A. General information

Party	CITES Management Authority of Viet Nam		
Period covered in this report: 1 January 2003 to 31 December 2004	1 January 2005 to 31 December 2006		
Details of agency preparing this report	CITES Management Authority of Viet Nam, Ministry of Agriculture and Rural Development, 2 Ngoc Ha, Ba Dinh, Ha noi, Viet Nam; Tel: 84 7335676; Fax: 84 4 7335685		
Contributing agencies, organizations or individuals			

B. Legislative and regulatory measures

1	Has information on CIT been provided under the Project? If yes, ignore questions	ne CITES I	•	Yes (fully) Yes (partly) No No information/unknown					
2	If any CITES-relevant I the following details:	If any CITES-relevant legislation has been planned, drafted the following details:					lease provid	е	
	Title and date:		S	Status:					
	Brief description of cor	ntents:							
3	Is enacted legislation a languages of the Conv	n available in one of the working nvention?				Yes No No information			
4	If yes, please attach a key legislative provisio	• •	•	provi	ation at ded pre vailable				
5	_	ng issues are addressed by any stricter adopted for CITES-listed species (in accordance he Convention)? Tick all applications				able			
		The conditions for:			The co	mplete	prohibition	of:	
	Issue	Yes	No	No information	Yes	No	No informa	ition	
	Trade								
	Taking	\boxtimes							
	Possession	\boxtimes							
	Transport	\boxtimes							
	Other (specify)								

	Additional comments According to the lesgislation, Viet Nam prohibits trading, taking, possessing, transport or using all species taken from the wild for commercial purpose listed in Group I of the Government Decress No. 32/2006/ND-CP of March 30 th, 2006 on the Management of Endangered, Precious, Rare Fauna and Flora and CITES Appendice I species.					
6	What were the results of any re effectiveness of CITES legislation items?					ick all applicable
	Item	Adequate	Parti Inaded	•	Inadequate	No information
	Powers of CITES authorities	\boxtimes				
	Clarity of legal obligations	\boxtimes				
	Control over CITES trade	\boxtimes				
	Consistency with existing policy on wildlife management and use					
	Coverage of law for all types of offences		\boxtimes			
	Coverage of law for all types of penalties	\boxtimes				
	Implementing regulations	\boxtimes				
	Coherence within legislation	\boxtimes				
	Other (please specify):					
	Please provide details if availab Decree No. 82/2006/ND-CP da Import, Re-export, Introduction Artification Propagation of Enda (replaced the Government Decree Administration of Imports, Exportant Flora	ted of Augus from the sea angered, Precidee No. 11/200	st 10th, , Transit ous, Rar 02/ND-C	2006 , Capt e Spec P of .	on Manage tive Breeding cies of Wild January 22r	ment of Export, g, Ranching and Fauna and Flora d, 2002 on the
7	If no review or assessment has	taken place, is	one pla	nned	Yes	
	for the next reporting period?				No	
					No in	formation 🔲
	Please provide details if available					
8	Has there been any review of le in relation to implementation of	-		ing su	bjects I	ick all applicable
	Subject			Yes	No	No information
	Access to or ownership of natural	ral resources		\boxtimes		
	Harvesting			\boxtimes		
	Transporting of live specimens					
	Handling and housing of live spe	ecimens				
	Please provide details if available	e:				

Please provide details of any additional measures taken: Domestic transportation of specimens listed in CITES appendices and in the Government Decree No. 32/2006/ND-CP need to apply transportation liciense from provicial Forest Protection Department.

C. Compliance and enforcement measures

		Yes	No info	No rmation	
1	Have any of the following compliance monitoring opera	tions been u	ındertaken?		
	Review of reports and other information provided by traders and producers:	\boxtimes			
	Inspections of traders, producers, markets	\boxtimes			
	Border controls				
	Other (specify)				
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?				
3	If Yes, please indicate how many and for what types of violations? If available, please attach details. All the violation in transporting, trading, taking species of CITES appendice I species accuse of 6 months to 5 years imprisonment with the fine from 5.000.000 VND to 50.000.000 VND.				
	All violation of CITES appendice II species must be fined up to 30.000.000 VND				
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?				

5	If information available:		Number	
	Significant seizures/confiscations		382	
	Total seizures/confiscations If possible, please specify per group of species or attach details.	confise violate appen includir Panther thibetanu Naja naja, Two of procecute imprison, years imprison, years imprison of the of from 20	pecimens a cated. Mostion are CIT dice speciment g Pathera tra pardus, Us, Manis jaw Varanus sate of the whone procecutions and ted 24 mones imprison; ffenders are 0.000 VND 000.000 VND	et of ES leens igris, Jrsus vanica, alvator . ere nole-life uted 23 4 were ths to most fined up to
6	Have there been any criminal prosecutions of significant CITES-related violations?	\boxtimes		
7	If Yes, how many and for what types of violations? If available as Annex. see 5	ailable, plea	se attach d	etails
8	Have there been any other court actions of CITES-related violations?			
9	If Yes, what were the violations involved and what were the as Annex.	e results? F	Please attach	details
10	How were the confiscated specimens usually disposed of	?	Tick if ap	plicable
	 Return to country of export 	\\		\leq
	 Public zoos or botanical gardens 			
İ	 Designated rescue centres 			\leq
	 Approved, private facilities 			
	– Euthanasia			\leq
	- Other (specify)			
	Comments:			
11	Has detailed information been provided to the Secretariat significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicible illegal traders and persistent offenders?	ted No Not	applicable nformation	
	Comments:			
12	Have there been any cooperative enforcement activities v	with Yes		

	other countries	No	
	(e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	No information	
13	If Yes, please give a brief description: in exchanging information two Otang - utan to Indonesia and two turtles to Cambodia	on, Viet Nam retur	ned
14	Have any incentives been offered to local communities to	Yes	\boxtimes
	assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	No	
	the arrest and conviction of offenders:	No information	
15	If Yes, please describe: Policy to buy intelligent information		
16	Has there been any review or assessment of CITES-related	Yes	
	enforcement?	No	
		Not applicable	
		No information	
	Comments:		
17	Please provide details of any additional measures taken:		

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet	Yes No	$\boxtimes \Box$
	reflected in the CITES Directory?	No information	
2	If Yes, please use the opportunity to provide those changes he officers desinated to sign CITES Documents in Southern Representation of the Name of th		
3	If there is more than one MA in your country, has a lead MA been designated?	Yes No No information	
4	If Yes, please name that MA and indicate whether it is identific CITES Directory.	ed as the lead MA	in the
5	How many staff work in each MA? total 9 staffs		

6	Can you estimate the percentage of time they spend on CITES-related matters?	Yes No	
	If yes, please give estimation 8 hours per day	No information	
7	What are the skills/expertise of staff within the MA(s)?	Tick if app	licable
	- Administration		
	- Biology		
	Economics/trade		
	Law/policy		
	Other (specify)		
	 No information 		
8	Have the MA(s) undertaken or supported any research	Yes	
	activities in relation to CITES species or technical issues	No	\boxtimes
	(e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	No information	
9	If Yes, please give the species name and provide details of the involved.	kind of research	
10	Please provide details of any additional measures taken:		

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or	Yes		
	contact information for the SA(s) which are not yet reflected	No		
	in the CITES Directory?	No information		
2	If Yes, please use the opportunity to provide those changes he agencies are designated to be scientific authorities dicribed un		nore	
	1. the Insitute of Sea Products Reseach under former Ministry	of Fishery		
	224 Le Lai Street, Hai Phong city, Viet Nam.			
	2. The Institute of Forestry Sciences under Ministry of Agriculture and Rural Development			
	Dong Ngac Village , Tu Liem District, Ha Noi Viet Nam			
3	Is the designated Scientific Authority independent from the	Yes	\boxtimes	
	Management Authority?	No		
		No information		
4	What is the structure of the SA(s)?	Tick if app	licable	
	 Government institution 			
	 Academic or research institution 		\boxtimes	
	 Permanent committee 			
	 Pool of individuals with certain expertise 			
	Other (specify)			

5	How man	y staff work in e	each SA on CIT	ES issues?	?		
6	,	estimate the pated matters	ercentage of t	ime they	spend on	Yes No	
		ase give estima	tion			-	
						No informa	
7		the skills/expert	ise of staff with	in the SA	(s)?	lick	if applicable
	– Botany						
	- Ecology	•					
	– Fisherie	es					\boxtimes
	Forestr	У					\boxtimes
	 Welfare 	е					
	- Zoolog	У					\boxtimes
	- Other (specify)					
	– No info	ormation					
8		research activit		aken by th	ne SA(s) in	Yes	
	relation to	CITES species?	?			No	
						No informa	tion 🖂
9	If Yes, ple involved.	ease give the sp	ecies name and	provide d	etails of the	kind of resea	arch
	Species	Populations	Distribution	Off	Legal	Illegal	Other
	name	Fopulations	Distribution	take	trade	trade	(specify)
	[Please co	ntinue on separ	ate sheet, as ne	ecessary.]		No informa	tion
10	Have any	project proposa	ls for scientific	research b	een	Yes	
	submitted	to the Secretar	iat under Resolu	ution Conf	. 12.2?	No	
						No informa	tion 🖂
11	Please pro	vide details of a	any additional m	easures ta	aken:		

D3 Enforcement Authorities

Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES? No information If No, please designate them here (with address, phone, fax and email). Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)? Under consideration No information If Yes, please state which is the lead agency for enforcement: Under ASEAN WEN Please provide details of any additional measures taken:						
No information If No, please designate them here (with address, phone, fax and email). Is there a specialized unit responsible for CITES-related Yes enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)? Under consideration No information If Yes, please state which is the lead agency for enforcement: Under ASEAN WEN	1	authorities that have been designated for the receipt of				
3 Is there a specialized unit responsible for CITES-related Yes enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)? Under consideration No information 4 If Yes, please state which is the lead agency for enforcement: Under ASEAN WEN			No information			
enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)? Under consideration No information 4 If Yes, please state which is the lead agency for enforcement: Under ASEAN WEN	2	If No, please designate them here (with address, phone, fax	and email).			
<u> </u>	3	enforcement (e.g. within the wildlife department,	No Under consideration			
5 Please provide details of any additional measures taken:	4	If Yes, please state which is the lead agency for enforcement: Under ASEAN WEN				
	5	Please provide details of any additional measures taken:				

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?					Tick if applicable	
	 Monitoring and reporting of data on legal trade 						
	- Monitoring and	l report	ing of dat	ta on illeg	al trad	de	
	- Permit issuance	е					
	- Not at all						
	- Other (specify)						
2	Do the following a	authorit	ties have	access to	the I	nternet	? Tick if applicable
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	\boxtimes					
	Scientific Authority	\boxtimes					
	Enforcement Authority	\boxtimes					

3	Is there an electronic information system on CITES species?	providing inform		Yes	
	on on cores:			lo .	
				10	
			II	nformation	
4	If Yes, does it provide information on:			Tick if applic	cable
	– Legislation (national, regional or intern	ational)?			\boxtimes
	 Conservation status (national, regional) 	I, international)?			
	– Other (please specify)?				
5	Is it available through the Internet:		Υ	es es	\boxtimes
			N	٧o	
			N	Not applicable	
		N	No		
			ir	nformation	
	Please provide URL: www.kiemlam.org.v	'n			
6	Do the authorities indicated have access publications?	to the following		Tick if applic	able
	Publication	Management Authority	Scientific Authority		
	2003 Checklist of CITES Species	X			· ,
	(book)				
	2003 Checklist of CITES Species and	\square			
	Annotated Appendices (CD-ROM)				
	Identification Manual	\boxtimes			
	CITES Handbook				
7	If not, what problems have been encoun-	tered to access t	his informa	ation?	
8	Have enforcement authorities reported to Authority on:	the Managemer	nt	Tick if appl	icable
	– Mortality in transport?				
	– Seizures and confiscations?				\boxtimes
	Discrepancies in number of items in portions in portions.	ermits and numb	er of items		
	actually traded?				
	Comments:				_
9	Is there a government website with infor	mation on CITES	and Ye	es	\boxtimes
	its requirements?		No)	
			No	information	
	If Yes, please give the URL: www.kiemla	am.org.vn			

10	Have CITES authorities been involved in any of tactivities to bring about better accessibility to an understanding of the Convention's requirements public?	nd		Tick if applic	cable
	 Press releases/conferences 				\boxtimes
	- Newspaper articles, radio/television appeara	nces			\boxtimes
	- Brochures, leaflets				\boxtimes
	- Presentations				
	– Displays				
	 Information at border crossing points 				
	Telephone hotline				
	- Other (specify)				
	Please attach copies of any items.				
11	Please provide details of any additional measures	s taken:			
D5 Pei	rmitting and registration procedures				
1	Have any changes in permit format or the design	ation and		Yes	
	signatures of officials empowered to sign CITES permits/certificates been reported previously to the signature.	ha Sacrata	riot2	No	
	permits/certificates been reported previously to the	ne Secreta	iiiat:	Not applicable No information	H
	If no, please provide details of any:			No information	
	Changes in permit format: use the format 13th	revised a	t COP		
	Changes in designation or signatures of re				
	Mentioned above and in the letter sent to CITI September 4th, 2007	ES Secrea	riat of		
2	To date, has your country developed written perfor any of the following?	mit proced	ures	Tick if applicable	9
		Yes	No	No information	on
	Permit issuance/acceptance				
	Registration of traders				
	Registration of producers		\square		

3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re- export	Other	Comments
	How many documents were issued?	0	1282	178	95	others means import permit
	How many applications were denied because of serious omissions or misinformation?					refuse to issue permits but not keep the data
	Year 2 How many documents were issued?	0				
	How many applications were denied because of serious omissions or misinformation?					
4		y CITES documents that were issued later cancelled and because of serious omissions or misinformation?				Yes No No information
5	If Yes, please give the r because of mis-typing of specimens during export	r because the e	exporters		-	
6	Please give the reasons for other countries.	or rejection of (CITES do	cuments f	rom	Tick if applicable
	Re	ason		Yes	No	No information
	Technical violations					
	Suspected fraud					
	Insufficient basis for findi	ng of non-detri	ment			
	Insufficient basis for findi	ng of legal acq	uisition			
	Other (specify)					<u> </u>
7	Are harvest and/or exporprocedure for issuance of	•	anageme	ent tool in	the	Yes No No information
	Comments export quota of CITES appendice I spe		tive - br	eeding op	eration	
8	How many times has the Scientific Authority been requested to provide opinions? All cases if there is an importation of live specimens in order to put in captive -bred operations					

9	Has the MA charged fees for permirelated CITES activities?	t issuar	nce, registration or	Tick if appli	cable
	 Issuance of CITES documents: 				
	 Licensing or registration of opera species: 	ations t	that produce CITES		
	 Harvesting of CITES-listed speci 	es:			
	Use of CITES-listed species:				
<u> </u>	 Assignment of quotas for CITES 	-listed	species:		
	 Importing of CITES-listed specie 		•		
	Other (specify):				
10	If Yes, please provide the amounts	of such	n fees.		
11	Have revenues from fees been used CITES or wildlife conservation?			Tick if appli	cable
	- Entirely:				
	– Partly:				
	– Not at all:				\Box
	Not relevant:				
	Comments:				
12	Please provide details of any add	litional	measures taken:		
	·				
D6 Ca	pacity building				
1	Have any of the following activities effectiveness of CITES implementa			Tick if applic	cable
	Increased budget for activities		Improvement of national networks		
	Hiring of more staff		Purchase of technical equip monitoring/enforcement	ment for	
	Development of implementation tools		Computerization		
	Other (specify)				

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
	Staff of Management Authority	\boxtimes					
	Staff of Scientific Authority	\boxtimes					
	Staff of enforcement authorities						
	Traders						
	NGOs		\boxtimes				
	Public						
	Other (specify)						
3	Have the CITES authorities been the activities?	e <i>provi</i> e	<i>ders</i> of	any of	the	follo	wing capacity building
	Please tick boxes to indicate which target group and which activity. Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	\boxtimes	\boxtimes		\boxtimes		
	Staff of Scientific Authority						
	Staff of enforcement authorities						
	Traders						
	NGOs			\boxtimes			from govermnet
	Public						
	Other parties/International meetings						
	Other (specify)						
4	Please provide details of any addition	nal me	asures	taken:			

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES? Yes							
							No	
							No inform	ation 🗌
2	If Yes, which a meet?	agencies	are repre	esented an	d how ofte	n does i	t	
3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings				\boxtimes			
	Consultations							depends on case by case basic
4	At the national collaborate with		ive there b	peen any 6	efforts to	Tick if	applicable	Details if available
	Agencies for development and trade						\boxtimes	
	Provincial, state	e or terr	itorial autl	horities				
	Local authoritie	s or cor	nmunities					
	Indigenous peo	ples						
	Trade or other p	private	sector ass	ociations				
	NGOs							
	Other (specify)							
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?							
	Scientific Author	ority						
	Customs							
	Police							
	Other border au	uthoritie	s (specify)				
	Other governme	ent age	ncies					
	Private sector b	odies						
	NGOs							\boxtimes
	Other (specify)							

6	Have Government staff participated in any regional activities related to CITES?	Tick if appli	cable
	Workshops		\boxtimes
	Meetings		\boxtimes
	Other (specify)		
7	Has there been any effort to encourage any non-Party to	Yes	
	accede to the Convention?	No	
		No information	
8	If Yes, which one(s) and in what way? Attend ASEAN and A Great Mekongsub-regional workshop on CITES enforcement; experience between CITES MA of Viet Nam and China in enforcement capacity.	meeting and sh	naring
9	Has technical or financial assistance been provided to	Yes	
	another country in relation to CITES?	No	
		No information	
10	If Yes, which country(ies) and what kind of assistance was prov	vided?	
11	Has any data been provided for inclusion in the CITES	Yes	
	Identification Manual?	No	
		No information	
12	If Yes, please give a brief description.		
13	Have measures been taken to achieve co-ordination and	Yes	
	reduce duplication of activities between the national authorities for CITES and other multilateral environmental	No	
	agreements (e.g. the biodiversity-related Conventions)?	No information	
14	If Yes, please give a brief description.		
15	Please provide details of any additional measures taken:		

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?							
	Activity	High	Medium	Low				
	Increased budget for activities							
	Hiring of more staff							
	Development of implementation tools							
	Improvement of national networks							
	Purchase of new technical equipment for monitoring and enforcement			\boxtimes				
	Computerization	\boxtimes						
	Other (specify)							
2	Were any difficulties encountered in implementing specific	Yes		\boxtimes				
	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? If Yes, which one(s) and what is the main difficulty? In or decisions adopted by the Conference of the Parties pro	No						
	Parties?	No info	rmation					
3	If Yes, which one(s) and what is the main difficulty? In order to implement resolution or decisions adopted by the Conference of the Parties properly need a lot of personel as well as big budget. Staffs in CITES MA are changeable and do not obtain enoug training on CITES related issues. The CITES MA of Viet Nam face a lot of difficulties i understanding Resolutions and Decisions properly because of language barrier.							
4	Have any constraints to implementation of the Convention	Yes		\boxtimes				
	arisen in your country requiring attention or assistance?	No						
		No info	rmation					
5	If Yes, please describe the constraint and the type of attention required. Traning course on CITES fundermental; techique on appendice species; intellegent detectvv							
6	Have any measures, procedures or mechanisms been	Yes						
	identified within the Convention that would benefit from	No						
	review and/or simplification?	No info	rmation					
7	If Yes, please give a brief description.							
8	Please provide details of any additional measures taken:							

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item		
B4	Copy of full text of CITES-relevant legislation	Enclosed	
		Not available	
		Not relevant	
C3	Details of violations and administrative measures imposed	Enclosed	
		Not available	
		Not relevant	
C5	Details of specimens seized, confiscated or forfeited	Enclosed	
		Not available	
		Not relevant	
C7	Details of violations and results of prosecutions	Enclosed	
		Not available	
		Not relevant	
C9	Details of violations and results of court actions	Enclosed	
		Not available	
		Not relevant	
D4(10)	Details of nationally produced brochures or leaflets on CITES	Enclosed	
	produced for educational or public awareness purposes	Not available	
		Not relevant	
	Comments		