### A. General information

Party	Republic of Korea				
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2007 to 31 December 2008				
Details of agency preparing this report	Global Environment Division, Ministry of Environment				
Contributing agencies, organizations or individuals	1. Natural Resources Division, Ministry of Environment				
	2. Korea Food and Drug Administration				
	3. National Plant Quarantine Service				
	4. National Institute of Biological Resources				
	5. National Fisheries Research and Development Institute				
	6. Korea Customs Service				
	7. Supreme Prosecutors' Office				

## B. Legislative and regulatory measures

1			ES-relevant legislation already been						
		ES National Legislation Project?			Yes (partly)		Ш		
	If yes, ignore questions	2, 3 and 4					No		
					No info	rmation	/unknown		
2	If any CITES-relevant le following details:	egislation h	nas been	planned, drafted	or enact	ed, plea	se provide t	:he	
	Title and date:		S	tatus:					
	Brief description of cont	ents:							
3	Is enacted legislation av	/ailable in	one of the	e working	Yes				
	languages of the Conve	ention?			No				
					No info	rmation	1		
4	If yes, please attach a c			ative text or key	legislation attached			🔲	
	legislative provisions that	at were ga	izetted.		provided previously				
					not av	/ailable,	, will send		
					later				
5	Which of the following is						ick all applic	cable	
	measures adopted for 0		ed species	s (in accordance	with Artic	cle			
	XIV of the Convention)?								
			he conditi	ons for:			prohibition		
	Issue	Yes	No	No	Yes	No	No informa	ation	
				information					
ļ	Trade	$\boxtimes$							
	Taking	$\boxtimes$							
	Possession	$\boxtimes$							
	Transport	$\boxtimes$							
	Other (specify)								

	Additional comments						
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?						
	Item Adequate Partially Inadequate No inf						
	Powers of CITES authorities	$\boxtimes$					
	Clarity of legal obligations	$\boxtimes$					
	Control over CITES trade						
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences	$\boxtimes$					
	Coverage of law for all types of penalties	$\boxtimes$					
	Implementing regulations	$\boxtimes$					
	Coherence within legislation	$\boxtimes$					
	Other (please specify):						
	Please provide details if available	:					
7	If no review or assessment has ta for the next reporting period?	•	one planned	Yes No No inf	ormation		
	Please provide details if available			, . <del>-</del>			
8	Has there been any review of legingler relation to implementation of the Control				ick all applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natural	resources					
	Harvesting						
	Transporting of live specimens						
	Handling and housing of live spec						
	Please provide details if available						
9	Please provide details of any add	itional measure	es taken:				

# C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations	s been und	ertaken?	)
	Review of reports and other information provided by traders and producers:			
	Inspections of traders, producers, markets		$\boxtimes$	
	Border controls	$\boxtimes$		
	Other (specify)			
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	$\boxtimes$		
3	If Yes, please indicate how many and for what types of viola attach details.	ations? If a	vailable,	please
	2007 : smuggling(19), illegal trade(4)			
	2008 : smuggling(24), illegal trade(7)			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?			
5	If information available:		Numb	er
	⊠ Significant seizures/confiscations		54	
	☐ Total seizures/confiscations			
	If possible, please specify per group of species or attach details.			
6	Have there been any criminal prosecutions of significant CITES-related violations?			
7	If Yes, how many and for what types of violations? If available Annex.	ole, please	attach d	etails as
8	Have there been any other court actions of CITES-related violations?	$\boxtimes$		
9	If Yes, what were the violations involved and what were the res Annex.	sults? Pleas	e attach	details as
10	How were the confiscated specimens usually disposed of?		Tick	if applicable
	<ul> <li>Return to country of export</li> </ul>			
	<ul> <li>Public zoos or botanical gardens</li> </ul>			
	<ul> <li>Designated rescue centres</li> </ul>			
	Approved, private facilities			
	- Euthanasia Other (specify)			
	Other (specify)     Comments: Discard, extermination			

11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?  Comments:	Yes No Not applicable No information	
12	Have there been any cooperative enforcement activities with other countries	Yes No	
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description: Confirmation of permit/certi	ficate issuance	
14	Have any incentives been offered to local communities to assist	Yes	
	in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No	$\boxtimes$
	arrest and conviction of offenders?	No information	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	$\boxtimes$
	enforcement?	No	
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

#### D. Administrative measures

## D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the MA(s) which are not yet reflected in the	No	$\boxtimes$
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.	1	
3	If there is more than one MA in your country, has a lead MA	Yes	
	been designated?	No	
		No information	
4	If Yes, please name that MA and indicate whether it is identified a	s the lead MA in the	)
	CITES Directory. Global Environment Division, Ministry of Enviror	nment, is identified a	as the

	lead MA		
5	How many staff work in each MA? 1~2 in each MA (10 in KFDA)		
6	Can you estimate the percentage of time they spend on CITES-	Yes	$\boxtimes$
	related matters?	No	
	If yes, please give estimation 30%	No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	olicable
	<ul><li>Administration</li></ul>		$\boxtimes$
	- Biology		
	<ul><li>Economics/trade</li></ul>		
	<ul><li>Law/policy</li></ul>		
	<ul><li>Other (specify)</li></ul>		$\boxtimes$
	<ul><li>No information</li></ul>		
8	Have the MA(s) undertaken or supported any research activities	Yes	
	in relation to CITES species or technical issues (e.g. labelling,	No	$\boxtimes$
	tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the kir	nd of research invol	lved.
10	Please provide details of any additional measures taken:		

page 6

### D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact	Yes	
	information for the SA(s) which are not yet reflected in the	No	$\boxtimes$
	CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the	Yes	
	Management Authority?	No	$\boxtimes$
		No information	
4	What is the structure of the SA(s)?	Tick if appl	licable
	Government institution		$\boxtimes$
	<ul> <li>Academic or research institution</li> </ul>		
	<ul> <li>Permanent committee</li> </ul>		
	<ul> <li>Pool of individuals with certain expertise</li> </ul>		
	<ul><li>Other (specify)</li></ul>		
5	How many staff work in each SA on CITES issues? 2~3 in each S	Α	

6	Can you e related ma	stimate the perc	on CITES-	Yes No				
	If yes, plea	ase give estimati	on 15%			No informat	ion 🗀	
7	What are t	the skills/expertis	se of staff within t	he SA(s)?	1	Tick	if applicable	
	<ul><li>Botany</li></ul>							
	<ul><li>Ecology</li></ul>	/						
	<ul><li>Forestr</li></ul>	y						
	<ul><li>Welfare</li></ul>	)						
	<ul><li>Zoology</li></ul>	/					$\boxtimes$	
	- Other (	specify)						
	<ul><li>No info</li></ul>	rmation						
8			s been undertak	en by the	SA(s) in	Yes	$\boxtimes$	
	relation to	CITES species?				No		
						No informat		
9		ase give the spec	cies name and pr		<u> </u>			
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)	
	Lutra Iutra		Distribution of Eurasian otter (Lutra lutra) in Korea					
	[Please continue on separate sheet, as necessary.] No information							
10			s for scientific res			Yes		
	submitted	to the Secretaria	it under Resolution	on Conf. 1	2.2?	No	$\boxtimes$	
						No informat	ion	
11	Please pro	ovide details of a	ny additional mea	asures tak	en:			

#### D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No	
		No information	
2	If No, please designate them here (with address, phone, fax ar	nd email).	
3	Is there a specialized unit responsible for CITES-related	Yes	$\boxtimes$
	enforcement (e.g. within the wildlife department, Customs,	No	
	the police, public prosecutor's office)?	Under consideration	
		No information	
4	If Yes, please state which is the lead agency for enforcement: I	Korea Customs Service	
5	Please provide details of any additional measures taken:		

#### D4 Communication, information management and exchange

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1	To what extent is 0	CITES in	nformation	n compute	rized?	?	Tick if applicable
	<ul> <li>Monitoring and</li> </ul>	reportir	ng of data	on legal t	rade		
	<ul> <li>Monitoring and</li> </ul>	reportir	ng of data	on illegal	trade		
	<ul> <li>Permit issuance</li> </ul>	$\boxtimes$					
	<ul><li>Not at all</li></ul>						
	<ul><li>Other (specify)</li></ul>						
2	Do the following a	uthoritie	s have ac	cess to th	e Inte	rnet?	Tick if applicable
	Authority	Yes, continuous and unrestricted access	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	$\boxtimes$					
	Scientific Authority	$\boxtimes$					
	Enforcement Authority	$\boxtimes$					
3	Is there an electron	nic info	mation sy	stem prov	viding	informa	ation on Yes 🖂
	CITES species?						No
							No information

4	If Yes, does it provide information on:		Tick if applicable			
	− Legislation (national, regional or international)?					
	Conservation status (national, regional,	international)?				
	Other (please specify)?					
5	Is it available through the Internet:		Ye	es 🖂		
			No			
			No	ot applicable		
			No	information		
	Please provide URL: http://hg.me.go.kr					
6	Do the authorities indicated have access to	the following pul	blications?	Tick if applicable		
	Publication	Management Authority	Scientific Authority	Enforcement Authority		
	2003 Checklist of CITES Species (book)					
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)					
	Identification Manual	$\boxtimes$				
	CITES Handbook	$\boxtimes$	$\boxtimes$	$\boxtimes$		
7	If not, what problems have been encounter	red to access this	information?			
8	Have enforcement authorities reported to to on:	he Management /	Authority	Tick if applicable		
	Mortality in transport?					
	– Seizures and confiscations?					
	<ul> <li>Discrepancies in number of items in per actually traded?</li> </ul>	mits and number	of items			
	Comments:					
9	Is there a government website with informa	ation on CITES ar	nd its Yes	$\boxtimes$		
	requirements?		No			
			No	information		
	If Yes, please give the URL: http://hg.me.go	o.kr				
10	Have CITES authorities been involved in a activities to bring about better accessibility of the Convention's requirements to the wide.	to and understan	,	Tick if applicable		
	Press releases/conferences			$\boxtimes$		
	Newspaper articles, radio/television ap	pearances				
	Brochures, leaflets			$\boxtimes$		
	<ul><li>Presentations</li></ul>					
	– Displays					
	<ul> <li>Information at border crossing points</li> </ul>			$\boxtimes$		

	Telephone hotline							
	- Other (specify)							
	Please attach copies of any items.							
11	Please provide details of any additional measures taken:							
D5 Pe	rmitting and registration	procedures						
1	Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?  No applicable No information  If no, please provide details of any:							
ļ.	Changes in permit form					•		
			of relevan	t officials:		• • • • • • • • • • • • • • • • • • • •		
2	Changes in designation or signatures of relevant officials:  To date, has your country developed written permit procedures for any of the following?					Tick if applicable		
		No	No information	n				
	Permit issuance/acceptane							
	Registration of traders							
	Registration of producers	$\boxtimes$						
3	Please indicate how many period? (Note that actual question refers to issued of	trade is reported						
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments		
	How many documents were issued?	4,324	3,720	109	0			
	How many applications were denied because of serious omissions or misinformation?	0	0	0	0			
	Year 2 How many documents were issued?	2,822	1,874	119	0			
	How many applications were denied because of serious omissions or misinformation?	0	0	0	0			
4	replaced because of serious omissions or misinformation?					Yes No		
5	If Voc. places give the rec	sons for this Mi	owriting is	o tormo of	validity	No information		
ວ	If Yes, please give the reas	50115 101 lf115. IVII	รพบแบน แ	ii leiiiis ot	valiully			

6	Please give the reasons for rejection of CITES documents from other countries.						licable
	Reason			Yes	No	No informati	on
	Technical violations						
	Suspected fraud		•				
	Insufficient basis for finding of non-de	triment	•				
	Insufficient basis for finding of legal ac	cquisitio	on				
	Other (specify)						
7	Are harvest and/or export quotas as a	mana	gement tool	in the		Yes	$\boxtimes$
	procedure for issuance of permits?					No	
						No information	
	Comments						
8	How many times has the Scientific Au		•		•	•	
9	Has the MA charged fees for permit is CITES activities?	ssuance	e, registratio	n or rel	ated	Tick if app	licable
	<ul><li>Issuance of CITES documents:</li></ul>						$\boxtimes$
	<ul> <li>Licensing or registration of operation</li> </ul>	ions tha	at produce (	CITES			
	species:						
	Harvesting of CITES-listed specie	S:					
	Use of CITES-listed species:  Assignment of guestes for CITES I	داداد:					님
	Assignment of quotas for CITES-I      Importing of CITES listed appairs	•	pecies:				님
	- Importing of CITES-listed species:						
10	Other (specify):  If Yes, please provide the amounts of such fees.						
10	1,000 KRW (for medicinal purposes)	Sucific					
11	Have revenues from fees been used	for the	implementa	tion of		Tick if app	licable
	CITES or wildlife conservation?		P				
	<ul><li>Entirely:</li></ul>						
	<ul><li>Partly:</li></ul>						
	<ul><li>Not at all:</li></ul>						
	<ul><li>Not relevant:</li></ul>						$\boxtimes$
	Comments:						
12	Please provide details of any add	litional	measures	taken:			
D6 Ca	pacity building						'!
DO Ca							
1	Have any of the following activities be effectiveness of CITES implementation				Э	Tick if appl	icable
	Increased budget for activities		Improveme	ent of n	ationa	I	
	Hiring of more staff			of techn	ical e	quipment for	
		_	monitoring				
	Development of implementation tools		Computeri	zation			$\boxtimes$

	Other (specify)						
2	Have the CITES authorities received building activities provided by extern			rom an	y of t	he fo	llowing capacity
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidanc	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority						
	Staff of Scientific Authority						Capacity-building workshop held in collaboration with the CITES Secretariat
	Staff of enforcement authorities						
	Traders						
	NGOs						
	Public						
	Other (specify)						
3	Have the CITES authorities been the activities?	provide	ers of a	ny of th	e foll	owin	g capacity building
	Please tick boxes to indicate which target group and which activity.  Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority		$\square$				
	Staff of Scientific Authority	$\boxtimes$	$\boxtimes$				
	Staff of enforcement authorities	$\boxtimes$					
	Traders		$\square$		$\boxtimes$		
	NGOs	$\boxtimes$			$\boxtimes$		
	Public				$\boxtimes$		
	Other parties/International meetings						
	Other (specify)						
4	Please provide details of any addition	nal mea	sures t	aken:			

# D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?							
							No	$\boxtimes$
							No informa	ation 🗌
2	If Yes, which agencies are represented and how often does it meet?							
3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. of SAs, Customs, police, others):							
	Daily Weekly Monthly Annually None No information						Other (specify)	
	Meetings							Whenever necessary
	Consultations							Whenever necessary
4	At the national level have there been any efforts to collaborate with:				Tick if	applicable	Details if available	
	Agencies for development and trade							
	Provincial, state or territorial authorities							consul- tations
	Local authorities or communities						$\boxtimes$	
	Indigenous peop	ples						
	Trade or other p	orivate s	ector asso	ciations			$\boxtimes$	
	NGOs							
	Other (specify)							
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?						if applicable	
	Scientific Authority							
	Customs							
	Police	1181181818181818181818181818				P148441484414841848418484		
	Other border au	ıthorities	(specify)					
	Other governme	ent agen	icies					
	Private sector be	odies				***************************************		
	NGOs							
	Other (specify)							

6	Have Government staff participated in any regional activities related to CITES?	Tick if appl	icable
	Workshops		
	Meetings		
	Other (specify)		
7	Has there been any effort to encourage any non-Party to	Yes	
	accede to the Convention?	No	$\boxtimes$
		No information	
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to another	Yes	
	country in relation to CITES?	No	$\boxtimes$
		No information	
10	If Yes, which country(ies) and what kind of assistance was provided	l?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	$\boxtimes$
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and reduce	Yes	
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the	No	$\boxtimes$
	biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

#### D8 Areas for future work

Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?						
	Activity	High	Medium	Low		
	Increased budget for activities	$\boxtimes$				
	Hiring of more staff	$\boxtimes$				
	Development of implementation tools	$\boxtimes$				
	Improvement of national networks		$\boxtimes$			
	Purchase of new technical equipment for monitoring and enforcement	$\boxtimes$				
	Computerization					
	Other (specify)					

2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the	Yes No	
	Parties?	No information	
3	If Yes, which one(s) and what is the main difficulty?		
	Resolution 13.7 "Control of trade in personal and household effect	ets"	
4	Have any constraints to implementation of the Convention arisen	Yes	
	in your country requiring attention or assistance?	No	
		No information	
5	If Yes, please describe the constraint and the type of attention or required.	assistance that is	
6	Have any measures, procedures or mechanisms been identified	Yes	
	within the Convention that would benefit from review and/or	No	$\boxtimes$
	simplification?	No information	
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		