

Implementation report format

The format below follows the structure of the *CITES Strategic Vision: 2021-2030* and aims to collect information to enable the Strategic Vision indicators to be monitored.

CITES vision statement

By 2030, all international trade in wild fauna and flora is legal and sustainable, consistent with the long-term conservation of species, and thereby contributing to halting biodiversity loss, to ensuring its sustainable use, and to achieving the 2030 Agenda for Sustainable Development.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

The questions of the implementation report follow the structure of the *Strategic Vision 2021-2030* and its indicators that are mapped against the Sustainable Development Goals and the Kunming-Montreal Global Biodiversity Framework to ensure synergies and consistent reporting.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in [Resolution Conf. 11.17 \(Rev. CoP19\)](#) which urges that the report should be submitted to the Secretariat on 31 October of the year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form and to **answer at a minimum all questions in bold**. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat
Palais des Nations
Avenue de la Paix 8-14
CH-1211 Geneva
Switzerland

Email: info@cites.org
Tel: +41-(0)22-917-81-39/40
Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	Malta
Period covered in this report	2021-2023
Department or agency preparing this report	Environment & Resources Authority
Contributing departments, agencies and organizations	

GOAL 1 TRADE IN CITES-LISTED SPECIES IS CONDUCTED IN FULL COMPLIANCE WITH THE CONVENTION IN ORDER TO ACHIEVE THEIR CONSERVATION AND SUSTAINABLE USE

Objective 1.1 Parties comply with their obligations under the Convention through the adoption and implementation of appropriate legislation, policies, and procedures.
 SDG Goals 12, 14 & 15
 GBF Goal A & Targets 4, 5, 9 & 10

Indicator 1.1.1: Number of Parties that are in category 1 under the national legislation project.
 (Data source: National Legislation Project)

1.1.1a	<p>Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If 'Yes', have you shared information with the Secretariat? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/></p> <p>If 'No', please provide details to the Secretariat with this report: Implementation of any uplisting of specimens in accordance with CoP19.</p> <p>2021</p> <p>Following CITES CoP18 (Geneva, 2019), the European Commission adopted on 16/12/2021 Commission Regulation (EU) 2021/2280¹ to incorporate the changes flowing from the decisions taken in the relevant legislation at the EU level. The Regulation entails changes to the Annexes to Council Regulation (EC) 338/97 as well as Commission Regulation (EC) No 865/2006.</p> <p>To implement Resolution Conf. 10.10 (Rev. CoP18), the EU introduced a more restrictive ivory trade regime. In this context, the exemption for internal trade with antiques containing ivory without a certificate was repealed. Accordingly, a certificate is now required for trade of ivory in any case. To allow stricter control of internal trade in objects consisting of ivory, an expiry date for certificates issued for all ivory objects was introduced. In addition, the European Commission revised the Guidance document on the EU regime governing trade in ivory². As a result, import and export of raw ivory to and from the EU are prohibited entirely, whereas internal trade is only allowed for specific purposes (repairing pre-1975 musical instruments and pre-1947 antiques of high cultural, artistic or historical importance held by a museum). Regarding worked ivory, export and import are only allowed for pre-1975 musical instruments and pre-1947 antiques sold to museums, internal trade only with objects acquired before 1947 and pre-1975 musical instruments.</p> <p>2022</p> <p>On 11/08/2022, the Commission adopted a Guidance document on live animals bred in captivity³ under the EU Wildlife Trade Regulations.</p> <p>On 09/11/2022, the European Commission adopted a revised EU Action Plan against wildlife trafficking⁴ to strengthen the EU action against the illegal trade in wildlife, both in the EU and internationally. The revised action plan is built around four priorities including 17 objectives and 69 actions: (1) Preventing wildlife trafficking and addressing its root causes; (2) strengthening the legal</p>
--------	--

1 [Regulation - 2021/2280 - EN - EUR-Lex \(europa.eu\)](#)
 2 [EUR-Lex - 52021XC1230\(03\) - EN - EUR-Lex \(europa.eu\)](#)
 3 [EUR-Lex - 52022XC0811\(01\) - EN - EUR-Lex \(europa.eu\)](#)
 4 [EUR-Lex - 52022DC0581 - EN - EUR-Lex \(europa.eu\)](#)

	<p>and policy framework against wildlife trafficking; (3) enforcing regulations and policies to fight wildlife trafficking effectively; (4) strengthening the global partnership of source, consumer and transit countries against wildlife trafficking.</p> <p>2023</p> <p>Following CITES CoP19 (Panama, 2022), the Commission adopted on 15/05/2023 the revised Annexes to Council Regulation (EC) No 338/97¹.</p> <p>On 12/12/2023, the Commission adopted Commission Implementing Regulation (EU) No 2023/2770 (Suspension Regulation)², amending the list of species of which import to the EU is prohibited.</p> <p>Moreover, the European Commission adopted on 18/04/2023 a Guidance document on the export, re-export and intra-EU trade of captive-born and bred live tigers and their parts and derivatives³ (2023/C 135/01). The objective of the Guidance is to increase controls on the commercial trade of live captive-bred tigers and their parts and derivatives. The EU Member States are encouraged not to grant export, re-export or intra-EU trade certificates. Exemptions are only possible under specific circumstances if the purposes are not detrimental to the conservation of the species.</p>
1.1.1b	<p>Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'No', please provide details of the constraints faced:</p> <p>After every CITES CoP, the EU incorporates the changes in the CITES Appendices in the relevant legislation at EU level (Council Regulation (EC) 338/97). Albeit a simplified procedure, it is challenging to meet the 90 days deadline given lengthy and punctual internal procedures at EU level.</p> <p>During the interim period and prior to the entry into force of the amended Regulation, EU Member States issue export and import permits directly based on the relevant provisions of the Convention.</p>

Indicator 1.1.2: Number of Parties subject to CITES recommendations to suspend trade.
(Data source: Notifications to the Parties and reference list of countries subject to a recommendation to suspend trade)

Objective 1.2 Parties have established CITES Management and Scientific Authorities and enforcement focal points that effectively carry out the duties required of them under the Convention and relevant Resolutions.

Indicator 1.2.1: Number of Parties that have designated at least one Management Authority, independent Scientific Authority and enforcement focal points in place.
(Data source: CITES online directory)

Objective 1.3 Implementation of the Convention at the national level is consistent with Resolutions and Decisions adopted by the Conference of the Parties.
[SDG Goals 12, 14 & 15](#)
[GBF Goal A & Targets 4, 5, 9, 10 & 15](#)

Indicator 1.3.1: Number of Parties that have implemented relevant reporting under Resolutions and Decisions of the Conference of the Parties and/or Standing Committee recommendations.

1.3.1a	Has your country responded to all relevant special reporting requirements that are active during the period covered in this report, including those in the Resolutions and Decisions of the Conference of the Parties, Standing Committee recommendations, and Notifications issued by the Secretariat (see [link to location on the CITES website where the reporting requirements are listed])?
--------	--

¹ [Regulation - 2023/966 - EN - EUR-Lex \(europa.eu\)](#)

² [Implementing regulation - EU - 2023/2770 - EN - EUR-Lex \(europa.eu\)](#)

³ [EUR-Lex - 52023XC0418\(01\) - EN - EUR-Lex \(europa.eu\)](#)

	Responses provided to ALL relevant reporting requirements <input type="checkbox"/> Responses provided to SOME of the relevant reporting requirements <input checked="" type="checkbox"/> Responses provided to NONE of the relevant reporting requirements <input type="checkbox"/> No special reporting requirements applicable <input type="checkbox"/>
1.3.1b	Were any difficulties encountered during the period covered in this report in implementing specific Resolutions or Decisions adopted by the Conference of the Parties? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If 'Yes', please provide details of which Resolution(s) or Decision(s), and, for each, what difficulties were / are being encountered?

Objective 1.4 The Appendices correctly reflect the conservation status and needs of species.

[SDG Goal 15](#)

[GBF Goal A & Targets 4 & 5](#)

Indicator 1.4.1: The number and proportion of species listed in Appendices that have been found to meet the criteria for each Appendix contained in Resolution Conf. 9.24 (Rev. CoP17) or its successors as part of the Periodic Review process or of amendment proposals

Objective 1.5 Parties improve the conservation status of CITES-listed specimens, put in place national conservation actions, support their sustainable use and promote cooperation in managing shared wildlife resources.

[SDG Goals 2, 12, 14 & 15](#)

[GBF Goals A & B & Targets 4, 5, 9 & 10](#)

Indicator 1.5.1: The conservation status of species listed on the CITES Appendices has stabilized or improved. (Data source: IUCN Red List conservation status categories)

1.5.1a (previously 3.4.1a)	Does your country have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	Yes	No	Not Applicable											
	<table border="0"> <tr> <td>Appendix I</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Appendix II</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Appendix III</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Appendix I	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Appendix II	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Appendix III	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Appendix I	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
Appendix II	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
Appendix III	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>												
If there are such studies that you are willing to share, please provide: Species name (scientific) Link to the data, or a brief summary															
1.5.1b (previously 3.4.1b)	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>													
If 'Yes', please provide details:															

Indicator 1.5.2: Number of CITES-listed species for which Parties have put in place actions that support sustainable use.

1.5.2 (previously 1.6.2a)	Does your country have any cooperative management plans, including recovery plans, in place for shared populations of CITES-listed species? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If 'Yes', please list the species for which these plans are in place and provide a link or reference to a published plan for each species.	
Species Name (scientific) Link or reference to a published plan	

--	--

GOAL 2 PARTIES' DECISIONS ARE SUPPORTED BY THE BEST AVAILABLE SCIENCE AND INFORMATION

Objective 2.1 Parties' non-detriment findings are based on best available scientific information and their determination of legal acquisition is based on the best available technical and legal information.
 SDG Goals 12, 14 & 15
 GBF Targets 4, 5, 9 & 20

Indicator 2.1.1: Number of Parties that have adopted standard procedures for making non-detriment findings (NDFs).

		Yes	No	No information
2.1.1a (previously 1.5.2a)	<p>Does your country have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7 (Rev. CoP17)?</p> <p>If 'Yes', please briefly describe your procedures for making non-detriment findings, or attach as an annex to this report, or provide a link to where the information can be found on the internet:</p>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2.1.1b (previously 1.5.2b)	<p>When establishing non-detriment findings, have any of the following guidance been used?</p> <p style="text-align: right;">Please tick all that apply</p> <p style="text-align: right;">Virtual College <input type="checkbox"/></p> <p style="text-align: right;">IUCN Checklist <input type="checkbox"/></p> <p style="text-align: right;">Resolution Conf. 16.7 <input type="checkbox"/></p> <p style="text-align: right;">2008 NDF workshop <input type="checkbox"/></p> <p style="text-align: right;">Species specific guidance <input type="checkbox"/></p> <p style="text-align: right;">Other <input type="checkbox"/></p> <p>If 'Other' or 'Species specific guidance', please specify details:</p>			
2.1.1c (previously 1.5.2c)	<p>How often does your country review and/or change your non-detriment findings?</p> <p style="text-align: right;">Case by case <input type="checkbox"/></p> <p style="text-align: right;">Annually <input type="checkbox"/></p> <p style="text-align: right;">Every two years <input type="checkbox"/></p> <p style="text-align: right;">Less frequently <input type="checkbox"/></p> <p style="text-align: right;">A mix of the above <input type="checkbox"/></p> <p>Please describe the circumstances under which non-detriment findings would be changed:</p>			

Indicator 2.1.2: Number of written NDFs submitted and number of Parties submitting NDFs for posting in the CITES online database.
 (Data source: NDF webpage on the CITES website)

Indicator 2.1.3: Number of Parties that have included the legal acquisition finding obligation in their national regulatory framework, as recommended by Resolution Conf. 18.7 (Rev. CoP19).

		Yes	No	No information
2.1.3	<p>Is the legal acquisition finding obligation included in your national regulatory framework, as recommended by Resolution Conf. 18.7 (Rev. CoP19)?</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If 'Yes', please briefly include the name of the regulatory instrument, or provide a link to where the information can be found on the internet:
S.L. 549.38 - Trade in Species of Fauna and Flora Regulations.

Objective 2.2 Parties cooperate in sharing information and tools relevant to the implementation of CITES.
 SDG Goal 12
 GBF Goal B & Targets 20 & 21

Indicator 2.2.1: Number of surveys, studies or other analyses undertaken by exporting countries based on the sources of information cited in Resolution Conf. 16.7 (Rev. CoP17) on *Non-detriment findings* related to: - the population status of Appendix-II species; - the trends and impact of trade upon Appendix-II species; and - the status of and trend in naturally occurring Appendix I species and the impact of any recovery plans.

<p>2.2.1a (previously 1.5.1a)</p>	<p>Have any surveys, studies or other analyses been undertaken in your country in relation to:</p> <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> <th>Not Applicable</th> <th>If Yes, How many?</th> </tr> </thead> <tbody> <tr> <td>- the population status of Appendix II species?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>- the trends and impact of trade on Appendix II species?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>- the status of and trend in naturally-occurring Appendix I species?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>- the impact of any recovery plans on Appendix I species?</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p>If there are such studies that you are willing to share, please provide:</p> <p style="text-align: right;">A brief summary of the results of the survey, study or other analysis (e.g. population status, decline / stable / increase, off-take levels etc), or provide links to published reference material.</p> <p>Species name (scientific)</p>		Yes	No	Not Applicable	If Yes, How many?	- the population status of Appendix II species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Yes	No	Not Applicable	If Yes, How many?																											
- the population status of Appendix II species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																											
- the trends and impact of trade on Appendix II species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																											
- the status of and trend in naturally-occurring Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																											
- the impact of any recovery plans on Appendix I species?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																											
Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>																											
<p>2.2.1b (previously 1.5.1b)</p>	<p>How are the results of such surveys, studies or other analyses used in making non-detriment findings (NDFs)? Please tick all that apply</p> <p style="text-align: right;">Revised harvest or export quotas <input type="checkbox"/></p> <p style="text-align: right;">Banning export <input type="checkbox"/></p> <p style="text-align: right;">Stricter domestic measures <input type="checkbox"/></p> <p style="text-align: right;">Changed management of the species <input type="checkbox"/></p> <p style="text-align: right;">Discussion with Management Authorities <input type="checkbox"/></p> <p style="text-align: right;">Discussion with other stakeholders? <input type="checkbox"/></p> <p style="text-align: right;">Other (please provide a short summary):</p>																														
<p>2.2.1c (previously 1.5.1c)</p>	<p>Does your country have specific conservation measures or recovery plans for naturally occurring Appendix-I listed species?</p> <p style="text-align: right;">Yes <input type="checkbox"/></p> <p style="text-align: right;">No <input checked="" type="checkbox"/></p> <p style="text-align: right;">Not Applicable <input type="checkbox"/></p> <p style="text-align: right;">No information <input type="checkbox"/></p> <p>If 'Yes', please provide a brief summary, including, if possible, an evaluation of their impact:</p>																														
<p>2.2.1d (previously 1.5.1d)</p>	<p>Have your country published any non-detriment findings that can be shared? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide links or examples to the Secretariat within this report:</p>																														

2.2.1e (previously 1.5.1e)	Which of the following [A to F of paragraph 1 a) x) of Resolution Conf. 16.7 (Rev. CoP17)] does your country use in making non-detriment findings?	Yes	No
	A. relevant scientific literature concerning species biology, life history, distribution and population trends.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B. details of any ecological risk assessments conducted.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	C. scientific surveys conducted at harvest locations and at sites protected from harvest and other impacts.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	D. relevant knowledge and expertise of local and indigenous communities.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	E. consultations with relevant local, regional and international experts.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	F. national and international trade information such as that available via the CITES trade database maintained by UNEP World Conservation Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Indicator 2.2.2: Number and proportion of annual export quotas based on population surveys.
(Data source: Quotas webpage on the CITES website)

2.2.2a (previously 1.5.3a)	Does your country set annual export quotas?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	If 'Yes', does your country set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		
	Species Name (scientific)	Population Survey? <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Other, please specify
2.2.2b (previously 1.5.3b)	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
	If 'Yes', please describe how this fits into your non-detriment finding process:		

Indicator 2.2.3: Number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared CITES listed species.

2.2.3a (previously 1.6.3a)	Have the CITES authorities <i>received or benefited</i> from any of the following capacity-building activities provided by external sources?						
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	What were the external sources ¹ ?
		Target group					
	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	IMPEL and EU Commission
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

¹ Please provide the names of Parties, and any non-Parties, involved.

	Staff of enforcement authorities <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	IMPEL and EU Commission																																																															
	Traders <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> NGOs <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> Public <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																																																
	Other (please specify): <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>																																																																
2.2.3b (previously 1.6.3b)	Have the CITES authorities been the providers of any of the following capacity-building activities to other range States? Please tick boxes to indicate which target group and which activity.																																																																
	<table border="1"> <thead> <tr> <th>Target group</th> <th>Oral or written advice/guidance</th> <th>Technical assistance</th> <th>Financial assistance</th> <th>Training</th> <th>Other (specify)</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Staff of Management Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of Scientific Authority</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Staff of enforcement authorities</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Traders</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>NGOs</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Public</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other Parties/International meetings</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (please specify)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> </tbody> </table>	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details	Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other Parties/International meetings	<input type="checkbox"/>		Other (please specify)	<input type="checkbox"/>										
Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details																																																											
Staff of Management Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Traders	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Public	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Other Parties/International meetings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
2.2.3c (previously 1.6.3c)	In what ways does your country collaborate with other CITES Parties?																																																																
	<table border="1"> <thead> <tr> <th></th> <th>Never</th> <th>Rarely</th> <th>Sometimes</th> <th>Very Often</th> <th>Always</th> <th>Further detail / examples</th> </tr> </thead> <tbody> <tr> <td>Information exchange</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Confirmation of certificates, hunting regulations</td> </tr> <tr> <td>Monitoring / survey</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Habitat management</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Species management</td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Law enforcement</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Confirming validity of certificates and sharing of intelligence.</td> </tr> <tr> <td>Capacity building</td> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Other (please provide details)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples	Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Confirmation of certificates, hunting regulations	Monitoring / survey	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Habitat management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Species management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Confirming validity of certificates and sharing of intelligence.	Capacity building	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other (please provide details)														
	Never	Rarely	Sometimes	Very Often	Always	Further detail / examples																																																											
Information exchange	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Confirmation of certificates, hunting regulations																																																											
Monitoring / survey	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Habitat management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Species management	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Law enforcement	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Confirming validity of certificates and sharing of intelligence.																																																											
Capacity building	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																																												
Other (please provide details)																																																																	
2.2.3d (previously 2.3.1a)	How many training and capacity building activities¹ has your country run during the period covered in this report?																																																																
	<table border="1"> <thead> <tr> <th>Without assistance from the Secretariat</th> <th>Conducted or assisted by the Secretariat</th> </tr> </thead> <tbody> <tr> <td>None <input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td>1 <input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>	Without assistance from the Secretariat	Conducted or assisted by the Secretariat	None <input type="checkbox"/>	<input checked="" type="checkbox"/>	1 <input type="checkbox"/>	<input type="checkbox"/>																																																										
Without assistance from the Secretariat	Conducted or assisted by the Secretariat																																																																
None <input type="checkbox"/>	<input checked="" type="checkbox"/>																																																																
1 <input type="checkbox"/>	<input type="checkbox"/>																																																																

¹ An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

		2-5	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
		6-10	<input type="checkbox"/>	<input type="checkbox"/>			
		11-20	<input type="checkbox"/>	<input type="checkbox"/>			
		More than 20	<input type="checkbox"/>	<input type="checkbox"/>			
	Please list the Resolutions or Decisions involved: none						
2.2.3e (previously 2.3.1b)	What sorts of capacity building activities have taken place? Internal training of new officers to handle Customs clearances and a study tour to the Netherlands which provided an overview how CITES is handled there. The following departments were visited during the visit: CITES Management Authority, CITES Permit Bureau, CITES Administrative Law Enforcement, Netherlands Forensic Institute, Dutch Customs and the Dutch Customs Laboratory.						
2.2.3f (previously 2.3.1c)	What capacity building needs does your country have?						
	Please tick all boxes which apply to indicate which target group and which activity.						
	Target group	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	Details
	Staff of Management Authority	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Staff of enforcement authorities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Traders / other user groups	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	NGOs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Public	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Newspaper adverts on listings, TV programmes
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Indicator 2.2.4: Number of reports shared by the Parties in compliance with the Resolutions of the Convention. (Data source: CITES Secretariat)

Indicator 2.2.5: Number of Parties sharing information relevant to the implementation of CITES (e.g. shared databases, data visualization/software, information-sharing focused tools, etc.).

2.2.5	Has your country shared information relevant to the implementation of CITES (e.g. shared databases, data visualization/software, information-sharing focused tools, etc.)?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>

Indicator 2.2.6: Number of CoP side-events where Parties present information and tools relevant to the implementation of CITES (Data source: CoP side-event schedule and descriptions)

Objective 2.3 Parties have sufficient information to enforce the Convention.
SDG Goal 12
GBF Goal D & Targets 15 & 21

Indicator 2.3.1: Proportion of Parties that are making use of the available tools. For instance, one could look at Google Analytics for the number of site visits to the CITES website, CITES Checklist, or Species+ or the number of downloads from the CITES Trade Database as a proxy for usage of shared tools. (Data source: CITES Secretariat – Number of visits to the CITES website; number of visits to the CITES Checklist and Species+; number of downloads from the CITES Trade Database)

Indicator 2.3.2: Percentage of Parties reporting having sufficient information to enforce the Convention.

2.3.2	Do you consider that your country has sufficient information to enforce the Convention?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>

Objective 2.4 Parties have sufficient information to make listing decisions that are reflective of species conservation needs.

[SDG Goal 12](#)

[GBF Goals A & D & Targets 5, 20 & 21](#)

Indicator 2.4.1: Percentage of Parties reporting having sufficient information to make listing decisions that are reflective of species conservation needs.

2.4.1	Do you consider that your country has sufficient information to make listing decisions that are reflective of species conservation needs?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>

Objective 2.5 Information gaps and needs for key species are identified and addressed.

[SDG Goal 12](#)

[GBF Target 21](#)

Indicator 2.5.1: Number of Parties that have undertaken research (including for non-detriment findings) on their identified key species most relevant to the implementation of the Convention.

2.5.1a	<p>Has research (including for non-detriment findings) on your identified key species most relevant to the implementation of the Convention been undertaken in your country?</p> <p style="text-align: right;">Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please indicate how you identify key species:</p>
2.5.1b (previously 1.4.1a)	<p>Has your country undertaken any reviews of whether species would benefit from listing on the CITES Appendices?</p> <p style="text-align: right;">Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):</p>

Indicator 2.5.2: Number of Parties that currently lack information for their identified key species most relevant to the implementation of the Convention and need assistance to address them.

2.5.2	<p>Do you consider that your country currently lacks information on your identified key species most relevant to the implementation of the Convention and needs assistance to address them?</p> <p style="text-align: right;">Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please specify for which key species and the type of assistance needed:</p>
--------------	--

GOAL 3 PARTIES (INDIVIDUALLY AND COLLECTIVELY) HAVE THE TOOLS, RESOURCES AND CAPACITY TO EFFECTIVELY IMPLEMENT AND ENFORCE THE CONVENTION, CONTRIBUTING TO CONSERVATION, SUSTAINABLE USE AND THE REDUCTION OF ILLEGAL TRADE IN CITES-LISTED WILDLIFE SPECIES

Objective 3.1 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.
[SDG Goal 16](#)
[GBF Goal D](#)

Indicator 3.1.1: Number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
3.1.1 (previously 1.2.1a)	Does your country have standard operating procedures for application for and issuance of permits?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are the procedures publicly available?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Indicator 3.1.2: Number of Parties making use of the simplified procedures provided for in Resolution Conf. 12.3 (Rev. CoP19).

3.1.2 (previously 1.2.2a)	Has your country developed simplified procedures for any of the following?	Tick all applicable		
		Yes	No	No information
	Where biological samples of the type and size specified in Annex 4 to Resolution Conf. 12.3 (Rev. CoP19) are urgently required.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII, paragraph 2 .	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5 .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4 .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide details:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Indicator 3.1.3: Number of Parties that have adopted an electronic system for the issuance of permits.

		Yes	No	No information
3.1.3 (previously 1.2.1b)	Does your country have: Electronic data management and a paper-based permit issuance system?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Electronic permit information exchange to Management Authorities of all countries?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit data exchange between Management Authorities and customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Electronic permit used to cross border with electronic validation by customs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If 'Yes' to any of the above, please provide information on challenges faced or issues overcome: An electronic data management has been built to log all permits issued. Permits issued are all paper based, issued through an electronic system.			
If 'No', do you have any plans to move towards e-permitting¹?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If you are planning to move towards e-permitting, please explain what might help you to do so: Currently, an updated e-permitting system is currently being developed. This shall be linked to the EU e-permitting system.			

Objective 3.2 Parties and the Secretariat develop, adopt and implement adequate capacity-building programmes.

[SDG Goal 17](#)

[GBF Goal D & Targets 20 & 21](#)

Indicator 3.2.1: Number of Parties with training programmes and information resources in place to implement CITES, including the making of non-detriment and legal acquisition findings, issuance of permits and enforcement.

3.2.1a (previously 1.8.1a)	Does your country have information resources or training in place to support: YesNo The making of non-detriment findings? <input type="checkbox"/> <input checked="" type="checkbox"/> Permit officers? <input checked="" type="checkbox"/> <input type="checkbox"/> Enforcement officers? <input checked="" type="checkbox"/> <input type="checkbox"/>	
3.2.1b (previously 1.8.1b)	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
3.2.1c (previously 1.8.1c)	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes <input type="checkbox"/> No <input type="checkbox"/> No information <input checked="" type="checkbox"/>

Indicator 3.2.2: Number of workshops and other capacity-building activities that bring range States together to address the conservation and management needs of shared CITES listed species.

See questions for indicator 2.2.3

Objective 3.3 Sufficient resources are available at the national and international levels to support necessary capacity-building programmes and ensure compliance with and full implementation and enforcement of the Convention.

[SDG Goals 15 & 17](#)

[GBF Goal D & Targets 20 & 21](#)

Indicator 3.3.1: Number of Parties meeting their obligations with regard to their assessed contributions to the Trust Fund.

(Data source: CITES Secretariat)

¹ e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

Indicator 3.3.2: Percentage of the total funds required to implement the work programme agreed by the Conference of the Parties that is fully funded.
(Data source: CITES Secretariat)

Objective 3.4 Parties recognize illegal trade in wildlife as serious crime and have adequate systems in place to detect and deter it.
[SDG Goal 15](#)

Indicator 3.4.1: Number of Parties where criminal offences relating to illegal trade in wildlife (such as illegal hunting/harvest and wildlife trafficking) are recognized as a serious crime.

3.4.1a (previously 1.7.3b)	Are criminal offences such as poaching and wildlife trafficking recognized as serious crime¹ in your country? If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>
3.4.1b (previously 1.7.3a)	Does your country have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime? If 'Yes', please provide the title of the legislation and a summary of the penalties available S.L. 549.38 Trade in species of Fauna and Flora Regulations. Penalties: either a fine between €465.87 and €4,658.75 or imprisonment between one month and not exceeding two years or both.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
3.4.1c (previously 1.7.3c)	Does your country have capacity to use forensic technology ² to support the investigation of CITES offences? If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report: No such cases in the reporting period If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to: Country has the capacity to conduct DNA profiling and species identification through forensic morphology.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
3.4.1d (previously 1.7.3d)	Did your authorities participate in or initiate any multi-disciplinary ³ law enforcement operation(s) targeting CITES-listed species during the period covered in this report? If 'Yes', please provide a brief summary, including any lessons learned which might be helpful for other Parties: Investigations pertaining to smuggling of wildlife from Third Countries. Lesson learned: extraction of evidence from cellular devices	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>
3.4.1e (previously 1.7.3e)	Does your country have a standard operating procedure among relevant agencies for submitting information related to CITES offences to INTERPOL and/or the World Customs Organization?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No information <input type="checkbox"/>

¹ The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

3.4.1f (previously 1.7.3f)	Does your country have legislative provisions for any of the following that can be applied to the investigation, prosecution and/or sentencing of CITES offences as appropriate?	Yes	No	No information	If yes, how many times was this used during the period covered by this report?
	General crime ¹	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Predicate offences ²	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Asset forfeiture ³	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Corruption ⁴	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	International cooperation in criminal matters ⁵	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Organized crime ⁶	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Specialized investigation techniques ⁷	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provide a brief summary, including any lessons learned which might be helpful for other Parties:					
3.4.1g (previously 1.7.3g)	Does your country have institutional capacity to implement the legislative provisions listed in the question above against CITES offences?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>			
If 'No', please provide a brief summary of your major capacity-building needs: These needs are catered for by other institutions such as the Police					

Objective 3.5 Parties work collaboratively across range, transit and destination states, to address entire illegal trade chains, including through strategies to reduce both the supply of and demand for illegal products, in order for trade to be legal and sustainable.

[SDG Goals 15 & 17](#)

[GBF Targets 5, 16, 20 & 21](#)

Indicator 3.5.1: Number of seizures made through Parties collaboration across range, transit and destination States, to address entire illegal trade chains.

3.5.1	Have authorities in your country made seizures through Parties collaboration across range, transit and destination States, to address entire illegal trade chains?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	No information <input type="checkbox"/>
	If 'Yes', please indicate the number of seizures made:			

¹ General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

² Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence as an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

³ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

⁴ Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

⁵ International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

⁶ Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

⁷ Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

Objective 3.6 Parties take measures to prohibit, prevent, detect and sanction corruption.
[SDG Goal 16](#)

Indicator 3.6.1: Number of Parties reporting in implementation reports of activities taken to address corruption.

3.6.1	Has your country undertaken activities to address corruption, in particular with regard to national agencies responsible for wildlife law enforcement and protected areas management? If 'Yes', please elaborate on the types of activities taken:	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 3.7 Investments in building capacity of CITES are prioritized, coordinated, and their success monitored to ensure stepwise improvement through time.
[SDG Goals 15 & 17](#)
[GBF Goal D & Target 20](#)

Indicator 3.7.1: Number of capacity-building activities delivered to Parties.
(Data source: See questions for indicator 2.2.3)

Indicator 3.7.2: Number of Parties who report improvements in their implementation following targeted capacity-building efforts.

3.7.2	Can you report improvements in the implementation of CITES in your country following targeted capacity-building efforts? If 'No', please elaborate on the reasons why targeted capacity-building did not lead to improvements in your implementation:	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No capacity-building	<input type="checkbox"/>

Indicator 3.7.3: Total investments into capacity-building efforts.
(Data source: Reports from capacity-building activities)

Objective 3.8 Parties take full advantage of emerging technological developments to improve the effective implementation and enforcement of the Convention.
[SDG Goal 17](#)
[GBF Goal D & Targets 20 & 21](#)

Indicator 3.8.1: Number of CITES Parties using the CITES Checklist API.
(Data source: CITES Secretariat)

GOAL 4 CITES POLICY DEVELOPMENT ALSO CONTRIBUTES TO AND LEARNS FROM INTERNATIONAL EFFORTS TO ACHIEVE SUSTAINABLE DEVELOPMENT

Objective 4.1 Parties support sustainable wildlife trade policies, especially those that increase the capacity of Indigenous peoples and local communities to pursue livelihoods.
[SDG Goals 8, 12, 14, 15 & 17](#)
[GBF Goals B & C & Targets 5 & 22](#)

Indicator 4.1.1: Number of CITES-listed species for which Parties have designed/implemented relevant sustainable wildlife management policies.

4.1.1	Has your country designed or implemented relevant sustainable wildlife management policies for CITES-listed species?		Yes	<input type="checkbox"/>
			No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>	
If 'Yes', please indicate the names of the species:				
		Taxon (scientific name)	Total number of CITES-listed species covered	

Indicator 4.1.2: Percentage of Parties that co-developed or otherwise supported the capacity of indigenous peoples and local communities to pursue livelihoods.

4.1.2	Has your country co-developed or otherwise supported the capacity of indigenous peoples and local communities to pursue livelihoods?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 4.2 The importance of achieving CITES' aim as a contribution to achieving the relevant Sustainable Development Goals, as well as the post-2020 global biodiversity framework, is recognized.

[SDG Goals 12, 15 & 17](#)

[GBF Targets 4 & 5](#)

Indicator 4.2.1: Number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP), also included in the global and national Strategies for Plant Conservation under CBD programme.

4.2.1a (previously 3.4.2a)	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP) or any revision of the NBSAP?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
4.2.1b (previously 3.4.2b)	Has your country been able to obtain funds from the Global Environment Facility (GEF) or other sources to support CITES aspects of NBSAP implementation?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>

Objective 4.3 Awareness of the role, purpose and achievements of CITES is increased globally.

[SDG Goals 12 & 17](#)

[GBF Targets 4, 5 & 21](#)

Indicator 4.3.1: Number of new, unique visits to the CITES website.
(Data source: CITES Secretariat – number of site visits to the CITES website)

Indicator 4.3.2: Number of Parties with information on CITES and its requirements on their official websites.
(Data source: CITES Secretariat – number of Management Authorities with a website)

Indicator 4.3.3: Number of followers on CITES social media platforms.
(Data source: CITES Secretariat – number of followers of CITES and WWD on social media, i.e., Instagram, LinkedIn, Facebook, Youtube, Wechat and Weibo)

Indicator 4.3.4: Number of key identified hashtags (e.g. #cites, #citescop19 #worldwildlifeday, etc.) on CITES social media.
(Data source: CITES Secretariat)

Indicator 4.3.5: Number of events submitted to the World Wildlife Day website.
(Data source: CITES Secretariat)

Objective 4.4 CITES Parties are informed of international actions for sustainable development that may have a bearing on achieving the goal of CITES.

[SDG Goal 17](#)

[GBF Target 21](#)

Indicator 4.4.1: Number of meetings/CoP where representatives of other international bodies report on relevant activities to CITES Parties.
(Data source: CITES Secretariat)

Indicator 4.4.2: Events, documents and presentations, etc. delivered by other intergovernmental bodies and fora in meetings convened by the CITES Secretariat.
(Data source: CITES Secretariat)

Indicator 4.4.3: Number of Notifications to the Parties issued by the CITES Secretariat relating to international actions for sustainable development that may have a bearing on achieving the goal of CITES.
(Data source: CITES Secretariat)

GOAL 5 DELIVERY OF THE CITES STRATEGIC VISION IS IMPROVED THROUGH COLLABORATION

Objective 5.1 Parties and the Secretariat support and enhance existing cooperative partnerships in order to achieve their identified objectives.
SDG Goal 17
GBF Goal D & Target 20

Indicator 5.1.1: Number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

5.1.1 (previously 3.3.1a)	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC)¹ to which your country is party?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
		No information	<input type="checkbox"/>
If 'Yes', please give a brief description: Consultation is a key approach applied between national focal points to ensure effective coordination.			

Indicator 5.1.2: Number of Parties cooperating / collaborating with intergovernmental and non-governmental organizations to participate in and/or fund CITES workshops and other training and capacity-building activities.

5.1.2 (previously 3.3.3a)	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?
	Inter-governmental organizations?	<input type="checkbox"/>	
	Non-governmental organizations?	<input type="checkbox"/>	

Indicator 5.1.3: Number of cooperative actions taken under established bilateral or multilateral agreements to prevent species from being unsustainably exploited through international trade.

5.1.3 (previously 3.5.1a)	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		No information	<input type="checkbox"/>
If 'Yes', please provide details:			

Indicator 5.1.4: Number of times other relevant international organizations and agreements dealing with natural resources are consulted on issues relevant to species subject to unsustainable trade.

¹ CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

5.1.4 (previously 3.5.2a)	Average number of times per year that international organizations or agreements have been consulted by CITES Authorities	Once	2-5 times	6-20 times	More than 20 times	No consultation	Optional comment about which organizations and issues consulted on
	Management Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Enforcement Authority(ies)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

Indicator 5.1.5: Number of implemented cooperation agreements between the Secretariat and Multilateral Environmental Agreements (MEAS), including the Biodiversity Liaison Group (BLG) and other biodiversity-related Conventions.
(Data source: CITES Secretariat)

Objective 5.2 Parties encourage the formation of new, innovative and mutually sustainable alliances between CITES and relevant international partners, where appropriate to advance CITES' objective and mainstream conservation and of sustainable use of biodiversity.
[SDG Goal 17](#)
[GBF Goal D & Target 20](#)

Indicator 5.2.1: Number of alliances between CITES and relevant international partners to advance CITES objective and mainstream conservation and sustainable use of biodiversity.
(Data source: CITES Secretariat)

Objective 5.3 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support activities that contribute to CITES implementation and enforcement.
[SDG Goals 15 & 17](#)
[GBF Goal D](#)

Indicator 5.3.1: Number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

5.3.1a (previously 3.1.1a)	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
		Not applicable	<input type="checkbox"/>
		No information	<input type="checkbox"/>
	If 'Yes', please provide brief details:		
5.3.1b (previously 3.1.1a)	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased	<input type="checkbox"/>
		Remained stable	<input checked="" type="checkbox"/>
		Decreased	<input type="checkbox"/>

Indicator 5.3.2: Number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

5.3.2 (previously 3.1.2a)	Has your country provided technical or financial assistance to another country or countries in relation to CITES?						Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information <input type="checkbox"/>	
	If 'Yes', please tick boxes to indicate type of assistance provided							
	Country(ies)	Species Management ¹	Habitat Management ²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

¹ Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

² Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

**Questions that are not directly linked to the CITES Strategic Vision indicators
but provide useful information about the implementation of the Convention**

COOPERATION AND SYNERGIES

C1 (previously 1.6.1a)	Is your country a signatory to any bilateral and/or multilateral agreements for co-management of shared species? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If 'Yes', please provide brief details, including the names of the agreements, and which other countries are involved:
---------------------------	--

C2a (previously 3.3.2a)	How many international projects which integrate CITES issues has your country contributed towards?		1
C2b (previously 3.3.2b)	In addition to C2a, how many national level projects has your country implemented which integrate CITES issues?		
C2c (previously 3.3.2c)	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No
	Agencies for development?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Agencies for trade?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Provincial, state or territorial authorities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Local authorities or communities?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Indigenous or local peoples?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Trade or other private sector associations?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	NGOs?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Other (please specify)	<input type="checkbox"/>	<input type="checkbox"/>
C2d (previously 3.3.2d)	Are CITES requirements integrated into?	Yes	No
	National and local development strategies?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	National and local poverty reduction strategies?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Planning processes?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	National accounting?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

ENFORCEMENT

E1 (previously 1.7.1a)	Does your country have, is are your country engaged in, or covered by:	Yes	No	No Information
	– an international enforcement strategy and/or action plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– formal international cooperation, such as an international enforcement network?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	– a national enforcement strategy and/or action plan?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	– formal national interagency cooperation, such as a national interagency enforcement committee?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: Malta participates in the EU Wildlife Trade Enforcement Group and also follows EU Action Plan against Wildlife Trafficking.			

E2a (previously 1.7.2a)	Does your country have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to	Yes		<input type="checkbox"/>
		No, but review is under		<input type="checkbox"/>

	implement your strategy(ies)?	consideration No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	If 'Yes', what do you do? If 'Yes' or 'No, but review is under consideration', which tools do you find of value?		
E2b (previously 1.7.2b)	Has your country used the International Consortium on Combating Wildlife Crime (ICWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes No, but toolkit use is under consideration No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
	If 'Yes', please provide feedback on the parts of the toolkit used and how useful the toolkit or equivalent tools have been. Please specify improvements that could be made: If 'No', please provide feedback on why not or what is needed to make the toolkit or equivalent tools useful to you:		

E3a (previously 1.7.4a)	Does your country use risk assessment to target CITES enforcement effort?	Always Very often Sometimes Rarely Never No information	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
E3b (previously 1.7.4b)	Does your country have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes No No information	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
E3c (previously 1.7.4c)	Does your country use criminal intelligence ¹ to inform investigations into illegal trade in CITES-listed species?	Always Very often Sometimes Rarely Never No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
E3d (previously 1.7.4d)	Has your country implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>
E3e (previously 1.7.4e)	Has your country implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>

During the period covered in this report:		Yes	No	No Information
E4a (previously 1.7.5a)	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

¹ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

	If 'Yes', please indicate how many and for what types of offences. If available, please attach details:		
E4b (previously 1.7.5b)	Have there been any criminal prosecutions of CITES-related offences?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes', how many and for what types of offences? If available, please attach details: During this reporting period there have been a total of 18 criminal prosecutions, all related to illegal importation, sale and possession without supporting documentation		
E4c (previously 1.7.5c)	Have there been any other court actions against CITES-related offences?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	If 'Yes', what were the offences involved and what were the results? Please attach details: In the reporting period 11 cases were concluded. 8 of these cases resulted in a fine ranging between 500-7000euros and confiscation of specimens.		
E4d (previously 1.7.5d)	How were any confiscated specimens disposed of?	Tick all that apply	
	– Return to country of export	<input type="checkbox"/>	<input type="checkbox"/>
	– Public zoos or botanical gardens	<input type="checkbox"/>	<input type="checkbox"/>
	– Designated rescue centres	<input type="checkbox"/>	<input type="checkbox"/>
	– Approved private facilities	<input type="checkbox"/>	<input type="checkbox"/>
	– Euthanasia	<input type="checkbox"/>	<input type="checkbox"/>
	– Other (please specify): public institutions having animal holding facilities	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Have you encountered any challenges in disposing of confiscated specimens?		
	Do you have good practice that you would like to share with other Parties?		

RESOURCES

R1a (previously 2.2.1a)	Does your country have an approved service standard(s) ¹ for your Management Authority(ies)?	Yes	<input checked="" type="checkbox"/>
		No	<input type="checkbox"/>
	If 'No', please go to Question R1d.		
	If 'Yes', for which services are there standards, and what are those standards?	30 days to decide on permits and certificates	
	If 'Yes', does your country have performance targets for these standards ² ?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If 'Yes', what are your country's performance targets?	Officers to decide on all cases in line with the above timeframes.	
	Does your country publish your performance against service standard targets?	Yes	<input type="checkbox"/>
		No	<input checked="" type="checkbox"/>
	If possible, please provide your country's performance against service standards during the period covered in this report:		
	If your country did not meet its performance targets then was this shortfall a result of:	Yes	No
	– availability of funding?	<input type="checkbox"/>	<input type="checkbox"/>
	– number of staff?	<input type="checkbox"/>	<input type="checkbox"/>

¹ For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	<p>– a shortage of skills? <input type="checkbox"/> <input type="checkbox"/></p> <p>If 'Yes' to a shortage of skills, which skills does your country need more of?</p>		
R1b (previously 2.2.1b)	<p>Does your country have an approved service standard(s)⁴⁷ for your Scientific Authority(ies)?</p> <p>If 'No', please go to Question R1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', does your country have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your country's performance targets?</p> <p>If possible, please provide your country's performance against service standards during the period covered in this report:</p> <p>If your country did not meet its performance targets then was this shortfall a result of:</p> <p>– availability of funding?</p> <p>– number of staff?</p> <p>– a shortage of skills?</p> <p>If 'Yes' to a shortage of skills, which skills does your country need more of?</p>	<p>Yes <input type="checkbox"/></p> <p>No <input checked="" type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	
R1c (previously 2.2.1c)	<p>Does your country have an approved service standard(s)⁴⁷ for your enforcement authority(ies)?</p> <p>If 'No', please go to Question R1d.</p> <p>If 'Yes', for which services are there standards, and what are those standards?</p> <p>If 'Yes', does your country have performance targets for these standards⁴⁸?</p> <p>If 'Yes', what are your country's performance targets?</p> <p>If possible, please provide your country's performance against service standards during the period covered in this report:</p> <p>If your country did not meet its performance targets then was this shortfall a result of:</p> <p>– availability of funding?</p> <p>– number of staff?</p> <p>– a shortage of skills?</p> <p>If 'Yes' to a shortage of skills, which skills does your country need more of?</p>	<p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input checked="" type="checkbox"/></p> <p>No <input type="checkbox"/></p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>	<p>Ensuring the unfailing conduction of inspections whenever required; identification of species; taking legal action whenever required</p> <p>The major share of consignments which include CITES listed species which the Maltese CITES Enforcement Authority is alerted of are inspected.</p>

R1d (previously 2.2.1d)	Please only complete this question if you answered 'No' to the first part of question R1a, R1b, or R1c, relating to the existence of approved service standards for your authorities:			
	Does your country have sufficient of the following for your authorities to function effectively?			
		Management Authority(ies)	Scientific Authority(ies)	Enforcement Authority(ies)
	Funding?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Staff?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Skills?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

R2a (previously 2.2.2a)	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?			Tick if applicable	
	Hiring of more staff			<input checked="" type="checkbox"/>	
	Development of implementation tools			<input type="checkbox"/>	
	Purchase of technical equipment for implementation, monitoring or enforcement			<input checked="" type="checkbox"/>	
Other (please specify):					
R2b (previously 2.2.2b)	During the period covered in this report, was the budget for your:	Increased	Stable	Decreased	
	Management Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
R2c (previously 2.2.2c)	Has your country been able to use international development funding assistance to increase the level of implementation of your	Yes	No	Not applicable	
	Management Authority(ies)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Scientific Authority(ies)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Enforcement authorities?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
R2d (previously 2.2.2d)	What is the respective level of priority for enhancing the effectiveness of CITES implementation at the national level through the following activities?				
	Activity	High	Medium	Low	Not a Priority
	Hiring of more staff	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Development of implementation tools	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Purchase of new technical equipment for implementation, monitoring or enforcement	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e-permitting	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (please specify):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
R2e (previously 2.2.2e)	Does your country have an operational system (e.g. electronic database) for managing	Yes	Under development	No	
	Species information	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Trade information	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Non-detriment findings	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	

<p>R3a (previously 2.2.3a)</p>	<p>Does the Management Authority charge fees for:</p> <p style="text-align: right;">Tick all that are applicable</p> <ul style="list-style-type: none"> – Administrative procedures <input type="checkbox"/> – Issuance of CITES documents (e.g. for import, exports, re-export, or introduction from the sea) <input checked="" type="checkbox"/> – Shipment clearance (e.g. for the import, export, re-export, or introduction from the sea of CITES-listed species) <input type="checkbox"/> – Licensing or registration of operations that produce CITES species <input type="checkbox"/> – Harvesting of CITES-listed species <input type="checkbox"/> – Use of CITES-listed species <input type="checkbox"/> – Assignment of quotas for CITES-listed species <input type="checkbox"/> – Other (please specify): <input type="checkbox"/>
<p>R3b (previously 2.2.3b)</p>	<p>Is a fee schedule publicly available? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If 'Yes', please provide an internet link, or a copy of the schedule to the Secretariat:</p>

R3c (previously 2.2.3c)	Has your country used revenues from fees for the implementation of CITES or wildlife conservation? <div style="text-align: right;"> Entirely <input type="checkbox"/> Partly <input type="checkbox"/> Not at all <input checked="" type="checkbox"/> Not relevant <input type="checkbox"/> </div>																					
R3d (previously 2.2.3d)	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>Does your country raise funds for CITES management through charging user fees?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Do your country's fees recover the full economic cost of issuing permits?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Does your country have case studies on charging or using fees?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td colspan="3">If 'Yes' to any of the above, please provide brief details:</td> </tr> <tr> <td>Does your country use innovative financial mechanisms to raise funds for CITES implementation?</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td colspan="3">If 'Yes', please provide brief details:</td> </tr> </tbody> </table>		Yes	No	Does your country raise funds for CITES management through charging user fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Do your country's fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Does your country have case studies on charging or using fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If 'Yes' to any of the above, please provide brief details:			Does your country use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If 'Yes', please provide brief details:		
	Yes	No																				
Does your country raise funds for CITES management through charging user fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>																				
Do your country's fees recover the full economic cost of issuing permits?	<input type="checkbox"/>	<input checked="" type="checkbox"/>																				
Does your country have case studies on charging or using fees?	<input type="checkbox"/>	<input checked="" type="checkbox"/>																				
If 'Yes' to any of the above, please provide brief details:																						
Does your country use innovative financial mechanisms to raise funds for CITES implementation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>																				
If 'Yes', please provide brief details:																						

R4a (previously 2.2.4a)	Does your country use incentive measures ¹ such as those described in document CoP14 Doc 14.32 to implement the Convention? <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;"></th> <th style="width: 10%; text-align: center;">Yes</th> <th style="width: 10%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td>Due diligence</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Compensatory mechanisms</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Certification</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Communal property rights</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Auctioning of quotas</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Cost recovery or environmental charges</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td>Enforcement incentives</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table> <p>If 'Yes' to any of the above, or if your country uses other measures, please provide a summary or link to further information:</p>		Yes	No	Due diligence	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Compensatory mechanisms	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Certification	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Communal property rights	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Auctioning of quotas	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Yes	No																							
Due diligence	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Compensatory mechanisms	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Certification	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Communal property rights	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Auctioning of quotas	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Cost recovery or environmental charges	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
Enforcement incentives	<input type="checkbox"/>	<input checked="" type="checkbox"/>																							
R4b (previously 2.2.4b)	Have incentives harmful to biodiversity been eliminated? <table style="width: 100%; border-collapse: collapse;"> <tbody> <tr> <td style="width: 30%;">Not at all</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Very little</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Somewhat</td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Completely</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Not at all	<input type="checkbox"/>	Very little	<input type="checkbox"/>	Somewhat	<input type="checkbox"/>	Completely	<input checked="" type="checkbox"/>																
Not at all	<input type="checkbox"/>																								
Very little	<input type="checkbox"/>																								
Somewhat	<input type="checkbox"/>																								
Completely	<input checked="" type="checkbox"/>																								

AWARENESS

A1 (previously 3.2.1a)	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: center;">Wider public</th> <th style="width: 20%; text-align: center;">Relevant User Groups</th> </tr> </thead> <tbody> <tr> <td>– Press conferences</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Press releases</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Newspaper articles, brochures, leaflets</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Television appearances</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Radio appearances</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Presentations</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Public consultations / meetings</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>– Market surveys</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Wider public	Relevant User Groups	– Press conferences	<input type="checkbox"/>	<input type="checkbox"/>	– Press releases	<input type="checkbox"/>	<input type="checkbox"/>	– Newspaper articles, brochures, leaflets	<input checked="" type="checkbox"/>	<input type="checkbox"/>	– Television appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>	– Radio appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>	– Presentations	<input type="checkbox"/>	<input type="checkbox"/>	– Public consultations / meetings	<input type="checkbox"/>	<input type="checkbox"/>	– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>
	Wider public	Relevant User Groups																										
– Press conferences	<input type="checkbox"/>	<input type="checkbox"/>																										
– Press releases	<input type="checkbox"/>	<input type="checkbox"/>																										
– Newspaper articles, brochures, leaflets	<input checked="" type="checkbox"/>	<input type="checkbox"/>																										
– Television appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>																										
– Radio appearances	<input checked="" type="checkbox"/>	<input type="checkbox"/>																										
– Presentations	<input type="checkbox"/>	<input type="checkbox"/>																										
– Public consultations / meetings	<input type="checkbox"/>	<input type="checkbox"/>																										
– Market surveys	<input type="checkbox"/>	<input type="checkbox"/>																										

¹ Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and fauna and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

– Displays	<input type="checkbox"/>	<input type="checkbox"/>
– Information at border crossing points	<input type="checkbox"/>	<input type="checkbox"/>
– Telephone hotline	<input type="checkbox"/>	<input type="checkbox"/>
– Website(s) – if so please provide link(s) https://era.org.mt/topic/trade-protected-species/	<input checked="" type="checkbox"/>	<input type="checkbox"/>
– Other (specify):	<input type="checkbox"/>	<input type="checkbox"/>
Please attach copies of any items or describe examples:		

A2a (previously 3.2.2a)	How regularly do your country's Authorities consult the CITES website?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary).					
	Target group	Daily	Weekly	Monthly	Less frequently	Not known
	Staff of Management Authority	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Staff of Scientific Authority	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Staff of enforcement authorities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
A2b (previously 3.2.2b)	What has been your experience with using the CITES website?		Excellent	<input type="checkbox"/>		
			Good	<input checked="" type="checkbox"/>		
			Average	<input type="checkbox"/>		
			Poor	<input type="checkbox"/>		
			Very Poor	<input type="checkbox"/>		
			No information	<input type="checkbox"/>		
Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):						

General feedback

Please provide any additional comments you would like to make, including comments on this format.

Item		
Copy of full text of CITES-relevant legislation if changed	Enclosed	<input type="checkbox"/>
Web link(s)	Not available	<input type="checkbox"/>
	Previously provided	<input checked="" type="checkbox"/>
Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc:		
Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes', please describe the constraint and the type of attention or assistance that is required.		
Are there examples of good practice you would like to share with other Parties?	Yes	<input type="checkbox"/>
	No	<input checked="" type="checkbox"/>
	No Information	<input type="checkbox"/>
If 'Yes' please provide details / links:		
How could this report format be improved?		

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.

Penalties and sanctions in relation to Article 16 of Council Regulation (EC) No. 338/97 and Directive 2008/99/EC on the protection of the environment through criminal law in EU Member States

Abbreviations/Notes: NA - Not applicable; NR - Not relevant; *offence committed by a member of an organised criminal group; if different sanction level, please indicate in the comments section if it is a separate offence or if the commission of the offence in a criminal group is an aggravating circumstance

	Legislation	Individuals	Fines								Imprisonment			Predicate offence for money laundering	Seizure of specimens	Approved authority to seize and confiscate	Market/conservation value of species and costs incurred considered in penalty	Comments and sources			
			Fines (natural person) (EUR)				Fines (legal person) (EUR)				Type of offence	Min	Max								
			Admin	Max	Criminal	Max	Min	Admin	Max	Criminal									Max		
Austria	Austrian Species Trade Act of 16th March 2010	Individuals		80 000						80 000				Negligence	5 years		Yes	Seizure: Custom and other state authorities Confiscation: For offences punishable by courts the court is authorised to confiscate the specimen. For offences punishable by administrative authorities the customs authorities are authorised to confiscate the specimen as these offences are financial offences punishable by customs authorities	Yes		
		Organised group*																			
Belgium	Law of 28 July 1981, as amended by Programme Laws of 24.12.2002; 22.12.2003; 09.07.2004; 27.12.2004; 08.06.2008 and 19.05.2010 and by the Law of 27 December 2012 on various provisions relating to animal welfare, CITES, animal health and the protection of consumer health.	Individuals	26	50 000	26	50 000	26	50 000	26	50 000	26	50 000		Negligence	6 months to 5 years		Yes	Customs, police, federal veterinary service, inspection service, MA CITES Belgium	Yes	Minimum and maximum fines are to be multiplied by factor 8	
		Organised group*	26	50 000	26	50 000	26	50 000	26	50 000	26	50 000		Intention	6 months to 5 years						
Bulgaria	Biodiversity Act (SG, 77/2002 last amendment SG 43 / 2008)	Individuals	256	5113	500	10 000	511	10 225						Negligence			Yes	Customs, Environmental agency, Ministry of Environment and Water	No	Seizure of equipment	
		Organised group*												Intention	5 years						
	Penalty Code (Art. 278d)	Individuals	2500	10 000	2500	10 000	2500	10 000	2500	10 000	2500	10 000		Negligence	5 years						
		Organised group*												Intention	5 years						
	Penalty Code (Art. 242.1)	Individuals	39 116	51 130	39 116	51 130	39 116	51 130	39 116	51 130	39 116	51 130		Negligence	3 years	10 years					
		Organised group*												Intention	3 years	10 years					
Croatia	Act on Transboundary Movement and Trade in Endangered Species (OG 94/2013 and 14/2019)	Individuals	ca. 13	ca. 121 100	ca. 67	ca. 13 500			ca. 270	ca. 135 600				Negligence			Yes	Nature Protection Inspector, Customs	Yes		
		Organised group*												Intention							
Cyprus	Law on the Protection and Management of Nature and Wildlife (No. 153(I) 2003)	Individuals				17 000					17 000			Negligence	3 years		Yes	Customs, police	No	No provision for extra-judicial fines The fines indicated here are judicial fines only	
		Organised group*												Intention	3 years						
Czech Republic	Act No. 100/2004 Coll., on the Trade in Endangered Species, 2004	Individuals	Not specified	ca. 61 700	Not specified	Unlimited*	Not specified	ca. 61 700	Not specified	Unlimited*	Not specified	Unlimited*		Negligence	No	No	Yes	Yes	Customs, Environmental Inspectorate are authorized for seizure. Environmental Inspectorate is authorized for confiscation	Yes	Relevant legislation
		Organised group*	Not specified	ca. 61 700	Not specified	Unlimited*	Not specified	ca. 61 700	Not specified	Unlimited*	Not specified	Unlimited*		Intention	No	No					*Minimum and maximum fines are not set for criminal offences.
	Act No. 40/2009 Coll., the Criminal Code	Individuals	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified		Negligence	Not specified	Up to 1 year	Yes	Yes	Police and Customs authorised for seizure, Court of Justice is authorized for confiscation or the specimens could be handed over to be confiscated by the Czech Environmental Inspectorate in accordance with the Act 100/2004 Coll.	Yes	Relevant legislation
		Organised group*	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified	Not specified		Intention	Not specified	Up to 3 years 6 months/2 years (organized crime) Up to 5 years/up to 8 years (international organized crime)					
Denmark	Nature Protection Act (2016)	Individuals	variable	variable	variable	variable	variable	variable	variable	variable	variable	variable		Negligence			Yes	Court of Justice, police (also Customs administration and Forest and Nature Agency)	Yes	Fines depend on EC Annex where species is listed and market value; fines especially high for violations with a commercial purpose; additional claims for transport, storage etc. of seized specimens possible.	
		Organised group*												Intention	Up to 2 years						
	Ministry of Environment Statutory Order No. 1285 (2021)	Individuals												Negligence	No						
		Organised group*												Intention							
	Penal Code No. 196	Individuals												Negligence	6 years		No	NR	NA	No set minimum or maximum sanctions based on Statutory Order	
		Organised group*												Intention	6 years						
Estonia	Nature Conservation Law (2004), supplemented by Code of Misdemeanour Procedure, Customs Act (2004), Animal Protection Act (2001)	Individuals	Not specified	1200			Not specified	3200						Negligence			Yes	Environmental Inspectorate, Customs	Yes		
		Organised group*												Intention	No						

	Organised group*	Not specified 1200				Not specified 3200														
	Reg of the Government 08.04.2005 No. 69	Individuals								Negligence	No		NA	NA	NA					Compensation for environmental damage caused by infringement of 339/97. Minimum compensation is EUR 13 and maximum EUR 64 000 (depends on the species and specimens). These are not fines, but compensation rates for environmental damages.
		Organised group*								Negligence										
	Penal Code , § 357 and 44	Individuals				Pecuniary punishment with no limit. Pecuniary punishment with no limit.				Pecuniary punishment with no limit. Pecuniary punishment with no limit.		Negligence			Yes	Confiscation by a Court decision	Yes			For attempts of evading detection; maximum sentence for infringement carried out by a group, or by officials taking advantage of their position
		Organised group*								Not set. 1 year 3 years 5 years										
Finland	Sections 58 (infringements) and 59 (forfeitures) of Nature Conservation Act.	Individuals	NA	NA	(i)	(i)	NA	NA	(i)	(i)	Negligence				Yes (forfeiture i)	Seizure: Customs, police, frontier guard. Confiscation (forfeiture of the specimen): Prosecutor (only for infringements that are not taken to court), the courts (infringements and crimes)	Yes, the conservation value (living value) of species protected in the Nature Conservation Act or the Hunting Act is taken into account. The market value may be taken into account. External costs incurred during investigations may be taken into account.	(i) Day fines imposed (for natural persons, fines depend on the income) (ii) Forfeiture of the specimens, forfeiture of means and assets, compensations		
		Organised group*	NA	NA	Not specified	Not specified	NA	NA	Not specified	Not specified										
	Chapter 48, section 5 of Penal Code (nature conservation crimes)	Individuals	NA	NA	Not specified	Not specified	NA	NA	850	850 000	Negligence		2 years		Yes (forfeiture i)	Seizure: Customs, police, frontier guard. Confiscation (forfeiture of the specimen): Prosecutor (only for infringements that are not taken to court), the courts (infringements and crimes)	Yes, the conservation value (living value) of species protected in the Nature Conservation Act or the Hunting Act is taken into account. The market value may be taken into account. External costs incurred during investigations may be taken into account.	The living value of the animal or plant involved is forfeited to the State; defined formula for calculation of living value; living values for native protected animal species (including game species) are published as legal acts; gains from infringement forfeited; forfeiture of specimens.		
		Organised group*	NA	NA	Not specified	Not specified	NA	NA	Not specified	Not specified		2 years								
	Chapter 48, section 5a of Penal Code (various nature conservation crimes)	Individuals	NA	NA	Not specified	Not specified	NA	NA	850	850 000	Negligence	4 months	4 years		Yes (forfeiture i)	Seizure: Customs, police, frontier guard. Confiscation (forfeiture of the specimen): Prosecutor (only for infringements that are not taken to court), the courts (infringements and crimes)	Yes, the conservation value (living value) of species protected in the Nature Conservation Act or the Hunting Act is taken into account. The market value may be taken into account. External costs incurred during investigations may be taken into account.	The living value of the animal or plant involved is forfeited to the State; defined formula for calculation of living value; living values for native protected animal species (including game species) are published as legal acts; gains from infringement forfeited; forfeiture of specimens.		
		Organised group*	NA	NA	Not specified	Not specified	NA	NA	Not specified	Not specified		4 months	4 years							
France	Environmental Code, Article L415-3 to 415-5 Environmental Code, Article L415-6	Individuals	150 000				150 000				Negligence		3 years		Yes, confiscation	Seizures: Customs, National Hunting and Wildlife Agency, Veterinary services, other officers licensed by the Ministry of Ecology, National Agency for the Fight against Environmental and Public Health Crime, Police and Gendarmes. Confiscation is only a decision of the Court.	Yes	Also seizure of equipment, including vehicles		
		Organised group*	750 000				750 000						3 years 7 years							
	Customs Code, Article 414	Individuals	The value of the specimen	Twice the value of the specimen	The value of the specimen	Twice the value of the specimen	The value of the specimen	Twice the value of the specimen	The value of the specimen	Twice the value of the specimen	Negligence		3 years		Yes confiscation	Customs	NA		Also seizure of equipment, including vehicles	
		Organised group*	Up to 10 times the value of the specimen										3 years 10 years							
Germany	Federal Nature Conservation Act, § 69 (4) regarding infringement of Regulation (EC) No. 338/97	Individuals	50 000				50 000				Negligence		NA		Seizure and confiscation	Customs, law enforcement agencies of the federal states ("Länder"), CITES MA; Federal Agency for Nature Conservation (BfN)	Variable, i.e. market value of the specimens may be considered, and conservation value, if available, accompanied by gravity of offence	§ 72 as basis regulation for seizure and confiscation.		
		Organised group*											NA							
	Federal Nature Conservation Act, §§ 69 (4) and 71 (1), (2) and (4)	Individuals	NA				NA				32 400 000 (Negligence)	Negligence		3 years	Yes, forfeiture and confiscation	Investigation agency to seize, to be confirmed and finally decided by court or public prosecutor.	Variable, i.e. gravity or severity of the offence	Legal sanction: Imprisonment of up to five years or fine in case of deliberate, illegal import, export or re-export (concerning Annex A specimens) or deliberate, illegal marketing of species in Annex A to the Regulation. The fine (imprisonment of one month means 30 daily rates) is calculated from the daily rate of income (120 rates each by 50€ when there is a monthly income of 1500€).		
		Organised group*									54 000 000 (Intent)			5 years						
	Federal Nature Conservation Act, § 71 (3)	Individuals	NA				NA				180 to 180 000	54 000 000	Negligence	NA	NA	See above	See above	See above	The same statement of facts as already mentioned above for § 71, but commission of crime for gain or habitually.	
		Organised group*											6 months	5 years						
	Federal Nature Conservation Act, § 46-47, 51, 72	Individuals										Negligence			Yes	1. Customs, 2. Law enforcement agencies of the federal states ("Länder"),	NA	Independently from the offence procedures, national law allows additional seizure/confiscation measures: 1. German customs (in the framework of Customs clearance procedures at border points); 2. Länder management authorities can seize and confiscate "specimens". Customs may apply that measure, when specimens lack necessary documentation on import, export or re-export, regardless of whether the party is at fault.		
		Organised group*																		
	Federal Nature Conservation Act, § 71a	Individuals	NA				NA				2160000 (Negligence)	Negligence		1 - 2 years				Incorporates Directive 2008/99/EC on the protection of the environment through criminal law regarding protected species regarding Articles 3(f) and 3(g), concerning species as set out in Article 2 (b).		
		Organised group*									3240000 (Intent)			3 years						
Greece	Law 4042/2012 (Directive 2008/99/EC)	Individuals	3 000	150 000 (negligence)/ 500 000 (intent)			3 000	150 000 (negligence)/ 500 000 (intent)					Up to 5 years (for negligence) 20 years (for intent)				NA	Forest Authorities impose the administrative fines. The courts decide whether the imprisonment will be imposed		
		Organised group*																		
	Law 2637/1998 and Legislative Decree 86/1960	Individuals	1 500	30 000			1 500	30 000					1 month to 2 years		Yes	Forest or Customs Authorities	NA	Forest Authorities impose the administrative fines. The courts decide whether the imprisonment will be imposed		

	Decree-Law 121/2017, Article 32.5, Light environmental offence	Individuals (Just negligence) ; Individuals (with deceit)	200 400	2000 4000	* Define by court	2000 6000	18000 36000	* Define by court	Negligence	No	Decided by court only	Yes	Seizure by Management Authority, Customs, environment police, judiciary police and other police, Security and Food Authority, Confiscation by the Management Authority.	Yes (sometimes, define by Process instructor)	Penalties for minor offences	
		Organised group*							Intent							
	Penal Code Law (Law 56/2011 of 03.08.2015), Article 278	Individuals							Negligence		Defined by court only	Yes	Confiscation by a Court decision	Yes (sometimes, defined by court)	(i) Up to 1 year for illegal possession; up to 2 years for illegal trade; up to 5 years for illegal killing and capture	
		Organised group*							Intent	5 years (i)						
Romania	Governmental Ordinance No. 57/2007 regarding protected areas, conservation of natural habitats and wild flora and fauna (art. 53 (2) i)	Individuals	1050 (RON 5000)	2100 (RON 10 000)		5200 (RON 25000)	10 500 (RON 50 000)		Negligence	No		Yes	Customs in cooperation with National Environmental Guard	NA	Sanctions for violations of Council Regulation (EC) No. 338/97 laid down in the Article 16 (f), g) and h)	
		Organised group*							Intent							
	Governmental Ordinance No. 57/2007 regarding protected areas, conservation of natural habitats and wild flora and fauna (art. 53 (3) k)	Individuals	1500 (RON 7500)	3150 (RON 15000)		10 500 (RON 50 000)	21 000 (RON 100 000)		Negligence	No		Yes	Customs in cooperation with National Environmental Guard	NA	Sanctions for violations of Council Regulation (EC) No. 338/97 laid down in the Article 16 a), b), e), i), j) and k)	
		Organised group*							Intent							
	Penal Code	Individuals							Negligence			Yes	Customs in cooperation with National Environmental Guard, Police	NA	Sanctions for violations of Council Regulation (EC) No. 338/97 laid down in the Article 16 c) and d)	
		Organised group*							Intent							
Slovakia	Act No. 15/2005 on the Protection of Species of Wild Fauna and Flora by Regulating Trade Therein as amended (Art. 22 - 25)	Individuals	0	19 916		80	66 000		Negligence	No	No	Yes	Environmental Inspectorate	No		
		Organised group*							Intent							
	Act No. 199/2004 on Customs Law as amended (Art. 70 - 84)	Individuals	0	3 319	*	0	99 582	*	Negligence			Yes	Customs	Yes	*According to Act No 199/2004 only administrative offences are punished (not criminal offences)	
		Organised group*							Intent							
	Criminal Code No. 300/2005 as amended (Art. 56, 305), Act No. 91/2016 on criminal	Individuals	**	**	160	331 930	**	**	1 500	1 600 000	No	Yes	Police (court confirmation required for confiscation or forfeiture)	Yes	**According to the Criminal Code, only criminal offences are punished (not administrative offences)	
		Organised group*							Intent	6 months # 3 years	8 years 8 years					
Slovenia	Nature Conservation Act Uradni list RS, št. 96/04 – uradno prečiščeno besedilo, 61/06 – ZDru-1, 8/10 – ZSKZ-B, 46/14, 21/18 – ZNOrg, 31/18, 82/20 in 3/22 – ZDob, Art. 160, 161	Individuals	100	300	100	300	200	10 000	200	10 000	Negligence	NA	Yes	Customs and inspectorate have authority to seizures. Confiscations are under authority of court.	Yes	Also seizure of equipment. A fine from 400 euros to 1000 euros shall also be imposed on the responsible person of a legal person. A fine from EUR 1000 to EUR 4000 can be imposed on an individual entrepreneur.
		Organised group*							Intent							
	Decree on the Rules of Conduct and Protection Measures in the Trade in Animal and Plant Species, (Ur. l. RS, No. 39/2008, 106/10, 78/12 in 58/17) Art. 45	Individuals	85 (630)	580 (16690)		2600	33300		Negligence	NA		Yes	Customs and inspectorate have authority to seizures.	NA	A fine from 170 euros to 1660 euros shall also be imposed on the responsible person of a legal person. A fine from EUR 630 to EUR 16690 can be imposed on an individual entrepreneur.	
		Organised group*							Intent							
	Act implementing the Customs regulations of the European Community (Uradni list RS, št. 32/16)	Individuals	300	1200		2000	125000		Negligence	No		Yes	Customs authorities	NA	A fine from 400 euros to 4000 euros shall also be imposed on the responsible person of a legal person. A fine from EUR 1000 to EUR 75000 can be imposed on an individual entrepreneur.	
		Organised group*							Intent							
	Criminal Code (Ur. l. RS, No. 55/2008, last updated in 2012 (Ur. l. RS, No. 50/2012) (Uradni list RS, št. 50/12 – uradno prečiščeno besedilo, 6/16 – popr., 54/15, 38/16, 27/17, 23/20, 91/20, 95/21 in 186/21)	Individuals							Negligence			Yes	Police	NA	Fines are higher for individual traders or the responsible legal person. According to Article 344 if the Criminal Code, (1) Whoever illegally possesses, takes away, damages, kills, exports, imports or trades in protected wild animal and plant species or their parts or products made from them, shall be punished by imprisonment for up to three years. (2) If the thing referred to in the previous paragraph is of great or exceptional nature conservation importance, or if the act referred to in the previous paragraph is committed in a criminal organization to carry out such acts, the perpetrator shall be punished with imprisonment from six months to five years.	
		Organised group*							Intent	3 years						
										6 months	5 years					
Spain	Organic Law 10/1995 of 23 November 1995 on Criminal Code, Arts. 332 and 334 and 339	Individuals	4 months (i) (Negligence)	8 months (i) (Negligence)					Negligence	3 months	1 year	Yes, it is possible	Judicial Authority (permanent confiscation), Police Agencies (preventive seizure)	Conservation value is taken into consideration in the penalty	Fines based on day rates. (i) In addition, disqualification from profession or trade for a period of 3 months to 2 years. (ii) In addition, disqualification from profession or trade for a period of 6 years to 2 years (Art. 332), or 2 years to 4 years (Art. 334)	
			8 months (ii) (Intent)	24 months (Intent) (ii)					Intent	6 months (iii)	2 years					

	Organised group*																(iii) Disqualification from profession or trade for a period of 3 months to two years. In every case, the environmental harm must be repaired by the author of the infraction, by the adoption of the needed measures ruled by the Court to restore the disturbed biological balance (Art. 339 Criminal Code).
Law 34/2015 of 21 September that modifies the Organic Law 12/1995 of 12 December 1995 to deter smuggling. Organic Law 12/1995.	Individuals					Double to four times the goods, merchandise, goods or effects (I)	6 times the value of the goods, merchandise, goods or effects		Negligence					Yes	Judicial Authority (permanent confiscation) Customs, Police Agencies (preventive seizure)	Penalties take into account the market and the conservation value of the species (they depend on the case)	(I) In addition, suspension for 6 months and 2 years of import, export or trade activities in the category of goods, merchandise, goods or effects.
	Organised group*								Intent	1 year	5 years						
Royal Decree 1649/1998 of 24 July 1998, that develops the Organic Law 12/1995 concerning administrative infractions	Individuals	Same as Law 34/2015		Same as Law 34/2015	Same as Law 34/2015	Same as Law 34/2015	Same as Law 34/2015				Yes	Customs, (Dpto. De Aduanas e I.E.E.) (permanent confiscation), Customs Vigilance Service, Police Agencies and others collaborator relevant Authorities (preventive seizure)	Penalties take into account the market and the conservation value of the species	For the amount of the sanction see the line above related to the Law 34/2015			
	Organised group*								Intent	No							
Law 42/2007, of 13 of December, of Natural Heritage and Biodiversity. (Title VI. Offences and penalties)	Individuals	3001	2000000				3001	2000000						Yes	Judicial Authority (permanent confiscation) Customs, Police Agencies (preventive seizure)	Penalties take into account the market and the conservation value of the species	
	Organised group*																
Sweden	Environmental Code, chapter 29, 2b §, detailing sanctions regarding Regulations on Trade and other Activities Exemplars of Wild Living Species of Animals or Plants Needing Protection	Individuals	(I)	(I)	(I)	(I)	(I)	(I)	ca 470 EUR	ca 915,000 EUR	Negligence	6 months	4 years	Yes	Customs, police, public prosecutors	Yes	(I) Day fines or 2 years imprisonment Violations of the CITES regulations or the environmental act may result in penalties or up to two years prison. In severe cases 6 months prison up to four years prison are foreseen.
		Organised group*							Intent			6 years					
	Act of Penalties in Connection with Smuggling, paragraph 3, 5, 7 and 14	Individuals							Negligence			2 years		Yes	NA	NA	Maximum sentence up to 6 years imprisonment in case of severe smuggling; fines for lesser offences given as day fines (30-150); also confiscation of gains possible. Attempt, preparation and conspiracy are criminalised.
		Organised group*							Intent	6 months		6 years					

Collection of information pursuant to *Commission Regulation (EC) No 865/2006*

Standard template for collection of information pursuant to Article 69(5) of *Commission Regulation (EC) No 865/2006*

Beyond the communication of information referred to in Article 15(4)(c) of *Council Regulation (EC) No 338/97*¹ with regards the CITES Implementation Report², Article 69(5) of *Commission Regulation (EC) No 865/2006*³ requires the EU Member States to report on additional aspects, as stipulated in points (a) to (f):

- (a) persons and bodies registered in accordance with Articles 18 and 19;
- (b) scientific institutions registered in accordance with Article 60;
- (c) breeders approved in accordance with Article 63;
- (d) caviar (re-)packaging plants licensed in accordance with Article 66(7);
- (e) the use of phytosanitary certificates in accordance with Article 17;
- (f) cases where export permits and re-export certificates were issued retrospectively in accordance with Article 15.

These points are reflected in the standard template below, with the exception of the reporting requirement under Article 69(5)(d) concerning caviar (re-)packaging plants. This omission is due to such reporting requirement being covered by the notification pursuant to Article 66(7) of the Commission Regulation, which states that lists of processing and (re-)packaging plant facilities (including caviar producing aquaculture operations) licensed by the Management Authority of a Member State, and any changes thereto, shall be notified to the Secretariat of the Convention and to the Commission.

Article 69(6) of the *Commission Regulation No 865/2006* states that the above information shall be submitted in a computerised form together with the CITES Implementation Report. The present document provides the Member State Management Authorities with a standard template to fulfil these reporting obligations and allows the European Commission to gather Union-wide standardised information on the reporting aspects that fall outside the scope of CITES reporting requirements. This information complements that submitted in the EU Member State CITES Implementation Reports.

The deadline for submission of this information by the Member States, together with their Implementation Reports, is one year ahead of each meeting of the CITES Conference of the Parties and shall correspond to the three-year period ending on 31 December of the previous year. Taking into account the draft amendments to *Commission Regulation (EC) No 865/2006* discussed at the 98th Meeting of the Committee On Trade in Wild Fauna And Flora, which states that Article 69 of *Commission Regulation (EC) No 865/2006* should be amended in a way that all intra-EU deadlines for Member State report submissions are set for 15 June so that the Commission can fulfil its reporting duty to the Secretariat by 31 October of the respective year.

¹ <https://eur-lex.europa.eu/legal-content/en/ALL/?uri=CELEX%3A31997R0338>

² https://cites.org/eng/resources/reports/implementation_report

³ <https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32006R0865>

**Reporting on the application of permitting and registration procedures
(1 January 2021 to 31 December 2023)**

<p>1</p>	<p>Have persons and bodies been registered in accordance with Article 18 (simplified procedures with regard to certain trade in biological samples) and Article 19 (simplified procedures with regard to export or re-export of dead specimens) of <i>Commission Regulation (EC) No 865/2006</i>? <i>If yes, please specify details below.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information available <input type="checkbox"/></p>
<p>2</p>	<p>Have scientific institutions been registered in accordance with Article 60 of <i>Commission Regulation (EC) No 865/2006</i>? <i>If yes, please specify details below.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information available <input type="checkbox"/></p>
<p>3</p>	<p>Have breeders been approved in accordance with Article 63 of <i>Commission Regulation (EC) No 865/2006</i>? <i>If yes, please specify details below.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information available <input type="checkbox"/></p>
<p>4</p>	<p>Are phytosanitary certificates used in accordance with Article 17 of <i>Commission Regulation (EC) No 865/2006</i>? <i>If yes, please specify details.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information available <input type="checkbox"/></p>
<p>5</p>	<p>Have cases occurred where export permits and re-export certificates were issued retrospectively in accordance with Article 15 of <i>Commission Regulation (EC) No 865/2006</i>? <i>If yes, please specify details below.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> No information available <input type="checkbox"/></p>