Party	
Period covered in this report (e.g. 1 January 2003 to 31 December 2004)	1 January 2007 to 31 December 2008
Details of agency preparing this report	New Zealand Department of Conservation
Contributing agencies, organizations or individuals	Wildlife Enforcement Group (WEG)

A. General information

B. Legislative and regulatory measures

1	Has information on Cl ⁻ been provided under th		•			Yes (fully) Yes (partly)		
	Project?		National	Legislation	res (p No	artiy)		
	If yes, ignore question	s 2, 3 and	4.		-	ormatio	n/unknown	
2	If any CITES-relevant I		n planned draft				 e	
_	the following details:							0
	Title and date: Trade In EndangeredStatus:Species Act 1989							
	Brief description of con	ntents:						
3	Is enacted legislation available in one of the working							\boxtimes
	languages of the Conv	ention?			No			
					No info	ormatio	n	
4	If yes, please attach a	• •		•	legisla	tion atta	ached	
	or key legislative provi	sions that	were ga	zetted.	provided previously			\boxtimes
					not available, will send \Box			
					later			
5	Which of the following				ter	Ti	ick all applic	able
	domestic measures ad	-						
	accordance with Articl			-				
	-		ne condit	1		£	prohibition	
	Issue	Yes	No	No information	Yes	No	No informa	ition
	Trade							
	Taking							
	Possession							
	Transport							
	Other (specify)							
	Additional comments (Dur legisla	tion has	a stricter interp	retation o	of the p	ersonal effe	cts
	exemption, but we app	oly the reg	gulations	as per Article V	ll.3 of th	ie Conv	ention.	

6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?Tick all applicable						
	Item	Adequate	Partially Inadequate	Inadequate	No information		
	Powers of CITES authorities				\boxtimes		
	Clarity of legal obligations				\square		
	Control over CITES trade				\square		
	Consistency with existing policy on wildlife management and use						
	Coverage of law for all types of offences		\boxtimes				
	Coverage of law for all types of penalties				\boxtimes		
	Implementing regulations				\boxtimes		
	Coherence within legislation				\square		
	Other (please specify)				\boxtimes		
	Please provide details if availabl	e: No reviews	in past.				
7	If no review or assessment has	-	one	Yes			
	planned for the next reporting p	eriod?		No			
				No info	ormation 🛛		
	Please provide details if availabl						
8	Has there been any review of le subjects in relation to implemen	•	•	Tic	k all applicable		
	Subject		Yes	No	No information		
	Access to or ownership of natu	ral resources					
	Harvesting						
	Transporting of live specimens						
	Handling and housing of live spe	ecimens					
	Please provide details if availabl	e:					
9	Please provide details of any ad	ditional measu	res taken:				

		Yes	No		No rmation	
1	Have any of the following compliance monitoring operate	tions been u	underta	ken?		
	Review of reports and other information provided by traders and producers]		
	Inspections of traders, producers, markets	\square]		
	Border controls	\square]		
	Other (specify):]	\square	
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	\boxtimes]		
3	If Yes, please indicate how many and for what types of violations. If available, please attach details.					
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?					
5	If information available:		Number			
	Significant seizures/confiscations NO INFO A					
	Total seizures/confiscations 20					
	If possible, please specify per group of species or attach details.	2008: som	3379 (I e 2007			
6	Have there been any criminal prosecutions of significant CITES-related violations?					
7	If Yes, how many and for what types of violations? If a as Annex.	vailable, ple	ease att	ach d	etails	
8	Have there been any other court actions of CITES- related violations?					
9	If Yes, what were the violations involved and what were t as Annex.	the results?	Please	attach	n details	
10	How were the confiscated specimens usually disposed of	of?	Ticl	c if ap	plicable	
	 Return to country of export 				\boxtimes	
	 Public zoos or botanical gardens 				\bowtie	
	 Designated rescue centres 					
	 Approved, private facilities 					
	– Euthanasia					
	- Other (specify):					
	Comments:					

C. Compliance and enforcement measures

11	Has detailed information been provided to the Secretariat	Yes	\bowtie
	on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted	No	
	illegal traders and persistent offenders?	Not applicable	
		No information	
	Comments:		
12	Have there been any cooperative enforcement activities with	Yes	\bowtie
	other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No	
	support, investigative assistance, joint operation, etc./:	No information	
13	If Yes, please give a brief description:		
14	Have any incentives been offered to local communities to	Yes	
	assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No	
		No information	\square
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related	Yes	
	enforcement?	No	
		Not applicable	
		No information	\square
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet	Yes No	\boxtimes			
	reflected in the CITES Directory?	No information				
2	If Yes, please use the opportunity to provide those changes	here.				
3	If there is more than one MA in your country, has a lead	Yes	\boxtimes			
	MA been designated?	No				
		No information				
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory. Department of Conservation - National Office					
5	How many staff work in each MA? 1 full-time policy officer (Wellington); 2 full-time operational officers (Auckland); 2 part-time operational officers (Christchurch and Wellington - 20% each); 1 part-time legal advisor (Wellington - 10%)					

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6	Can you estimate the percentage of time they spend on CITES-related matters?	Yes	
		No No information	
	If yes, please give estimation: See above.		
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	licable
	– Administration		\boxtimes
	– Biology		
	– Economics/trade		\square
	– Law/policy		\square
	 Other (specify): Enforcement 		\square
	– No information		
8	Have the MA(s) undertaken or supported any research	Yes	\square
	activities in relation to CITES species or technical issues	No	
	(e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the involved. Technical issue - Review of Significant Trade proces		
10	Please provide details of any additional measures taken: None	•	

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No	
	in the CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes he	ere.	
3	Is the designated Scientific Authority independent from the	Yes	\boxtimes
	Management Authority?	No	
		No information	
4	What is the structure of the SA(s)?	Tick if app	licable
	- Government institution		
	 Academic or research institution 		
	 Permanent committee 		\bowtie
	 Pool of individuals with certain expertise 		\bowtie
	 Other (specify): 		
5	How many staff work in each SA on CITES issues? The Scien Committee currently has four members - one based at DOC an scientific institutes in NZ.	•	
6	Can you estimate the percentage of time they spend on	Yes	
	CITES-related matters	No	\bowtie
		No information	
	If yes, please give estimation:		

7	What are	the skills/expert	Tick	if applicable					
	– Botany						\square		
	- Ecology	/					\boxtimes		
	– Fisherie	es					\boxtimes		
	– Forestr	У					\boxtimes		
	- Welfare	9							
	– Zoology								
	– Other (specify): Entom	ology				\boxtimes		
	– No info	rmation							
8	Have any	research activit	ies been underta	aken by th	e SA(s) in	Yes	\boxtimes		
	relation to	CITES species	?			No			
						No informa	ition		
9	If Yes, ple involved.	ase give the sp	ecies name and	provide de	etails of the	e kind of rese	arch		
	Species name	Populations	Distribution	Off take	Legal trade	lllegal trade	Other (specify)		
	Hoploda ctylus rakiurae								
	Charcar adon charcari us								
			2	<u>.</u>	3	No informa	ition		
10	Have any	project proposa	Is for scientific	research b	een	Yes			
	submitted	to the Secretar	iat under Resolu	ution Conf	. 12.2?	No	\boxtimes		
						No informa	ition		
11	Please pro	vide details of a	any additional m	easures ta	aken:				

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No	\Box \boxtimes		
		No information			
2	If No, please designate them here (with address, phone, fax and email).				
3	Is there a specialized unit responsible for CITES-related	Yes	\boxtimes		
	enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	No			
	Customs, the police, public prosecutor's office/?	Under consideration			
		No information			
4	If Yes, please state which is the lead agency for enforcement: Wildlife Enforcement Group - a multi-agency group consisting of representatives from the Department of Conservation, Ministry of Agriculture and Forestry, and NZ Customs Service.				
5	Please provide details of any additional measures taken:				

D4 Communication, information management and exchange

1	To what extent is	CITES	informati	ion comp	uterize	ed?	Tick if applicable
	 Monitoring and 	l report	ing of dat	ta on lega	l trad	е	\square
	 Monitoring and 	l report	ing of dat	a on illeg	al trac	de	\boxtimes
	– Permit issuance	е					\boxtimes
	 Not at all 						
	 Other (specify) 	:					
2	Do the following a	? Tick if applicable					
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority						
	Scientific Authority						
	Enforcement Authority						
3	Is there an electro		ormation	system p	rovidiı	ng info	rmation Yes
	on CITES species	?					No
							No information

4	If Yes, does it provide information on:	Tick if appli	cable
	– Legislation (national, regional or international)?		\boxtimes
	- Conservation status (national, regional, international)?		
	 Other (please specify): 		
5	Is it available through the Internet:	Yes	\square
		No	
		Not applicable	
		No information	
	Please provide URL: www.doc.govt.nz/cites		

6	Do the authorities indicated have access publications?	Tick if applicable					
	Publication	Management Authority	Scientific Authority	Enforcement Authority			
	2003 Checklist of CITES Species (book)	CITES Species					
	2003 Checklist of CITES Species and Annotated Appendices (CD-ROM)			\boxtimes			
	Identification Manual	\square	\square	\boxtimes			
	CITES Handbook	\square	\square	\boxtimes			
7	If not, what problems have been encount	tered to access t	his information	on?			
8	Have Enforcement Authorities reported to Authority on:	o the Manageme	nt	Tick if applicable			
	– Mortality in transport?						
	– Seizures and confiscations?			\boxtimes			
	 Discrepancies in number of items in permits and number of items actually traded? 						
	Comments:						
9	Is there a government website with infor	mation on CITES	and Yes	\boxtimes			
	its requirements?		No				
			No ii	nformation			
	If Yes, please give the URL: www.doc.go	ovt.nz/cites					
10	Have CITES authorities been involved in a activities to bring about better accessibili understanding of the Convention's requir	ty to and	-	Tick if applicable			
	public?						
	 Press releases/conferences 			\boxtimes			
	 Newspaper articles, radio/television ap 	pearances		\boxtimes			
	 Brochures, leaflets 						
	– Presentations			\boxtimes			
	 Displays 			\boxtimes			
	 Information at border crossing points 						
	 Telephone hotline 						
	- Other (specify): DOC has a hotline, the	ough not specific	cally for CITE	S issues 🛛 🕅			
	Please attach copies of any items.						
11	Please provide details of any additional m	neasures taken:					

D5 Permitting and registration procedures

1	Have any changes in perr signatures of officials em certificates been reported		Yes No Not applicable No information				
	If no, please provide deta	ils of any:					
	- Changes in permit for						
	 Changes in designatio 	s:					
2	To date, has your country for any of the following?	y developed wr	itten peri	mit procec	lures	Tick if appli	cable
				Yes	No	No informatio	on
	Permit issuance/acceptan	се					
	Registration of traders						
	Registration of producers						
3	Please indicate how many period? (Note that actual question refers to issued	trade is reporte documents).					
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments	3
	How many documents were issued?	13 import	43	68		Some export permits were actually for re- exports; numbe here reflect act transactions	
	How many applications were denied because of serious omissions or misinformation?					Not known	
	Year 2 How many documents were issued?	27 import 1 IFS	58	88		Some export permits were actually for re- exports; numbe here reflect act transactions	
	How many applications were denied because of serious omissions or misinformation?			2		Not known	
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation? Yes Image: Comparison of the series of the ser						
5	If Yes, please give the rea	asons for this.					

6	Please give the reasons for rejection of CITES docum other countries.	Tick if applicable		
	Reason	Yes	No	No information
	Technical violations			
	Suspected fraud			
	Insufficient basis for finding of non-detriment			
	Insufficient basis for finding of legal acquisition			
	Other (specify):			
7	Are harvest and/or export quotas used as a manager	ment too	ol in	Yes
	the procedure for issuance of permits?			No
				No information
	Comments We don't export many native species - ve domestic laws.	ery close	ely reç	gulated by
8	How many times has the Scientific Authority been re	equested	d to p	rovide opinions? 51
9	Has the MA charged fees for permit issuance, registrelated CITES activities?	ration or		Tick if applicable
	 Issuance of CITES documents: 			\boxtimes
	 Licensing or registration of operations that produce 	ce CITES	S spe	cies
	 Harvesting of CITES-listed species 			
	 Use of CITES-listed species 			
	 Assignment of quotas for CITES-listed species 			
	 Importing of CITES-listed species 			
	 Other (specify): 			
10	If Yes, please provide the amounts of such fees. NZ late 2008); about NZ\$300 for export of captive-bred about NZ\$210 for certificate for multiple export of a \$420 in late 2008). Fee increase in 2008 was the f implemented in 1989.	l parrots rtificially	up t prop	o \$600 in late 2008); agated plants (up to
11	Have revenues from fees been used for the implement CITES or wildlife conservation?	ntation o	of	Tick if applicable
	– Entirely			
	– Partly			\boxtimes
	– Not at all			
	 Not relevant 			
	Comments:			
12	Please provide details of any additional measures tak	ien:		

D6 Capacity building

1	Have any of the following activities effectiveness of CITES implementation					ance	Tick if applicable	
	Increased budget for activities		Impro	ovemen	t of	natio	nal networks 🛛 🖂	
	Hiring of more staff		Purchase of technical equipment for					
			moni	toring/e	enfor	ceme	ent	
	Development of implementation tools		Comp	outeriza	ation			
	Other (specify):							
2	Have the CITES authorities receive building activities provided by exte			l from a	any c	of the	following capacity-	
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?	
	Staff of Management Authority	\square					CITES Secretariat	
	Staff of Scientific Authority	\square			\square		CITES Secretariat	
	Staff of enforcement authorities							
	Traders							
	NGOs							
	Public							
	Other (specify):							
3	Have the CITES authorities been th activities?	e provi	<i>ders</i> of	any of	the	follo	wing capacity-building	
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	
	Target group Staff of Management Authority	a C	ы П		Ч		Senior CITES Officer	
	Stan of Management Autionty	ĽN					to other CITES officers	
	Staff of Scientific Authority							
	Staff of enforcement authorities							

	Traders NGOs Public					Media articles, television programme ("Border Patrol"), website, brochures, info distributed at public events
	Other parties/International meetings					
	Other (specify)					
4	Please provide details of any additic	nal me	asures	taken:		

D7 Collaboration/cooperative initiatives

1	Is there an inter	r-agenc	y or inter-	sectoral co	ommittee or	CITES?	Yes	
		No informa	ation					
2	If Yes, which a	gencies	are repre	sented and	d how often	does it	meet?	
3	If No, please indicate the frequency of meetings or consultancies used by the Management Authority to ensure coordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings							
	Consultations			\square				
4	At the national collaborate with		ave there I	been any e	efforts to	Tick if	applicable	Details if available
	Agencies for de	evelopm	ent and t	rade			\boxtimes	
	Provincial, state							
	Local authoritie	s or co	mmunities	i			\boxtimes	
	Indigenous peoples							
	Trade or other private sector associations						\boxtimes	
	NGOs							
	Other (specify)							

5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?		cable
	Scientific Authority		
	Customs		\boxtimes
	Police		
	Other border authorities (specify): Ministry of Agriculture and Fo Biosecurity	prestry	
	Other government agencies		
	Private sector bodies		
	NGOs		
	Other (specify):		
6	Have government staff participated in any regional activities related to CITES?	Tick if appli	cable
	Workshops		\square
	Meetings		\square
	Other (specify):		
7	Has there been any effort to encourage any non-Party to	Yes	
	accede to the Convention?	No	
		No information	\square
8	If Yes, which one(s) and in what way?		
9	Has technical or financial assistance been provided to	Yes	
	another country in relation to CITES?	No	\square
		No information	
10	If Yes, which country(ies) and what kind of assistance was prov	vided?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	
		No information	\square
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve coordination and reduce	Yes	\square
	duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g.	No	
	the biodiversity-related conventions)?	No information	
14	If Yes, please give a brief description. Continuous liaison w/ foc MEAs.	al points for other	
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effective implementation at the national level and what is the respective				
	Activity	High	Medium	Low	
	Increased budget for activities		\square		
	Hiring of more staff		\square		
	Development of implementation tools				
	Improvement of national networks				
	Purchase of new technical equipment for monitoring and enforcement				
	Computerization				
	Other (specify):				
2	Were any difficulties encountered in implementing specific	Yes		\square	
	Resolutions or Decisions adopted by the Conference of the Parties?	No			
		No information			
3	If Yes, which one(s) and what is the main difficulty? Personal exemption; Introduction from the sea; Permits and certificates codes)				
4	Have any constraints to implementation of the Convention	Yes			
	arisen in your country requiring attention or assistance?	No		\boxtimes	
		No info	ormation		
5	If Yes, please describe the constraint and the type of attentio required.	n or assis	stance that	is	
6	Have any measures, procedures or mechanisms been	Yes		\square	
	identified within the Convention that would benefit from	No			
	review and/or simplification?	No information			
7	If Yes, please give a brief description. Personal effects exemption, but that is being addressed through the Standing Committee WG				
8	Please provide details of any additional measures taken:				

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments referred to in the report. For convenience, these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		