

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES
OF WILD FAUNA AND FLORA



Fifteenth meeting of the Plants Committee
Geneva (Switzerland), 17-21 May 2005

Strategic planning

RESOLUTIONS AND DECISIONS DIRECTED OR RELATED TO THE PLANTS COMMITTEE

1. This document has been prepared by the Secretariat.
2. The Secretariat has compiled in Annex 1 to this document the list of all instructions directed to the Plants Committee or that may require consulting or informing it that can be found in the currently valid Resolutions of the Conference of the Parties.
3. Annex 2 to this document lists all currently valid Decisions of the Conference of the Parties directed to the Plants Committee or that may require its assistance.
4. The Plants Committee is invited to include the above mentioned Resolutions and Decisions in its working programme for 2005-2007, to determine priorities and to consider how best to achieve their effective implementation.

VALID RESOLUTIONS DIRECTED TO THE PLANTS COMMITTEE

Resolution No.	Title	Relevant part
9.24 (Rev. CoP13)	Criteria for amendment of Appendices I and II	<p>The Conference of the Parties ...</p> <p>RESOLVES that, to monitor the effectiveness of protection offered by the Convention, the status of species included in Appendices I and II should be regularly reviewed by the range States and proponents, in collaboration with the Animals Committee or the Plants Committee, subject to the availability of funds;</p> <p>...</p> <p>Annex 4</p> <p>...</p> <p>B. The following review procedures shall apply when a species is transferred to Appendix II pursuant to paragraph A. 2. c) above.</p> <ol style="list-style-type: none"> 1. Where the Plants Committee, the Animals Committee or a Party becomes aware of problems in compliance with the management measures and export quotas of another Party, the Secretariat shall be informed and, if the Secretariat fails to resolve the matter satisfactorily, it shall inform the Standing Committee which may, after consultation with the Party concerned, recommend to all Parties that they suspend trade with that Party in specimens of CITES-listed species, and/or request the Depositary Government to prepare a proposal to transfer the population back to Appendix I. 2. If, on review of a quota and its supporting management measures, the Animals or Plants Committee encounters any problems with compliance or potential detriment to a species, the relevant Committee shall request the Depositary Government to prepare a proposal for appropriate remedial action.
11.1 (Rev. CoP13)	Establishment of Committees	<p>Regarding the establishment of committees;</p> <p>Regarding regional representation in the Animals and Plants Committees; and</p> <p>Annex 2</p>
12.8 (Rev. CoP13)	Review of significant trade in specimens of Appendix-II species	<p>The Conference of the Parties</p> <p>Regarding conduct of the Review of Significant Trade</p> <p>DIRECTS the Animals and Plants Committees, in cooperation with the Secretariat and experts, and in consultation with range States, to review the biological, trade and other relevant information on Appendix-II species subject to significant levels of trade, to identify problems and solutions concerning the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a), in accordance with the following procedure: ...</p>

Resolution No.	Title	Relevant part
13.1	Financing and budgeting of the Secretariat and of meetings of the Conference of the Parties	<p>The Conference of the Parties ...</p> <p>AGREES that:</p> <p>...</p> <p>b) all meetings of the Animals and Plants Committees should be held back-to-back and in the same place, and every other meeting should be convened in Geneva unless a candidate host country pays the difference in costs between its proposed venue and Geneva; and</p> <p>c) no more than two regular meetings of the Standing Committee and no more than two meetings each of the Animals and Plants Committees should be convened between meetings of the Conference of the Parties;</p>
13.10	Trade in alien invasive species	<p>The Conference of the Parties ...</p> <p>INSTRUCTS the CITES Secretariat, in conjunction with the Animals and Plants Committees, to establish cooperation with the CBD Secretariat and the IUCN/SSC Invasive Species Specialist Group in their important work in relation to alien invasive species.</p>

VALID RESOLUTIONS THAT MAY REQUIRE
CONSULTING OR INFORMING THE PLANTS COMMITTEE

Resolution No.	Title	Relevant part
9.19 (Rev. CoP13) Annex 3	Guidelines for the registration of nurseries exporting artificially propagated specimens of Appendix-I species	<p>The Conference of the Parties ...</p> <p>RESOLVES that the Secretariat ...</p> <p>d) receive and review reports on registered nurseries, provided by the Parties, and present summary conclusions to the Plants Committee;</p>
9.25 (Rev.)	Inclusion of species in Appendix III	<p>The Conference of the Parties</p> <p>RECOMMENDS that, when considering the inclusion of a species in Appendix III, a Party:</p> <p>...</p> <p>c) inform the Management Authorities of other range States, the known major importing countries, the Secretariat and the Animals Committee or the Plants Committee that it is considering the inclusion of the species in Appendix III and seek their opinion on the potential effects of such inclusion;</p> <p>...</p> <p>REQUESTS the Animals Committee and the Plants Committee to assist Parties if necessary in reviewing the status of species in Appendix III, subject to available funding;</p> <p>URGES Parties having included species in Appendix III to periodically review the status of these species and, taking into account these guidelines and any recommendations of the Animals and Plants Committees, to consider the necessity to maintain them in that Appendix;</p>

Resolution No.	Title	Relevant part
10.21	Transport of live animals	<p>The Conference of the Parties DIRECTS the Animals Committee to deal with matters related to the transport of live animals;</p> <p>...</p> <p>DIRECTS further the Animals Committee, in consultation with the Secretariat:</p> <ul style="list-style-type: none"> a) to establish the format for the presentation of data on mortality and injury or damage to health in transport; and b) to conduct a systematic review of the scope and causes of the mortality and injury or damage to health of animals during the shipment and transport process and of means of reducing such mortality and injury or damage to health; <ul style="list-style-type: none"> i) the review should include a process for making recommendations to the Parties designed to minimize mortality, on the basis of consultation with exporting, importing, re-exporting and transit countries, IATA and AATA, and additional information from scientists, veterinarians, zoological institutions, trade representatives, carriers, freight forwarders and other experts; and ii) these recommendations should be focused on individual species and countries of export, import, re-export or transit where appropriate, particularly those that have significant high mortality rates in transport, and should be designed to provide positive solutions to identified problems; <p>DIRECTS the Secretariat:</p> <p>...</p> <ul style="list-style-type: none"> b) in consultation with the Animals and Standing Committees, to monitor the implementation of these recommendations and of other aspects of this Resolution and report its findings and recommendations at each meeting of the Conference of the Parties;
11.11 (Rev. CoP13)	Regulation of trade in plants	<p>The Conference of the Parties ...</p> <p><i>Regarding education about plant conservation through CITES</i></p> <p>RECOMMENDS that:</p> <p>...</p> <ul style="list-style-type: none"> c) Parties develop and maintain a good liaison with national plant trade organizations, to inform them about all aspects of the implementation of CITES for plants, and to communicate to the Secretariat specific implementation problems presented by these national organizations, for consideration by the Plants Committee;
11.19	Identification Manual	<p>The Conference of the Parties DIRECTS the Secretariat to:</p> <p>...</p> <ul style="list-style-type: none"> g) inform each meeting of the Standing, Animals and Plants Committees on the progress made;
12.2 Annex 1	Procedure for approval of externally funded projects	<p>...</p> <p>2. SUBMISSION AND APPROVAL OF PROJECT PROPOSALS</p> <p>...</p> <ul style="list-style-type: none"> b) The Secretariat shall review species-related projects that are proposed by Parties seeking funding and those developed as a result of recommendations made by the Animals and Plants Committees in the review of significant trade. c) The Secretariat shall, in consultation with the Animals and Plants Committees where necessary, approve and prioritize projects to be implemented.

Resolution No.	Title	Relevant part
12.8 (Rev. CoP13)	Review of significant trade in specimens of Appendix-II species	<p>The Conference of the Parties ...</p> <p><i>Regarding monitoring, reporting and reintroduction of species into the review process</i></p> <p>DIRECTS the Secretariat, for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV:</p> <ul style="list-style-type: none"> a) to report at each meeting of the Animals or Plants Committee on the implementation by the range States concerned of the recommendations made by the Committee; and b) to maintain a register of species that are included in the review process set out in this Resolution and a record of progress with the implementation of recommendations; and <p><i>Regarding coordination of field studies</i></p> <p>DIRECTS the Secretariat, where appropriate, in consultation with the Chairman of the Animals or Plants Committee, to contract IUCN or other appropriate experts to coordinate, in collaboration with UNEP-WCMC, the conduct of the field studies required for Appendix-II species identified as being subject to significant levels of trade, and to raise the funds necessary for such studies;</p>

VALID DECISIONS DIRECTED TO THE PLANTS COMMITTEE

Strategic Vision

13.1 The Conference of the Parties decides:

- a) to extend until the end of 2007 the time validity of the *Strategic Vision* and its Action Plan, which were adopted with Decision 11.1 at its 11th meeting (Gigiri, 2000) (attached as Annex 1 to these Decisions);
- b) to establish a Strategic Plan Working Group as a subcommittee of the Standing Committee, with representation from all regions and of the Animals and Plants Committees, with the task to develop, with the cooperation of the Secretariat, a proposal for a Strategic Vision and Action Plan through 2013, in particular in order to contribute to the achievement of the World Summit on Sustainable Development (WSSD) targets of significantly reducing the rate of biodiversity loss by 2010;
- c) to invite input from relevant intergovernmental organizations to the work of the Strategic Plan Working Group with respect to possible synergies;
- d) to urge all Parties and to instruct the Secretariat and the permanent Committees to evaluate their efforts in relation to the implementation of the existing Strategic Vision and Action Plan and to submit the outcome thereof to the Strategic Plan Working Group through their representatives on that working group;
- e) that the Strategic Plan Working Group shall submit its proposal to the Standing Committee for approval at its annual meeting prior to the deadline for the submission of proposals for consideration at the 14th meeting of the Conference of the Parties, which is to be held in 2007; and
- f) that the Standing Committee shall submit the proposal for a Strategic Vision and Action Plan through 2013 for adoption at the 14th meeting of the Conference of the Parties.

Addis Ababa Principles and Guidelines for the Sustainable Use of Biodiversity

Directed to the Animals and Plants Committees

13.6 The Animals and Plants Committees shall identify those principles and guidelines of most relevance to CITES, taking account of case studies provided by the Parties on how these could be used in specific cases of exports of specimens of Appendix-II species, and report at the 14th meeting of the Conference of the Parties.

Review of the scientific committees

Directed to the Animals, Plants and Nomenclature Committees

13.9 The Animals, Plants and Nomenclature Committees shall draft terms of reference for a review, with the objective of improving and facilitating the performance of their functions. The Committees shall submit the draft terms of reference before the end of 2005 to the Standing Committee.

Improving regional communication and representation

Directed to the Animals, Plants and Nomenclature Committees

- 13.12 The Animals, Plants and Nomenclature Committees shall review at their meetings the conditions under which their members and alternate members perform their duties to ensure continuity and effective regional representation, and report their findings to the Standing Committee.
- 13.13 The Plants and Animals Committees shall form a joint email working group comprising two representatives of each Committee and an appointed chairman to develop a manual for regional representatives in 2005 that explains the roles and duties of the representatives, provides practical

advice on how to accomplish the mandate, is applicable under different cultural conditions, and contains information for the Management and Scientific Authorities of Parties to explain the role and duties of regional representatives, and the relevant obligations by Parties towards regional representatives. External funding is to be sought for printing of the manual.

Medicinal plants

Directed to the Plants Committee

- 13.50 The Plants Committee shall prepare amendments to annotations for medicinal plants included in Appendix II that adequately reflect the current commodities in international trade and their relative impact on the wild populations in range States.
- 13.51 The amended annotations shall focus on those commodities that first appear in international trade as exports from range States and on those that dominate the trade and the demand for the wild resource.
- 13.52 The Plants Committee shall draft proposals to amend the Appendices in this respect for the Depositary Government to present for consideration at the 14th meeting of the Conference of the Parties.

Tree species

Directed to the Plants Committee

- 13.54 The Plants Committee shall, during the period between the 13th and 14th meetings of the Conference of the Parties, consider the opportunity to develop proposals to amend the Appendices on the basis of the *Contribution to an Evaluation of Tree Species* using the new CITES-listing criteria, and the results of regional workshops on sustainable management of timber species in 2005 and 2006.

Bigleaf mahogany

Directed to the Plants Committee

- 13.55 The Bigleaf Mahogany (*Swietenia macrophylla*) Working Group shall continue its work under the Plants Committee. The Working Group shall primarily comprise the range States of the species, the principal importing countries and at least one member of the Plants Committee.
- 13.56 The Plants Committee shall present a report at the 14th meeting of the Conference of the Parties on the progress made by the Working Group.

Harpagophytum

Directed to the Plants Committee

- 13.60 The Plants Committee shall decide on what action is required for outstanding reports from the importing countries of *Harpagophytum*.

Production systems for specimens of CITES-listed species

Directed to the Animals and Plants Committees

- 13.68 The Animals and Plants Committees shall establish an intersessional joint working group with the following Terms of Reference:
- a) the working group shall be composed of members and observer Parties within the Animals and Plants Committees, from as many of the six different CITES regions as possible, with expertise in determining and defining the existing production systems for specimens of CITES-listed species of animals and plants;
 - b) the working group shall:
 - i) focus on clearly defining key elements of the different production systems for specimens of CITES-listed species of animals and plants, and, if appropriate, developing as far as possible a list of specific production systems currently being utilized by Parties;

- ii) determine under which existing CITES permit source code each production system appropriately fits and whether the addition of any new source codes is necessary; and
- iii) consider the definition of 'ranching' within the context of existing CITES Resolutions;
- c) to avoid duplication of work, the working group should use, as a basis for its discussions, the following documents on production systems from previous meetings of the Animals and Plants Committees:
 - i) AC20 WG6 Doc. 1 – Report of the AC20 working group on Control of captive breeding, ranching and wild harvest production systems for Appendix-II species;
 - ii) AC20 Inf. 18 – Plant and animal production systems and CITES source codes (prepared by the United States);
 - iii) AC20 Inf. 15 – Draft review of production systems – Report to CITES Secretariat (prepared by the IUCN/SSC Wildlife Trade Programme);
 - iv) PC12 Doc. 23.1 – CITES plant production systems (prepared by the Vice-Chairman of the Plants Committee);
 - v) AC19 WG4 Doc. 1 (Rev. 1) – Report of the AC19 working group on Control of captive breeding, ranching and wild harvest production systems for Appendix-II species;
 - vi) Annex 8.2 of the Summary record of the 18th meeting of the Animals Committee – Report of the Coral Working Group on coral production systems;
 - vii) AC17 Inf. 12 – Wild fauna management and production systems: Their description, conservation implications and treatment by CITES (prepared by Dr Hank Jenkins of Creative Conservation Solutions); and
 - viii) AC17 Doc. 14 (Rev. 1) – Control of captive breeding, ranching and wild harvest production systems for Appendix-II species (prepared by Dr Hank Jenkins of Creative Conservation Solutions);
- d) in evaluating production systems and determining under which source code each production system fits, the working group should take into account that both the Animals and Plants Committees have agreed that source codes should not be used to replace non-detriment findings by Scientific Authorities;
- e) the working group should provide interim reports on its progress toward the achievement of its goals at each Animals and Plants Committee meeting between the 13th and 14th meetings of the Conference of the Parties;
- f) after incorporating suggestions from both the Animals and Plants Committees, the working group should submit a final report, which may include a draft resolution of the Conference of the Parties, for consideration at the 14th meeting of the Conference of the Parties; and
- g) the working group should carry out the majority of its work via email to keep costs to a minimum.

Artificially propagated plants

Directed to the Plants Committee

- 13.72 The Plants Committee shall monitor the effects of implementing the revised definition of 'artificially propagated' contained in Resolution Conf. 11.11 (Rev. CoP13), pertaining to the production of specimens of Appendix-I species grown from wild-collected seeds and spores, and report their findings at the 14th meeting of the Conference of the Parties. This report shall particularly note any adverse effects on the conservation of Appendix-I species that have been subject to this revised definition.

Annotation for Orchidaceae included in Appendix II

Directed to the Parties

- 13.98 All Parties should monitor the implementation of the annotation to Orchidaceae spp. included in Appendix II and report to the Plants Committee.

Directed to the Plants Committee

- 13.99 The Plants Committee should report at the 14th meeting of the Conference of the Parties on the implementation of the annotation to Orchidaceae spp. included in Appendix II.

VALID DECISIONS THAT MAY REQUIRE
CONSULTATION WITH OR ASSISTANCE FROM THE PLANTS COMMITTEE

Synergy between CITES and CBD

Directed to the Secretariat

- 13.4 The Secretariat shall, in collaboration with the Chairmen of the Animals and Plants Committees, review the findings and recommendations of the Vilm report in order to identify its most relevant aspects.
- 13.5 The Secretariat shall make its conclusions available to the Parties at least 90 days before the 53rd meeting of the Standing Committee, in order to enable Parties to submit comments for consideration by the Standing Committee.

Addis Ababa Principles and Guidelines for the Sustainable Use of Biodiversity

Directed to the Secretariat

- 13.7 The Secretariat shall:
- a) distribute the Addis Ababa Principles and Guidelines for the Sustainable Use of Biodiversity to all CITES Management and Scientific Authorities;
 - b) invite the Parties to provide the Animals and Plants Committees with case studies of how these Principles and Guidelines could be used in specific cases of exports of specimens of Appendix-II species;
 - c) incorporate consideration of these Principles and Guidelines into its work plan, with reference to CITES non-detriment findings and capacity building, in particular for Scientific Authorities; and
 - d) following completion of the work of the Animals and Plants Committees, and in cooperation with the Chairmen of the Animals and Plants Committees, incorporate relevant principles and guidelines as identified by those Committees into its capacity-building programme for Scientific Authorities.

Improving regional communication and representation

Directed to Parties

- 13.11 The Parties shall provide to the Secretariat by 1 April 2005 a contact focal point for the Animals, Plants and Nomenclature Committees, including email, telephone and fax details. This information will be available on the CITES website.

Directed to the Secretariat

- 13.14 The Secretariat shall ensure that any vacancies in the Animals, Plants and Nomenclature Committees are brought immediately to the attention of the Standing Committee, in order to fill the vacancies as soon as possible.
- 13.15 The Secretariat shall publish on the CITES website forthcoming deadlines relevant to the work of the Animals, Plants and Nomenclature Committees.
- 13.16 The Secretariat shall explore funding options to ensure that the regional representatives to the Animals and Plants Committees and the Co-Chairmen of the Nomenclature Committee from developing countries and countries with economies in transition can attend the meetings of the Conference of the Parties and fully participate in the committee work.
- 13.17 The Secretariat shall look for funding to support the holding of regional meetings in association with regional seminars or other related meetings organized by the Secretariat. The regional representatives should prepare the agenda and chair the meeting.

Capacity-building programme for science-based establishment and implementation of voluntary national export quotas for Appendix-II species

Directed to the Secretariat

- 12.91 The Secretariat is encouraged to continue to develop and refine its capacity-building programme dealing with the scientific basis for development, establishment, and implementation of voluntary national export quotas for Appendix-II species, and shall, as appropriate, consult with the Animals Committee and Plants Committee on this programme. This consultation may include:
- a) solicitation of input from the Committees regarding materials used in the capacity-building programme for voluntary national export quotas for Appendix-II species; and
 - b) a request for new information from the Committees on methods used for establishing quotas and for relevant case studies on the establishment of quotas.

Agarwood-producing taxa

Directed to the Secretariat

- 13.65 The Secretariat shall:
- a) assist in obtaining funding from interested Parties, intergovernmental and non-governmental organizations, exporters, importers and other stakeholders to support a capacity-building workshop on trade in agarwood prior to the 14th meeting of the Conference of the Parties;
 - b) contingent on availability of external funding, cooperate with exporting and importing countries, as well as significant re-exporting countries and relevant experts, to convene a capacity-building workshop aimed at improving enforcement and implementation of the listing of *Aquilaria malaccensis* and other agarwood-producing species;
 - c) in addition to basic enforcement and implementation issues, include in the workshop a discussion of registration and labelling systems, types of agarwood products in trade and the potential for establishing personal effects exemptions for each, and the usefulness of such approaches for effective implementation; and
 - d) present at the workshop any new information from the Plants Committee on identification of agarwood products in trade, as well as information that would assist in determining sustainable harvest levels and making non-detriment findings.

Transport of live specimens

Directed to the Animals Committee

- 13.88 The Animals Committee, in collaboration with interested non-governmental organizations and the Secretariat, shall:
- a) develop recommendations regarding transport of live animals by road, rail or ship, and regarding cost-effective options for containers and packing materials for all means of transport to supplement, where necessary, the *IATA Live Animals Regulations*;
 - b) assist in identifying model practices concerning the transport and preparation for shipment of live wild animals, and develop recommendations to the Parties regarding the proper preparation, proper handling and transportation of live animals, particularly in exporting countries; and
 - c) report at the 14th meeting of the Conference of the Parties on the implementation of this Decision.
- 13.89 The Animals Committee, in consultation with the Plants Committee and the Secretariat, shall:
- a) undertake a review of Resolution Conf. 10.21 on Transport of live animals, in order to *inter alia*:
 - i) revise the requirements regarding the collection, submission and analysis of data on mortality and injury or damage to health in transport of live animals, domestic measures directed to Parties, and reporting obligations;

- ii) incorporate references to the transport of live plants; and
 - iii) clarify how IATA manuals and regulations can be mechanisms through which up-to-date guidance on the transport of live animals and plants of CITES-listed species can be provided, replacing the *CITES Guidelines for transport and preparation for shipment of live wild animals and plants*; and
- b) report at the 14th meeting of the Conference of the Parties on the implementation of this Decision.