CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA



Twenty-fifth meeting of the Plants Committee Geneva (Switzerland), 17 and 20-23 July 2020

Interpretation and implementation matters

General compliance and enforcement

Review of Significant Trade in specimens of Appendix-II species

OVERVIEW OF THE REVIEW OF SIGNIFICANT TRADE

- 1. This document has been prepared by the Secretariat.
- 2. In Resolution Conf. 12.8 (Rev. CoP18) on *Review of Significant Trade in specimens of Appendix-II species*, the Conference of the Parties:
 - 5. DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV:
 - a) to report at each meeting of the Animals or Plants Committee on the implementation by the range States concerned of the recommendations made by the Committee; and
 - b) to maintain a database of species/country combinations that are included in the review process set out in this Resolution including a record of progress with the implementation of recommendations;

Implementation by range States of recommendations made by the Plants Committee

- 3. The last update of the status of the Review of Significant Trade (RST) for flora species was reported to the Plants Committee at its 24th meeting (PC24, Geneva, July 2018; see document <u>PC24 Doc. 13.1</u>). At its 70th meeting (SC70, Sochi, October 2018), the Standing Committee took note of the ongoing RST cases (18 taxa/country combinations in total), and, amongst these, reviewed six cases of flora selected for review that were ongoing but not subject to a recommendation to suspend trade (see document <u>SC70 Doc. 29.1</u>). The review of *Hoodia gordonii*/Namibia was concluded with the publication of a zero export quota. The five remaining species/range State combinations were retained within the review.
- In addition, in compliance with paragraph 1 p) of Resolution Conf. 12.8 (Rev. CoP18) for cases where the 4. trade suspension had been in place for more than two years, the Standing Committee reviewed eight flora taxon/range State combinations that have been subject to such long standing trade suspensions (see document SC70 Doc. 29.2). These were: Myrmecophila tibicinis/Belize; Pericopsis elata/Côte d'Ivoire; Prunus africana/Equatorial Guinea: Dendrobium *nobile*/Lao People's Democratic Republic: Cycadaceae/Mozambique; Stangeriaceae/Mozambique; Zamiaceae/Mozambique; and Prunus africana/United Republic of Tanzania. For these cases, the Standing Committee agreed to:
 - a) remove the trade suspension for *Prunus africana*/United Republic of Tanzania, subject to the publication of a zero export quota on the CITES website. Should the United Republic of Tanzania wish to resume

trade in this species, it would need to present a non-detriment finding to the Secretariat and the Chair of the Plants Committee; and,

b) remove the trade suspension for Stangeriaceae and Zamiaceae for Mozambique and replace the suspension for Cycadaceae from Mozambique with the species *Cycas thouarsii* only.

The remaining four flora cases for which a trade suspension had been in place for more than two years were retained in the review.

- At its 71st meeting (SC71, Geneva, August 2019), the Standing Committee reviewed three cases of flora (see document <u>SC71 Doc. 12</u>), all of which were retained within the review: *Prunus africana*/Cameroon; *Pterocarpus santalinus*/India; and *Nardostachys grandiflora*/India.
- 6. The most recent list of range States under a recommendation to suspend trade for certain species selected for the Review of Significant Trade, and following non-compliance with Article IV of the Convention, was published in Notification to the Parties <u>No. 2020/006</u> of 20 January 2020. The recommendations and decisions were made in accordance with Resolution Conf. 12.8 (Rev. CoP18), Resolution Conf. 12.8 (Rev. CoP17), or Resolution Conf. 12.8 (Rev. CoP13), or its predecessor Resolution Conf. 8.9 (Rev.). The cases involving a suspension of trade are kept under regular review by the Standing Committee in line with paragraph 1 p) of Resolution Conf. 12.8 (Rev. CoP18).
- 7. Annex 1 to the present document provides an updated overview of the status of all RST cases (flora species/range State combinations) that were selected since CoP11 (2000), with an indication of their current status under the review process, and the reference documents that provide detailed information for the cases in question. It also indicates the reviews that were completed since PC24. This annex comprises seven tables, showing the species selected by the Plants Committee for the Review of Significant Trade following CoP11 up to CoP17.
- 8. Annex 2 presents a summary table of all flora species/country combinations currently in the Review of Significant Trade as of May 2020. Further information on the review process for plant species selected following the 16th, 17th and 18th meetings of the Conference of the Parties (CoP16, Bangkok, 2013; CoP17, Johannesburg, 2016; CoP18, Geneva, 2019) is provided in documents PC25 Doc. 15.2, PC25 Doc. 15.3, and PC25 Doc. 15.4. Additionally, in support of the implementation of paragraph a) of Decision 18.92 on *Wildlife crime enforcement support in West and Central Africa*, the Secretariat has provided in document PC25 Doc. 15.5 a report on the inclusion of *Pterocarpus erinaceus* from all range States in the Review of Significant Trade.

Decisions 17.108 (Rev. CoP18) to 17.110 (Rev. CoP18) on Review of Significant Trade

- 9. Decision 17.108 (Rev. CoP18) requests the Secretariat, within six months of CoP18, and building on the work done to date, to develop, test and establish a Review of Significant Trade Tracking and Management database as an essential tool for the effective implementation and transparency of the process.
- 10. Decision 17.108 (Rev. CoP18) is currently being implemented thanks to a contribution from the European Union. Following United Nations rules and procedures, the Secretariat entered into a Service Delivery Agreement with the United Nations International Computing Centre (UNICC) for the development of the tracking and management system given its vast experience in the development of such tools. The Secretariat also convened a Technical Advisory Group to consult on the requirements of the tracking and management system. The Technical Advisory Group is comprised of Parties that have been subject to the Review of Significant Trade, individuals with experience in database development and use, as well as representatives of interested non-governmental organizations. Throughout the development and testing phase, the Secretariat intends to consult the Technical Advisory Group to ensure that the tracking and management system matches the requirements of different users, including the Parties that are part of the Review of Significant Trade.
- 11 At CoP18, the Secretariat organised a side event where representatives of UNICC presented the project to the Technical Advisory Group. Since then, the development of the tracking and management system is well under way and is envisaged to be completed by October 2020.
- 12. Decisions 17.109 (Rev. CoP18) and 17.110 (Rev. CoP18) are both directed to the Secretariat to develop, subject to the availability of funds, respectively: a user-friendly guide to the Review of Significant Trade that can also be included in the initial letter to range States informing them that they have been selected for

review; and a comprehensive training module on the Review of Significant Trade (including case studies as appropriate). These Decisions will be implemented in parallel with the development and completion of the tracking and management system.

Recommendation

13. The Plants Committee is invited to take note of this document.

Status of RST cases for flora since CoP11 to May 2020

Key: PC = Plants Committee; SC = Standing Committee.

Table 1: Species selected by the Plants Committee for the Review of Significant Trade following CoP11 (2000)

Taxon selected	Reference document	Status of review	
Aloe spp.	<u>SC57 Doc. 29.1 (Rev. 2)</u>	Removed from the review for Kenya.	
Aquilaria malaccensis	<u>SC54 Doc. 42</u>	Removed from the review for India, Indonesia, and Malaysia.	
Cycadaceae, Stangeriaceae and Zamiaceae	SC66 Doc. 31.2 SC70 Doc. 29.2 SC70 SR Notification to the Parties No. 2020/006	Ongoing for Mozambique . Action with range State as per paragraph 1 o) of Resolution Conf. 12.8 (Rev. CoP18); trade suspension under review by SC as per paragraph 1, p).	
		<u>SC70 decided to</u> remove the trade suspension for Stangeriaceae and Zamiaceae for Mozambique and replace the suspension for Cycadaceae from Mozambique with the species <i>Cycas</i> <i>thouarsii</i> only.	
Pericopsis elata	<u>SC54 Doc. 42</u>	Removed from the review for Cameroon , Central African Republic , Congo , and the Democratic Republic of the Congo . Selected again after CoP14 (see Table 4 below)	
Prunus africana	SC66 Doc. 31.2 SC70 Doc. 29.2 SC70 SR Notification to the Parties No. 2020/006	Ongoing for Equatorial Guinea . Action with range State as per paragraph 1 o) of Resolution Conf. 12.8 (Rev. CoP18); trade suspension under review by SC as per paragraph 1, p).	
		<u>SC70</u> decided to remove from review for the United Republic of Tanzania subject to the publication of a zero export quota.	

Total: 3 species, 1 genus and 3 families.

Table 2: Species selected by the Plants Committee for the Review of Significant Trade following CoP12 (2002)

Taxon selected	Reference document	Status of review	
Cibotium barometz	<u>SC58 Doc. 21.1</u>	Removed from the review for Viet Nam.	
Cyathea contaminans	<u>SC59 Doc. 14.1</u>	Removed from the review for Indonesia.	
Dendrobium nobile	<u>SC66 Doc. 31.2</u> SC70 Doc. 29.2	Ongoing for the Lao People's Democratic Republic.	
	SC70 SR Notification to the Parties No. 2020/006	Action with range State as per paragraph 1 o) of Resolution Conf. 12.8 (Rev. CoP18); trade suspension under review by SC as per paragraph 1, p).	
Galanthus woronowii	<u>SC59 Doc. 14.1</u>	Removed from the review for Georgia.	

Total: 4 species.

Table 3: Species selected by the Plants Committee for the Review of Significant Trade following CoP13 (2004)

Taxon selected	Reference document	Status of review	
Christensonia vietnamica	SC62 Doc. 27.2 (Rev. 1)	Removed from the review for Viet Nam.	
Myrmecophila tibicinis	SC66 Doc. 31.2 SC70 Doc. 29.2SC70 SR Notification to the Parties No. 2020/006	Ongoing for Belize . Action with range State as per paragraph 1 o) of Resolution Conf. 12.8 (Rev. CoP18); trade suspension under review by SC as per paragraph 1, p).	
Pachypodium bispinosum	<u>SC59 Doc. 14.1</u> <u>SC59 SR</u>	Removed from the review for South Africa .	
Pachypodium succulentum	<u>SC59 Doc. 14.1</u> <u>SC59 SR</u>	Removed from the review for South Africa .	
Pterocarpus santalinus	<u>SC62 Doc. 27.2 (Rev. 1)</u> <u>SC62 SR</u>	Removed from the review for India . Selected again following CoP16 (see Table 6 below).	
Rauvolfia serpentina	SC62 Doc. 27.2 (Rev. 1)	Removed from the review for Myanmar.	
Taxus wallichiana	SC61 SR	Removed from the review for India.	

Total: 7 species.

Table 4: Species selected by the Plants Committee for the Review of Significant Trade following CoP14 (2007)

Taxon selected	Reference document	Status of review	
Aloe capitata	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe conifera	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe deltoideodonta	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe erythrophylla	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe guillaumetii	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe humbertii	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Aloe imalotensis	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Beccariophoenix	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
madagascariensis			
Calanthe aleizettii	PC19 Doc. 12.3	Removed from the review for Viet Nam.	
	PC19 SR		
Cistanche deserticola	PC19 Doc. 12.3	Removed from the review for China and	
	PC19 SR	Mongolia.	
Cymbidium erythrostylum	PC19 Doc. 12.3	Removed from the review for Viet Nam.	

Taxon selected	Reference document	Status of review	
	PC19 SR		
Euphorbia alfredii	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia aureoviridiflora	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia banae	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia berorohae	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia biaculeata	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia bulbispina	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia capmanambatoensis	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia capuronii	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia denisiana	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia didiereoides	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia elliotii	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia herman- schwartzii	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia hofstaetteri	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia horombensis	SC63 Doc.14	Removed from the review for Madagascar.	
Euphorbia iharanae	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia leuconeura	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia mahabobokensis	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia mangokyensis	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia neobosseri	SC63 Doc.14	Removed from the review for Madagascar .	
Euphorbia pachypodioides	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Euphorbia paulianii	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia primulifolia	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia robivelonae	<u>SC63 Doc.14</u>	Removed from the review for Madagascar .	
Euphorbia rossii	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Lemurophoenix halleuxii	SC63 Doc.14	Removed from the review for Madagascar.	
Marojejya darianii	SC63 Doc.14	Removed from the review for Madagascar.	
Pericopsis elata	SC66 Doc. 31.2 SC70 Doc. 29.2 Notification to the Parties No. 2020/006	Ongoing for Côte d'Ivoire – action required from the Party. Action with range State as per paragraph 1 o) of Resolution Conf. 12.8 (Rev. CoP18); trade suspension under review by SC as per paragraph 1, p).	
Renanthera annamensis	PC19 Doc. 12.3 PC19 SR	Removed from the review for Myanmar and Viet Nam .	
Ravenea rivularis	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Satranala decussilvae	<u>SC63 Doc.14</u>	Removed from the review for Madagascar.	
Swietenia macrophylla	SC63 Doc.14	Removed from the review for Belize , Ecuador and Nicaragua .	
Voanioala gerardii	SC63 Doc.14	Removed from the review for Madagascar.	

Total: 43 species.

Table 5: Species selected by the Plants Committee for the Review of Significant Trade following CoP15 (2010)

Taxon selected	Reference document	Status of review	
Alluaudia ascendens	PC21 WG2 Doc. 1	Removed from the review for	
		Madagascar.	
Alluaudiopsis fiherenensis	PC21 WG2 Doc. 1	Removed from the review for	
		Madagascar.	
Dendrobium eriiflorum	PC21 WG2 Doc. 1	Removed from the review for Nepal.	
Euphorbia itremensis	PC21 WG2 Doc. 1	Removed from the review for	
	<u>PC21 SR</u>	Madagascar.	
	<u>SC66 Doc. 31.1</u>		
Pachypodium namaquanum	PC20 Doc. 14.2	Removed from the review for the review	
		for Namibia and South Africa.	

Total: 5 species.

Table 6: Species selected by the Plants Committee for the Review of Significant Trade following CoP16 (2013)

Taxon selected	Reference document	Status of review	
Bulnesia sarmientoi	PC22 Com.3 (Rev. by Sec.)	Ongoing for Paraguay .	
	PC23 Com.5 (Rev. by Sec.)	Removed from the review for Argentina	
	<u>SC70 SR</u>	at PC23.	
Carnegiea gigantea	PC22 Com.3 (Rev. by Sec.)	Excluded.	
Dendrobium chrysotoxum	PC23 Com 5 (Rev. by Sec.)	Removed from the review for Lao	
		People's Democratic Republic.	
Dendrobium moschatum	PC23 Com 5 (Rev. by Sec.)	Removed from the review for Lao	
		People's Democratic Republic.	
Euphorbia abdelkuri	PC22 Com.3 (Rev. by Sec.)	Removed from the review for Yemen .	
Euphorbia globosa	PC22 Com.3 (Rev. by Sec.)	Removed from the review for South	
		Africa.	
Euphorbia labatii	PC22 Com.3 (Rev. by Sec.)	Removed from the review for	
		Madagascar.	
Galanthus elwesii	PC23 Com 5 (Rev. by Sec.)	Removed from the review for Turkey .	
Hoodia gordonii	PC22 Com.3 (Rev. by Sec.)	Removed from review for Namibia and	
	PC23 Com 5 (Rev. by Sec.)	South Africa.	
	<u>SC70 SR</u>		
Nardostachys grandiflora	PC22 Com.3 (Rev. by Sec.)	Ongoing for Nepal .	
	PC23 Com 5 (Rev. by Sec.)		
	<u>SC70 SR</u>		
	<u>SC71 SR</u>		
Prunus africana	PC22 Com.3 (Rev. by Sec.)	Ongoing for Cameroon and the	
	PC23 Com 5 (Rev. by Sec.)	Democratic Republic of the Congo.	
	<u>SC70 SR</u>		
	<u>SC71 SR</u>		
Pterocarpus santalinus	PC22 Com.3 (Rev. by Sec.)	Ongoing for India.	
	PC23 Com 5 (Rev. by Sec.)		
	SC70 SR		
	<u>SC71 SR</u>		
Taxus cuspidata	PC22 Com.3 (Rev. by Sec.)	Removed from the review for China,	
		Japan, Republic of Korea and the	
		Russian Federation.	

Total: 13 species

Table 7: Species selected by the Plants Committee for the Review of Significant Trade following CoP17 (2016)

Taxon selected	Reference document	Status of review	
Dalbergia retusa	PC23 Com. 5 (Rev. by Sec.)	Ongoing for Nicaragua and Panama.	
	PC24 Com. 4 (Rev. by Sec.)	Action required from the PC.	
Pericopsis elata	PC23 Com. 5 (Rev. by Sec.)	Ongoing for Republic of Congo and the	
	PC24 Com. 4 (Rev. by Sec.)	Democratic Republic of the Congo.	
		Action required from the PC.	
		Cameroon removed from review at PC24.	

Total: 2 species

<u>Species/country combinations currently</u> in the Review of Significant Trade (as of May 2020)

Countries are arranged in alphabetical order, with the relevant species in the second column. Cases where a recommendation to suspend trade is in place for a particular species/country combination are highlighted in green and the date of validation is indicated in the third column. The final column indicates when the species/range States combination was last discussed.

Country	Species	Date of validation of recommendation to suspend trade, where relevant (all other cases are ongoing)	Comments
Belize	1) Myrmecophila tibicinis	15 June 2010	Retained at SC70
Cameroon	2) Prunus africana	Ongoing	Retained at SC71
Congo	3) Pericopsis elata	Ongoing	Retained at PC24
Côte d'Ivoire	4) Pericopsis elata	7 September 2012	Retained at SC70
Democratic Republic	5) Prunus africana	Ongoing	Retained at PC23
of the Congo	6) Pericopsis elata	Ongoing	Retained at PC24
Equatorial Guinea	7) Prunus africana	3 February 2009	Retained at SC70
India	8) Pterocarpus santalinus	Ongoing	Retained at SC71
Lao People's Democratic Republic	9) Dendrobium nobile	3 February 2009	Retained at SC70
Mozambique	10) Cycas thouarsii ¹	6 December 2006	Retained at SC70
Nepal	11) Nardostachys grandiflora	Ongoing	Retained at SC71
Nicaragua	12) Dalbergia retusa	Ongoing	Retained at PC24
Panama	13) Dalbergia retusa	Ongoing	Retained at PC24
Paraguay	14) Bulnesia sarmientoi	Ongoing	Retained at PC23

¹ Cycadaceae, Stangeriaceae and Zamiaceae were replaced with Cycas thouarsii, as it is the only species from the three families listed in Appendix II that occurs in Mozambique (see <u>SC70 SR</u>; item 29.2).