of funding? staff?	-	Yes		
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a sh Please only co	of funding? staff? of skills? ortage of skills, which skills	-	Dart of question		
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a shortage Please only co 2.2.1b, or 2.2.	of funding? staff? of skills? ortage of skills, which skills omplete this question if you 1c, relating to the existenc	s do you need more of? ur answered 'No' to the first p	Deart of question		
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a shortage Please only co 2.2.1b, or 2.2.	of funding? staff? of skills? ortage of skills, which skills omplete this question if you 1c, relating to the existenc	s do you need more of? ur answered 'No' to the first p e of approved service standa	part of question ards for your au effectively? Enforc		
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a shortage Please only co 2.2.1b, or 2.2.	of funding? staff? of skills? ortage of skills, which skills omplete this question if you 1c, relating to the existenc sufficient of the following fo Management	s do you need more of? ur answered 'No' to the first p e of approved service standa or your authorities to function	Deart of question ards for your au effectively? Enforc Author	uthorities cement	
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a sho Please only co 2.2.1b, or 2.2. Do you have s	of funding? staff? of skills? ortage of skills, which skills omplete this question if you 1c, relating to the existenc sufficient of the following fo Management Authority(ies)	s do you need more of? ur answered 'No' to the first p e of approved service standa or your authorities to function Scientific Authority(ies)	Deart of question ards for your au effectively? Enforc Author	uthorities cement ity(ies)	
2.2.1d	a result of: – availability – number of – a shortage If 'Yes' to a shortage Please only co 2.2.1b, or 2.2. Do you have so Funding?	of funding? staff? of skills? ortage of skills, which skills omplete this question if you 1c, relating to the existenc sufficient of the following fo Management Authority(ies) Yes 🗌 No 🖂	s do you need more of? ur answered 'No' to the first p e of approved service standa or your authorities to function Scientific Authority(ies) Yes 🗌 No 🖂	Deart of question ards for your au effectively? Enforc Author	uthorities cement ity(ies)	

Indicator 2.2.2:

- The number of Parties that have undertaken one or more of the following activities: – changed the budget for activities;
  - hired more staff;
  - developed implementation tools;
  - purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES	
	implementation at the national level?	Tick if applicable
	Hiring of more staff	$\boxtimes$
	Development of implementation tools	
	Purchase of technical equipment for implementation, monitoring or enforcement	
	Other (please specify):	

2.2.2b	During the period covered in this report budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)		$\square$		
	Scientific Authority(ies)			$\square$	
	Enforcement authorities	horities		$\square$	
2.2.2c	Have you been able to use internationa development funding assistance to incl level of implementation of your	t funding assistance to increase the		No	Not applicable
	Management Authority(ies)?		$\square$		
	Scientific Authority(ies)?		$\boxtimes$		
	Enforcement authorities?		$\boxtimes$		
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	eness of CITES	implementation at
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff		$\square$		
	Development of implementation tools	$\square$			
	Purchase of new technical equipment for implementation, monitoring or enforcement		$\boxtimes$		
	e-permitting	$\boxtimes$			
	Other (please specify): capacity building in forensics	$\boxtimes$			
2.2.2e	Do you have a operational system (e.g electronic database) for managing	l.	Yes	Under development	No
	Species information			$\square$	
	Trade information			$\square$	
	Non-detriment findings				$\square$

## Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms.

2.2.3a	Does the Management Authority charge fees for: Tick all that	are applicabl	le
	<ul> <li>Administrative procedures</li> </ul>		
	<ul> <li>Issuance of CITES documents (e.g. for import, exports, re-export, or introduction the sea)</li> </ul>	from	
	<ul> <li>Shipment clearance (e.g. for the import, export, re-export, or introduction from th of CITES-listed species)</li> </ul>	e sea 🗌	
	<ul> <li>Licensing or registration of operations that produce CITES species</li> </ul>	$\boxtimes$	
	<ul> <li>Harvesting of CITES-listed species</li> </ul>		
	<ul> <li>Use of CITES-listed species</li> </ul>	$\boxtimes$	
	<ul> <li>Assignment of quotas for CITES-listed species</li> </ul>		
	– Other (please specify): □□□□□		
2.2.3b	Is a fee schedule publicly available?	Yes 🗌 No 🛛	]
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat:		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife cons	servation?	
		Entirely	ב
		Partly	ן
	Ν	lot at all 🛛 🖂	3

		Not relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		$\boxtimes$
	Do your fees recover the full economic cost of issuing permits?	$\boxtimes$	
	Do you have case studies on charging or using fees?		$\boxtimes$
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES implementation?		
	If 'Yes', please provide brief details: However, Georgia is currently working on innovative financial mechanisms under the BIOFIN project.		

### Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures <sup>1</sup> such as Convention?	those described in <u>CoP14 Doc 14.32</u> to implement the YesNo
	Due diligence	
	Compensatory mechanisms	
	Certification	
	Communal property rights	
	Auctioning of quotas	$\square$
	Cost recovery or environmental	charges 🗌 🖂
	Enforcement incentives	
		ther measures, please provide a summary or link to t of <i>Galanthus woronowii</i> and <i>Cyclamen coum</i> are issued
2.2.4b	Have incentives harmful to biodiversity be	en eliminated? Not at all
	Very little	
	Somewhat	
	Completely	

<sup>&</sup>lt;sup>1</sup> Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

**Objective 2.3** Sufficient resources are secured at the national and international levels to implement capacitybuilding programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities <sup>1</sup> have you run during the period covered in this report?				Withou from th Secreta	е	stance Conducted or assisted by the Secretariat
			Ν	lone			
				ı 2-5			
				6-10			
				1-20			
	Please list the Resolutions or Decisions		More tha d∙ □□□	-			
2.3.1b	What sorts of capacity building activities undertaken annually.				rainings	for e	nforcement officers are
2.3.1c	What capacity building needs do you ha	ave?					a.
	Please tick all boxes which apply to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	$\square$	$\square$	$\square$	$\square$		
	Staff of Scientific Authority		$\boxtimes$		$\square$		
	Staff of enforcement authorities		$\square$				
	Traders / other user groups	$\square$	$\square$		$\square$		
	NGOs						
	Public						
	Other (please specify)						

<sup>1</sup> An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

#### GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

- **Objective 3.1** Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities. Aichi Target 2 and Target 20.
- Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details: □□□□□		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or financial assistance to another country or countries in relation to CITES?						YesImage: Constraint of the second secon	
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management <sup>1</sup>	Habitat Management <sup>2</sup>	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)

<sup>&</sup>lt;sup>1</sup> Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

<sup>&</sup>lt;sup>2</sup> Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

#### **Objective 3.2** Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's requirements by the wider public and relevant user groups?	Wider public	Relevant User Groups
	<ul> <li>Press conferences</li> </ul>		
	- Press releases		
	<ul> <li>Newspaper articles, brochures, leaflets</li> </ul>		
	– Television appearances		
	– Radio appearances		
	– Presentations		
	<ul> <li>Public consultations / meetings</li> </ul>		
	– Market surveys		
	– Displays	$\boxtimes$	
	<ul> <li>Information at border crossing points</li> </ul>	$\boxtimes$	
	<ul> <li>Telephone hotline</li> </ul>		
	– Website(s) – if so please provide link(s) □□□□□	$\boxtimes$	
	– Other (specify): □□□□□		
	Please attach copies of any items or describe examples:		

#### Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	$\square$				
	Staff of Scientific Authority				$\square$	
	Staff of enforcement authorities		$\square$			
3.2.2b	What has been your experience with using the	CITES w	/ebsite?	Exce	ellent	$\bowtie$
				Goo	d	
				Aver	rage	
				Poo	r	
				Very	Poor	
				No i	nformation	
	Any further comments on the CITES Website? which authorities find which functions/tools mos species checklist mobile-friendly, since in many phones to access the checklist.	t useful,	what is mis	sing, etc):	I would ma	ke the

#### Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

- **Objective 3.3** Cooperation with relevant international environmental, trade and development organizations is enhanced.
- Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) <sup>1</sup> to which your country is party?	Yes No No information	
	If 'Yes', please give a brief description: In case of Georgia it is easy, sir the biodiversity-related conventions are representatives of the same de		o all

### Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has y contributed towards?	1		
3.3.2b	In addition to 3.2.2a, how many national level projects has your cour implemented which integrate CITES issues?	ntry	3	
3.3.2c	Have there been any efforts at a national scale for your CITESYesManagement or Scientific Authorities to collaborate with:Yes			
	Agencies for development?	$\square$		
	Agencies for trade?		$\square$	
	Provincial, state or territorial authorities?			
	Local authorities or communities?	$\square$		
	Indigenous or local peoples?		$\square$	
	Trade or other private sector associations?		$\square$	
	NGOs?			
	Other (please specify)			
3.3.2d	Are CITES requirements integrated into?	Yes	No	
	National and local development strategies?		$\square$	
	National and local poverty reduction strategies?		$\square$	
	Planning processes?			
	National accounting?		$\square$	

<sup>&</sup>lt;sup>1</sup> CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

#### Indicator 3.3.3: The number of Parties cooperating / collaborating with intergovernmental and nongovernmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?		
	Non-governmental organizations?	$\square$	FFI, WWF

**Objective 3.4** The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions. Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

#### Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the				
	CITES Appendices has stabi		Yes	No I	Not Applicable
		Appendix I			
		Appendix II	$\boxtimes$		
		Appendix III			
	If there are such studies that	you are willing to share, please pro	vide:		
	Species name (scientific)	Link to the data, or a brief summa	ary		
3.4.1b	Do you have examples of specific examples of success stories or Yes				
	emerging problems with any	CITES listed species?		No	
	If 'Vas' places provide detail			No informatio	n 🗌
	If 'Yes', please provide detail				

### Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)?	Yes No No information	
3.4.2b	Have you been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes No No information	

- **Objective 3.5** Parties and the Secretariat cooperate with other relevant international organizations and agreements dealing with natural resources, as appropriate, in order to achieve a coherent and collaborative approach to species which can be endangered by unsustainable trade, including those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 12, Target 14 and Target 19.
- Indicator 3.5.1: The number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details:	Yes No No information	
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### Indicator 3.5.2: The number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

3.5.2a	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)			$\square$			
	Scientific Authority(ies)						
	Enforcement Authority(ies)						

#### **General feedback**

Please provide any additional comments you would like to make, including comments on this format.

Item				
Copy of full text of CITES-relevant legislation if changed Web link(s) The amendments in the legislation will enter into force in January, 2019 and will be provided to the secretariat.	EnclosedImage: Constraint of the second			
Please list any materials annexed to the report, e.g. fee schedules,	• •			
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	n Yes No No Information			
If 'Yes', please describe the constraint and the type of attention or assistance that is required. □□□□□				
Are there examples of good practice you would like to share with o Parties?	ther Yes  No  No Information			
If 'Yes' please provide details / links:				
How could this report format be improved?				

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.