



NOTIFICATION TO THE PARTIES

CONCERNING:

Saint Lucia's Biennial Report: January 2005 to December 2006

NB. Please note that the biennial report template for 2003/2004 is being utilised. As such, some fields (such as ("A. General Information")) from the old template are fixed and do not allow for the input of the years under consideration.

Party	Saint Lucia
Period covered in this report: 1 January 2003 to 31 December 2004	Actual period covered in this report is Janaury 2005 to December 2006
Details of agency preparing this report	The Department of Fisheries is one of two Scientific Authorities under CITES.
Contributing agencies, organizations or individuals	Department of Forestry, one of two Scientific Authorities.

1	Has information on CITES-relevant legislation already been provided under the CITES National Legislation Project? If yes, ignore questions 2, 3 and 4.	Yes (fully) Yes (partly) No No information/unknown	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If any CITES-relevant legislation has been planned, drafted or enacted, please provide the following details:		
	Title and date: International Trade in Wild Fauna and Flora Act of Saint Lucia (Draft)	Status: The legislation has gone through three Parliament readings and has also been submitted to the Senate. It is expected to go to the Governor General and through the process of publication shortly	
	Brief description of contents: Sections included: preliminary, regulation of international trade, administrative bodies, registration, exemptions, offences and penalties, enforcement and miscellaneous. Legislation is not enacted.		
3	Is enacted legislation available in one of the working languages of the Convention?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If yes, please attach a copy of the full legislative text or key legislative provisions that were gazetted.	legislation attached provided previously not available, will send later	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
5	Which of the following issues are addressed by any stricter domestic measures adopted for CITES-listed species (in accordance with Article XIV of the Convention)? Tick all applicable		
		The conditions for:	The complete prohibition of:
	Issue	Yes No No information	Yes No No information
	Trade	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Taking	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Possession	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
	Transport	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	Other (specify)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

	Additional comments : Relevant legislation with regards to CITES, such as the Fisheries Act No. 10 of 1994 and others, are listed in the draft CITES law which has been submitted to the Secretariat.					
6	What were the results of any review or assessment of the effectiveness of CITES legislation, with regard to the following items?			Tick all applicable		
	Item	Adequate	Partially Inadequate	Inadequate	No information	
	Powers of CITES authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Clarity of legal obligations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Control over CITES trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Consistency with existing policy on wildlife management and use	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of offences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coverage of law for all types of penalties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Implementing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Coherence within legislation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Please provide details if available: The CITES law is still in draft and has not yet been enacted. Therefore, there have been no reviews or assessments of the legislation.					
7	If no review or assessment has taken place, is one planned for the next reporting period?			Yes <input type="checkbox"/>	No <input type="checkbox"/>	No information <input checked="" type="checkbox"/>
	Please provide details if available: Once the law is enacted, a period of application (at least 1 to 2 years) would be required prior to review or assessment.					
8	Has there been any review of legislation on the following subjects in relation to implementation of the Convention?			Tick all applicable		
	Subject	Yes	No	No information		
	Access to or ownership of natural resources	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Harvesting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Transporting of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
	Handling and housing of live specimens	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		

	<p>Please provide details if available: Legislation has not yet been enacted. There are other initiatives that may complement the implementation of CITES, but were not necessarily done specifically for that purpose: For example:</p> <p>In the year 2000, Saint Lucia submitted a document to the CBD Secretariat entitled: "Intellectual Property and Traditional Knowledge Related to Genetic Resources". The issues raised in this document are to be incorporated into the proposed Biodiversity Act, which will contain provisions that guide access to genetic resources.</p> <p>It is recognized that new legislation should be enacted under the various articles of the CBD, especially Article 8. This will allow for holders of traditional knowledge to be identified for equitable sharing of benefits derived from the use of this knowledge.</p> <p>A draft Plant Variety Protection (PVP) Act exists, but additional legislation may be required. This is to ensure that indigenous communities have continued access to genetic resources and traditional knowledge for their use.</p> <p>A revision process is underway for the Fisheries Act No. 10 of 1984 and the Fisheries Regulations No. 9 of 1994. This legislation contains domestic measures for CITES listed species including queen conch, sea turtles and coral, as well as provisions that deal with import and export of fish.</p>
9	Please provide details of any additional measures taken:

C. Compliance and enforcement measures

		Yes	No	No information
1	Have any of the following compliance monitoring operations been undertaken?			
	Review of reports and other information provided by traders and producers:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inspections of traders, producers, markets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Border controls	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	If Yes, please indicate how many and for what types of violations? If available, please attach details.			
4	Have any significant seizures, confiscations and forfeitures of CITES specimens been made?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	If information available: <input type="checkbox"/> Significant seizures/confiscations <input type="checkbox"/> Total seizures/confiscations If possible, please specify per group of species or attach details.	Number		
6	Have there been any criminal prosecutions of significant CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

7	If Yes, how many and for what types of violations? If available, please attach details as Annex.		
8	Have there been any other court actions of CITES-related violations?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9	If Yes, what were the violations involved and what were the results? Please attach details as Annex.		
10	How were the confiscated specimens usually disposed of?	Tick if applicable	
	– Return to country of export	<input type="checkbox"/>	
	– Public zoos or botanical gardens	<input type="checkbox"/>	
	– Designated rescue centres	<input type="checkbox"/>	
	– Approved, private facilities	<input type="checkbox"/>	
	– Euthanasia	<input type="checkbox"/>	
	– Other (specify)	<input type="checkbox"/>	
	Comments:		
11	Has detailed information been provided to the Secretariat on significant cases of illegal trade (e.g. through an ECOMESSAGE or other means), or information on convicted illegal traders and persistent offenders?	Yes	<input type="checkbox"/>
No		<input type="checkbox"/>	
Not applicable		<input checked="" type="checkbox"/>	
No information		<input type="checkbox"/>	
	Comments:		
12	Have there been any cooperative enforcement activities with other countries (e.g. exchange of intelligence, technical support, investigative assistance, joint operation, etc.)?	Yes	<input type="checkbox"/>
No		<input checked="" type="checkbox"/>	
No information		<input type="checkbox"/>	
13	If Yes, please give a brief description:		
14	Have any incentives been offered to local communities to assist in the enforcement of CITES legislation, e.g. leading to the arrest and conviction of offenders?	Yes	<input type="checkbox"/>
No		<input checked="" type="checkbox"/>	
No information		<input type="checkbox"/>	
15	If Yes, please describe:		
16	Has there been any review or assessment of CITES-related enforcement?	Yes	<input type="checkbox"/>
No		<input checked="" type="checkbox"/>	
Not applicable		<input type="checkbox"/>	
No information		<input type="checkbox"/>	
	Comments: No specific assessment, but it has been recognized by the CITES committee that training and strengthening are required for effective enforcement. This will follow shortly after the new CITES Act is enacted.		

17	Please provide details of any additional measures taken: A proposal seeking funding for CITES sensitisation and awareness has been prepared and submitted for funding. If successful, this will facilitate enhanced compliance and enforcement measures.
----	--

D. Administrative measures

D1 Management Authority (MA)

1	Have there been any changes in the designation of or contact information for the MA(s) which are not yet reflected in the CITES Directory?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here. The name of the MA is now: Ministry of Agriculture, Lands, Fisheries and Forestry	
3	If there is more than one MA in your country, has a lead MA been designated?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
4	If Yes, please name that MA and indicate whether it is identified as the lead MA in the CITES Directory.	
5	How many staff work in each MA? There are no staff specifically dedicated to CITES only. There are staff members from the Management Authority that sit on the CITES committee, including a representative from the Veterinary Unit, Crop Protection Unit and Biodiversity Office. Of course, the two SAs, the Departments of Fisheries and Forestry, are departments under the MA.	
6	Can you estimate the percentage of time they spend on CITES-related matters? If yes, please give estimation	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
7	What are the skills/expertise of staff within the MA(s)?	Tick if applicable
	– Administration	<input checked="" type="checkbox"/>
	– Biology	<input checked="" type="checkbox"/>
	– Economics/trade	<input checked="" type="checkbox"/>
	– Law/policy	<input checked="" type="checkbox"/>
	– Other (specify)	<input type="checkbox"/>
	– No information	<input type="checkbox"/>
8	Have the MA(s) undertaken or supported any research activities in relation to CITES species or technical issues (e.g. labelling, tagging, species identification) not covered in D2(8) and D2(9)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.
10	Please provide details of any additional measures taken: The MA supports the work of CITES through in-kind assistance of employed staff. However, funds for hiring CITES-dedicated staff are limited and existing staff have heavy work loads, including, but not limited to CITES.

D2 Scientific Authority (SA)

1	Have there been any changes in the designation of or contact information for the SA(s) which are not yet reflected in the CITES Directory?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
2	If Yes, please use the opportunity to provide those changes here.		
3	Is the designated Scientific Authority independent from the Management Authority?	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
4	What is the structure of the SA(s)?	Tick if applicable	
	– Government institution		<input checked="" type="checkbox"/>
	– Academic or research institution		<input type="checkbox"/>
	– Permanent committee		<input type="checkbox"/>
	– Pool of individuals with certain expertise		<input type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
5	How many staff work in each SA on CITES issues? No staff specifically dedicated to CITES only.		
6	Can you estimate the percentage of time they spend on CITES-related matters If yes, please give estimation	Yes No No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
7	What are the skills/expertise of staff within the SA(s)?	Tick if applicable	
	– Botany		<input checked="" type="checkbox"/>
	– Ecology		<input checked="" type="checkbox"/>
	– Fisheries		<input checked="" type="checkbox"/>
	– Forestry		<input checked="" type="checkbox"/>
	– Welfare		<input type="checkbox"/>
	– Zoology		<input checked="" type="checkbox"/>
	– Other (specify)		<input type="checkbox"/>
	– No information		<input type="checkbox"/>
8	Have any research activities been undertaken by the SA(s) in relation to CITES species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

9	If Yes, please give the species name and provide details of the kind of research involved.						
	Species name	Populations	Distribution	Off take	Legal trade	Illegal trade	Other (specify)
	Strombus gigas	Not known	Generally North and south of island				Some biological data was collected between 1996 and 1999, including lip thickness, length, sex. etc.
	Demochelys coriacea	Not known	Generally occurs on east coast of island				Monitoring of sea turtle nesting, especially Leatherback nesting is done on the Grande Anse Beach by the Desbarras Sea Turtle Watch Group, in collaboration with the Department of Fisheries (length, sex, no. of eggs, etc.) as part of a turtle watching nature tourism

	Iguana iguana	Not known	Largest occurrence on northeast coast of island at Louvette, Cailla des and Grande Anse				initiative Monitorin g underway on northeast coast of island, between Fond d'Or and Dauphin
	Amazon a versicol or	800 +/- for the island (2000 survey)					Another survey is to take place shortly
	[Please continue on separate sheet, as necessary.]					No information	<input type="checkbox"/>
10	Have any project proposals for scientific research been submitted to the Secretariat under Resolution Conf. 12.2?					Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
11	Please provide details of any additional measures taken: Saint Lucia developed a funding proposal for the Assessment of Queen Conch in national waters, which was submitted to Secretariat and other organizations.						

D3 Enforcement Authorities

1	Has the Secretariat been informed of any enforcement authorities that have been designated for the receipt of confidential enforcement information related to CITES?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2	If No, please designate them here (with address, phone, fax and email).		
3	Is there a specialized unit responsible for CITES-related enforcement (e.g. within the wildlife department, Customs, the police, public prosecutor's office)?	Yes No Under consideration No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4	If Yes, please state which is the lead agency for enforcement:		
5	Please provide details of any additional measures taken: The Secretariat has been provided with an updated list of enforcement agencies under CITES.		

D4 Communication, information management and exchange

1	To what extent is CITES information computerized?						Tick if applicable
	– Monitoring and reporting of data on legal trade						<input type="checkbox"/>
	– Monitoring and reporting of data on illegal trade						<input type="checkbox"/>
	– Permit issuance						<input type="checkbox"/>
	– Not at all						<input type="checkbox"/>
	– Other (specify)						<input checked="" type="checkbox"/>
2	Do the following authorities have access to the Internet?						Tick if applicable
	Authority	Yes, continuous and unrestricted	Yes, but only through a dial-up connection	Yes, but only through a different office	Some offices only	Not at all	Please provide details where appropriate
	Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	In relation to question 1 above: Annual reports, reports of meetings, presentations, draft legislation, funding proposals, research work are largely available electronically.
	Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Enforcement Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
3	Is there an electronic information system providing information on CITES species?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>

4	<p>If Yes, does it provide information on:</p> <ul style="list-style-type: none"> – Legislation (national, regional or international)? – Conservation status (national, regional, international)? – Other (please specify)? 	<p>Tick if applicable</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>																				
5	<p>Is it available through the Internet:</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>Please provide URL:</p>																					
6	<p>Do the authorities indicated have access to the following publications?</p> <table border="1"> <thead> <tr> <th>Publication</th> <th>Management Authority</th> <th>Scientific Authority</th> <th>Enforcement Authority</th> </tr> </thead> <tbody> <tr> <td><i>2003 Checklist of CITES Species</i> (book)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><i>Identification Manual</i></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td><i>CITES Handbook</i></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Publication	Management Authority	Scientific Authority	Enforcement Authority	<i>2003 Checklist of CITES Species</i> (book)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>Identification Manual</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<i>CITES Handbook</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<p>Tick if applicable</p>
Publication	Management Authority	Scientific Authority	Enforcement Authority																			
<i>2003 Checklist of CITES Species</i> (book)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																			
<i>2003 Checklist of CITES Species and Annotated Appendices</i> (CD-ROM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																			
<i>Identification Manual</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																			
<i>CITES Handbook</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																			
7	<p>If not, what problems have been encountered to access this information? Transitions in personnel may have resulted in resources not being passed on; in addition, with two Scientific Authorities that address different species (terrestrial and marine), at least one copy each of relevant documents/CDs need to be supplied. Please forward these documents to the two Scientific authorities as soon as possible.</p>																					
8	<p>Have enforcement authorities reported to the Management Authority on:</p> <ul style="list-style-type: none"> – Mortality in transport? <input type="checkbox"/> – Seizures and confiscations? <input checked="" type="checkbox"/> – Discrepancies in number of items in permits and number of items actually traded? <input type="checkbox"/> <p>Comments:</p>	<p>Tick if applicable</p>																				
9	<p>Is there a government website with information on CITES and its requirements?</p> <p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>No information <input type="checkbox"/></p> <p>If Yes, please give the URL: The website does not contain specific CITES information: www.slumaffe.org. This will be rectified shortly.</p>																					

10	<p>Have CITES authorities been involved in any of the following activities to bring about better accessibility to and understanding of the Convention's requirements to the wider public?</p> <p>– Press releases/conferences <input checked="" type="checkbox"/></p> <p>– Newspaper articles, radio/television appearances <input checked="" type="checkbox"/></p> <p>– Brochures, leaflets <input checked="" type="checkbox"/></p> <p>– Presentations <input checked="" type="checkbox"/></p> <p>– Displays <input checked="" type="checkbox"/></p> <p>– Information at border crossing points <input checked="" type="checkbox"/></p> <p>– Telephone hotline <input type="checkbox"/></p> <p>– Other (specify) <input type="checkbox"/></p> <p>Please attach copies of any items. Copies were supplied with the previous report. The same information is modified and update for continued use.</p>	Tick if applicable
11	Please provide details of any additional measures taken:	

D5 Permitting and registration procedures

1	<p>Have any changes in permit format or the designation and signatures of officials empowered to sign CITES permits/certificates been reported previously to the Secretariat?</p> <p>If no, please provide details of any:</p> <p>Changes in permit format: This was done in collaboration with the CITES Secretariat</p> <p>Changes in designation or signatures of relevant officials: New administrative changes are expected shortly. This will be communicated to the CITES Secretariat in due course.</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Not applicable <input type="checkbox"/></p> <p>No information <input type="checkbox"/></p>																
2	<p>To date, has your country developed written permit procedures for any of the following?</p> <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> <th>No information</th> </tr> </thead> <tbody> <tr> <td>Permit issuance/acceptance</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of traders</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Registration of producers</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Yes	No	No information	Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Tick if applicable
	Yes	No	No information															
Permit issuance/acceptance	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>															
Registration of traders	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>															
Registration of producers	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>															

3	Please indicate how many CITES documents were issued and denied in the two year period? (Note that actual trade is reported in the Annual Report by some Parties. This question refers to issued documents).					
	Year 1	Import or introduction from the sea	Export	Re-export	Other	Comments
	How many documents were issued?		3	1		2005
	How many applications were denied because of serious omissions or misinformation?					
	Year 2					
	How many documents were issued?	1	4	2		2006
	How many applications were denied because of serious omissions or misinformation?					
4	Were any CITES documents that were issued later cancelled and replaced because of serious omissions or misinformation?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
5	If Yes, please give the reasons for this.					
6	Please give the reasons for rejection of CITES documents from other countries.					Tick if applicable
	Reason		Yes	No	No information	
	Technical violations		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Suspected fraud		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of non-detriment		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Insufficient basis for finding of legal acquisition		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Are harvest and/or export quotas as a management tool in the procedure for issuance of permits?					Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
	Comments There is inadequate information on stocks with which to set quotas; a proposal requesting assistance with stock assessment has been submitted to the Secretariat, along with other organizations.					
8	How many times has the Scientific Authority been requested to provide opinions? Often called upon by Management Authority. Occasionally called upon by Customs.					

9	Has the MA charged fees for permit issuance, registration or related CITES activities?	Tick if applicable
	– Issuance of CITES documents:	<input checked="" type="checkbox"/>
	– Licensing or registration of operations that produce CITES species:	<input type="checkbox"/>
	– Harvesting of CITES-listed species :	<input type="checkbox"/>
	– Use of CITES-listed species:	<input type="checkbox"/>
	– Assignment of quotas for CITES-listed species:	<input type="checkbox"/>
	– Importing of CITES-listed species:	<input type="checkbox"/>
	– Other (specify):	<input type="checkbox"/>
10	If Yes, please provide the amounts of such fees. Nominal fee charged for processing. Upon passing of the Regulations, a fee structure will be applied for various CITES products.	
11	Have revenues from fees been used for the implementation of CITES or wildlife conservation?	Tick if applicable
	– Entirely:	<input type="checkbox"/>
	– Partly:	<input type="checkbox"/>
	– Not at all:	<input type="checkbox"/>
	– Not relevant:	<input type="checkbox"/>
	Comments: Funds are directed to the Government consolidated fund.	
12	Please provide details of any additional measures taken: Funds are expected to increase once the Regulations for the newly approved CITES Act are developed.	

D6 Capacity building

1	Have any of the following activities been undertaken to enhance effectiveness of CITES implementation at the national level?		Tick if applicable
	Increased budget for activities	<input type="checkbox"/>	Improvement of national networks <input type="checkbox"/>
	Hiring of more staff	<input type="checkbox"/>	Purchase of technical equipment for monitoring/enforcement <input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	Computerization <input type="checkbox"/>
	– Other (specify) While there are no staff specifically dedicated to CITES only, implementation of the Convention by Saint Lucia continues to benefit from any capacity building that takes place at the two Scientific Authorities, especially in relation to the officers that address CITES issues.		<input type="checkbox"/>

2	Have the CITES authorities received or benefited from any of the following capacity building activities provided by external sources?						
Please tick boxes to indicate which target group and which activity.		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources?
Target group							
Staff of Management Authority		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The CITES Secretariat in relation to written and technical assistance
Staff of Scientific Authority		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
Traders		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3	Have the CITES authorities been the <i>providers</i> of any of the following capacity building activities?					
Please tick boxes to indicate which target group and which activity. Target group		Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify) Details
Staff of Management Authority		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Assistance in regular implementation of CITES.
Staff of Scientific Authority		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Staff of enforcement authorities		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Traders		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NGOs		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other parties/International meetings		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Saint Lucia served as CITES regional representative from 2000-2005, where it played a representation, advisory and advocacy role.
Other (specify)		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Please provide details of any additional measures taken:					

D7 Collaboration/co-operative initiatives

1	Is there an inter-agency or inter-sectoral committee on CITES?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
2	If Yes, which agencies are represented and how often does it meet? Meets when and as needed, especially for development and review of legislation. Includes: Department of Fisheries, Department of Forestry, Department of Agriculture, Veterinary Unit, Biodiversity Office, Crop Protection Unit, Customs and Excise, Ministry of Trade and Office of Attorney General.		

3	If No, please indicated the frequency of meetings or consultancies used by the Management Authority to ensure co-ordination among CITES authorities (e.g. other MAs, SAs, Customs, police, others):							
		Daily	Weekly	Monthly	Annually	None	No information	Other (specify)
	Meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4	At the national level have there been any efforts to collaborate with:					Tick if applicable	Details if available	
	Agencies for development and trade					<input checked="" type="checkbox"/>	Ministry of Trade is on CITES Committee	
	Provincial, state or territorial authorities					<input type="checkbox"/>		
	Local authorities or communities					<input type="checkbox"/>		
	Indigenous peoples					<input type="checkbox"/>		
	Trade or other private sector associations					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
5	To date, have any Memoranda of Understanding or other formal arrangements for institutional cooperation related to CITES been agreed between the Management Authority and the following agencies?					Tick if applicable		
	Scientific Authority					<input type="checkbox"/>		
	Customs					<input type="checkbox"/>		
	Police					<input type="checkbox"/>		
	Other border authorities (specify)					<input type="checkbox"/>		
	Other government agencies					<input type="checkbox"/>		
	Private sector bodies					<input type="checkbox"/>		
	NGOs					<input type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		
6	Have Government staff participated in any regional activities related to CITES?					Tick if applicable		
	Workshops					<input checked="" type="checkbox"/>		
	Meetings					<input checked="" type="checkbox"/>		
	Other (specify)					<input type="checkbox"/>		

7	Has there been any effort to encourage any non-Party to accede to the Convention?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
8	If Yes, which one(s) and in what way?			
9	Has technical or financial assistance been provided to another country in relation to CITES?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
10	If Yes, which country(ies) and what kind of assistance was provided?			
11	Has any data been provided for inclusion in the CITES Identification Manual?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
12	If Yes, please give a brief description.			
13	Have measures been taken to achieve co-ordination and reduce duplication of activities between the national authorities for CITES and other multilateral environmental agreements (e.g. the biodiversity-related Conventions)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	No information <input type="checkbox"/>
14	If Yes, please give a brief description. Inclusion of similar representatives on CITES Committee and Biodiversity Committee.			
15	Please provide details of any additional measures taken:			

D8 Areas for future work

1	Are any of the following activities needed to enhance effectiveness of CITES implementation at the national level and what is the respective level of priority?			
	Activity	High	Medium	Low
	Increased budget for activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Hiring of more staff	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Improvement of national networks	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for monitoring and enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Computerization	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Other (specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Were any difficulties encountered in implementing specific Resolutions or Decisions adopted by the Conference of the Parties?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>

3	<p>If Yes, which one(s) and what is the main difficulty? Res Conf. 12.8 (Rev CoP 13): Saint Lucia, like many Parties of the region recognize that, in order to address the stipulated requirements, substantial capacity building and other forms of assistance are required. The Caribbean Regional Fisheries Mechanism is developing a project proposal to address many of these needs in member states, but funding support will be required and an adequate time frame allowed for Parties to work on various aspects, such as improved levels of stock assessment, trade controls and user education.</p>									
4	<table border="0"> <tr> <td data-bbox="260 566 1098 600">Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?</td> <td data-bbox="1106 566 1385 600">Yes</td> <td data-bbox="1393 566 1439 600"><input checked="" type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1106 611 1385 645">No</td> <td data-bbox="1393 611 1439 645"><input type="checkbox"/></td> </tr> <tr> <td></td> <td data-bbox="1106 656 1385 689">No information</td> <td data-bbox="1393 656 1439 689"><input type="checkbox"/></td> </tr> </table>	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>		No	<input type="checkbox"/>		No information	<input type="checkbox"/>
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input checked="" type="checkbox"/>								
	No	<input type="checkbox"/>								
	No information	<input type="checkbox"/>								
5	<p>If Yes, please describe the constraint and the type of attention or assistance that is required.</p> <ul style="list-style-type: none"> • Low awareness of CITES requirements among Customs Officers at Border controls; need for focused and sustained training for Customs officials, as they are a key agency in the administration and effective enforcement of CITES. A high profile regional workshop with externally drawn experts held annually would be useful, in addition to, and apart from the toolkit which was circulated by the Secretariat as a national reference/training guide; this initiative could form part of a 2-3 year project to help with initial implementation of the Convention following enactment of the legislation. • Low awareness of CITES among the general public; funding assistance required for broad, sustained public awareness programme. A proposal has been submitted to the CITES Secretariat to assist with implementation of the Convention, especially following enactment of Saint Lucia's legislation. • Financial constraints limit the level of public education work, particularly in the field of production of leaflets and videos to boost awareness and compliance. Funding assistance is requested for facilitating work in this regard. A proposal has been circulated to the CITES Secretariat and another to a donor organisation to assist with implementation of the Convention and the raising of awareness, especially following enactment of Saint Lucia's Legislation. • Lack of an officer specifically dedicated to CITES, to allow for regular checks of the website, provision of information to the Ministry's website, timely responses to notifications and implementation of resolutions, circulation of relevant information, preparation of reports, conduct and preparation of public awareness (activities and material), setting up of administrative and monitoring procedures, measures and mechanisms, etc. Ideally, there should be a CITES Office within the Ministry, with a dedicated coordinator and one administrative assistant, in much the same way as exists for the CBD. Funding assistance would be required to set up such an office (including electronic equipment, furniture, other materials); the possibility of external funding for the payment of at least the coordinator should be explored with either partial or full funding being sourced from the Government for the payment of the administrative assistant, with a commitment for continuation by the Government following the project period; this initiative could form part of a 2-3 year project to help with initial implementation of the Convention following enactment of the legislation. • Inadequate technical knowledge on CITES listed species, identification, trade requirements, etc. Technical training required from CITES Secretariat and affiliated bodies. 									
6	<table border="0"> <tr> <td data-bbox="260 2022 1098 2051">Have any measures, procedures or mechanisms been</td> <td data-bbox="1106 2022 1385 2051">Yes</td> <td data-bbox="1393 2022 1439 2051"><input type="checkbox"/></td> </tr> </table>	Have any measures, procedures or mechanisms been	Yes	<input type="checkbox"/>						
Have any measures, procedures or mechanisms been	Yes	<input type="checkbox"/>								

	identified within the Convention that would benefit from review and/or simplification?	No	<input type="checkbox"/>
		No information	<input checked="" type="checkbox"/>
7	If Yes, please give a brief description.		
8	Please provide details of any additional measures taken:		

E. General feedback

Please provide any additional comments you would like to make, including comments on this format.

Thank you for completing the form. Please remember to include relevant attachments, referred to in the report. For convenience these are listed again below:

Question	Item
B4	<div>Copy of full text of CITES-relevant legislation</div> <div>Enclosed <input type="checkbox"/></div> <div>Not available <input checked="" type="checkbox"/></div> <div>Not relevant <input type="checkbox"/></div>
C3	<div>Details of violations and administrative measures imposed</div> <div>Enclosed <input type="checkbox"/></div> <div>Not available <input type="checkbox"/></div> <div>Not relevant <input checked="" type="checkbox"/></div>
C5	<div>Details of specimens seized, confiscated or forfeited</div> <div>Enclosed <input type="checkbox"/></div> <div>Not available <input type="checkbox"/></div> <div>Not relevant <input checked="" type="checkbox"/></div>
C7	<div>Details of violations and results of prosecutions</div> <div>Enclosed <input type="checkbox"/></div> <div>Not available <input type="checkbox"/></div> <div>Not relevant <input checked="" type="checkbox"/></div>
C9	<div>Details of violations and results of court actions</div> <div>Enclosed <input type="checkbox"/></div> <div>Not available <input type="checkbox"/></div> <div>Not relevant <input checked="" type="checkbox"/></div>
D4(10)	<div>Details of nationally produced brochures or leaflets on CITES produced for educational or public awareness purposes</div> <div>Enclosed <input checked="" type="checkbox"/></div> <div>Not available <input type="checkbox"/></div> <div>Not relevant <input type="checkbox"/></div> <div>Comments (general o date)</div> <div>In late 2001, Saint Lucia initated a process for the preparation of national enabling legislation for CITES. This process has involved multiple agencies and individuals in Saint Lucia, including the office of the Attorney General. Saint Lucia has also received substantial technical support from the CITES Secretariat.</div> <div>In spite of a few set-backs often beyond the control of the Ministry of Agriculture, Forestry and Fisheries (Management Authority), which have been communicated to the Secretariat, there was optimism that the legislation would be finalised by the stipulated deadline of December 31, 2005. In this regard, the final set of comments from the Secretariat and the Management Authority were submitted to Saint Lucia's Legislative Drafting Unit on August 25, 2005. The Management Authority was subsequently informed by the</div>

aforementioned Unit that a new and improved drafting style is mandated for all new legislation in Saint Lucia, which includes the draft CITES legislation. The first draft was subsequently completed and Saint Lucia now had a newly formatted draft CITES Act and Regulations, which needed to be reviewed. This version was circulated to all parties, including the Secretariat on October 6, 2005, following receipt by the Legislative Drafting Unit. It was substantially different from the format of the previous version and in addition, while the intention of the Drafting Unit was for formatting changes only, content changes had inadvertently been made.

Therefore, Saint Lucia's legislation could not be finalised by the December 31, 2005 deadline. Few comments had been forthcoming from reviewing parties, and the situation was compounded by the fact that both of the officers from the Department of Fisheries who had been coordinating the legislative process were not available, with one of these officers returning to office in March, 2006.

With regards to the foregoing, the Secretariat was written, requesting an eight-month extension following December 31, 2005, that is, up to August 2006. Unfortunately, this deadline was not met due to staffing constraint issues being experienced at the Office of the Attorney General, coupled with political changes and preparations for elections.

The new CITES Act was finally recently published in the Saint Lucia Gazette issue of September 17, 2007. A commencement date for enactment should be provided shortly. In the interim, Saint Lucia will continue to work on the CITES Regulations, which contain many of the enabling provisions (such as fees) for the new Act.