



Biennial Report 2009 and 2010

AUSTRIA



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**Federal Ministry of Agriculture, Forestry,
Environment and Water Management
Stubenbastei 5, A-1010 Vienna**

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**Convention on International Trade in
Endangered Species of Wild Fauna and Flora**

**Biennial Report
AUSTRIA**

PART 1 – CITES Questions

Note: Part 1 is composed exclusively of the questions included in the CITES Biennial Report format, approved at the 13th meeting of the Conference of the Parties to CITES, October 2004.

A. General information

Party	AUSTRIA
Period covered in this report: 1 January 2009 to 31 December 2010	2009-2010
Details of agency preparing this report	Federal Ministry of Agriculture, Forestry, Environment and Water Management Stubenbastei 5 A-1010 Wien Austria
Contributing agencies, organizations or individuals	Federal Ministry of Finance (Customs Authority) 9 Federal States "Länder" as Scientific Authorities

B. Legislative and regulatory measures

1	<p>Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? <input checked="" type="checkbox"/> Yes (fully)</p> <p>If yes, ignore questions 2, 3 and 4. <input type="checkbox"/> Yes (partly)</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No information/unknown</p>
2	<p>If your country has planned, drafted or enacted any CITES-relevant legislation, please provide the following details:</p> <p>Title and date: _____ Status: _____</p> <p>Brief description of contents:</p> <p>The Federal Republic of Austria has ratified the Convention on International Trade in Endangered Species of Wild Fauna and Flora on 1st July 1981, which is enforced by the Federal Law Gazette No. 189/1982 of 27th April 1982.</p> <p>The Austrian Species Trade Act of 16th March 2010, enforced by Federal Austrian Law Gazette I No. 16/2010, includes Control Measures, Penal Provisions etc.;</p> <p>the Species Marking Ordinance of 19th April 2006, enforced by Federal Austrian Law Gazette II No. 164/2006, lays down the rules about marking methods of live Annex A animals;</p> <p>the Ordinance about Negligibility, enforced by Federal Austrian Law Gazette II No. 113/2010, lays down criterias about the negligibility of the trade with CITES-specimens.</p> <p>The Species Marking Ordinance is in the process of being revised.</p> <p>Furthermore, an Austrian national law about Animal Protection was established and has come into force on 1st January 2005.</p> <p>In order to support the work of Austrian customs and the nine Scientific authorities of the Austrian Federal states, a list of appointed expert bodies and individuals is continuously updated and made available to these authorities. The task of these independent experts is the identification of animals and plants.</p> <p>The Ordinance on Fees was amended (Federal Law Gazette II No. 371/2006; (entry into force: 5th October 2006).</p>
3	<p>Is enacted legislation available in one of the working languages of the Convention? <input checked="" type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

		No information	<input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
ATTACHMENT B4a to B4c			
5	Which of the following issues are addressed by any stricter domestic measures that your country has adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable		
		The conditions for:	The complete prohibition of:
	Issue	Yes No No information	Yes No No information
	Trade	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Taking	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Possession	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Additional comments		
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items? Tick all applicable		
	Item	Adequate Partially Inadequate	No information
	Powers of CITES authorities	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
	Clarity of legal obligations	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>
	Control over CITES trade	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of offences	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>
	Coverage of law for all types of penalties	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/>
	Implementing regulations	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
	Coherence within legislation	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>
	Please provide details if available:		
7	If no review or assessment has taken place, is one planned for the next reporting period?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Please provide details if available:		
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?	Tick all applicable	

	Subject	Yes	No	No information
	Access to or ownership of natural resources	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Harvesting	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Transporting of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Handling and housing of live specimens	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Please provide details if available:			
9	Please provide details of any additional measures taken:			

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls restricted to international airports	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g., fines, bans, suspensions) been imposed for CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details as Annex. Illegal Imports and national trade – outcome of legal procedures patchy.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made? Number of live specimens: 2009: 155 pcs.; 2010: 81 pcs. Number of dead specimens: 2009: 3887 pcs.; 3,38 kg caviar 2010: 5006 pcs.; 6,49 kg caviar ATTACHMENT C4/5	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input checked="" type="checkbox"/> Significant seizures/confiscations <input checked="" type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details on annex. ATTACHMENT C4/5		Number <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	

6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	If Yes, how many and for what types of violations? If available, please attach details as Annex.			
8	Have there been any other court actions of CITES-related violations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.			
10	How were the confiscated specimens generally disposed of?	Tick if applicable		
	– Return to country of export			<input type="checkbox"/>
	– Public zoos or botanical gardens			<input checked="" type="checkbox"/>
	– Designated rescue centres			<input checked="" type="checkbox"/>
	– Approved, private facilities			<input checked="" type="checkbox"/>
	– Euthanasia			<input type="checkbox"/>
	– Other (specify)			<input type="checkbox"/>
	Comments:			
11	Has your country provided to the Secretariat detailed information on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input type="checkbox"/>
	Comments: The CITES-Secretariat and the European Commission are informed quarterly.			
12	Has your country been involved in cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
13	If Yes, please give a brief description:			
14	Has your country offered any incentives to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>
15	If Yes, please describe:			
16	Has there been any review or assessment of CITES-related enforcement?	Yes		<input type="checkbox"/>
		No		<input type="checkbox"/>
		Not applicable		<input type="checkbox"/>
		No information		<input checked="" type="checkbox"/>

	Comments:
17	Please provide details of any additional measures taken:

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) in your country which are not yet reflected in the CITES Directory?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.	
3	If there is more than one MA in your country, has a lead MA been designated? In Austria there is only one Management Authority (Federal Ministry of Agriculture, Forestry, Environment and Water) which is empowered to issue import, export and re-export-permits as well as EC-certificates.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? 8 persons	
6	Can you estimate the percentage of time they spend on CITES related matters? If yes, please give estimation 6 persons are working fulltime, 1 person part-time, 1 person is working 50 % on CITES matters	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved. Financial support for the Orchidaceae-project together with the University of Vienna (Botanical Garden).	
10	Please provide details of any additional measures taken	

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) in your country which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Has your country designated a Scientific Authority independent from the Management Authority?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	<p>Austria has designated 9 different and independent Scientific Authorities in the federal states (Länder). The Designation of scientific authorities is regulated within § 12 (3) of the Austrian national law No 33/1998 of 30th January 1998. The national Scientific Authorities in Austria are located in the nine local governments. These areas are regulated through their own nature- and animal-conservation laws. Additionally, since 1 January 2005 there is a revised Austrian national law about animal protection, including transport and housing for live animals. The scientific experts in the nine different Scientific Authorities are all members of the government of the province, therefore all the members of the Scientific Authorities are fully recognized legal bodies. The nine Scientific Authorities are responsible for the issuance of scientific opinions or expertises and the controlling of animal housing conditions and the legality of the offsprings. All nine Scientific Authorities are located in nine different national countries, therefore they are at independent geographic locations too.</p>		
4	What is the structure of the SA(s) in your country?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues?		

6	Can you estimate the percentage of time they spend on CITES related matters?		Yes	<input checked="" type="checkbox"/>
			No	<input type="checkbox"/>
			No information	<input type="checkbox"/>
	If yes, please give estimation			
	In 8 Scientific Authorities the staff is only working 50 % on CITES related matters, in one SA one person is 100 % involved.			
7	What are the skills/expertise of staff within the SA(s)?		Tick if applicable	
	– Botany			<input checked="" type="checkbox"/>
	– Ecology			<input checked="" type="checkbox"/>
	– Fisheries			<input type="checkbox"/>
	– Forestry			<input type="checkbox"/>
	– Welfare			<input type="checkbox"/>
	– Zoology			<input checked="" type="checkbox"/>
	– Other (specify) Law/policy			<input checked="" type="checkbox"/>
	– No information			<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
			No information	<input type="checkbox"/>
9	If Yes, please give the species name and provide details of the kind of research involved.			
	Species name	Populations	Distribution	Off take
	1			
	2			
	3			
	etc.			
				No information
				<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
			No information	<input type="checkbox"/>
11	Please provide details of any additional measures taken: Research on the Orchidaceae-project of the University of Vienna (Botanical Garden).			

D3 *Enforcement Authorities*

1	<p>To date, has your country advised the Secretariat of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?</p> <p>Federal Ministry of Finance (also Customs Authority)</p> <p>According to paragraph 12 (5) of the Austrian Species Trade Act, the agendas of the Convention and the EC-Regulations on the Austrian borders, the Austrian's Customs Authority, will be executed by the Federal Ministry of Finance.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
2	<p>If No, please designate them here (with address, phone, fax and email).</p>	
3	<p>Has your country established a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?</p> <p>The Customs Authority, with some police involvement.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Under consideration <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
4	<p>If Yes, please state which is the lead agency for enforcement:</p> <p>Federal Ministry of Finance Department IV/8 Hintere Zollamtsstraße 2b A-1030 Vienna Austria</p> <p>The customs offices which are authorised under § 12 (5) of the Austrian national law No 33/1998 of 30th January 1998 perform border controls (after EU enlargement major international airports represent our borders). At present, this list contains 25 authorised customs offices in Austria. Not all customs offices are permitted to handle all goods. For example, certain offices are only authorised to handle goods sent by post. The handling of live animals subject to certain provisions under veterinary legislation is restricted to a few selected customs offices.</p>	
5	<p>Please provide details of any additional measures taken:</p>	

D4 Communication, information management and exchange

1	To what extent is CITES information in your country computerized?						Tick if applicable
	– Monitoring and reporting of data on legal trade						<input checked="" type="checkbox"/>
	– Monitoring and reporting of data on illegal trade						<input checked="" type="checkbox"/>
	– Permit issuance						<input checked="" type="checkbox"/>
	– Not at all						<input type="checkbox"/>
	– Other (specify)						<input type="checkbox"/>
2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Do you have an electronic information system providing information on CITES species?						Yes <input checked="" type="checkbox"/>
							No <input type="checkbox"/>
							No information <input type="checkbox"/>

4	<p>If Yes, does it provide information on:</p> <p>– Legislation (national, regional or international)? <input checked="" type="checkbox"/></p> <p>– Conservation status (national, regional, international)? <input checked="" type="checkbox"/></p> <p>– Other (please specify)? Animal Protection <input checked="" type="checkbox"/></p>	<p>Tick if applicable</p>																				
5	<p>Is it available through the Internet:</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Please provide URL:</p> <p><u>www.cites.at</u> This homepage provides general and specific information on CITES including the Austrian Regulations (Species Trade Act, the Species Marking Ordinance and also the Austrian National law about animal protection), and all relevant EC-Regulations and the Convention text together with information which is needed and helpful for the application of permits and certificates (like forms, fees, tourism, animals protection [transport and housing]) and some additional links. In addition, it provides for an online electronic permitting system. Furthermore the both german web-sites www.wisia.de and www.zeet.de are used.</p> <p><u>www.wisia.de</u> This internet data bank provides information on CITES protected animals and plants not only for professional conservationists but also for the general public. You can also find information on the protection status, including the relevant date since when a respective species has become a CITES protected specimen.</p> <p><u>www.zeet.de</u> Information on all decisions taken by the European Union on import restrictions and other stricter measures regarding international trade in CITES species.</p>																					
6	<p>Do the following authorities have access to the following publications?</p> <table border="1"> <thead> <tr> <th>Publication</th> <th>Management Authority</th> <th>Scientific Authority</th> <th>Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<p>Tick if applicable</p>
Publication	Management Authority	Scientific Authority	Enforcement Authority																			
<i>2003 Checklist of CITES Species</i> (book)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
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<i>Identification Manual</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			
<i>CITES Handbook</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>																			

7	If not, what problems have been encountered to access to the mentioned information?		
8	Have enforcement authorities reported to the Management Authority on: – Mortality in transport? – Seizures and confiscations? – Discrepancy in number of items in permit and number of items actually traded? Comments: ATTACHMENT D4/8	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	
9	Is there a government website with information on CITES and its requirements? If Yes, please give the URL: www.cites.at	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
10	Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public? – Press releases/conferences – Newspaper articles, radio/television appearances – Brochures, leaflets – Presentations – Displays – Information at border crossing points – Telephone hotline – Other (specify) Please attach copies of any items as Annex.	Tick if applicable <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
11	Please provide details of any additional measures taken:		

D5 Permitting and registration procedures

1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat? If no, please provide details of any: Changes in permit format: Changes in designation or signatures of relevant officials: Within the reporting period Austria is having a temporarily change of the head of the Department. The change was notified immediately.	Yes No Not applicable No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>				
2	To date, has your country developed written permit procedures for any of the following? <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"></td> <td style="width: 25%; text-align: center;">Yes</td> <td style="width: 25%; text-align: center;">No</td> <td style="width: 25%; text-align: center;">No information</td> </tr> </table>		Yes	No	No information	Tick if applicable	
	Yes	No	No information				

	Permit issuance/acceptance	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
3	Please indicate how many CITES documents were issued or denied in the two year period? (Note that actual trade is normally reported in the Annual Report by Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?	1877	143	3117	3954	ATTACHMENT D5/3
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
	Year 2					ATTACHMENT D5/3
	How many documents were issued?	2153	166	3707	3436	
	How many applications were denied because of severe omissions or mis-information?	0	0	0	0	
4	Were any CITES documents that were issued later cancelled and replaced because of severe omissions or mis-information?				Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.				Tick if applicable	
	Reason	Yes	No	No information		
	Technical violations	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	Suspected fraud	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of non-detriment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	Insufficient basis for finding of legal acquisition	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	Other (specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?				Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments Austria has had no exports of wild taken specimens. But there is a special responsibility in checking export documents of non-EC-Parties if these refer to export quotas.					

8	<p>How many times has the Scientific Authority been requested to provide opinions?</p> <p>Exports only took place regarding specimen which were either bred in captivity or artificially propagated or products thereof. In such cases the Scientific Authorities are requested to provide advice whether the conditions for artificial propagation or breeding in captivity have been met. Referring to imports of CITES Appendix II species import permits are necessary in accordance with the EU regulations. To optimise and harmonize the application procedure the CITES Scientific Review Group (SRG) of the EU discusses regularly special import cases and concludes on basic scientific statements which either ends in a positive opinion to allow imports or comes to a negative opinion which leads to the restriction of respective imports. Our Scientific Authorities will be requested for an opinion in every case.</p>	
9	<p>Has the Management Authority charged fees for permit issuance, registration or related CITES activities?</p> <p>– Issuance of CITES documents:</p> <p>– Licensing or registration of operations that produce CITES species:</p> <p>– Harvesting of CITES-listed species :</p> <p>– Use of CITES-listed species:</p> <p>– Assignment of quotas for CITES-listed species:</p> <p>– Importing of CITES-listed species:</p> <p>– Other (specify):</p>	<p>Tick if applicable</p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>
10	<p>If Yes, please provide the amounts of such fees.</p> <p>ATTACHMENT D5/9</p>	
11	<p>Have revenues from fees been used for the implementation of CITES or wildlife conservation?</p> <p>– Entirely:</p> <p>– Partly:</p> <p>– Not at all:</p> <p>– Not relevant:</p> <p>Comments:</p>	<p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input checked="" type="checkbox"/></p> <p><input type="checkbox"/></p>
12	<p>Please provide details of any additional measures taken:</p>	

D6 Capacity building

1	<p>Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?</p>		Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks <input checked="" type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Computerisation <input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>

2	Have the CITES authorities in your country been the <i>recipient</i> of any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Target group						
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Have the CITES authorities in your country been the <i>providers</i> of any of the following capacity building activities?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Target group						
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken						

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>																								
		No	<input type="checkbox"/>																								
		No information	<input type="checkbox"/>																								
2	<p>If Yes, which agencies are represented and how often does it meet?</p> <p>An informal task force group with members of the Animals- and Plants-Committee, the Enforcement Authority and veterinary- and phytosanitary-agency meets annually.</p>																										
3	<p>If No, please indicated the frequency of meetings or consultancies used by the MA to ensure co-ordination among CITES authorities (e.g. other MAs, SA(s), Customs, police, others):</p> <table border="1"> <thead> <tr> <th></th><th>Dail y</th><th>Week ly</th><th>Mont hly</th><th>Annually</th><th>None</th><th>No informati on</th><th>Other (specify)</th></tr> </thead> <tbody> <tr> <td>Meetings</td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td></td></tr> <tr> <td>Consultations</td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input type="checkbox"/></td><td><input checked="" type="checkbox"/></td><td>as required</td></tr> </tbody> </table>				Dail y	Week ly	Mont hly	Annually	None	No informati on	Other (specify)	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	as required
	Dail y	Week ly	Mont hly	Annually	None	No informati on	Other (specify)																				
Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																					
Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	as required																				
4	At the national level have there been any efforts to collaborate with:	Tick if applicable	Details if available																								
	Agencies for development and trade	<input type="checkbox"/>																									
	Provincial, state or territorial authorities	<input checked="" type="checkbox"/>																									
	Local authorities or communities	<input type="checkbox"/>																									
	Indigenous peoples	<input type="checkbox"/>																									
	Trade or other private sector associations	<input type="checkbox"/>																									
	NGOs	<input checked="" type="checkbox"/>																									
	Other (specify)	<input type="checkbox"/>																									
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the MA and the following agencies?	Tick if applicable																									
	SA	<input type="checkbox"/>																									
	Customs	<input type="checkbox"/>																									
	Police	<input type="checkbox"/>																									
	Other border authorities (specify)	<input type="checkbox"/>																									
	Other government agencies	<input type="checkbox"/>																									
	Private sector bodies	<input type="checkbox"/>																									
	NGOs	<input type="checkbox"/>																									
	Other (specify)	<input type="checkbox"/>																									

	No Memorandum of Understanding has been made with any other national agency.		
6	Has your country participated in any regional activities related to CITES?	Tick if applicable	
	Workshops		<input type="checkbox"/>
	Meetings		<input type="checkbox"/>
	Other (specify)		<input type="checkbox"/>
7	Has your country encouraged any non-Party to accede to the Convention?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
8	If Yes, which one(s) and in what way?		
9	Has your country provided technical or financial assistance to another country in relation to CITES?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?		
11	Has your country provided any data for inclusion in the CITES Identification Manual?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
12	If Yes, please give a brief description. Species Identification for Bulbophyllum-species.		
13	Has your country taken measures to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
14	If Yes, please give a brief description. Our expert for the CITES-Convention on the plants-section is also the expert for the Convention on Biological Diversity (CBD).		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Computerisation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Has your country encountered any difficulties in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
3	If Yes, which one(s) and what is the main difficulty?			
4	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes		<input type="checkbox"/>
		No		<input checked="" type="checkbox"/>
		No information		<input type="checkbox"/>
5	If Yes, please describe the constraint and the type of attention or assistance that is required.			
6	Has your country identified any measures, procedures or mechanisms within the Convention that would benefit from review and/or simplification?	Yes		<input checked="" type="checkbox"/>
		No		<input type="checkbox"/>
		No information		<input type="checkbox"/>
7	If Yes, please give a brief description. Marking of live reptiles (photo-documentation, micro-chips or DNA-analysis)			
8	Please provide details of any additional measures taken:			

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C3	Details of violations and administrative measures imposed	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C5	Details of specimens seized, confiscated or forfeited	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C7	Details of violations and results of prosecutions	Enclosed	<input checked="" type="checkbox"/>
		Not available	<input type="checkbox"/>
		Not relevant	<input type="checkbox"/>
C9	Details of violations and results of court actions	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>
D4 (10)	Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes, Comments	Enclosed	<input type="checkbox"/>
		Not available	<input checked="" type="checkbox"/>
		Not relevant	<input type="checkbox"/>

**Convention on International Trade in
Endangered Species of Wild Fauna and Flora**

**Biennial Report
AUSTRIA**

PART 2 – Supplementary Questions

Note: Questions in **Part 2** are additional to those in **Part 1**, and relate to information on the provisions of the EC Regulations (Regulation (EC) No. 338/97 and Regulation (EC) No. 865/2006) that fall outside the scope of CITES.

Please be aware that questions in Part 2 have been updated since the last reporting period, and this new version should be used when submitting biennial reports.

EU – Regulation (EC) No. 338/97 Biennial Report Format

PART 2 „Supplementary Questions“

The numbering of this section reflects that in Annex 2, Part 1, with the addition of (b) to distinguish the two. New questions that do not correspond to questions in Annex 2, Part 1 are marked "new". Unless otherwise stated, the legislation referred to below is Council Regulation (EC) No. 338/97.

B. Legislative and regulatory measures

1b	If not already provided under questions B (2) and B (4), please provide details of any national legislation that has been updated in this reporting period and attach the full legislative text.																																																								
2b	<p>If your country has planned, drafted or enacted any additional Regulation -relevant legislation, other than that reported under question B (2) or above, please provide the following details:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Title and date:</td><td style="width: 50%; border: none;">Status:</td></tr> <tr> <td colspan="2" style="border: none;">Brief description of contents:</td></tr> </table>	Title and date:	Status:	Brief description of contents:																																																					
Title and date:	Status:																																																								
Brief description of contents:																																																									
5b	<p>Has your country adopted any stricter domestic measures, other than those reported under question B(5), specifically for non CITES-listed species¹?</p> <p>Tick all applicable categories below that these categories apply to.</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%;"></th><th colspan="3">The conditions for:</th><th colspan="3">The complete prohibition of:</th></tr> <tr> <th style="text-align: center;">Issue</th><th style="text-align: center;">Yes</th><th style="text-align: center;">No</th><th style="text-align: center;">No information</th><th style="text-align: center;">Yes</th><th style="text-align: center;">No</th><th style="text-align: center;">No information</th></tr> <tr> <td>Trade</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Taking</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Possession</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Transport</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Other (specify)</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Additional comments</td><td colspan="6"></td></tr> </table>		The conditions for:			The complete prohibition of:			Issue	Yes	No	No information	Yes	No	No information	Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Transport	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Additional comments						
	The conditions for:			The complete prohibition of:																																																					
Issue	Yes	No	No information	Yes	No	No information																																																			
Trade	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																			
Taking	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																			
Possession	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																			
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Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																			
Additional comments																																																									
8b	<p>Has there been any review of legislation on the following subjects in relation to implementation of <i>Council Regulation (EC) No. 338/97</i>?</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;"></th><th style="width: 10%; text-align: center;">Yes</th><th style="width: 10%; text-align: center;">No</th><th style="width: 20%; text-align: center;">No information</th></tr> <tr> <td>Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).</td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td>Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).</td><td style="text-align: center;"><input checked="" type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td><td style="text-align: center;"><input type="checkbox"/></td></tr> <tr> <td colspan="4">Please provide details if available:</td></tr> </table>		Yes	No	No information	Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Marking specimens to facilitate identification (in accordance with Article 19, paragraph 1 (iii)).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Please provide details if available:																																											
	Yes	No	No information																																																						
Introduction of live Regulation-listed species into the Community that would threaten the indigenous fauna and flora (in accordance with Article 3, paragraph 2 (d)).	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																																																						
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Please provide details if available:																																																									

¹ In this questionnaire, "non CITES-listed species" refers to species that are listed in the Regulation Annexes, but not in the CITES Appendices. They include some species in Annexes A and B and all those in Annex D.

9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum penalties that may be imposed;</p> <p>ii) Or any other additional measures taken in relation to implementation of the Regulation not reported on in question B (9).</p> <p>Specific sanctions for the illegal import, export or re-export of species included in the EC-Regulation Annexes A, B, C and D are covered by different paragraphs in the Austrian Species Trade Act of 16th March 2010 (it creates sanctions for the offences listed in Article 16 of the Council Regulation). Penalties range up to 40.000 €; alternatively, offenders can be sentenced to up to 2 years imprisonment.</p> <p>There is the possibility of administrative and penal sanctions (only for Annex A) according to the weight of the offence. Paragraph 7 of the Austrian Species Trade Act authorizes the confiscation of specimens in order to secure (the) forfeiture. Paragraph 11 provides the possibility to impose punishment or replacement of the value if there is no possibility to execute (the) forfeiture or if there is a need to consider the ownership of any other person.</p>
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C. Compliance and enforcement measures

2b	<p>Have any actions, in addition to those reported in C (2-9) above, been taken for Regulation-related violations?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p>
9b	<p>Please provide the following details about Regulations-related violations:</p> <p>i) Maximum sanctions which have been imposed over this reporting period;</p> <p>ii) The outcomes of any prosecutions;</p> <p>No informations.</p>
16b	<p>Has there been any review or assessment of Regulation-related enforcement, in addition to that reported under C (16) above?</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input checked="" type="checkbox"/></p> <p>Comments:</p>
18 new	<p>Have specimens been marked to establish whether they were born and bred in captivity? (In accordance with <i>Commission Regulation (EC) No. 865/2006</i>, Article 66)</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Comments:</p> <p>Further to Article 66 of Reg. (EC) No 865/2006 which regulates the marking of specimens of captive born and bred animals as well as other than captive bred live vertebrates area, all marking methods in Austria, like the possibility of closed rings, bands, tags, tattoos, marks, microchip-transponders and DNA-fingerprinting with feathers, blood and excrements regulated through the Species Marking Ordinance of 19th April 2006. Austria also accepts photo-documentation for reptiles as a method of identifying live specimens, reptiles in particular.</p>
19 new	<p>Have any monitoring activities been undertaken to ensure that the intended accommodation for a live specimen at the place of</p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p>

w	destination is adequately equipped to conserve and care for it properly? (In accordance with Article 4 paragraph 1 (c) of <i>Council Regulation (EC) No. 338/97</i>).	No information	<input type="checkbox"/>
	Comments: These activities are executed by our Scientific Authorities before issuing an expertise for the import.		
20 ne w	Have national action plans for co-ordination of enforcement, with clearly defined objectives and timeframes been adopted, and are they harmonized and reviewed on a regular basis? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIa.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments: The Management Authority created an national action plan which also involves the enforcement and scientific authorities.		
21 ne w	Do enforcement authorities have access to specialized equipment and relevant expertise, and other financial and personnel resources? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIb.) If yes, please provide details.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		
22 ne w	Do penalties take into account inter alia the market value of the specimens and the conservation value of the species involved in the offence, and the costs incurred? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIc.)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>	
	Comments:		

23 ne w	Are training and/or awareness raising activities being carried out for a) enforcement agencies, b) prosecution services, and c) the judiciary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II d.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
24 ne w	Are regular checks on traders and holders such as pet shops, breeders and nurseries being undertaken to ensure in-country enforcement? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II g.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
25 ne w	Are risk and intelligence assessment being used systematically in order to ensure thorough checks at border-crossing points as well as in-country? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II h.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
26 ne w	Are facilities available for the temporary care of seized or confiscated live specimens, and are mechanisms in place for their long-term re-homing, where necessary? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II i.)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
	Comments: If live animals or plants are seized, they have to be brought to a rescue centre or an appropriate institution. With regard to seized specimens, an agreement with the competent Scientific Authority requires a search for a suitable accommodation. In Austria 28 institutions or rescue centres are now at disposal for such specimens. According to Article 16 (3) and (4) of the Council Regulation (EC) No 338/97, all confiscated and seized parts, goods and derivatives are at the disposal of the Management Authority. These goods will be passed on to museums, schools, scientific institutions as well as for trainings courses for the officers of the customs authority. Some confiscated specimens will be used for information events about the theme of protected species regulated through the Convention.			
27 ne w	Is cooperation taking place with relevant enforcement agencies in other Member States on investigations of offences under Regulation No. (EC) 338/97? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II i e.)	Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Comments:			
28 ne w	Is assistance being provided to other Member States with the temporary care and long-term re-homing of seized or confiscated live specimens? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph II j.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

29 new	Is liaison taking place with CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community as well as the CITES Secretariat, ICPO, Interpol and the World Customs Organization to help detect, deter and prevent illegal trade in wildlife through the exchange of information and intelligence? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph IIIk.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			
30 new	Is advice and support being provided to CITES MAs and law enforcement agencies in source, transit and consumer countries outside of the Community to facilitate legal and sustainable trade through correct application of procedures? (In accordance with <i>Commission Recommendation C (2007) 2551</i> , paragraph III.)	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	Comments:			

D. Administrative measures

D1 Management Authority (MA)

8b	Have the MA(s) undertaken or supported any research activities in relation to non CITES-listed species or technical issues (e.g. species identification) not covered in D2 (8) and D2 (9)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
11 new	Has the Commission and the CITES Secretariat (if relevant) been informed of the outcomes of any investigations that the Commission has considered it necessary be made? (In accordance with Article 14 paragraph 2 of <i>Council Regulation (EC) No. 338/97</i>)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

D2 Scientific Authority (SA)

8b	Have any research activities been undertaken by the SA(s) in relation to non CITES listed species?		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>		
9b	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	1						
	2						
	3						
	etc.						
					No information <input type="checkbox"/>		

11 new	How many Scientific Review Group (SRG) meetings have the SA attended?	Number	<input checked="" type="checkbox"/>
	All meetings of the SRG have been attended by Dr. Andreas Ranner. Indicate any difficulties that rendered attendance to the SRG difficult:		

D3 Enforcement Authorities

6 new	Has a liaison officer/focal point for CITES been nominated within each relevant enforcement authority in your country?		<input checked="" type="checkbox"/>
	Yes		<input type="checkbox"/>
	No		<input type="checkbox"/>
	Under consideration		<input type="checkbox"/>
	No information		<input type="checkbox"/>

D4 Communication, information management and exchange

1b	Is Regulation-related information in your country computerized on?	Tick if applicable	
	– Annex D listed species		<input checked="" type="checkbox"/>
	– Other matters not reported on in question D4 (1) (please specify)		<input type="checkbox"/>
3b	Do you have an electronic information system providing information on Regulation-listed species?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No	<input type="checkbox"/>
		information	

D5 Permitting and registration procedures

9b	Has the Management Authority charged fees for any Regulation-related matters not covered in question D5 (9)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If yes, please provide details of these Regulation-related matters and the amount of any such fees.	No	<input type="checkbox"/>
		information	
13 new	Can you indicate the percentage of permits/certificates issued that are returned to the MA after endorsement by customs?	Percentage :	
		unknown	
		No	<input type="checkbox"/>
	The Austrian Annual Report is based on documents which were endorsed by the customs. Austrian customs returns every endorsed document to the MA. The consistency with which Austrian imports are customs cleared in other EU countries, and documents returned to the Austrian MA, is unknown. The MA of Austria regularly sends documents which are endorsed by Austrian customs to other Management Authorities in the European Union.	information	<input type="checkbox"/>

14 new	Has a list of places of introduction and export in your country been compiled in accordance with Article 12 of <i>Council Regulation (EC) No. 338/97</i> ? If yes, please attach. ATTACHMENT D1/14	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15 new	Have persons and bodies been registered in accordance with Articles 18 and 19 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
16 new	Have scientific institutions been registered in accordance with Article 60 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. ATTACHMENT D1/16	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
17 new	Have breeders been approved in accordance with Article 63 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
18 new	Have caviar (re-)packaging plants been licensed in accordance with Article 66 (7) of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Austria has one caviar producing plant for exporting, processing and repackaging, which has the Code AT 0001. http://www.cites.org/common/resources/reg_caviar.pdf	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
19 new	Are phytosanitary certificates used in accordance with Article 17 of Commission Regulation (EC) No. 865/2006? If yes, please provide details. Austria has also notified the issuance of Phytosanitary Certificates, also called Plants Health Certificates for Annex II/B plants. This is executed by the Chamber of Agriculture in Vorarlberg (enclosed there are Phytosanitary certificates). They will be used for the export of artificially propagated plants to Switzerland (ATTACHMENT D1/19).	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
20 new	Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of Commission Regulation (EC) No. 865/2006? If yes, please provide details.	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

D8 Areas for future work

2b	Has your country encountered any difficulties in implementing specific suspensions or negative opinions adopted by the European Commission? (In accordance with Article 4 (6)).	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4b	Have any constraints to implementation of the Regulation, not reported under question D8 (4) , arisen in your country requiring	Yes	<input type="checkbox"/>

	attention or assistance?	No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

ATTACHMENT 1

(Referring to Question B4a to B4c)

Species Trade Act, Species Marking Ordinance and Ordinance on Negligibility in Species Trade

FEDERAL LAW GAZETTE

FOR THE REPUBLIC OF AUSTRIA

Volume 2010

Issued on 16 March 2010

Part I:

16. Federal Law regulating the Trade in Specimens of Wild Animal and Plant Species (Species Trade Act 2009 –ArtHG 2009)

The National Council has resolved:

Definitions

§ 1. (1) This Federal Law serves for the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein OJ No L 61 of 3 March 1997, p.1., the implementing rules based on it, as well as the implementation of Art. 3 (g) of Directive 2008/99/EC on the protection of the environment under criminal law, OJ No L 328 of 6 December 2008, p. 28.

(2) For the purposes of this Federal Act

1. “Regulation (EC) No 338/97” shall mean Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, OJ No L 61 of 3 March 1997, p. 1;
2. “Implementing Regulation” shall mean the detailed rules for implementing Regulation (EC) No 338/97 adopted in a committee procedure according to Article 19 of Regulation (EC) No 338/97
3. “Holder“ shall mean the person, who owns a specimen for the purposes of Regulation (EC) No 338/97 or who in fact exercises power over it.

More stringent measures

§ 2. (1) The Federal Minister of Agriculture, Forestry, Environment and Water Management shall lay down for the import and export and other trade in specimen of species listed in Regulation (EC) No 338/97, by means of Ordinance, more stringent measures than provided for by this Regulation, if

1. this is necessary for the fulfilment of obligations according to the Law of the European Union or
2. in the interest of the preservation of a species or population including its distribution area, and if this is not in contradiction to directly applicable Law of the European Union.

(2) If this is required according to legal provisions of the European Union the Federal Minister of Agriculture, Forestry, Environment and Water Management shall lay down, also for the trade in specimens of other species than those listed in Regulation (EC) No 338/97 licence requirements, other restrictions and prohibitions.

Acquisitions by hereditary succession or donation

§ 3. (1) If specimen falling within the scope of Art. 3 para. 1 (Annex A) of Regulation (EC) No 338/97 are passed on via hereditary succession or donation, the new owner shall inform the Federal Minister of Agriculture, Forestry, Environment and Water Management without delay thereof.

(2) Requirements and securities are transferred to the new owner.

Obligation to report

§ 4. For every customs clearance of live specimens, covered by Regulation (EC) No 338/97 or by this Federal Act the customs office in charge of the customs clearance of live specimens shall be informed at least 18 hours in advance of the presumable time of arrival indicating the species and the number of animals and plants as well as their classification according to the Annexes of Regulation (EC) No 338/97

Labelling

§ 5. (1) At the Federal Ministry of Agriculture, Forestry, Environment and Water Management a central register for the labels allocated to the specimens and the registration codes assigned to the enterprises shall be established to the extent necessary for reaching the objectives of Regulation (EC) No 338/97. If the labelling of specimens contains a registration code of an enterprise and, maybe further specific elements, the entry into the central register can be confined to the registration code, if the compliance with the objectives of Regulation (EC) No 338/97 is not jeopardized and the traceability of the labelling is guaranteed.

(2) The Federal Minister of Agriculture, Forestry, Environment and Water Management shall designate, by Ordinance, those species for which a labelling is required in the case of import, export, or transit as well as of transport and trade. Furthermore he shall lay down exemptions from mandatory labelling and the methods of labelling to be applied to the individual species. In this context the latest state-of-the-art of biological and veterinary research is to be taken into consideration, and it shall be guaranteed that the respective method of labelling constitutes the slightest possible interference into the physical integrity and the well-being of the species.

(3) This Ordinance shall contain in particular provisions for the purposes of the Implementing Regulation on

1. the type and nature of the label,
2. the method and the time of labelling,
3. the placing of the label, as well as
4. form and content of the labelling protocol.

(4) The labelling has to be carried out by a person especially authorized by the management authority (§ 13 para.1) by decree according to para. 5, or by a veterinary. The holder of the specimen shall bear the costs of labelling and provide every necessary support. If the labelling method of photo documentation is applied, the labelling shall be carried out according to para 2 of the Regulation by the holder of the specimen.

(5) If it is in the interest of economy, economic efficiency and expediency the management authority (§ 13, para. 1) shall authorize by decree natural or legal persons, who have sufficient veterinary or biological knowledge, are reliable, whose objectivity is unquestioned and with whom a proper implementation of labelling is guaranteed, to carry out the labelling of certain groups of specimens. The authorisation shall be linked, if required, to conditions, deadlines and requirements by which a proper implementation of labelling is guaranteed. The authorisation shall be repealed, if the respective prerequisites are not fulfilled any more. Before issuing a decree of authorisation or repeal the scientific authority of the country in which the authorized person shall perform his/her activity or is performing his/her activity shall be heard.

(6) The holder shall, except for the case of photo documentation, establish a labelling protocol of the implementation of labelling of live specimen. The labelling protocol shall be confirmed by the person carrying out the labelling. In the case of an application for granting a permit or for issuing a certificate according to Regulation (EC) No 338/97 the labelling protocol shall be forwarded to the management authority for the purpose of entering the data into the central register. Form and content of the labelling protocol are to be defined in the Regulation according to para. 2.

Control powers and obligation duties

§ 6. (1) To the extent necessary for meeting the objectives of this Federal Act, of Regulation (EC) No 338/97 or the Implementing Regulation, the authorities, according to § 13 para. 1,3 and 4 in charge of the enforcement according to their competences as well as the experts commissioned by them in individual cases shall be entitled to enter plots of land or buildings and to inspect them, to stop means of transport, to open containers and means of transport and to inspect them and to carry out checks.

(2) Persons, in whose safekeeping the specimens are, shall allow, according to § 13, para. 1, 3 and 4 the authorities responsible for the enforcement as well as the experts commissioned by them in individual cases the entry, opening and inspection of the buildings, containers and means of transport. Furthermore the above-mentioned persons shall provide all information necessary for the enforcement, provide documentation and shall allow an inspection of the records of the stock as well as at other records if this is necessary for examining the lawful acquisition or the whereabouts of specimens. If Regulation (EC) No 338/97 and the Implementing Regulation provide for documentation duties for the holder of specimens or the applicant, this documentation shall be submitted, upon request, to the authorities responsible for the enforcement according to § 13 para. 1, 3, and 4. The above-mentioned persons shall in particular furnish proof of the origin, the lawful acquisition, or the application of alleviations and exceptions according to Regulation (EC) No 338/97 or the Implementing Regulation, upon request to the authorities responsible for the enforcement according to § 13, para. 1, 3, and 4.

(3) Customs identification marks removed shall be replaced by corresponding official identification marks. The owner of the property, the person authorised to dispose of it, or the owner of the enterprise or the representative of these persons shall be informed no later than on entry to the property or the enterprise, where possible. In the case of imminent danger, subsequent information shall suffice, if neither of the before-mentioned persons can be reached.

(4) For the purpose of preservation of evidence the bodies responsible for the enforcement according to § 13, para 1, 3, and 4 are authorized, in the event of imminent danger, to temporarily take into custody specimens which are related to a criminal offence according to § 7. The bodies shall immediately report the competent public prosecution department of the custody. If the competent prosecution department declares that the requirements for taking into custody according to § 110 of the Code of Criminal Procedure 1975 (Strafprozeßordnung StPO), are not met, the custody shall be immediately lifted. Moreover, the preliminary taking into custody shall expire, if six months have passed since it was adopted or as soon as the court has taken a final decision on the application for seizure. As to financial offences punishable by administrative authorities § 89 Fiscal Penalties Act (Finanzstrafgesetz FinStrG) Federal Law Gazette No 129 shall apply *mutatis mutandis*.

(5) The authorities entrusted according to § 13, para. 1, 3, and 4 with the enforcement as well as the experts commissioned by them in individual cases shall avoid any disturbance or impairment as well as any risk for the species which is not absolutely necessary.

Offences punishable by court

§ 7. (1) Anyone who imports, exports, re-exports or transits specimens of a species subject to the provisions of Article 3, para 1 (Annex A) and para.2 (Annex B) of Regulation (EC) No 338/97

1. without the permit or certificate required according to Article 4 and 5 of Regulation EC No 338/97 or
2. contrary to an official order issued in accordance with the provisions of this Federal Act or the Articles 4-7 and 11 of Regulation (EC) No 338/97,

shall be punished by the court with imprisonment of up to two years or with a fine of up to 360 daily rates.

(2) Moreover, anyone who, contrary to Article 8 of Regulation (EC) No 338/97,

1. purchases, offers for sale or acquires in another way
2. displays, keeps in stock, transports, or uses in another way or
3. sells or offers for sale specimens of a species subject to the provisions of Article 3 para. 1 (Annex A) or para. 2 (Annex B) of Regulation (EC) No 338/97

shall be punishable as well.

(3) Besides anyone, who contrary to Article 9 of Regulation (EC) No 338/97 transports live specimens of a species subject to the provisions of Article 3, para. 1 (Annex A) shall be punishable as well.

(4) Anyone who commits a criminal offence according to para. 1 to 3, was issued with a final sentence for two offences of that kind within the last twelve months before the offence and has acted with the intention to generate a permanent income by its repeated committal shall be penalized by the court with imprisonment of up to five years.

(5) Anyone who commits one of the actions mentioned in para. 1 to 3 in gross negligence shall be punishable by court with imprisonment of up to one year or with a fine of up to 180 daily rates.

(6) Those cases, where the conduct concerns a negligible quantity of specimens and has a negligible impact on the conservation status of the species are not punishable by court according to para. 1 to 5; these cases are, according to § 8, financial offences, punishable by fiscal authorities. The Federal Minister of Agriculture, Forestry, Environment and Water Management shall lay down, in agreement with the Federal Minister of Justice and the Federal Minister of Finance, by way of Ordinance, detailed provisions on the negligibility of the specimens and the impact on the conservation status of the species.

(7) The specimens subject to a criminal offence, including the objects used for their storage, safekeeping or care, shall be confiscated and handed over to the Federal Ministry of Agriculture, Forestry, Environment and Water Management for the purpose of carrying out the further procedure according to § 11.

(8) In the course of the prosecution of an offence according to para. 1 to 5 the courts and the public prosecution department can make use of the customs authorities. Besides § 196 of the Fiscal Penalties Act (FinStrG) shall apply *mutatis mutandis*.

(9) The main procedure on the criminal offences mentioned in para. 4 shall fall within the competence of the Regional Court (§ 31 para 4(3) Code of Criminal Procedure).

Financial offences punishable by administrative authorities

§ 8. (1) Anyone, who deliberately

1. imports, exports, re-exports, or transits a specimen of a species subject to the provisions of Art. 3 of Regulation (EC) No 338/97 contrary to this Federal Act or to Articles 4,5, 7 or 11 of Regulation (EC) No 338/97, or
2. obtains by fraud by providing incorrect or incomplete information a permit or certificate required according to this Federal Act or according to the Articles 4, 5, 7, 8, 9, 10 or 11 of Regulation (EC) No 338/97 or pretends not to be subject to an authorisation requirement or
3. violates Article 6 para. 3, Articles 8, or 9 of Regulation (EC) No 338/97 or § 3 para. 1 or § 6 para. 2 of this Federal Act or
4. violates an Ordinance according to § 2 or § 5 of this Federal Act,
5. or violates the labelling provisions laid down in the Implementing Regulation or a decree of authorisation, or
6. violates the Federal Act according to § 15 para. 3 commits a financial offence and is punishable by the fiscal offence prosecution authority with a fine of up to 20,000 Euros, however, if a specimen of a species subject to the provisions of Article 3, para. 1 (Annex A) of Regulation (EC) No 338/97 is concerned with a fine of up to 40,000 Euros.

(2) Anyone who commits a financial offence according to para. 1, or committed within the past two years before the offence at least two such financial offences, and acted with the intention to generate a permanent income by its repeated committal, shall be penalized with a fine of up to 40,000 Euros, however, if a specimen of a species subject to the provisions of Article 3, para. 1 (Annex A) of Regulation (EC) No 338/97 is concerned, with a fine of up to 80,000 Euros.

(3) Anyone who commits one of the criminal offences mentioned in para. 1 in gross negligence is punishable by the fiscal offence prosecution authority with a fine of up to 10,000 Euros, however, if a specimen of a species subject to the provisions of Article 3, para. 1 (Annex A) of Regulation (EC) No 338/97 is concerned with a fine of up to 20,000 Euros.

(4) The provisions on the increase in the severity of a penalty in the case of subsequent offences according to § 41 Fiscal Penalties Act (FinStrG) shall apply to financial offences according to para. 1 and 2 subject to the condition that the subsequent offences refer only to these facts of the case.

(5) An infringement of the financial regulations is committed by anyone, who deliberately

1. violates § 4, or
2. who contrary to the provisions of the implementing ordinance does not immediately return permits or certificates which are expired, non-utilised, or not applicable any more.

The infringement of the financial regulations shall be punishable with a fine of up to 1,000 Euros.

(6) The offender shall not be punishable according to para. 1 to 3, if the act constitutes a criminal offence falling within the competence of the courts, or is subject to a more severe penalty according to some other stipulations.

(7) Apart from the penalties mentioned in para. 1 and 2 forfeiture shall also be imposed according to § 17 Fiscal Penalties Act, with exclusively the specimens subject to a criminal offence including the objects used for their storage, safekeeping, or care being subject to forfeiture. Forfeiture shall also be imposed if paragraphs 1 and 2 are only not applicable for the reason that the offence is, according to another provision, subject to a more severe penalty.

(8) The application of § 25 Fiscal Penalties Act (FinStrG) shall be excluded.

Simplified penal order

§ 9. (1) By means of a simplified penal order the customs offices can, according to § 146 Fiscal Penalties Act (FinStrG) adjudge over

1. infringements of the financial regulations according to § 8 para. 4 and
2. financial offences according to § 8, para. 1 and 3,
 - (a) if specimens of a species subject to the provisions of Article 3, para. 1 (Annex A) and para. 2 (Annex B) of Regulation (EC) No 338/97 are concerned and the market value does not exceed 3,000 Euros, or

- (b) if specimens of a species subject to the provisions of Article 3, para. 3 (Annex C) and para. 4 (Annex D) of Regulation (EC) No 338/97 are concerned, and impose a fine of up to 1,450 Euros. Apart from the above-mentioned penalty forfeitures shall be imposed as well,

(2) If someone has committed by the same action financial offences according to § 146 Fiscal Penalties Act it can be adjudged, with the consent of the accused, over all financial offences by means of simplified penal order according to § 146 Fiscal Penalties Act. In this case the maximum amount of a fine provided for by the Fiscal Penalties Act can be exceeded by half of the amount in this case.

Seizure

§ 10. Where specimens of a species subject to the provisions of Article 3 of Regulation (EC) No 338/97 arrive at a point of introduction into the Community without the appropriate valid permit or certificate and, if this is neither a case of a criminal offence according to § 7 nor a financial offence according to § 8, the specimens shall be seized

1. If the deadline according to Art. 49 Customs Code is missed or
2. before the expiry of the deadline according to Article 49 Customs Code, if the specimens threaten to die or to spoil

without prejudice to Art. 16, para. 4 of Regulation (EC) No 338/97 according to § 29 para. 3 of the Customs Law Implementation Act (Zollrechts-Durchführungsgesetz ZollR.DG)). For the purposes of carrying out the procedure according to § 11 para. 2 the Federal Minister of Agriculture, Forestry, Environment and Water Management shall be notified without delay of the seizure.

Treatment of specimens which have been confiscated, declared forfeited, or seized

§ 11. (1) If a specimen is confiscated according to § 7 para. 5 or declared forfeited according to § 8, para. 6 or § 9, para. 1, the Federal Minister of Agriculture, Forestry, Environment and Water Management shall proceed at the expense of the one who has committed the criminal offence according to Article 16, para. 3 and 4 of Regulation (EC) No 338/97.

(2) If a specimen is seized according to § 10, this specimen shall be, except for those cases with a retroactive issue of documents concerning the import, export or re-export, confiscated by the Federal Minister of Agriculture, Forestry, Environment and Water Management. The Federal Minister of Agriculture, Forestry, Environment and Water Management shall proceed, at the expense of the one who has imported the specimen into the Community according to Article 16, para. 3 and 4 of Regulation (EC) No 338/97.

(3) If no agreement can be reached with the exporting country on the redemption of the specimen according to Article 16, para. 3 (b) (EC) No 338/97, the one who has committed the criminal offence according to § 7 para. 5 or the financial offence according to § 8, para. 6 or § 9 para. 1 or imported the specimen seized according to § 10 into the Community, shall compensate the Republic of Austria, apart from the costs according to para. 1 and 2, for the whole expenditure that has arisen from the import into the Community as well as for costs resulting from that, in particular costs of expert opinions for the prevention of the introduction of plant diseases and harmful organisms from the title of damages.

Places of introduction and export

§ 12. The Federal Minister of Finance shall designate, by Ordinance, the customs offices according to Art. 12, para. 1 of Regulation (EC) No 338/97.

Authorities, competences

§ 13. (1) Management authority for the purposes of Article IX of the Convention of Art. 13 (1) of Regulation (EC) No 338/97 shall be the Federal Minister of Agriculture, Forestry, Environment and Water Management.

(2) Application for issuing of permits and certificates according to this Federal Act shall be filed with the Federal Minister of Agriculture, Forestry, Environment and Water Management making use of the forms according to the Implementing Regulation.

(3) The institution coming into consideration according to the provisions of national law shall be considered the scientific authority according to Article IX of the Convention and Art. 13, para. 2 of Regulation (EC) No 338/97.

(4) The monitoring of compliance with the provisions mentioned in § 1 (1) and (2) as well as with this Federal Act and the investigation of infringements shall be, without prejudice to § 6, para. 1 Customs Law Implementation Act, tasks of the customs administration, unless stipulated otherwise by the provisions of this Federal Act.

(5) In the cases of para. 4

- 1 the customs supervision shall be applied subject to Section C of the Customs Law Implementation Act, Federal Law Gazette No 659/1994, unless stipulated otherwise by this Federal Act or by the provisions of § 1 (1) and (2) and
- 2 the specimen are subject to customs supervision according to § 17 Customs Law Implementation Act and
- 3 the customs offices and the customs authorities shall apply the Customs Code (§ 2 para.1 Customs Law Implementation Act).

(6) The implementation of criminal financial procedures for the financial offences and infringements of the financial order mentioned in § 8 falls within the competence of the customs offices mentioned in § 58, para. 1 (a), if these financial offences were committed or discovered within their sphere of competence.

(7) In the interest of an expedient, fast, simple, and cost-saving procedure the authorities competent according to the Act shall be made available, to the extent necessary for the enforcement within the framework of this Act, by the district authorities the existing notifications according to Animal Welfare Act, Federal Law Gazette I No 118/2004 on the keeping of wild animals for which special requirements are to be met.

Enforcement

§ 14. (1) The enforcement of this Federal Act, of Regulation (EC) No 338/97 and of the Implementing Regulation shall fall within the competence of the Federal Minister of Agriculture, Forestry, Environment and Water Management, unless provided otherwise by the following paragraphs.

(2) The Federal Minister of Finance shall be in charge of the enforcement of §§ 8,9,10, 12 and 13 para. 4 and 5.

(3) The enforcement of § 6 paragraphs 1 and 2, 4 and 5 falls either within the scope of responsibility of the Federal Minister of Agriculture, Forestry, Environment and Water Management or of the Federal Minister of Finance, depending on the sphere of competence.

(4) The Federal Minister of Justice shall be responsible for enforcement of § 7.

(5) The Federal Minister of Agriculture, Forestry, Environment and Water Management shall be competent for the enforcement of Article 12 para. 4 and 5 of Regulation (EC) No 338/97 in agreement with the Federal Minister of Finance.

Entry into force, ceasing to be in force, transitional provisions

§ 15. (1) This Federal Act shall enter into force on 1 January 2010. With the entry into force of this Federal Act the Species Trade Act 1998, Federal Law Gazette I No 33/1998, last amended by Federal Law Gazette No 29/2006 shall cease to be in force.

(2) §§ 8 and 9 Species Trade Act 1998 shall be continued to be applied to criminal offences, which were committed before the entry into force of this Federal Act.

(3) Until the enactment of an Ordinance according to § 2 of this Federal Act the Ordinance of the Federal Minister for Economics and Labour concerning an import ban on furs of certain young seals and goods thereof, Federal Law Gazette No 248/1996, last amended by the Federal Act Federal Law Gazette I No 33/1998, shall continue to be effective as Federal Act.

(4) Until the enactment of an Ordinance according to § 12 of this Federal Act in connection with Art. 12 para 1 of Regulation (EC) No 338/97 the Ordinance of the Federal Minister of Finance concerning the determination of customs offices, where specimens, parts or products of endangered species, wild fauna and flora may be imported and exported, Federal Law Gazette No 196/1982, last amended by Federal Act, Federal Law Gazette I No 33/1998 shall continue to be effective as Federal Act

References and gender-neutral language

§ 16. (1) If reference is made in this Federal Act to provisions in other Federal Acts or in directly applicable Law of the European Community they shall be applied as amended.

(2) If, in this Federal Act person-related designations are only mentioned in their male form, they refer to men and women likewise. In they are applied to specific persons the respective gender-specific form shall be used.

FEDERAL LAW GAZETTE

FOR THE REPUBLIC OF AUSTRIA

164th Ordinance: Species Marking Ordinance
Federal Law Gazette II – Issued on 19 April 2006 – No 164

164th Ordinance of the Federal Minister for Agriculture, Forestry, Environment and Water Management
regulating the Marking of Species (Species Marking Ordinance)

On the basis of § 6, paragraphs 2, 3 and 6, of the Species Trade Act, Federal Law Gazette I No 33/1998, last amended by Federal Law Gazette I No 29/2006, the following ordinance is adopted:

Scope of application

§ 1: (1) The present Ordinance regulates the marking of live vertebrates which are covered by an obligation for marking according to Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, OJ L 61, of 03/03/1997, p. 1, and according to Regulation (EC) No 1808/01 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, OJ L 250, of 19/09/2001, p. 1.

(2) Where this Federal Law makes reference to Regulations of the European Community the latter shall be applied as applicable.

Marking methods

§ 2: (1) Marking shall be undertaken by applying one of the marking methods listed in Annex I.

(2) The marking method to be applied to the relevant species is specified in Annex II. If more than one marking methods are given for a species, the holder shall choose one of them.

(3) If for a species exclusively the marking by means of transponder is listed, marking by way of photo documentation shall suffice if the respective specimen has morphological characteristics like scars, deformities, irregular squamations or other anomalies, which allow clear recognizing.

(4) A method of marking which allows clear identification other than the one specified in para. 2 may be possible according to Art. 36 of Regulation (EC) No 1808/2001 or can be determined in individual cases by the management authority after hearing of the scientific authority.

(5) For specimens of species for which no method of marking was specified in Annex II the management authority shall, after hearing of the scientific authority, decide in the individual case which method has to be applied.

(6) The mark may be used only once.

(7) If a specimen is marked by way of photo documentation, the holder of the specimen shall always bring this documentation with the specimen.

Time of marking

§ 3: The marking shall be undertaken as soon as the physical properties of a specimen permit safe marking.

Records of marking

§ 4: The marking records as provided for in § 6 (6) of the Species Trade Act shall comply with the provisions of Annex III with respect to form and contents.

Expiration

§ 5: Upon the entry-into-force of this Ordinance the Ordinance of the Federal Minister for Economic Affairs regulating the Marking of Species (Species Marking Ordinance), Federal Law Gazette II No 321/1998, shall expire.

Methods of marking according to § 2 are:

Closed ring

Uniquely marked seamlessly and commercially manufactured leg ring with a figure code which has to be preceded by the letter “A” as the symbol of Austria.

A closed ring must be of the minimum size specified in Annex II – referring to the inner diameter. It has to be of a size which cannot be removed from the animal when its leg is fully grown after having been applied in the first days of its life.

The ring must be such that it cannot be destroyed by the animal and that readability is permanently ensured.

In the list in Annex II, table “Birds”, column “Ring/size mm”, 1.0 stands for male and 0.1 for female; where only one ring size is given, this shall apply both to male and to female specimens.

Transponder

In the following groups of animals a transponder may be implanted only provided that the specimen has reached a certain minimum size or minimum weight:

Fish: 40 cm total length

Birds: 200 grams

All minimum sizes for the implantation of a transponder relate to the presently available transponder dimensions of 12 x 2.1 mm.

As regards the code structure and the information the transponder shall comply with the provisions of Art. 36 of Commission Regulation (EC) No 1808/2001 of 30 August 2001 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

Photo documentation

Photo documentation is the photographic presentation of individual features of the body which permits the identification of the specimen.

In the case of applications for permits and certificates according to the Regulations specified in § 1 hereof the photographs shall be communicated to the management authority in accordance with the provisions of this Ordinance. Also the holder himself shall keep copies of these photographs for the photo documentation.

The photographs shall be updated frequently enough to ensure that changes in the properties of the body are recognizable. Updated photographs shall remain the property of the respective owner of the specimen.

Updating of the photographs shall not be necessary in cases where specimens are characterised by a unique morphological deformity and therefore can be identified at any time (e.g. scars, deformities, irregular squamations, or other anomalies). The holder shall furnish proof of the presence of a morphological deformity by means of a photograph.

Photographs for individual species shall provide a clear representation of the parts of the body specified in Annex II in order to permit definite identification. If no parts of the body are listed, a photo of the entire animal shall be taken.

The following criteria shall be satisfied:

- Size of the photograph 9 x 13 cm or 10 x 15 cm (two photos).
- The specimen to be photographed has to be clean and dry (except fishes and amphibians).
- Each photograph shall bear the date of the day when it was taken. In the case of applications for more than one specimen photographs have to be properly identified so it is clear which photograph relates to which specimen.
- The specimen (or the part of the body to be photographed) has to cover about 80% of the photograph.
- Sharpness and uniform exposure (no shadows).
- Photo processing glossy (not matte)
- Background of photo: uniformly light (specimen must well stand out against it) or a squared paper sheet with black and white squares of 1 cm length. In any case it must be possible to check the real size of the specimen from the photo.
- Each part of the body of which a picture has to be taken must be photographed in a straight angle, e.g. from a vertical position for the lower (plastron) or upper shell (carapace) of tortoises.

Annex II

List of the methods to be applied to the respective species

1. MAMMALS

Scientific name	Common name(s)	Transponder	Photo documentation
Acinonyx jubatus	Cheetah or Hunting Leopard	+	
Addax nasomaculatus	Addax	+	
Ailuropoda melanoleuca	Giant Panda	+	+ face, skin
Ailurus fulgens	Lesser Panda, Red Cat-bear, Red Panda	+	
Allocebus trichotis	Hairy-eared Dwarf Lemur	+	
Alouatta coibensis	Coiba island Howling Monkey	+	
Alouatta palliata	Golden-mantled Howling Monkey or Mantled Howler Monkey	+	
Alouatta pigra	Guatemalan Black Howler Monkey, Guatemalan Howler, Guatemalan Howling Monkey, Mexican Black Howler Monkey	+	
Antilocapra americana	Mexican Pronghorn or Pronghorn	+	
Aonyx congicus	Cameroon Clawless Otter, Congo Clawless Otter, Small-clawed Otter, Zaire Clawless Otter	+	
Arctocephalus philippii	Juan Fernández Fur Seal	+	
Arctocephalus townsendi	Guadalupe Fur Seal or Lower Californian Fur Seal	+	
Ateles geoffroyi frontatus	Black-browed Spider Monkey or Red-bellied Spider Monkey	+	
Ateles geoffroyi panamensis	Panama Spider Monkey or Red Spider Monkey	+	
Axis kuhlii	Bawean Deer, Bawean Hog Deer, Kuhl's Hog Deer	+	
Axis porcinus annamiticus	Indochinese Hog Deer or Thai Hog Deer	+	
Axis calamianensis	Calamianian Deer, Calamian Hog Philippine Deer	+	

Scientific name	Common name(s)	Transponder	Photo documentation
Babyrousa babyrussa	Babiroussa or	+	
	Babirusa		
Bettongia penicillata	Brush-tailed Bettong	+	
Bettongia spp.	Bettong – unless otherwise listed	+	
Blastocerus dichotomus	Marsh Deer	+	
Bos gaurus	Gaur	+	
Bos mutus	Wild Yak or	+	
	Yak		
Bos sauveli	Grey Ox or	+	
Brachyteles arachnoides	Muriqui,	+	
	Southern Muriqui,		
	Woolly Spider Monkey		
Bubalus depressicornis	Anoa or	+	
	Lowland Anoa		
Bubalus mindorensis	Tamaraw or	+	
	Tamarou		
Bubalus quarlesi	Mountain Anoa	+	
Cacajao calvus	Bald-headed Uacari	+	
Cacajao	Black Uacari,	+	
melanocephalus	Black-headed Uacari,		
	Humboldt's Black-headed Uacari		
Cacajao spp.	Uacaris – unless otherwise listed	+	
Callicebus personatus	Atlantic Titi,	+	
	Masked Titi,		
	Northern Masked Titi		
Callimico goeldii	Goeldi's Marmoset,	+	
	Goeldi's Monkey,		
	Goeldi's Tamarin		
Callithrix aurita	Buffy-tufted-ear Marmoset or	+	
	White-eared Marmoset		
Callithrix flaviceps	Buffy-headed Marmoset	+	
Caloprymnus campestris	Buff-nosed Rat-kangaroo,	+	
	Desert Rat Kangaroo,		
	Plains Rat-kangaroo		
Canis lupus	Grey Wolf or	+	
	Gray Wolf		
Canis simensis	Ethiopian Wolf,	+	
	Simien Fox,		
	Simien Jackal		
Capra falconeri	Markhor	+	
Caprolagus hispidus	Assam Rabbit or	+	
	Hispid Hare		
Caracal caracal	African Caracal,	+	
	Asian Caracal,		
	Caracal		
Catagonus wagneri	Chacoan Peccary or	+	
	Tagua		
Catopuma temminckii	Asiatic Golden Cat,	+	
	Golden Cat,		
	Temminck's Cat		
Cephalophus jentinki	Jentink's Duiker	+	

Scientific name	Common name(s)	Transponder	Photo documentation
Cercopithecus diana	Diana Guenon,	+	
	Diana Monkey,		
	Roloway Monkey		
Cercopithecus solatus	Sun-tailed Guenon or	+	
	Sun-tailed Monkey		
Cercocebus galeritus	Golden-bellied Nangabey	+	
Cervus duvaucelii	Barasingha	+	
Cervus elaphus hanglu	Hangul,	+	
	Kashmir Deer,		
	Kashmir Red Deer,		
	Kashmir Red Stag		
Cervus eldi	Manipur Brow-antlered Deer	+	
Cetaceae spp.	Whales	+	
Chaeropus ecaudatus	Pig-footed Bandicoot	+	
Cheirogaleus major	Greater Dwarf Lemur	+	
Cheirogaleus medius	Fat-tailed Dwarf Lemur	+	
Cheirogaleidae spp.	Dwarf lemurs – unless otherwise	+	
	listed		
Chinchilla brevicaudata	Short-tailed Chinchilla	+	
Chinchilla lanigera	Chinchilla or	+	
	Long-tailed Chinchilla		
Chinchilla spp.	Chinchillas – unless otherwise	+	
	listed		
Chiropotes albinasus	Red-nosed Saki,	+	
	White-nosed Bearded Saki,		
	White-nosed Saki		
Colobus satanas	Black Colobus	+	
Cynomys mexicanus	Mexican Prairie Dog or	+	
	Mexican Prairie Marmot		
Dama mesopotamica	Mesopotamian Fallow Deer or	+	
	Persian Fallow Deer		
Daubentonia	Aye-aye	+	
madagascariensis			
Dugong dugong	Dugong or	+	
	Sea Cow		
Elephas maximus	Asian Elephant or	+	+ ear sideward
	Indian Elephant		
Enhydra lutris nereis	Southern Sea Otter or	+	
	Californian Sea Otter		
Equus africanus	Nubian Wild Ass	+	+ legs
Equus grevyi	Grevy's Zebra	+	+ skin
Equus hemionus	Asian Wild Ass,	+	
	Asiatic Wild Ass,		
	Kulan		
Equus kiang	Kiang	+	
Equus onager khur	Indian Wild Ass	+	
Equus przewalskii	Przewalski's Horse	+	
Equus zebra zebra	Cape Mountain Zebra	+	+ skin
Felis nigripes	Black-footed Cat or		
	Small-spotted Cat		
Felis silvestris	Scottish Wildcat	+	
Gazella dama	Dama Gazelle	+	
Gorilla gorilla	Gorilla or	+	+ face
	Western Gorilla		

Scientific name	Common name(s)	Transponder	Photo documentation
Helarctos malayanus	Malayan Sun Bear or Sun Bear	+	+ neck, chest
Herpailurus yaguarondi	Texas Jaguarundi	+	+ skin
Hippocamelus spp.	Andean Deer	+	
Hippotragus niger variari	Giant Sable Antelope	+	
Hylobatidae spp.	Gibbons	+	
Hystrix cristata	Crested Porcupine or North African Crested Porcupine	+	
Indridae spp.	Indris	+	
Lagorchestes hirsutus	Rufous Hare-wallaby	+	
Lagostrophus fasciatus	Banded Hare-wallaby	+	
Lagothrix flavicauda	Yellow-tailed Woolly Monkey	+	
Lasiorninus krefftii	Northern Hairy-nosed Wombat	+	
Lemur catta	Ring-tailed Lemur	+	
Lemuridae spp.	Lemurs – unless otherwise listed	+	
Leontopithecus	Golden-headed Lion Tamarin	+	
chrysomela			
Leontopithecus rosalia	Golden Lion Tamarin	+	
Leontopithecus spp.	Lion tamarin – unless otherwise listed	+	
Leopardus pardalis	Ocelot	+	
Leopardus tigrinus	Little Spotted Cat, Oncilla, Tiger Cat	+	
Leopardus wiedii	Margay or Tree Ocelot	+	
Leporillus conditor	Greater Stick-nest Rat or House-building Rat	+	
Lontra felina	Marine Otter or Sea Cat	+	
Lontra longicaudis	La Plata Otter, Long-tailed Otter, Neotropical Otter, Neotropical River Otter, South American River Otter	+	
Lontra provocax	Huillín or Southern River Otter	+	
Loxodonta africana	African Elephant	+	+ ear sideward
Lutra lutra	Common Otter, Eurasian Otter, European Otter, European River Otter, Old World Otter	+	
Lynx lynx	Eurasian Lynx	+	
Lynx pardinus	Iberian Lynx, Pardal Lynx, Spanish Lynx	+	
Macaca silenus	Lion-tailed Macaque, Liontail Macaque, Wanderoo	+	
Macrotis lagotis	Bilby, Dalgyte, Greater Bilby, Greater Rabbit-eared Bandicoot	+	

Scientific name	Common name(s)	Transponder	Photo documentation
Macrotis leucura	Lesser Bilby,	+	
	Lesser Rabbit-eared Bandicoot,		
	White-tailed Rabbit-eared		
	Bandicoot,		
	Yallara		
Mandrillus leucophaeus	Drill	+	
Mandrillus sphinx	Mandrill	+	
Megaladapidae spp.	Weasels or	+	
	Sportive Lemurs		
Megamuntiacus	Giant Muntjac	+	
vuquangensis			
Melursus ursinus	Sloth Bear	+	
Monachus spp.	Modern Monk Seals	+	
Moschus spp.	Musk Deer	+	
Muntiacus crinifrons	Black Muntjac	+	
Mustela nigripes	Black-footed Ferret	+	
Naemorhedus baileyi	Red Goral	+	
Naemorhedus caudatus	Amur Goral	+	
Naemorhedus goral	Goral or	+	
	Himalayan Goral		
Naemorhedus	Sumatran Serow	+	
sumatraensis			
Nasalis concolor	Pig-tailed Langur	+	
Nasalis larvatus	Long-nosed Monkey or	+	
	Proboscis Monkey		
Neofelis nebulosa	Clouded Leopard	+	
Oncifelis geoffroyi	Geoffroy's Cat	+	
Onychogalea fraenata	Bridled Nailtail Wallaby	+	
Onychogalea lunata	Crescent Nail-tailed Wallaby or	+	
	Wurrung		
Oreailurus jacobita	Andean Cat or	+	
	Mountain Cat		
Oryx dammah	Sahara Oryx or	+	
	Scimitar-horned Oryx		
Oryx leucoryx	Arabian Oryx or	+	
	White Oryx		
Ovis ammon hodgsonii	Tibetan Argali	+	
Ovis ammon nigrimonata	Kara Tau Argali	+	
Ovis orientalis ophion	Cyprus Mouflon	+	
Ovis vignei vignei	Ladakh Urial or	+	
	Ladah Urial		
Ozotoceros bezoarticus	Pampas Deer	+	
Pan spp.	Chimpanzees	+	+ face
Panthera leo persica	Asiatic Lion or	+	
	Indian Lion		
Panthera onca	Jaguar	+	
Panthera pardus	Amur Leopard	+	
Panthera tigris	Tiger	+	
Pantholops hodgsonii	Chiru or	+	
	Tibetan Antelope		
Pardofelis marmorata	Marbled Cat	+	
Perameles bougainville	Western Barred Bandicoot	+	
Phaner furcifer	Pale Fork-marked Lemur	+	

Scientific name	Common name(s)	Transponder	Photo documentation
<i>Pongo pygmaeus</i>	Orang-Utan	+	+ face
<i>Presbytis potenziani</i>	Mentawai Langur or	+	
	Mentawai Leaf-monkey		
<i>Priodontes maximus</i>	Giant Armadillo	+	+ scales
<i>Prionailurus bengalensis</i>	Bengal Cat or	+	
<i>bengalensis</i>	Leopard Cat		
<i>Prionailurus bengalensis</i>	Iriomote Cat	+	
<i>iriomotensis</i>			
<i>Prionailurus planiceps</i>	Flat-headed Cat	+	
<i>Prionailurus rubiginosus</i>	Rusty-spotted Cat	+	
<i>Prionodon pardicolor</i>	Spotted Linsang	+	
<i>Procolobus pennantii</i>	Pennant's Red Colobus	+	
<i>Procolobus preussi</i>	Preuss's Red Colobus	+	
<i>Procolobus rufomitatus</i>	Eastern Red Colobus or	+	
	Tana River Red Colobus		
<i>Pseudomys praeconis</i>	Shark Bay Mouse	+	
<i>Pseudoryx</i>	Saola	+	
<i>nghetinhensis</i>			
<i>Pteronura brasiliensis</i>	Giant Brazilian Otter or	+	
	Giant Otter		
<i>Pteropus insularis</i>	Chuuk Flying Fox or	+	
	Ruck Flying Fox		
<i>Pteropus livingstonei</i>	Comoro Black Flying Fox or	+	
	Livingstones's Flying Fox		
<i>Pteropus mariannus</i>	Marianas Flying Fox,	+	
	Marianna Flying Fox,		
	Micronesian Flying-fox		
<i>Pteropus molossinus</i>	Pohnpei Flying Fox	+	
<i>Pteropus phaeocephalus</i>	Mortlock Flying Fox	+	
<i>Pteropus pilosus</i>	Large Palau Flying Fox,	+	
	Palau Flying-fox,		
	Palau Fruit Bat		
<i>Pteropus rodricensis</i>	Rodrigues Flying Fox	+	
<i>Pteropus samoensis</i>	Samoan Flying Fox or	+	
	Samoa Flying Fox		
<i>Pteropus tonganus</i>	Pacific Flying Fox	+	
<i>Pteropus voeltzkowi</i>	Pemba Flying Fox	+	
<i>Pudu puda</i>	Chilean Pudu or	+	
	Southern Pudu		
<i>Puma concolor</i>	Costa Rican Puma	+	
<i>costaricensis</i>			
<i>Puma concolor coryi</i>	Florida Cougar,	+	
	Florida Panther,		
	Florida Puma		
<i>Puma concolor cougar</i>	Eastern Cougar,	+	
	Eastern Panther,		
	Eastern Puma		
<i>Pygathrix nemaeus</i>	Douc Langur,	+	
	Douc Monkey,		
	Douc,		
	Red-shanked Douc Langur		
<i>Pygathrix spp.</i>	Snub-nosed langurs and doucs –	+	
	unless otherwise listed		
<i>Rhinocerotidae spp.</i>	Rhinoceroses	+	

Scientific name	Common name(s)	Transponder	Photo documentation
Romerolagus diazi	Volcano Rabbit	+	
Rupicapra pyrenaica	Abruzzo Chamois or	+	
ornata	Apennine Chamois		
Saguinus bicolor	Brazilian Bare-faced Tamarin,	+	
	Pied Bare-faced Tamarin,		
	Pied Tamarin		
Saguinus geoffroyi	Geoffroy's Tamarin,	+	
	Rufous-naped Tamarin,		
	Panamanian Tamarin		
Saguinus leucopus	White-footed Tamarin	+	
Saguinus oedipus	Cotton-headed Tamarin or	+	
	Cotton-top Tamarin		
Saimiri oerstedii	Black-crowned Central American	+	
	Squirrel Monkey,		
	Central American Squirrel		
	Monkey,		
	Red-backed Squirrel Monkey		
Semnopithecus entellus	Grey Langur or	+	
	Hanuman Langur		
Sminthopsis	Long-tailed Dunnart	+	
longicaudata			
Sminthopsis	Large Desert Marsupial-mouse or	+	
psammophila	Sandhill Dunnart		
Sotalia spp.	River Dolphins	+	
Speothos venaticus	Bush Dog,	+	
	Savannah Dog,		
	Vinegar Dog		
Sus salvanius	Pygmy Hog	+	
Tapiridae spp.	Tapirs – unless otherwise listed	+	
Tapirus bairdii	Baird's Tapir or	+	
	Central American Tapir		
Tapirus indicus	Asian Tapir,	+	
	Indian Tapir,		
	Malay Tapir,		
	Malayan Tapir		
Tapirus pinchaque	Mountain Tapir	+	
Tarsius spp.	Tarsiers	+	
Thylacinus	Tasmanian Wolf or	+	
cynocephalus	Thylacine		
Trachypithecus francoisi	Francois's Langur or	+	
	White Side-burned Black Langur		
Trachypithecus geei	Gee's Golden Langur or	+	
	Golden Leaf Monkey		
Trachypithecus johnii	Black Leaf Monkey,	+	
	Hooded Leaf Monkey,		
	Indian Hooded Leaf Monkey,		
	John's Langur,		
	Nilgiri Black Langur,		
	Nilgiri Langur,		
	Nilgiri Leaf Monkey		
Trachypithecus pileatus	Shortridge's Capped Langur	+	
Tremarctos ornatus	Andean Bear or	+	+ face
	Spectacled Bear		

Scientific name	Common name(s)	Transponder	Photo documentation
Trichechidae spp.	Amazonian Manatees or	+	
	South American Manatees		
Uncia uncia	Ounce or	+	
	Snow Leopard		
Ursus arctos	Brown Bear	+	
Ursus thibetanus	Asiatic Black Bear or	+	+ collar
	Himalayan Black Bear		
Vicugna vicugna	Vicugna	+	
Xeromys myoides	False Water Rat	+	
Zyomys pedunculatus	Central Rock Rat,	+	
	Central Thick-tailed Rock-rat,		
	Macdonnell Range Rock-rat		

2. BIRDS

Scientific name	Common name(s)	Closed ring	Transponder	Ring/size mm
Accipiter brevipes	Levant Sparrowhawk	+	+	10
Accipiter gentilis	Northern Goshawk	+	+	1.0 = 13
				0.1 = 16
Accipiter nisus	Eurasian Sparrowhawk	+		1.0 = 6
				0.1 = 8
Aceros nipalensis	Rufous-necked Hornbill	+	+	
Aceros subruficollis	Plain-pouched Hornbill	+	+	
Aegolius funereus	Boreal Owl	+		10
Aegypius monachus	Cinereous Vulture	+	+	28
Agelaius flavus	Saffron-cowled Blackbird	+		
Amazona arausiaca	Red-necked Parrot	+	+	11
Amazona barbadensis	Yellow-shouldered	+	+	9.5
Amazona brasiliensis	Red-tailed Amazon	+	+	11
Amazona finschi	Lilac-crowned Amazon	+	+	9.5
Amazona guildingii	St Vincent Parrot	+	+	11
Amazona imperialis	Imperial Parrot	+	+	13
Amazona leucocephala	Cuban Parrot	+	+	9.5
Amazona ochrocephala	Yellow-naped Amazon	+	+	11
auropalliata				
Amazona ochrocephala	Yellow-headed Parrot	+	+	11
belizensis				
Amazona ochrocephala	Yellow-headed Parrot	+	+	11
caribaea				
Amazona ochrocephala	Yellow-headed Parrot	+	+	11
oratrix				
Amazona ochrocephala	Yellow-headed Parrot	+	+	11
parvipes				
Amazona ochrocephala	Yellow-headed Parrot	+	+	11
tresmariae				
Amazona pretrei	Red-spectacled Amazon	+	+	9.5
Amazona rhodocorytha	Red-browed Amazon	+	+	11.0
Amazona tucumana	Tucumán Parrot	+	+	9.5
Amazona versicolor	St Lucia Parrot	+	+	11
Amazona vinacea	Vinaceous Amazon	+	+	11
Amazona viridigenalis	Red-crowned Parrot	+		14
Amazona vittata	Puerto Rican Parrot	+	+	9.5

Scientific name	Common name(s)	Closed ring	Trans-ponder	Ring/size mm
<i>Anas aucklandica</i>	Auckland Island Teal	+		9
<i>Anas laysanensis</i>	Laysan Duck			
<i>Anas oustaleti</i>	Mariana Mallard	+		12
<i>Anas querquedula</i>	Garganey	+	+	7
<i>Anodorhynchus</i> spp.	Glaucous Macaws	+	+	18
<i>Aquila adalberti</i>	Spanish Imperial Eagle	+	+	24
<i>Aquila chrysaetos</i>	Golden Eagle	+	+	28
<i>Aquila clanga</i>	Greater Spotted Eagle	+	+	20
<i>Aquila heliaca</i>	Imperial Eagle	+	+	24
<i>Aquila pomarina</i>	Lesser Spotted Eagle	+	+	20
<i>Ara ambigua</i>	Great Green Macaw	+	+	14
<i>Ara glaucogularis</i>	Blue-throated Macaw	+	+	14
<i>Ara macao</i>	Scarlet Macaw	+	+	14
<i>Ara maracana</i>	Blue-winged Macaw	+	+	9.5
<i>Ara militaris</i>	Military Macaw	+	+	11
<i>Ara rubrogenys</i>	Red-fronted Macaw	+	+	12
<i>Aratinga guarouba</i>	Golden Parakeet	+		9.5
<i>Ardeotis nigriceps</i>	Great Indian Bustard			
<i>Asio flammeus</i>	Short-eared Owl	+	+	10
<i>Asio otus</i>	Long-eared Owl	+	+	10
<i>Athene blewitti</i>	Forest Owlet	+		6
<i>Athene noctua</i>	Little Owl	+		8
<i>Atrichornis clamosus</i>	Noisy Scrub-bird			
<i>Aythya innotata</i>	Madagascar Pochard	+	+	9
<i>Aythya nyroca</i>	Ferruginous Duck			
<i>Bebrornis rodericanus</i>	Rodrigues Warbler	+		
<i>Branta canadensis</i>	Atleutian Canada Goose	+	+	14
<i>leucopareia</i>				
<i>Branta ruficollis</i>	Red-breasted Goose			
<i>Branta sandvicensis</i>	Hawaiian Goose			
<i>Bubo bubo</i>	Eurasian Eagle-owl	+	+	24
<i>Bubulcus ibis</i>	Cattle Egret	+	+	14
<i>Buceros bicornis</i>	Great Hornbill	+	+	
<i>Buceros vigil</i>	Helmeted Hornbill	+	+	
<i>Buteo buteo</i>	Common Buzzard	+	+	14
<i>Buteo lagopus</i>	Rough-legged Hawk	+	+	14
<i>Buteo rufinus</i>	Long-legged Buzzard	+	+	14
<i>Cacatua goffini</i>	Tanimbar Cockatoo	+	+	9.5
<i>Cacatua</i>	Philippine Cockatoo	+	+	9.5
<i>haematurophygia</i>				
<i>Cacatua moluccensis</i>	Salmon-crested Cockatoo	+	+	14
<i>Cacatua sulphurea</i>		+	+	11
<i>Cairina scutulata</i>	White-winged Duck	+	+	14
<i>Caloenas nicobarica</i>	Nicobar Pigeon	+	+	11
<i>Campephilus imperialis</i>	Imperial Woodpecker			
<i>Carduelis cucullata</i>	Red Siskin	+		2.5
<i>Casmerodius albus</i>	Great Egret	+	+	18
<i>Catreus wallichii</i>	Cheer Pheasant	+	+	14
<i>Chlamydotis undulata</i>	Houbara Bustard	+	+	14
<i>Chondrohierax uncinatus</i>	Cuban Hook-billed Kite	+	+	12
<i>wilsonii</i>				
<i>Ciconia boyciana</i>	Oriental Stork	+	+	18
<i>Ciconia nigra</i>	Black Stork	+	+	16
<i>Ciconia stormi</i>	Storm's Stork	+	+	
<i>Circaetus gallicus</i>	Short-toed Snake-eagle	+	+	24

Scientific name	Common name(s)	Closed ring	Transponder	Ring/size mm
Circus aeruginosus	Western Marsh-harrier	+	+	12
Circus cyaneus	Northern Harrier	+	+	12
Circus macrourus	Pallid Harrier	+	+	12
Circus pygargus	Montagu's Harrier	+	+	12
Claravis godefrida	Purple-winged Ground-dove	+		4.5
Colinus virginianus	Masked Bobwhite	+		6.5
ridgwayi				
Columba livia	Rock Pigeon			
Cotinga maculata	Banded Cotinga			
Crax alberti	Blue-billed Curassow	+	+	20
Crax blumenbachii	Red-billed Curassow	+	+	20
Crossoptilon	White Eared-pheasant	+	+	14
crossoptilon				
Crossoptilon harmani	Tibetan Eared-pheasant			
Crossoptilon	Brown Eared-pheasant	+	+	14
mantchuricum				
Cyanopsitta spixii	Spix's Macaw	+	+	
Cyanoramphus auriceps	Forbes's Parakeet	+		4.5
forbesi				
Cyanoramphus cookii	Norfolk Island Green Parrot	+		4.5
Cyanoramphus	Red-fronted Parakeet			
novaezelandiae				
Cyclopsitta diophthalma	Double-eyed Fig-parrot	+		4.5
Dasyornis broadbenti	Rufous Bristlebird	+		
litoralis	(western)			
Dasyornis longirostris	Western Bristlebird	+		
Diomedea albatrus	Short-tailed Albatross			
Dryocopus javensis	Tristram's Woodpecker or			
richardsi	White-bellied Black Woodpecker			
Ducula mindorensis	Mindoro Imperial-pigeon	+	+	10
Egretta garzetta	Little Egret	+	+	18
Elanus caeruleus	Black-winged Kite	+		12
Eos histrio	Red-and-blue Lory	+		7
Eupodotis bengalensis	Bengal Florican			
Eupodotis indica	Lesser Florican			
Eutriorchis astur	Madagascar Serpent-eagle	+	+	12
Falco araea	Seychelles Kestrel	+	+	9
Falco biarmicus	Lanner Falcon	+	+	1.0 = 9
				0.1 = 11
Falco cherrug	Saker Falcon	+	+	1.0 = 12
				0.1 = 13
Falco columbarius	Merlin	+		7
Falco eleonora	Eleonora's Falcon	+	+	6.5
Falco jugger	Laggar Falcon	+	+	1.0 = 13
				0.1 = 14
Falco naumanni	Lesser Kestrel	+		7
Falco newtoni	Madagascar Kestrel	+	+	
Falco pelegrinoides	Barbary Falcon	+	+	1.0 = 12
				0.1 = 14
Falco peregrinus	Peregrine Falcon	+	+	14

Scientific name	Common name(s)	Closed ring	Transponder	Ring/size mm
Falco punctatus	Mauritius Kestrel	+		9
Falco rusticolus	Gyr Falcon	+	+	1.0 = 13
				0.1 = 14
Falco subbuteo	Eurasian Hobby	+		9
Falco tinnunculus	Common Kestrel	+		9
Falco vespertinus	Red-footed Falcon	+		9
Fregata andrewsi	Christmas Island			
	Frigatebird			
Gallirallus sylvestris	Lord Howe Woodhen			
Geopsittacus occidentalis	Night Parrot	+		4.5
Geronticus calvus	Southern Bald Ibis	+	+	
Geronticus eremita	Northern Bald Ibis	+	+	
Glaucidium passerinum	Eurasian Pygmy Owl	+		8
Grus americana	Whooping Crane	+	+	
Grus canadensis	Sandhill Crane	+	+	
Grus grus	Common Crane	+	+	19
Grus japonensis	Red-crowned Crane	+	+	
Grus leucogeranus	Siberian Crane	+	+	
Grus monacha	Hooded Crane	+	+	
Grus nigricollis	Black-necked Crane	+	+	16
Grus vipio	White-naped Crane		+	
Gymnogyps californianus	California Condor	+	+	
Gypaetus barbatus	Lammergeier	+	+	28
Gyps fulvus	Eurasian Griffon	+	+	28
Haliaeetus spp.	Fish-eagles	+	+	28
Harpia harpyja	Harpy Eagle		+	
Hieraaetus fasciatus	Bonelli's Eagle	+	+	20
Hieraaetus pennatus	Booted Eagle	+	+	22
Jabiru mycteria	Jabiru	+	+	
Larus relictus	Relict Gull	+		7
Leptoptilos dubius	Greater Adjutant	+		
Leptotila wellsi	Grenada Dove	+		5.5
Leucopsar rothschildi	Bali Starling or	+		5.5
	Rothschild's Mynah or			
	Bali Myna			
Leucopternis occidentalis	Grey-backed Hawk	+	+	14
Lichenostomus melanops cassidix	Helmeted Honeyeater	+		
Lophophorus lhuysii	Chinese Monal	+	+	16
Lophophorus impejanus	Himalayan Monal	+	+	
Lophophorus sclateri	Sclater's Monal	+	+	14
Lophura edwardsi	Edwards's Pheasant	+	+	
Lophura imperialis	Imperial Pheasant	+	+	12
Lophura swinhoii	Swinhoe's Pheasant	+	+	
Macrocephalon maleo	Maleo	+	+	20
Mergus octosetaceus	Brazilian Merganser	+	+	1.0 = 10,
				0.1 = 11
Milvus migrans	Black Kite	+	+	12
Milvus milvus	Red Kite	+	+	12
Mimizuku gurneyi	Giant Scops-owl	+		8
Mitu mitu	Alagoas Curassow	+	+	20
Mycteria cinerea	Milky Stork	+	+	

Scientific name	Common name(s)	Closed ring	Trans-ponder	Ring/size mm
<i>Neophema chrysogaster</i>	Orange-bellied Parrot	+	3.8	
<i>Neophron percnopterus</i>	Egyptian Vulture	+	+	20
<i>Ninox novaeseelandiae</i>	Norfolk Island Morepork	+		11
<i>undulata</i>	sub-species of the Boobook Owl			
<i>Ninox squamipila natalis</i>	Christmas Island Hawk Owl	+		
<i>Nipponia nippon</i>	Crested Ibis	+	+	
<i>Numenius borealis</i>	Eskimo Curlew	+	+	
<i>Numenius tenuirostris</i>	Slender-billed Curlew	+		6.5
<i>Nyctea scandiaca</i>	Snowy Owl	+	+	24
<i>Odontophorus strophium</i>	Gorgeted Wood-quail	+		6.5
<i>Ognorhynchus icterotis</i>	Yellow-eared Parrot	+		7.5
<i>Ophrysia superciliosa</i>	Himalayan Mountain	+		6.5
	Quail			
<i>Oreophasis derbianus</i>	Horned Guan	+	+	18
<i>Otis tarda</i>	Great Bustard	+	+	26
<i>Otus ireneae</i>	Sokoke Scops-owl	+	+	
<i>Otus scops</i>	Common Scops-owl	+		6.5
<i>Oxyura leucocephala</i>	White-headed Duck	+	+	10
<i>Pandion haliaetus</i>	Osprey	+	+	19
<i>Papasula abbotti</i>	Abbott's Booby			
<i>Pelecanus crispus</i>	Dalmatian Pelican	+	+	
<i>Penelope albipennis</i>	White-winged Guan	+	+	14
<i>Pernis apivorus</i>	Honey Buzzard or	+	+	12
	European Honey Buzzard			
<i>Pezoporus wallicus</i>	Ground Parrot	+		4.5
<i>Pharomachrus mocinno</i>	Resplendent			
Quetzal				
<i>Phoenicopterus ruber</i>	Caribbean Flamingo	+	+	18
<i>Picathartes</i>	White-necked Picathartes	+		
<i>gymnocephalus</i>	or			
	Bear-headed Rockfowl			
<i>Picathartes oreas</i>	Grey-necked Picathartes	+		
	or Grey-necked Rockfowl			
<i>Pionopsitta pileata</i>	Pileated Parrot	+		7.5
<i>Pipile jacutinga</i>	Black-fronted Piping-guan	+	+	14
<i>Pipile pipile</i>	Trinidad Piping-guan	+	+	14
<i>Pithecophaga jefferyi</i>	Philippine Eagle	+	+	28
<i>Pitta gurneyi</i>	Gurney's Pitta	+		
<i>Pitta kochi</i>	Whiskered Pitta	+		
<i>Platalea leucorodia</i>	Eurasian Spoonbill or	+	+	18
	Common Spoonbill			
<i>Podilymbus gigas</i>	Atitlán Grebe			
<i>Polyplectron emphanum</i>	Palawan Peacock-	+	+	10
	pheasant			
<i>Probosciger aterrimus</i>	Palm Cockatoo	+	+	16
<i>Psephotus</i>	Golden-shouldered Parrot	+		4.5
<i>chrysopterygius</i>				
<i>Psephotus dissimilis</i>	Hooded Parrot			
<i>Psephotus pulcherrimus</i>	Paradise Parrot	+		4.5
<i>Pseudibis gigantea</i>	Giant Ibis	+		
<i>Pseudochelidon</i>	White-eyed River-Martin			
<i>sirintarae</i>				
<i>Psittacula echo</i>	Mauritius Parakeet	+		7.5
<i>Pyrrhura cruentata</i>	Blue-chested Parakeet	+		6

Scientific name	Common name(s)	Closed ring	Transponder	Ring/size mm
Ramphodon dornhii	Hook-billed Hermit			
Rheinardia ocellata	Crested Argus	+	+	14
Rhodonessa	Pink-headed Duck	+		10
caryophyllacea				
Rhynchopsitta spp.	Thick-billed Parrot	+		7.5
Rhynochetos jubatus	Kagu	+	+	
Spheniscus humboldti	Humboldt Penguin	+	+	
Streptopelia turtur	European Turtle-dove	+		6
Strigops habroptilus	Kakapo			
Strix aluco	Tawny Owl	+	+	12
Strix nebulosa	Great Grey Owl	+	+	16
Strix uralensis	Ural Owl	+	+	14
Struthio camelus	Ostrich	+	+	
Surnia ulula	Northern Hawk Owl	+	+	10
Symaticus ellioti	Elliot's Pheasant	+	+	
Symaticus humiae	Hume's Pheasant	+	+	
Symaticus mikado	Mikado Pheasant	+	+	12
Tadorna cristata	Crested Shelduck	+		14
Tauraco bannermani	Bannerman's Turaco	+	+	
Tetraogallus caspius	Caspian Snowcock	+	+	14
Tetraogallus tibetanus	Tibetan Snowcock	+	+	14
Tetrax tetrax	Little Bustard	+	+	16
Tinamus solitarius	Solitary Tinamou	+	+	
Tragopan blythii	Blyth's Tragopan	+	+	14
Tragopan caboti	Cabot's Tragopan			
Tragopan	Western Tragopan	+	+	14
melanocephalus				
Tringa guttifer	Spotted Greenshank or Nordmann's Greenshank			
Tympanuchus	Attwater's Prairie Chicken	+	+	12
cupido attwateri				
Tyto alba	Barn Owl	+	+	11
Tyto soumagnei	Madagascar Red Owl	+	+	
Vini spp.	Vini lorries			
Vultur gryphus	Andean Condor	+	+	
Xipholena atropurpurea	White-winged Cotinga			
Zosterops albogularis	White-chested White-eye	+		

3. REPTILES

Scientific name	Common name	Transponder	Photo documentation
Acrantophis spp	Madagascar Boas,		+ head and back of the
	Madagascar Ground		whole animal from the
	Boas		top, head sideward
Alligator sinensis	China Alligator, Chinese	+	+ head sideward, head
	Alligator		and neck from the top,
			tail sideward
Apalone ater	Cuatro Clenegas	+	+ back shield, head
	Softshell		sideward, head from
			the top

Scientific name	Common name	Transponder	Photo documentation
Aspideretes gangeticus	Aspideretes gangeticus (has no widely accepted English common name according to IUCN), English Softshell Turtle	+	+ back shield, head sideward, head from the top
Aspideretes hurum	Aspideretes hurum (has no widely accepted English common name according to IUCN), Indian Peacock Softshell Turtle	+	+ back shield, head sideward, head from the top
Aspideretes nigricans	Black Softshell Turtle	+	+ back shield, head sideward, head from the top
Batagur baska	Common Batagur, Four-Toed Terrapin, River Terrapin	+	+ back shield, plastron, head sideward
Boa constrictor occidentalis	Argentine Boa	+	+ head and back of the whole animal from the top, head sideward
Bolyeria multocarinata	Round Island Burrowing Boa		+ head and back of the whole animal from the top, head sideward
Brachylophus spp	Fiji Iguanas		+ head and trunk sideward, head from the top
Brookesia perarmata	Antsingy Leaf Chameleon		+ head and trunk sideward,
Caiman crocodilus apaporiensis	Apaporis River Caiman, Rio Apaporis Spectacled Caiman,	+	+ head sideward, head and neck from the top, tail sideward
Caiman latirostris	Broad-Snouted Caiman	+	+ head sideward, head and neck from the top, tail sideward
Casarea dussumieri	Round Island Keel-Scaled Boa,		+ head and back of the whole animal from the top, head sideward
Chamaeleo chamaeleon	European Chameleon, Common Chameleon		+ head sideward, head from the top, head and trunk sideward
Cheloniidae spp.	Sea turtles	+	+ back shield, plastron, head from the top
Chelydra serpentina	Snapping Turtle	+	+ back shield, plastron,
Clemmys muhlenbergi (syn. Glyptemys muhlenbergi)	Bog Turtle	+	+ back shield, plastron, head sideward
Crocodylus acutus	American Crocodile	+	+ head sideward, head and neck from the top, tail sideward

Scientific name	Common name	Transponder	Photo documentation
Crocodylus cataphractus	African Sharp-Nosed	+	+ head sideward, head
	Crocodile, African		and neck from the top,
	Slender-Snouted		tail sideward
	Crocodile, Long-Snouted		
	Crocodile		
Crocodylus intermedius	Orinoco Crocodile	+	+ head sideward, head
			and neck from the top,
			tail sideward
Crocodylus mindorensis	Philippines Crocodile	+	+ head sideward, head
			and neck from the top,
			tail sideward
Crocodylus moreletii	Belize Crocodile,	+	+ head sideward, head
	Morelet's Crocodile		and neck from the top,
			tail sideward
Crocodylus niloticus	Nile Crocodile	+	+ head sideward, head
			and neck from the top,
			tail sideward
Crocodylus palustris	Broad-Snouted Crocodile,	+	+ head sideward, head
	Marsh Crocodile, Mugger		and neck from the top,
			tail sideward
Crocodylus porosus	Estuarine Crocodile, Salt-	+	+ head sideward, head
	Water Crocodile		and neck from the top,
			tail sideward
Crocodylus rhombifer	Cuban Crocodile	+	+ head sideward, head
			and neck from the top,
			tail sideward
Crocodylus siamensis	Siamese Crocodile	+	+ head sideward, head
			and neck from the top,
			tail sideward
Cyclura spp.	Rock Iguanas	+	+ head and trunk
			sideward, head
			sideward, head from
			the top
Dermochelys coriacea	Leatherback, Leathery	+	+ back shield, head
	Turtle, Luth, Trunkback		sideward
	Turtle		
Epicrates inornatus	Puerto Rican Boa,	+	+ head and back of the
	Yellow Tree Boa		whole animal from the
			top, head sideward
Epicrates monensis	Virgin Islands Tree Boa,	+	+ head and back of the
	Virgin Islands Boa, Mona		whole animal from the
	Boa		top, head sideward
Epicrates subflavus	Jamaican Boa	+	+ head and back of the
			whole animal from the
			top, head sideward
Eryx jaculus	Sand Boa		+ head and back of the
			whole animal from the
			top, head sideward

Scientific name	Common name	Transponder	Photo documentation
Gallotia simonyi	Hierro Giant Lizard		+ head and back of the whole animal from the top, head from the top, sideward, thorax from the bottom
Gavialis gangeticus	Fish-Eating Crocodile, Gharial, Gavial, Long-Nosed Crocodile	+	+ head sideward, head and neck from the top, tail sideward
Geochelone nigra (syn. Chelonoidis nigra)	Indefatigable Island Tortoise	+	+ back shield, head from the top
Geochelone radiata (syn. Astrochelys radiata)	Radiated Tortoise, Madagascar Radiated Tortoise, Sokatra	+	+ back shield, plastron
Geochelone yniphora (syn. Astrochelys yniphora)	Madagascar Tortoise, Angonoka, Madagascar Angulated Tortoise, Madagascar Ploughshare Tortoise, Ploughshare Tortoise	+	+ back shield, plastron, head from the top
Geoclemys hamiltonii	Black Pond Turtle, Black Spotted Turtle, Hamilton's Terrapin, Spotted Pond Turtle	+	+ back shield, plastron
Gopherus flavomarginatus	Bolson Tortoise, Mexican Giant Tortoise, Yellow-Bordered Tortoise		+ back shield, plastron, head from the top
Homopus bergeri	Berger's Cape Tortoise		+ back shield, plastron, head from the top
Kachuga tecta	Indian Roofed Turtle		+ back shield, plastron, head sideward
Macrochelys temminckii (syn. Macrochelys temminckii)	Alligator Snapping Turtle	+	+ back shield, plastron, head from the top
Malacochersus tornieri	Crevice Tortoise, Pancake Tortoise, Softshell Tortoise, Tornier's Tortoise		+ back shield, plastron
Melanocheilus tricarinatus	Three-Keeled Land Tortoise, Three-Keeled Land Turtle, Tricarinate Hill Turtle		+ back shield, plastron, head sideward
Melanosuchus niger	Black Caiman	+	+ head sideward, head and neck from the top, tail sideward
Morenia ocellata	Bengal Eyed Terrapin, Burmese Eyed Turtle, Peacock Turtle, Swamp Turtle		+ back shield, plastron, head sideward

Scientific name	Common name	Transponder	Photo documentation
Osteolaemus tetraspis	Dwarf Crocodile,	+	+ head sideward, head
			and neck from the top,
			tail sideward
Phelsuma guentheri	Round Island Day Gecko		+ head and back of the
			whole animal from the
			top, head from the top
Podarcis lilfordi	Lilford's Wall Lizard		+ head and back of the
			whole animal, head
			sideward, thorax from
			the bottom
Psammobates	Geometric Tortoise		+ back shield, plastron
geometricus			
Pseudemydura umbrina	Western Swamp Turtle,		+ back shield, ,
	Western Short-Necked		plastron, head from the
	Turtle		top
Python molurus molurus	Asiatic Rock Python,	+	+ head and back of the
	Burmese Python,		whole animal from the
	Tiger Python		top, head sideward
Pyxis arachnoides	Spider Tortoise		+ back shield, plastron
Pyxis planicauda	Flat-Backed Spider		+ back shield, plastron
	Tortoise, Flat-Shelled		
	Spider Tortoise,		
	Madagascar Flat-Shelled		
	Tortoise		
Sanzinia	Madagascar Tree Boa,	+	+ head and back of the
madagascariensis	Sanzinia		whole animal from the
			top, head sideward
Sauromalus varius	Giant Chuckwalla		+ head and back of the
			whole animal from the
			top, head sideward
Sphenodon spp	Tuatara		+ head and trunk
			sideward, head from
			the top
Terrapene coahuila	Coahuilan Box Turtle		+ back shield, plastron
Testudo graeca	Common Tortoise, Greek		+ back shield, plastron
	Tortoise, Moorish		
	Tortoise, Spur-Thighed		
	Tortoise		
Testudo hermanni	Hermann's Tortoise		+ back shield, plastron
Testudo kleinmanni	Egyptian Tortoise		+ back shield, plastron,
			head from the top
Testudo marginata	Marginated Tortoise		+ back shield, plastron
Testudo wernerii	Negev Tortoise		+ back shield, plastron,
			head from the top
Tomistoma schlegelii	False Gaviel,	+	+ head and neck from
	False Gharial, Malayan		the top, head sideward,
	Gharial, Tomistoma		tail sideward

Scientific name	Common name	Transponder	Photo documentation
Varanus bengalensis	Bengal Monitor, Indian	+	+ head and back of the
	Deser Monitor,		whole animal from the
			top, head sideward,
			head from the top
Varanus flavescens	Calcutta Oval Grain	+	+ head and back of the
	Lizard,		whole animal from the
	Ruddysnub-Nosed		top, head sideward
	Monitor, Yellow Land		
	Lizard, Yellow Monitor		
Varanus griseus	Grey Monitor Lizard		+ head and back of the
			whole animal from the
			top, head sideward,
Varanus komodoensis	Komodo Dragon,	+	+ head and back of the
	Komodo Monitor, Ora		whole animal from the
			top, head sideward,
			head from the top
Varanus olivaceus	Gray's Monitor	+	head and back of the
			whole animal from the
			top, head sideward,
			head from the top
Vipera latifii	Latifi's Viper		+ head and back of the
			whole animal from the
			top, belly of the whole
			animal
Vipera ursinii	Meadow Viper, Orsini's		head and back of the
	Viper		whole animal from the
			top, belly of the whole
			animal

4. FISH

Scientific name	Common name	Transponder	Photo documentation
Acipenser brevirostrum	Pinkster, Short-Nosed	+	
	Little Sturgeon, Shortnose		
	Sturgeon		
Acipenser sturio	Baltic Sturgeon, Common	+	
	Sturgeon		
Chasmistes cujus	Cui-ui	+	
Cynoscion (Totoaba)	Macdonalds Weakfish	+	
Latimeria chalumnae	Coelacanth, Gombessa	+	+ whole animal, from
			the left side, from the
			right side, from the top
Pangasianodon gigas	Giant Catfish, Mekong	+	
	Giant Catfish		
Scleropages formosus	Asian Arowana, Asian	+	
	Bonytongue, Golden		
	Arowana, Golden Dragon		
	Fish, Kelesa		

5. AMPHIBIA

Scientific name	Common name	Transponder	Photo documentation
Itiphrynoides spp	Malcolm's Ethiopian Toad		
Andrias spp.	Giant Salamander		
Atelopus varius zeteki	Golden Arrow Poiseon		
	Frog, Golden Frog,		
	Panamanian Golden		
	Frog, Zetek's Golden		
	Frog		
Bufo periglenes	Alajuela Toad, Golden		
	Toad, Monte Verde Toad,		
	Orange Toad		
Bufo superciliaris	African Giant Toad,		
	Congo Toad		
Dyscophus antongilii	Tomato Frog		
Nectophrynoides spp	African Viviparous Toad		
Nimbaphrynoides spp	Mount Nimba Viviparous		
	Toad		
Probarbus jullieni	Jullien's Golden Carp,		
	Seven-Striped Barb		
Rheobatrachus silus	Conondale Gastric-		
	Brooding Frog, Southern		
	Platypus Frog		
Spinophrynoides	Ethiopian toads		

Marking records according to Section 6, para. 4 Species Trade Act

To the Federal Ministry of Agriculture, Forestry, Environment, and Water Management

Division II/4
 Stubenbastei 5
 A-1010 Vienna

Scientific name	Common name	Marking method Ring number: Chip number:
Sex:	Date of birth:	Parents: Identification number: f – m – Year of birth: f – m-
Special features:	Size: ¹	
Place of marking:	Marking person Name: Date: Signature:	
Holder: Name: Address: Date: Signature:		

¹ To be indicated:

With turtles and tortoises the length and the breadth and the height of the shield, with lizards, tuataras (*Shenodon punctatum*) and crocodiles the distance between snout apex and vent. (head-trunk length), with all other species the total length

FEDERAL LAW GAZETTE

FOR THE REPUBLIC OF AUSTRIA

Volume 2010	Issued on 13 April 2010	Part II
113th Ordinance:	Ordinance on Negligibility in Species Trade (“Artenhandel-Unerheblichkeitsverordnung – ArthUV”)	

113th Ordinance of the Federal Minister of Agriculture, Forestry, Environment and Water Management on the criterion of negligibility in the trade with specimens of wild fauna and flora species (Ordinance on Negligibility in Species Trade – ArthUV)

On the basis of Section 7 paragraph 6 of the (Austrian) Species Trade Act 2009, Federal Law Gazette no 16/2010, the following shall be established by way of ordinance, in agreement with the Federal Minister of Legal Affairs and the Federal Minister of Finance:

Section 1. For the purposes of this Ordinance

- (1) “Regulation (EC) No 338/97” shall mean Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, OJ No L 61 of 3 March 1997, p. 1;
- (2) “Suspensions Regulation” shall mean the restrictions established to the introduction of species of wild fauna and flora into the Community in accordance with Art. 19(2) of Regulation (EC) No 338/97 in respect of Art. 4 para. 6 of the above-mentioned Regulation; and
- (3) “specimen” shall mean a specimen according to the definition in Art. 2 (t) of Regulation (EC) No 338/97.

Section 2. (1) Actions in accordance with Section 7 paragraphs 1 through 3 of the 2009 Species Trade Act (ArthG 2009) in respect of specimens of a species subject to the provisions of Art. 3 para. 1 (Annex A) of Regulation (EC) No 338/97 have a negligible impact on the conservation status of the species, except in cases where such actions concern

- (1) live animals;
- (2) dead animals whose original nature has essentially remained, as well as hunting trophies;
- (3) parts or derivatives of
 - (a) elephants (Elephantidae);
 - (b) rhinoceroses (Rhinocerotidae);
 - (c) big apes (Hominidae);
 - (d) bears (Ursidae);
 - (e) felines (Felidae);
 - (f) turtles (Cheloniidae);
 - (g) whales (Cetacea); or
 - (h) animals whose introduction into the Community has been restricted under the Suspensions Regulation;
- (4) live plants;
- (5) dead plants whose original nature has essentially remained, or
- (6) parts or derivatives of plants whose introduction into the Community has been restricted under the Suspensions Regulation.

(2) In the cases of para. 1(3) and (6) a quantity of up to 1 kg shall be considered negligible for the purposes of Section 7 para. 6 of the Species Trade Act 2009. For derivatives containing portions of animals or plants whose species are subject to the provisions of Regulation (EC) No 338/97, the amount of 1 kg shall relate to the total portions of the animals or plants contained. In any other cases of paragraph 1 one specimen shall already constitute a significant quantity.

Section 3. (1) Actions in accordance with Section 7 paragraphs 1 through 3 of the 2009 Species Trade Act in respect of specimens of a species subject to the provisions of Art. 3 para. 2 (Annex A) of Regulation (EC) No 338/97

have a negligible impact on the conservation status of the species, except in cases where such actions concern specimens of

- (1) elephants (Elephantidae);
- (2) rhinoceroses (Rhinocerotidae);
- (3) bears (Ursidae);
- (4) felines (Felidae); or
- (5) animals whose introduction into the Community has been restricted under the Suspensions Regulation.

(2) For parts and derivatives of specimens of paragraph 1 (1) through (5), a quantity of up to 1 kg shall be considered negligible for the purposes of Section 7 para. 6 of the Species Trade Act 2009. For derivatives containing portions of animals or plants whose species are subject to the provisions of Regulation (EC) No 338/97, the amount of 1 kg shall relate to the total portions of the animals or plants contained. In any other cases of paragraph 1 one specimen shall already constitute a significant quantity.

ATTACHMENT 2

(Referring to Question C4/5)

Confiscations 2009 and 2010

Confiscations 2009

Live Specimens	Country of origin	Quantity	Unit
Opuntia spp.	Peru	1	piece
Cactaceae spp.	Indonesia	1	piece
Cactaceae spp.	Saudi Arabia	2	pieces
Cycadaceae spp.	Australia	26	pieces
Orchidaceae spp.	Philippines	8	pieces
Scleractinia spp.	Indonesia	117	pieces

Dead Specimens	Code	Country of origin	Quantity	Unit
Acipenseriformes spp.	caviar	France	60	gramm
Acipenseriformes spp.	caviar	Russ. Federation	226	gramm
Acipenseriformes spp.	meat	USA	3492	gramm
Alligator mississippiensis	trophy	USA	1	piece
Alligator spp.	small leather product	Austria	1	piece
Alligator spp.	skull	USA	1	piece
Boidae spp.	large leather product	USA	1	piece
Caiman crocodilus	large leather product	Brazil	1	piece
Crocodylus niloticus	skull	Saudi Arabia	5	pieces
Crocodylus niloticus	skeletal	Saudi Arabia	1	piece
Crocodylus niloticus	body	Saudi Arabia	2	pieces
Crocodylus niloticus	feet	Saudi Arabia	2	pieces
Crocodylus porosus	small leather product	Australia	1	piece
Crocodylia spp.	small leather product	Austria	1	piece
Crocodylia spp.	small leather product	Switzerland	6	pieces
Crocodylia spp.	small leather product	USA	28	pieces
Cylindropuntia bigelovii	dried plant	Bolivia	3	pieces
Falconiformes spp.	feathers	Oman	2	pieces
Hippocampus spp. + Moschus spp.	medicine	China	1	kg
Hoodia spp.	dried plant	USA	180	pieces
Hoodia spp.	extract	USA	0,21	Liter
Hoodia spp.	medicine	Canada	120	pieces
Hoodia spp.	medicine	USA	1150	pieces
Hoodia spp.	medicine	USA	29	ml
Loxodonta africana	carving	Zambia	1	piece

Naja spp.	body	China	4 pieces
Naja spp.	body	Taiwan	3 pieces
Naja spp.	body	Thailand	14 pieces
Naja spp.	body	Vietnam	6 pieces
Prunus africana	medicine	USA	2067 pieces
Prunus africana	medicine	USA	90 ml
Python spp.	large leather product	USA	2 pieces
Scleractinia spp.	coral	Egypt	9 pieces
Scleractinia spp.	coral	Dominican Republic	16 pieces
Scleractinia spp.	coral	Indonesia	10 pieces
Scleractinia spp.	coral	Japan	1 piece
Scleractinia spp.	coral	Maldives	2 pieces
Scleractinia spp.	coral	Mauritius	0,35 kg
Scleractinia spp.	coral	Mauritius	23 pieces
Scleractinia spp.	coral	Maldives	0,6 kg
Scleractinia spp.	coral	Maldives	5 pieces
Scleractinia spp.	coral	Seychelles	1 piece
Scleractinia spp.	coral	Taiwan	18 pieces
Scleractinia spp.	coral	Thailand	75 pieces
Scleractinia spp.	coral	USA	1 piece
Scleractinia spp.	coral	United Arab Emirates	51 pieces
Strombus gigas	shell	Dominican Republic	16 pieces
Strombus gigas	shell	Cuba	17 pieces
Strombus gigas	shell	USA	1 piece
Tridacna spp.	shell	Indonesia	4 pieces
Tridacnidae spp.	shell	Mongolei	28 pieces
Tridacnidae spp.	shell	United Arab Emirates	4 pieces
Varanus spp.	large leather product	Argentina	1 piece

Confiscations 2010

Live Specimens	Country of origin	Quantity	Unit
Cycas revoluta	Venezuela	12	pieces
Orchidaceae spp.	Brazil	7	pieces
Orchidaceae spp.	Thailand	41	pieces
Orchidaceae spp.	unknown	17	pieces
Testudo graeca nabeulensis	Austria/Tunisia	1	piece
Trachemys scripta elegans	Turkey	2	pieces
Vanda coerulea	Thailand	1	piece

Dead Specimens	Code	Country of origin	Quantity	Unit
Acipenseriformes spp.	caviar	Iran	0,23	kgs
Acipenseriformes spp.	caviar	Ukraine	4,6	kgs
Acipenseriformes spp.	caviar	Russ. Federation	0,96	kgs
Acipenseriformes spp.	caviar	Ukraine	0,7	kgs
Alligator mississippiensis	body	Aserbaidshan	1	piece
Alligator mississippiensis	small leather product	Austria/USA	3	pieces
Alligator spp.	small leather product	USA	1	piece
Ammotragus lervia	trophy	South Africa	1	piece
Boidae spp.	garment	USA	1	piece
Boidae spp.	small leather product	USA	1	piece
Caiman crocodilus fuscus	small leather product	Austria/USA	1	piece
Caiman spp.	small leather product	USA	1	piece
Caiman yacare	small leather product	Hongkong	244	pieces
Caracal caracal	trophies	South Africa	3	pieces
Cetaceae spp.	ivory-pieces (teeth)	Indonesia	2	pieces
Corallium spp.	carvings	Taiwan	16	pieces
Crocodylus niloticus	skull	Mauritius	1	piece
Crocodylus niloticus	eggs	South Africa	5	pieces
Crocodylia spp.	small leather product	Georgia	1	piece
Crocodylia spp.	skull	USA	1	piece
Crocodylia spp.	small leather product	USA	17	pieces
Crocodylia spp.	large leather product	USA	5	pieces
Damaliscus pygargus	trophies	South Africa	3	pieces
Hoodia spp.	medicine	unknown	90	pieces
Hoodia spp.	medicine	USA	0,24	kgs
Loxodonta africana	trophy	South Africa	1	piece
Macaca fascicularis	specimen	USA	1,4	kgs
Naja kaouthia	body	Thailand	1	piece

Naja naja	body	Qatar	1 piece
Naja naja	body	Taiwan	6 pieces
Naja naja	body	Thailand	8 pieces
Naja naja	body	Vietnam	5 pieces
Orchis mascula	medicine	India	300 pieces
Panthera leo	trophy	Tanzania	2 pieces
Papio anubis	trophy	Tanzania	1 piece
Papio hamadryas	trophy	Tanzania	1 piece
Prunus africana	medicine	USA	1680 pieces
Prunus africana	medicine	USA	0,45 kgs
Prunus africana	medicine	unknown	10 kgs
Python reticulatus	small leather product	USA	1 piece
Python sebae	small leather product	Sudan	2 pieces
Python spp.	small leather product	unknown	2 pieces
Python spp.	skelett	Thailand	1 piece
Saussurea costus	medicine	Espania	200 pieces
Saussurea costus	medicine	Switzerland	1080 pieces
Scleractinia spp.	coral	Cuba	4 pieces
Scleractinia spp.	coral	Indonesia	1 piece
Scleractinia spp.	coral	Mauritius	10 pieces
Scleractinia spp.	coral	Malaysia	1 piece
Scleractinia spp.	coral	Maldives	5 pieces
Scleractinia spp.	coral	Philippines	1 piece
Scleractinia spp.	coral	Seychelles	4 pieces
Scleractinia spp.	coral	Taiwan	1 piece
Scleractinia spp.	coral	Thailand	25 pieces
Scleractinia spp.	coral	Turkey	4 pieces
Scleractinia spp.	coral	Vietnam	4 pieces
Tridacnidae spp.	shell	Maldives	1 piece
Tupinambis rufescens	small leather product	Austria/USA	3 pieces
Tupinambis teguixin	small leather product	Hongkong	1250 pieces
Varanus niloticus	small leather product	Sudan	2 pieces
Varanus spp.	small leather product	Switzerland	1 piece

ATTACHMENT 3

(Referring to Question C7/9)

**Details of violations and
results of prosecutions**

Details of violations and results of prosecutions

Status of procedures (09 th June 2011)	2006	2007	2008	2009	2010
Total Procedures	118	96	99	122	80
Outstanding procedures	14	35	32	82	64
Violations with fines	44	30	23	11	16
Procedures with criminal offences to the court	0	1	0	0	0
Abandonment of violations	20	0	2	0	0
Forfeitures	92	58	53	21	16

ATTACHMENT 4

(Referring to Question D4/8)

Mortality 2009 and 2010

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
Species				
ACINONYX JUBATUS	SWITZERLAND	SWITZERLAND	2	0
AQUILA CHRYSÆTOS	SWITZERLAND	SWITZERLAND	1	0
CALLIMICO GOELDII	SWITZERLAND	SWITZERLAND	3	0
CANIS LUPUS	SWITZERLAND	SWITZERLAND	2	0
CANIS LUPUS	USA	USA	4	0
ENCEPHALARTOS CYCADIFOLIUS	SOUTH AFRICA	SOUTH AFRICA	2	0
ENCEPHALARTOS FRIDERICI-GUILIELMI	SOUTH AFRICA	SOUTH AFRICA	5	0
ENCEPHALARTOS GHELLINCKII	SOUTH AFRICA	SOUTH AFRICA	3	0
ENCEPHALARTOS HORRIDUS	SOUTH AFRICA	SOUTH AFRICA	1	0
ENCEPHALARTOS LANATUS	SOUTH AFRICA	SOUTH AFRICA	5	0
ENCEPHALARTOS SCLAVOI	AUSTRALIA	AUSTRALIA	1	0
FALCO PEREGRINUS	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	4	0
FALCO PEREGRINUS	BAHRAIN	BAHRAIN	6	0
FALCO RUSTICOLUS	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	5	0
FALCO RUSTICOLUS	CANADA	CANADA	1	0
FALCO RUSTICOLUS	SWITZERLAND	SWITZERLAND	3	0
FALCO RUSTICOLUS	AUSTRIA	UNITED ARAB EMIRATES	1	0
FALCONIDAE SPP.	AUSTRIA	UNITED ARAB EMIRATES	2	0
FALCONIFORMES SPP.	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	1	0
GYPAETUS BARBATUS	SWITZERLAND	SWITZERLAND	1	0
ORYX LEUCORYX	SWITZERLAND	SWITZERLAND	1	0
PONGO PYGMAEUS	SWEDEN	NORWAY	1	0
STRIX URALENSIS	SWITZERLAND	SWITZERLAND	3	0
TESTUDO HERMANNI	SWITZERLAND	SWITZERLAND	2	0

Annex B

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
Species				
ACANTHASTREA ECHINATA	INDONESIA	INDONESIA	2	0
ACROPORA SPP.	INDONESIA	INDONESIA	927	0
BOA CONSTRICTOR	USA	USA	19	0
BRADYPODION TAVETANUM	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	110	0
CACTACEAE SPP.	USA	USA	35	0
CALOPOGON SPP.	CANADA	CANADA	5	0
CAULASTRAEA ECHINULATA	INDONESIA	INDONESIA	35	0
CAULASTRAEA SPP.	INDONESIA	INDONESIA	10	0
CAULASTRAEA TUMIDA	INDONESIA	INDONESIA	61	0
CEREUS HILDMANNIANUS	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	5050	0
CHAMAELEO CRISTATUS	CAMEROON	CAMEROON	30	0
CHAMAELEO DILEPIS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	95	0
CHAMAELEO GRACILIS	GHANA	GHANA	0	0
CHAMAELEO MELLERI	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	55	0
CHAMAELEO QUADRICORNIS	CAMEROON	CAMEROON	30	0
CHAMAELEO RUDIS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	33	0
CHAMAELEO SENEGALENSIS	GHANA	GHANA	141	0
CUORA AMBOINENSIS	INDONESIA	INDONESIA	0	0
CYATHEA SPP.	SOUTH AFRICA	SOUTH AFRICA	2	0
CYPHASTREA SERAILIA	INDONESIA	INDONESIA	12	0
CYPRIPEDIUM ACAULE	CANADA	CANADA	10	0
CYPRIPEDIUM ARIETINUM	CANADA	CANADA	5	0
CYPRIPEDIUM CALIFORNICUM	CANADA	CANADA	5	0

Annex B

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
CYPRIPEDIUM FLAVUM	CANADA	CANADA	5	0
CYPRIPEDIUM FORMOSANUM	CANADA	CANADA	5	0
CYPRIPEDIUM HENRYI	CANADA	CANADA	5	0
CYPRIPEDIUM KENTUCKIENSE	CANADA	CANADA	5	0
CYPRIPEDIUM MONTANUM	CANADA	CANADA	5	0
CYPRIPEDIUM PARVIFLORUM	CANADA	CANADA	10	0
CYPRIPEDIUM REGINAE	CANADA	CANADA	5	0
DENDROPHYLLIA FISTULA	INDONESIA	INDONESIA	56	0
DIPLOASTREA HELIOPORA	INDONESIA	INDONESIA	7	0
EUPHYLLIA ANCORA	INDONESIA	INDONESIA	88	0
EUPHYLLIA GLABRESCENS	INDONESIA	INDONESIA	60	0
FAVIA PALLIDA	INDONESIA	INDONESIA	15	0
FAVIA SPP.	INDONESIA	INDONESIA	18	0
FAVITES ABDITA	INDONESIA	INDONESIA	6	0
FAVITES CHINENSIS	INDONESIA	INDONESIA	31	0
FELIDAE SPP.	USA	USA	2	0
FUNGIA FUNGITES	INDONESIA	INDONESIA	58	0
FUNGIA MOLUCCENSIS	INDONESIA	INDONESIA	43	0
FUNGIA PAUMOTENSIS	INDONESIA	INDONESIA	18	0
FUNGIA SPP.	INDONESIA	INDONESIA	2	0
GALAXEA ASTREATA	INDONESIA	INDONESIA	5	0
GALAXEA FASCICULARIS	INDONESIA	INDONESIA	111	0

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
GONGYLOPHIS MUELLERI	GHANA	GHANA	163	0
GONIOPORA LOBATA	INDONESIA	INDONESIA	238	0
GONIOPORA MINOR	INDONESIA	INDONESIA	220	0
GONIOPORA STOKESI	INDONESIA	INDONESIA	174	0
HELIOPORA COERULEA	INDONESIA	INDONESIA	42	0
HERPOLITHA LIMAX	INDONESIA	INDONESIA	2	0
HIPPOCAMPUS REIDI	SRI LANKA	SRI LANKA	958	0
HYDNOPHORA EXESA	INDONESIA	INDONESIA	3	0
HYDNOPHORA RIGIDA	INDONESIA	INDONESIA	50	0
LOBOPHYLLIA CORYMBOSA	INDONESIA	INDONESIA	61	0
LOBOPHYLLIA HEMPRICHII	INDONESIA	INDONESIA	47	0
MERULINA AMPLIATA	INDONESIA	INDONESIA	7	0
MILLEPORA SPP.	INDONESIA	INDONESIA	43	0
MONTASTREA ANNULIGERA	INDONESIA	INDONESIA	23	0
MONTIPORA SPP.	INDONESIA	INDONESIA	209	0
MORELIA VIRIDIS	INDONESIA	INDONESIA	65	0
OPUNTIA RUTILA	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	6980	0
PANDINUS IMPERATOR	GHANA	GHANA	2500	0
PARODIA HERTERI	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	7730	0
PHELSUMA DUBIA	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	122	0
PHYSOGYRA LICHTENSTEINI	INDONESIA	INDONESIA	26	0
POCILLOPORA VERRUCOSA	INDONESIA	INDONESIA	10	0

Annex B

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
POLYPHYLLIA SPP.	INDONESIA	INDONESIA	6	0
POLYPHYLLIA TALPINA	INDONESIA	INDONESIA	36	0
PORITES SPP.	INDONESIA	INDONESIA	231	0
PRIONAILURUS BENGALENSIS	USA	USA	1	0
PRIONAILURUS SPP.	USA	USA	2	0
PSAMMOBATES OCULIFERUS	NAMIBIA	NAMIBIA	1	0
PYTHON CURTUS BRONGERSMAI	USA	USA	3	0
PYTHON CURTUS BRONGERSMAI	INDONESIA	INDONESIA	20	0
PYTHON REGIUS	GHANA	GHANA	1559	0
PYTHON REGIUS	USA	USA	266	0
PYTHON SPP.	USA	USA	2	0
SCLERACTINIA SPP.	INDONESIA	INDONESIA	15195	0
SCLEROCACTUS SPP.	INDONESIA	INDONESIA	5	0
SERIATOPORA HYSTRIX	INDONESIA	INDONESIA	10	0
SYMPHYLLIA AGARICIA	INDONESIA	INDONESIA	3	0
TUBASTRAEA COCCINEA	INDONESIA	INDONESIA	25	0
TURBINARIA MESETERINA	INDONESIA	INDONESIA	74	0
TURBINARIA PELTATA	INDONESIA	INDONESIA	115	0
UROMASTYX PRINCEPS	SWITZERLAND	SWITZERLAND	6	0
UROMASTYX THOMASI	SWITZERLAND	SWITZERLAND	4	0
VARANUS EXANTHEMATICUS	GHANA	GHANA	368	0

Annex B

Report 2009 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
VARANUS SALVATOR	INDONESIA	INDONESIA	10	0
VARANUS TIMORENSIS	INDONESIA	INDONESIA	20	0
ZAMIA SPARTEA	AUSTRALIA	AUSTRALIA	26	0

Report 2010 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
AEGYPIUS MONACHUS	RUSSIAN FEDERATION	RUSSIAN FEDERATION	1	0
ARIOCARPUS RETUSUS	THAILAND	THAILAND	1	0
ASTROPHYTUM ASTERIAS	THAILAND	THAILAND	9	0
BUBO BUBO	SWITZERLAND	SWITZERLAND	3	0
CANIS LUPUS	CANADA	CANADA	3	0
EQUUS PRZEWALSKII	SWITZERLAND	SWITZERLAND	1	0
FALCO PELEGRINOIDES	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	2	0
FALCO PEREGRINUS	BAHRAIN	BAHRAIN	2	0
FALCO PEREGRINUS	USA	USA	5	0
FALCO RUSTICOLUS	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	2	0
FALCONIDAE SPP.	USA	USA	2	0
FALCONIDAE SPP.	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	2	0
FALCONIFORMES SPP.	BAHRAIN	BAHRAIN	1	0
FALCONIFORMES SPP.	UNITED ARAB EMIRATES	UNITED ARAB EMIRATES	1	0
GYPAETUS BARBATUS	KAZAKHSTAN	KAZAKHSTAN	1	0
GYPS FULVUS	RUSSIAN FEDERATION	RUSSIAN FEDERATION	1	0
HALIAEETUS ALBICILLA	KAZAKHSTAN	KAZAKHSTAN	1	0
HALIAEETUS PELAGICUS	KAZAKHSTAN	KAZAKHSTAN	1	0
LYNX LYNX	SWITZERLAND	SWITZERLAND	1	0
MELANOCHELYS TRICARINATA	COUNTRY UNKNOWN	TAIWAN	1	0
MILVUS MIGRANS	SWITZERLAND	SWITZERLAND	1	0

Annex B

Report 2010 on import mortality of live specimens of species listed in Annexes A and B

Class	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
Species				
ACROPORA SPP.	INDONESIA	INDONESIA	707	0
AMAZONA AESTIVA	CANADA	CANADA	1	0
ARA ARARAUNA	USA	USA	1	0
ASTROPHYTUM MYRIOSTIGMA	THAILAND	THAILAND	4	0
BOA CONSTRICTOR	USA	USA	3	0
BOA CONSTRICTOR	COSTA RICA	COSTA RICA	23	0
BRADYPODION TAVETANUM	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	60	0
CAIMAN CROCODYLUS CROCODYLUS	SWITZERLAND	SWITZERLAND	1	0
CATTLEYA SPP.	THAILAND	THAILAND	221	0
CAULASTRAEA ECHINULATA	INDONESIA	INDONESIA	49	0
CAULASTRAEA SPP.	INDONESIA	INDONESIA	38	0
CAULASTRAEA TUMIDA	INDONESIA	INDONESIA	45	0
CEREUS HILDMANNIANUS	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	860	0
CHAMAELEO BITAENIATUS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	60	0
CHAMAELEO CRISTATUS	CAMEROON	CAMEROON	120	0
CHAMAELEO DILEPIS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	120	0
CHAMAELEO MELLERI	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	96	0
CHAMAELEO QUADRICORNIS	CAMEROON	CAMEROON	120	0
CHAMAELEO RUDIS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	70	0
CORALLUS CANINUS	USA	USA	2	0
CUORA SPP.	SWITZERLAND	SWITZERLAND	2	0
ECHINOPORA LAMELLOSA	INDONESIA	INDONESIA	4	0

Annex B

Report 2010 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
EUPHYLLIA ANCORA	INDONESIA	INDONESIA	125	0
EUPHYLLIA GLABRESCENS	INDONESIA	INDONESIA	15	0
FAVIA PALLIDA	INDONESIA	INDONESIA	9	0
FAVIA SPP.	INDONESIA	INDONESIA	11	0
FAVITES ABDITA	INDONESIA	INDONESIA	15	0
FAVITES CHINENSIS	INDONESIA	INDONESIA	10	0
FUNGIA FUNGITES	INDONESIA	INDONESIA	154	0
FUNGIA MOLUCCENSIS	INDONESIA	INDONESIA	9	0
FUNGIA PAUMOTENSIS	INDONESIA	INDONESIA	38	0
FUNGIA SPP.	INDONESIA	INDONESIA	5	0
GALAXEA ASTREATA	INDONESIA	INDONESIA	13	0
GALAXEA FASCICULARIS	INDONESIA	INDONESIA	183	0
GONIASTREA PECTINATA	INDONESIA	INDONESIA	2	0
GONIOPORA LOBATA	INDONESIA	INDONESIA	168	0
GONIOPORA MINOR	INDONESIA	INDONESIA	110	0
GONIOPORA SPP.	INDONESIA	INDONESIA	44	0
GONIOPORA STOKESI	INDONESIA	INDONESIA	408	0
GYMNOCALYCIUM MIHANOVICHII	THAILAND	THAILAND	1	0
HELIOFUNGIA ACTINIFORMIS	INDONESIA	INDONESIA	30	0
HELIOPOREA COERULEA	INDONESIA	INDONESIA	60	0
HERPOLITHA LIMAX	INDONESIA	INDONESIA	22	0
HYDNOPHORA EXESA	INDONESIA	INDONESIA	34	0

Annex B

Report 2010 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
LOBOPHYLLIA CORYMBOSA	INDONESIA	INDONESIA	132	0
LOBOPHYLLIA HEMPRICHII	INDONESIA	INDONESIA	10	0
LOBOPHYLLIA SPP.	INDONESIA	INDONESIA	5	0
LOPHOPHORA DIFFUSA	THAILAND	THAILAND	1	0
MERULINA AMPLIATA	INDONESIA	INDONESIA	46	0
MILLEPORA SPP.	INDONESIA	INDONESIA	18	0
MONTASTREA ANNULIGERA	INDONESIA	INDONESIA	40	0
MONTASTREA SPP.	INDONESIA	INDONESIA	2	0
MONTASTREA VALENCIENNESII	INDONESIA	INDONESIA	4	0
MONTIPORA SPP.	INDONESIA	INDONESIA	154	0
MORELIA VIRIDIS	USA	USA	17	0
OPUNTIA RUTILA	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	960	0
ORCHIDACEAE SPP.	THAILAND	THAILAND	9	0
PANDINUS IMPERATOR	BENIN	BENIN	0	0
PARODIA HERTERI	KOREA, REPUBLIC OF	KOREA, REPUBLIC OF	1120	0
PECTINIA LACTUCA	INDONESIA	INDONESIA	5	0
PHELSUMA DUBIA	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	120	0
PHYSOGYRA LICHTENSTEINI	INDONESIA	INDONESIA	28	0
POCILLOPORA VERRUCOSA	INDONESIA	INDONESIA	10	0
POLYPHYLLIA TALPINA	INDONESIA	INDONESIA	21	0
PORITES SPP.	INDONESIA	INDONESIA	326	0

Annex B

Report 2010 on import mortality of live specimens of species listed in Annexes A and B

Class Species	Country of origin	Country of re-export	Quantity Imported	Dead on arrival
PSITTACUS ERITHACUS	USA	USA	1	0
PYTHON MOLURUS	USA	USA	0	0
PYTHON REGIUS	TOGO	TOGO	250	0
PYTHON REGIUS	BENIN	BENIN	1258	0
PYTHON REGIUS	USA	USA	155	0
RHYNCHOSTYLIS SPP.	THAILAND	THAILAND	100	0
SAGITTARIUS SERPENTARIUS	TANZANIA, UNITED REP. OF	TANZANIA, UNITED REP. OF	4	0
SCLERACTINIA SPP.	INDONESIA	INDONESIA	3649	0
SERIATOPORA HYSTRIX	INDONESIA	INDONESIA	20	0
STYLOPHORA PISTILLATA	INDONESIA	INDONESIA	10	0
SYMPHYLLIA AGARICIA	INDONESIA	INDONESIA	3	0
TUBASTRAEA COCCINEA	INDONESIA	INDONESIA	20	0
TUBASTRAEA SPP.	INDONESIA	INDONESIA	9	0
TUBIPORA MUSICA	INDONESIA	INDONESIA	26	0
TURBINARIA MESETERINA	INDONESIA	INDONESIA	159	0
TURBINARIA PELTATA	INDONESIA	INDONESIA	86	0
UROMASTYX GEYRI	MALI	MALI	200	0
UROMASTYX OCELLATA	SWITZERLAND	SWITZERLAND	8	0
UROMASTYX PRINCEPS	SWITZERLAND	SWITZERLAND	10	0
ARANUS EXANTHEMATICUS	BENIN	BENIN	370	0

ATTACHMENT 5

(Referring to Question D5/3)

Documents issued 2009 and 2010

Documents issued and used 2009

Imports for Fauna and Flora:

see the compilation below

Exports for Fauna and Flora:

see the compilation below

Basis of report compilation:

Permits issued (all in all): 5151

Imports (live):	122
Imports (dead):	1755
Exports (live):	134
Exports (dead):	9
Re-Exports (live):	6
Re-Exports (dead):	3111
EC-Certificates (live):	3867
EC-Certificates (dead):	73
Certificates of ownership	2
Sample collection certificate	12

Permits used (all in all): 4828

Imports (live):	98
Imports (dead):	1853
Exports (live):	49
Exports (dead):	8
Re-Exports (live):	4
Re-Exports (dead):	2804
Sample collection certificate	12

Documents issued and used 2010

Imports for Fauna and Flora:

see the compilation below

Exports for Fauna and Flora:

see the compilation below

Basis of report compilation:

Permits issued (all in all): 6039

Imports (live):	128
Imports (dead):	2025
Exports (live):	152
Exports (dead):	14
Re-Exports (live):	5
Re-Exports (dead):	3702
EC-Certificates (live):	3370
EC-Certificates (dead):	53
Certificates of ownership	2
Sample collection certificate	11

Permits used (all in all): 5081

Imports (live):	84
Imports (dead):	1671
Exports (live):	106
Exports (dead):	9
Re-Exports (live):	2
Re-Exports (dead):	3206
Sample collection certificate	3

ATTACHMENT 6

(Referring to Question D5/9)

CITES-Charges

FEDERAL LAW GAZETTE

FOR THE REPUBLIC OF AUSTRIA

371th Ordinance of the Federal Government amending the "Bundesabgabenverordnung 1983"
(Ordinance regulating the Federal Administrative Duties 1983)

Section 78 of the General Administrative Procedure Act 1991 ("Allgemeines
Verwaltungsverfahrensgesetz 1991"), Federal Law Gazette No 51, last amended by Federal Law
Gazette I No 10/2004, provides as follows:

The "Bundesverwaltungsabgabenverordnung 1983", Federal Law Gazette No 24, last
amended by Ordinance Federal Law Gazette II No 103/2005, shall be amended as follows:

XIXa reads:

"XIXa. Matters of international and Community species protection according to the
Regulations on the protection of species of wild fauna and flora by regulating trade
therein, Regulation (EC) No 1332/2005, OJ L 215 of 19 August 2005, as well as
Regulation (EC) No 338/97, OJ L 61 of 3 March 1997, as applicable:"

The administrative duties for the positions 434a. through (incl.) 434f. for the issuance of a
permit shall be paid per species applied for, the administrative duties for certificates shall be paid per
specimen applied for. The administrative duty for the position 434g. shall be paid per permit/certificate.
Scientific institutions registered at the Federal Ministry of Agriculture, Forestry, Environment and Water
Management shall be exempt from the obligation of paying the duties for the positions 434a. through
434g.

€

434a.	Issuance of permits and certificates for live animals of Annex A: Mammals and birds.....	40.00
434b.	Issuance of permits and certificates for live animals of Annex A: Reptiles	15.00
434c.	Issuance of permits and certificates for live animals and plants of Annex A: Amphibians, fish, insects, molluscs, and plants	10.00
434d.	Issuance of permits and certificates for live animals and plants of the Annexes B and C	10.00
434e.	Issuance of permits and certificates for dead animals and plants of Annex A, parts or derivatives thereof, including hunting trophies and antiquities for the purposes of Article 2(w) of Regulation (EC) No 338/97	40.00
434f.	Issuance of permits and certificates for specimens of Annex B for hunting trophies and antiquities for the purposes of Article 2(w) of Regulation (EC) No 338/97	40.00
434g.	Issuance of permits and certificates for dead animals and dead plants of Annex B, parts or derivatives thereof.....	7.00

ATTACHMENT 7

(Referring to Question D1/14)

**List of places of
introduction and
exportation**

Customs-, Entrance- und Exit-Stations for live animals, live plants and parts and derivatives:

ENTRANCE-STATIONS

Customs Office	ENTRANCE-STATION FOR		
	live animals	live plants	parts and derivatives
Customs Office Airport Vienna	X	X	X
Customs Office Klagenfurt, branch office Airport/Street		X	X
Customs Office Linz, branch office Airport	X	X	X
Customs Office Salzburg, branch office Airport		X	X
Customs Office Graz Branch Office Airport		X	X
Customs Office Innsbruck, Branch Office Airport		X	X
Customs Office Feldkirch, Branch Office Tisis	X	X	X
Customs Office Feldkirch, Branch Office Buchs/Station	X	X	X
Customs Office Wolfurt, Branch Office Höchst	X	X	X

EXIT-STATIONS:

Customs Office	Exit-Station for		
	live animals	live plants	parts and derivatives
Customs Office Vienna	X	X	X
Customs Office Airport Vienna	X	X	X
Customs Office Krems, Branch Office Gmünd-Nagelberg			X
Customs Office Wiener Neustadt, Branch Office Drasenhofen	X	X	X
Customs Office Eisenstadt, Branch Office Berg	X	X	X
Customs Office Eisenstadt, Branch Office Nickelsdorf	X	X	X
Customs Office Eisenstadt, Branch Office Sopron/Station			X
Customs Office Klagenfurt	X	X	X
Customs Office Villach	X	X	X
Customs Office Linz	X	X	X
Customs Office Wels			X
Customs Office Salzburg	X	X	X
Customs Office Graz	X	X	X
Customs Office Innsbruck	X	X	X
Customs Office Feldkirch	X	X	X
(exemption: customs offices Tosters, Nofels, Bangs and Meiningen)			
Customs Office Wolfurt	X	X	X

ATTACHMENT 8

(Referring to Question D1/16)

List of Scientific Institutions

SCIENTIFIC INSTITUTIONS IN AUSTRIA

ISO-Code/No.	NAME	ADDRESS	FEDERAL STATE
AT 001	Landesmuseum Kärnten	Museumgasse 2 A-9020 Klagenfurt	Carinthia
AT 002	Landesmuseum Kärnten - Kärntner Botanikzentrum (ehem. Botanischer Garten Kreuzbergl)	Prof.-Dr. Kahler-Platz 1 A-9020 Klagenfurt	Carinthia
AT 003	Forschungs- und Außenstelle des Institutes für Parasitologie und allgemeine Zoologie der Veterinärmedizinischen Universität betreffend Greifvögel	Untere Hauptstraße 34 A-2286 Haringsee	Lower Austria
AT 004	Niederösterreichisches Landesmuseum	Kulturbezirk 5 A-3109 ST. PÖLTEN	Lower Austria
AT 005	Biologiezentrum der Oberösterreichischen Landesmuseen	Johann-Wilhelm-Klein-Strasse 73 A-4040 Linz/Dornach	Upper Austria
AT 006	Botanischer Garten und Naturkundliche Station der Stadt Linz (ehem. Botanischer Garten der Stadt Linz)	Roseggerstrasse 20 A-4020 Linz	Upper Austria

ISO-Code/No.	NAME	ADDRESS	FEDERAL STATE
AT 007	Universität Salzburg Fachbereich Organismische Biologie AG: Ökologie + Diversität der Pflanzen (ehem. Institut für Botanik der Universität Salzburg)	Hellbrunner Strasse 34 A-5020 Salzburg	Salzburg
AT 008	Haus der Natur	Museumsplatz 5 A-5020 Salzburg	Salzburg
AT 009	Landesmuseum Joanneum	Raubergasse 10 A-8010 Graz	Styria
AT 010	Tierwelt Herberstein Steirischer Landestiergarten GmbH. (ehem. Tier- und Naturpark Herberstein)	Buchberg 50 A-8223 Stubenberg am See	STYRIA
AT 011	Naturschutzzentrum Bruck/Mur Station Bruck/Weitenttal	Stadtwaldstraße 43 A-8600 Bruck/Mur	Styria
AT 012	Universität Graz Institut für Pflanzenwissenschaften Bereich Systematische Botanik & Geobotanik (ehem. Institut für Botanik der Universität Graz)	Holteigasse 6 A-8010 Graz	Styria

ISO-Code/No.	NAME	ADDRESS	FEDERAL STATE
AT 013	Tiroler Landesmuseen, Ferdinandeum Naturwissenschaftliche Sammlungen	Feldstraße 11a A-6020 Innsbruck	Tyrol
AT 014	Universität Innsbruck Institut für Zoologie	Technikerstraße 25 A-6020 Innsbruck	Tyrol
AT 015	Universität Innsbruck Institut für Botanik	Sternwartestraße 15 A-6020 Innsbruck	Tyrol
AT 016	INATURA Erlebnis Naturschau GmbH. (ehem. Vorarlberger Naturschau)	Jahngasse 9 A-6850 Dornbirn	Vorarlberg
AT 017	Naturhistorisches Museum Wien	Burgring 7 A-1010 Wien	Vienna
AT 018	Universität für Bodenkultur Wien	Gregor-Mendel-Strasse 33 A-1180 Wien	Vienna
AT 019	Schönbrunner Tiergarten Ges.m.b.H. (ehem. Schloßhauptmannschaft Schönbrunn)	Maxingstraße 13b A-1130 Wien	Vienna


ISO-Code/No.	NAME	ADDRESS	FEDERAL STATE
AT 020	Fakultät für Lebenswissenschaften, Department für Biogeographie Botanischer Garten der Universität Wien	Rennweg 14 A-1030 Wien	Vienna
AT 021	Universität Wien – Department für Molekulare Systembiologie (ehem. Institut für Pflanzenphysiologie)	Althanstrasse 14 A-1090 Wien	Vienna
AT 022	Universität Wien, Fakultät für Lebenswissenschaften Department für Verhaltensbiologie (ehem. Institut f. Zoologie der Universität Wien)	Althanstrasse 14 A-1090 Wien	Vienna
AT 023	Bundesgärten Wien_Innsbruck	Schönbrunn A-1130 Wien	Vienna
AT 024	Zoo Salzburg Gemeinnützige Gesellschaft m.b.H.	Anifer Landesstrasse 1 A-5081 Anif	Salzburg
AT 025	Alpenzoo Innsbruck	Weiherburggasse 37a A-6020 Innsbruck	Tyrol

ISO-Code/No.	NAME	ADDRESS	FEDERAL STATE
AT 026	Technische Universität Wien - Institut für Verfahrenstechnik, Umwelttechnik und Technische Biowissenschaften (ehem. Institut für Angewandte Botanik, Technische Mikroskopie und Organische Rohstofflehre)	Getreidemarkt 9/173 A-1060 Wien	Vienna
AT 027	Stadtmuseum Dornbirn	Marktplatz 11 A-6850 Dornbirn	Vorarlberg
AT 028	Institut für Anatomie der Veterinärmedizinischen Universität Wien	Veterinärplatz 1 A-1210 Wien	Vienna
AT 029	Veterinärmedizinische Universität Wien Department für Biomedizinische Wissenschaften, Biochemie	Veterinärplatz 1 A-1210 Wien	Vienna
AT 030	Medizinische Universität Wien Zentrum für Physiologie, Pathophysiologie und Immunologie Institut für Physiologie	Schwarzspanierstraße 17, I A-1090 Wien	Vienna
AT 031	Forschungszentrum für Wildtierkunde und Ökologie der Veterinärmedizinischen Universität Wien	Savoyenstrasse 1 A-1160 Wien	Vienna

ATTACHMENT 9

(Referring to Question D1/19)

Phytosanitary Certificates (Import and Re-Export)

1 Name und Anschrift des Exporteurs <i>Name and address of exporter</i>		2 PFLANZENGEUNDHEITSZEUGNIS Nr. EG/AT/ 029070 No. EC/AT/ Phytosanitary Certificate	
3 Angegebener Name und Anschrift des Empfängers <i>Declared name and address of consignee</i>		4 Pflanzenschutzdienst von ÖSTERREICH an Pflanzenschutzdienst(e) von <i>Plant Protection Organization of AUSTRIA</i> <i>to Plant Protection Organization(s) of</i>	
		5 Ursprungsort <i>Place of origin</i>	
6 Angegebenes Transportmittel <i>Declared means of transport</i>			REPUBLIC ÖSTERREICH AMTLICHER ÖSTERREICHISCHER PFLANZENSCHUTZDIENST
7 Angegebene Eintrittsstelle <i>Declared point of entry</i>			
8 Zahl und Beschreibung der Packstücke, Unterscheidungsmerkmale, Art der Ware, botanischer Name der Pflanzen <i>Number and description of packages, Distinguishing marks, Name of produce, Botanical name of plants</i>		9 Angegebene Menge <i>Quantity declared</i>	
10 Hiermit wird bestätigt, dass die oben beschriebenen Pflanzen, Pflanzenerzeugnisse oder anderen geregelten Gegenstände mittels geeigneter Verfahren amtlich untersucht oder getestet wurden und als frei von Quarantäneschadorganismen, die von der einführenden Vertragspartei benannt wurden, befunden wurden und dass sie den geltenden phytosanitären Anforderungen der einführenden Vertragspartei, einschließlich den Anforderungen hinsichtlich geregelter Nicht-Quarantäne-Schadorganismen, entsprechen. <i>This is to certify that the plants, plant products or other regulated articles described herein have been inspected and/or tested according to appropriate official procedures and are considered to be free from the quarantine pests specified by the importing contracting party and to conform with the current phytosanitary requirements of the importing contracting party, including those for regulated non-quarantine pests.</i>			
11 Zusätzliche Erklärung <i>Additional Declaration</i>			
ENTSEUCHUNG UND/ODER DESINFIZIERUNG Disinfestation and/or Disinfection Treatment		Ausstellungsort <i>Place of issue</i>	
12 Behandlungsart <i>Treatment</i>		Dienstsiegel <i>Stamp of Organization</i>	
13 Chemikalie (Wirkstoff) <i>Chemical (active ingredient)</i>	14 Einwirkungsdauer u. Temperatur <i>Duration and temperature</i>	Name des Kontrollorgans <i>Name of authorized officer</i>	
15 Konzentration <i>Concentration</i>	16 Datum <i>Date</i>	Datum <i>Date</i>	
17 Zusätzliche Anmerkungen <i>Additional information</i>		Unterschrift <i>Signature</i>	
Mit dem gegenständlichen Zeugnis wird seitens des Amtlichen Österreichischen Pflanzenschutzdienstes oder seiner Organe keine finanzielle Haftung übernommen. <i>No financial liability with respect to this certificate shall attach to the Official Austrian Plant Protection Service or to any of its officers or representatives.</i>			

MUSTER - SPECIMEN - NOT VALID -

Español

- 1 Nombre y dirección del exportador
- 2 Certificado Fitosanitario No. CE/AT/
- 3 Nombre y dirección declarados del destinatario
- 4 Organización de Protección Fitosanitaria del Austria Organización(es) de Protección Fitosanitaria de _____
- 5 Lugar de origen
- 6 Medios de transporte declarados
- 7 Punto de entrada declarado
- 8 Numero y descripción de los bultos, marcas distintivas, nombre del producto, nombre botánico de las plantas
- 9 Cantidad declarada
- 10 Por la presente se certifica que las plantas, productos vegetales u otros artículos reglamentados descritos aquí se han inspeccionado y/o sometido ensayo de acuerdo con los procedimientos oficiales adecuados y se considera que están libres de las plagas cuarentenarias especificadas por la parte contratante importadora y que cumplen los requisitos fitosanitarios vigentes de la parte contratante importadora, incluidos los relativos a las plagas no cuarentenarias reglamentadas.
- 11 Declaración Adicional

Tratamiento de Desinfestación y/o Desinfección

- 12 Tratamiento
- 13 Producto químico (ingrediente activo)
- 14 Duración y temperatura
- 15 Concentración
- 16 Fecha
- 17 Información adicional

Lugar de expedición
Sello de la Organización
Nombre del funcionario autorizado
Fecha
Firma

Esta Organización de Protección Fitosanitaria del Austria y sus funcionarios y representantes declinan toda responsabilidad financiera resultante de este certificado.

Русский

1. Наименование и адрес экспортера
2. Фитосанитарный сертификат, № EC/AT/
3. Заявленное наименование и адрес получателя
4. Служба по карантину и защите растений Австрии
Службе по карантину и защите растений
5. Место происхождения.
6. Заявленный способ транспортировки
7. Заявленный пункт ввоза
8. Маркировка (Отличительные признаки); количество мест и вид упаковки; наименование продукции; ботаническое название растений
9. Заявленное количество
10. Настоящим удостоверяется, что растения или растительные продукты, описанные выше:
 - были досмотрены согласно соответствующим процедурам и
 - признаны свободными от карантинных вредных организмов и практически свободными от других вредных организмов и
 - признаны соответствующими действующим фитосанитарным требованиям импортирующей страны
11. Дополнительная декларация

Обеззараживание

12. Способ обработки
13. Химикат (действующее вещество)
14. Экспозиция и температура
15. Концентрация
16. Дата
17. Дополнительная информация

Место выдачи
Дата
Фамилия и подпись уполномоченного лица
Печать организации

Никаких финансовых обязательств в отношении настоящего сертификата не налагается на Австрия (наименование организации по карантину и защите растений) или на кого-либо из ее инспекторов или представителей.

Français

- 1 Nom et adresse de l'exportateur
- 2 Certificat phytosanitaire N° CE/AT/
- 3 Nom et adresse déclarés du destinataire
- 4 Organisation de la protection des végétaux d'Autriche a Organisation(s) de la protection des végétaux de _____
- 5 Lieu d'origine
- 6 Moyen de transport déclaré
- 7 Point d'entrée déclaré
- 8 Nombre et nature des colis, Marques des colis, Nom du produit, Nom botanique des végétaux
- 9 Quantité déclarée
- 10 Il est certifié que les végétaux, produits végétaux ou autres articles réglementés décrits ci-dessus ont été inspectés et/ou testés suivant des procédures officielles appropriées et estimés exempts d'organismes de quarantaine comme spécifié par la partie contractante importatrice; et qu'ils sont jugés conformes aux exigences phytosanitaires en vigueur de la partie contractante importatrice, y compris à celle concernant les organismes réglementés non de quarantaine.
- 11 Déclaration supplémentaire

Traitement de désinfestation et/ou de désinfection

- 12 Traitement
- 13 Produit chimique (matière active)
- 14 Durée et température
- 15 Concentration
- 16 Date
- 17 Renseignements complémentaires

Lieu de délivrance
Cachet de l'organisation
Nom du fonctionnaire autorisé
Date
Signature

Le présent certificat n'entraîne aucune responsabilité financière pour l'Organisation de la protection des végétaux d'Autriche, ni pour aucun de ses agents ou représentants.

1 Name und Anschrift des Exporteurs Name and address of exporter		2 PFLANZENGEUNDHEITSZEUGNIS FÜR DIE WIEDERAUSFUHR Nr. EG/AT/ 001420 No. EC/AT/ 001420 Phytosanitary Certificate for Re-Export	
3 Angegebener Name und Anschrift des Empfängers Declared name and address of consignee		4 Pflanzenschutzdienst von ÖSTERREICH (Wiederausführende Vertragspartei) Plant Protection Organization of AUSTRIA (contracting party of re-export) an Pflanzenschutzdienst(e) von (Einführende Vertragspartei(en)) To Plant Protection Organization(s) of (contracting party(ies) of import)	
		5 Ursprungsort Place of origin	
6 Angegebenes Transportmittel Declared means of conveyance		REPUBLIC ÖSTERREICH	
7 Angegebene Eintrittsstelle Declared point of entry		AMTLICHER ÖSTERREICHISCHER PFLANZENSCHUTZDIENST	
8 Zahl und Beschreibung der Packstücke, Unterscheidungsmerkmale, Art der Ware, botanischer Name der Pflanzen Number and description of packages, distinguishing marks, Name of produce, Botanical name of plants		9 Angegebene Menge Quantity declared	
10 Hiermit wird bestätigt, dass - die oben beschriebenen Pflanzen, Pflanzenerzeugnisse oder anderen geregelten Gegenstände aus..... (Ursprungsland) nach Österreich (Wiederausfuhrland) eingeführt worden sind und dass ihnen das Pflanzengesundheitszeugnis Nr. beiliegt. This is to certify that the plants, plant products or other regulated articles described above were imported into Austria (contracting party of re-export) from (contracting party of origin) covered by Phytosanitary Certificate No. (*) <input type="checkbox"/> Original <input type="checkbox"/> beglaubigte Kopie in der Anlage angebracht ist, beigelegt war dessen Original certified true copy of which is attached to certificate, - (*) sie verpackt umgepackt worden in den ursprünglichen Behältern in neuen Behältern sind that they <input type="checkbox"/> packed <input type="checkbox"/> sind <input type="checkbox"/> in original containers <input type="checkbox"/> in new containers are repacked - (*) und dass des ursprünglichen Pflanzengesundheitszeugnisses und einer zusätzlichen Untersuchung sie aufgrund <input type="checkbox"/> the original phytosanitary certificate <input type="checkbox"/> and additional inspection that based on als den derzeit geltenden phytosanitären Bestimmungen der einführenden Vertragspartei entsprechend befunden wurden und dass die Sendung während der Lagerung in Österreich (Wiederausführendes Land) nicht dem Risiko eines Befalls oder einer Infektion ausgesetzt wurde. they are considered to conform with the current phytosanitary requirements of the importing contracting party, and that during storage in Austria (contracting party of re-export), the consignment has not been subjected to the risk of infestation or infection. (*) Zutreffendes ankreuzen.* Insert tick in appropriate boxes			
11 Zusätzliche Erklärung Additional Declaration			
ENTSEUCHUNG UND/ODER DESINFIZIERUNG Disinfestation and/or Disinfection Treatment 12 Behandlungsart Treatment 13 Chemikalie (Wirkstoff) Chemical (active ingredient) 14 Einwirkungsdauer u. Temperatur Duration and temperature 15 Konzentration Concentration 16 Datum Date 17 Zusätzliche Anmerkungen Additional information		Ausstellungsort Place of issue Name des Kontrollorgans Name of authorized officer Datum Date Unterschrift Signature	
Mit dem gegenständlichen Zeugnis wird seitens des Amtlichen Österreichischen Pflanzenschutzdienstes oder seiner Organe keine finanzielle Haftung übernommen. No financial liability with respect to this certificate shall attach to the Official Austrian Plant Protection Organization or to any of its officers or representatives.			

Español

- 1 Nombre y dirección del exportador
- 2 Certificado Fitosanitario para la Reexportación No. CE/AT/
- 3 Nombre y dirección declarados del destinatario
- 4 Organización de Protección Fitosanitaria del Austria (parte contratante de reexportación) a Organización(es) de Protección Fitosanitaria de _____ (parte(s) contratante(s) de importación)
- 5 Lugar de origen
- 6 Medios de transporte declarados
- 7 Punto de entrada declarado
- 8 Numero y descripción de los bultos, marcas distintivas, nombre del producto, nombre botánico de las plantas
- 9 Cantidad declarada
- 10 Por la presente se certifica que las plantas, productos vegetales u otros artículos reglamentados descritos más arriba se importaron en _____ (parte contratante de reexportación) desde _____ (parte contratante de origen) amparados por el Certificado Fitosanitario No. _____ original* ☐ copia fiel certificada ☐ del cual se adjunta al presente certificado; que están empacados* ☐ reempacados ☐ en recipientes originales* ☐ nuevos ☐ que tomando como base el Certificado Fitosanitario original* ☐ y la inspección adicional ☐ se considera que se ajustan a los requisitos fitosanitarios vigentes de en la parte contratante importadora, y que durante el almacenamiento en _____ (parte contratante de reexportación) el envío no estuvo expuesto a riesgos de infestación o infección.
* Marcar la casilla ☐ correspondiente
- 11 Declaración Adicional

Tratamiento de Desinfestación y/o Desinfección

- 12 Tratamiento
- 13 Producto químico (ingrediente activo)
- 14 Duración y temperatura
- 15 Concentración
- 16 Fecha
- 17 Información adicional

Lugar de expedición
Sello de la Organización
Nombre del funcionario autorizado
Fecha
Firma

Esta Organización de Protección Fitosanitaria del Austria y sus funcionarios y representantes declinan toda responsabilidad financiera resultante de este certificado.

Français

- 1 Nom et adresse de l'exportateur
- 2 Certificat phytosanitaire pour la réexportation N°CE/AT/
- 3 Nom et adresse déclarés du destinataire
- 4 Organisation de la protection des végétaux d'Autriche (patrie contractante de réexportation) à Organisation(s) de la protection des végétaux de _____ (partie(s) contractante(s) d'importation)
- 5 Lieu d'origine
- 6 Moyen de transport déclaré
- 7 Point d'entrée déclaré
- 8 Nombre et nature des colis, Marques des colis, Nom du produit, Nom botanique des végétaux
- 9 quantité déclarée
- 10 Il est certifié que les végétaux, produits végétaux ou autres articles réglementés décrits ci-dessus ont été importés en _____ (partie contractante de réexportation) en provenance de _____ (partie contractante d'origine) et ont fait l'objet du Certificat phytosanitaire N° _____ dont l'original* ☐ la copie authentifiée ☐ est annexé(e) au présent certificat; qu'ils sont emballés* ☐ remballés ☐ dans les emballages initiaux* ☐ dans de nouveaux emballages ☐; que d'après le Certificat phytosanitaire original* ☐ et une inspection supplémentaire ☐ ils sont jugés conformes aux exigences phytosanitaires en vigueur de la partie contractante importatrice, et qu'au cours de l'emménagement en _____ (partie contractante de réexportation) l'envoi n'a pas été exposé au risque d'infestation ou d'infection.
* Mettre une croix dans la case ☐ appropriée
- 11 Déclaration supplémentaire

Traitement de désinfestation et/ou de désinfection

- 12 Traitement
- 13 Produit chimique (matière active)
- 14 Durée et température
- 15 Concentration
- 16 Date
- 17 Renseignements complémentaires

Lieu de délivrance
Cachet de l'organisation
Nom du fonctionnaire autorisé
Date
Signature

Le présent certificat n'entraîne aucune responsabilité financière pour l'Organisation de la protection des végétaux d'Autriche, ni pour aucun de ses agents ou représentants.

Русский

1. Наименование и адрес экспортера
2. Резекспортный фитосанитарный сертификат, № EC/AT/
3. Заявленное наименование и адрес получателя
4. Служба по карантину и защите растений Австрии
Службе по карантину и защите растений
5. Место происхождения.
6. Заявленный способ транспортировки
7. Заявленный пункт ввоза
8. Маркировка (Отличительные признаки); количество мест и вид упаковки; наименование продукции; ботаническое название растений
9. Заявленное количество
10. Настоящим удостоверяется, что
- описанные выше растения или растительные продукты были импортированы в _____ (страна резекспорта) из _____ (страна происхождения) с фитосанитарным сертификатом № _____ (*) ☐ оригинал или ☐ утвержденная копия которого прилагается к данному сертификату,
- они (*) ☐ упакованы ☐ переупакованы ☐ в оригинальные или в ☐ новые упаковки,
- на _____ основании _____ данных (*) ☐ первичного фитосанитарного сертификата и ☐ дополнительного досмотра установлено, что они соответствуют действующим фитосанитарным требованиям страны импортера и что
- во время хранения в _____ (страна резекспортёр) они не подвергались риску заражения вредными для растений организмами
(*) Проставить отметки в соответствующих квадратах
11. Дополнительная декларация
Обеззараживание
12. Способ обработки
13. Химикат (действующее вещество)
14. Экспозиция и температура
15. Концентрация
16. Дата
17. Дополнительная информация

Место выдачи
Дата
Фамилия и подпись уполномоченного лица
Печать организации

Никаких финансовых обязательств в отношении настоящего сертификата не налагается на Австрия (наименование организации по карантину и защите растений) или на кого-либо из ее инспекторов или представителей.