Implementation report format

The format below follows the structure of the *CITES Strategic Vision*: 2008-2020 and aims to collect information to enable the Strategic Vision indicators to be implemented.

CITES vision statement

Conserve biodiversity and contribute to its sustainable use by ensuring that no species of wild fauna or flora becomes or remains subject to unsustainable exploitation through international trade, thereby contributing to the significant reduction of the rate of biodiversity loss and making a significant contribution towards achieving the relevant Aichi Biodiversity Targets.

Article VIII, paragraph 7 (b), of the Convention requires each Party to submit to the CITES Secretariat a report on legislative, regulatory and administrative measures taken to enforce the provisions of the Convention.

The report format allows Parties to present information in a standard manner, so that it can be easily collated, with three main objectives:

- i) To enable monitoring of the implementation and effectiveness of the Convention;
- ii) To facilitate the identification of major achievements, significant developments, or trends, gaps or problems and possible solutions; and
- iii) Provide a basis for substantive and procedural decision-making by the Conference of the Parties and various subsidiary bodies.

Information on the nature and extent of CITES trade should be incorporated into the annual report [Article VIII paragraph 7 (a)], whereas the report provided under Article VIII paragraph 7 (b) should focus on measures taken to implement the Convention.

The report should cover the period indicated in <u>Resolution Conf. 11.17 (Rev. CoP16)</u> which urges that the report should be submitted to the Secretariat one year before each meeting of the Conference of the Parties (CoP). The reason for setting the report to be due a year in advance of the following CoP is to allow information to be collated so it can be considered by the Standing Committee in advance of CoP, and enable publication of the Strategic Vision indicators in advance of CoP.

Reports should be prepared in one of the three working languages of the Convention (English, French, Spanish).

Parties are *strongly* encouraged to prepare and submit their reports in electronic form. This will facilitate timely integration of information from Parties into publication of the Strategic Vision Indicators. If reports are only provided in hard copy, resources will be needed at the Secretariat to make an electronic copy, and this is not good use of Secretariat resources.

The completed report should be sent to:

CITES Secretariat International Environment House Chemin des Anémones 11-13 CH-1219 Châtelaine-Geneva Switzerland

Email: info@cites.org

Tel: +41-(0)22-917-81-39/40 Fax: +41-(0)22-797-34-17

If a Party requires further guidance on completing their report, please contact the CITES Secretariat at the address above.

Party	New Zealand
Period covered in this report	2018-2020
Department or agency preparing this report	Department of Conservation
Contributing departments, agencies and organizations	Department of Conservation

GOAL 1 ENSURE COMPLIANCE WITH AND IMPLEMENTATION AND ENFORCEMENT OF THE CONVENTION

Objective 1.1 Parties comply with their obligations under the Convention through appropriate policies, legislation and procedures.

All Aichi Targets relevant to CITES, particularly Aichi Target 2, Target 6, Target 9, Target 12,

Indicator 1.1.1:

Target 17 and Target 18.

The number of Parties that are in category 1 under the national legislation project.

1.1.1a	Have any CITES relevant policies or legislation been developed during the period covered in this report? Yes No 1.7 enforcement 1.7 enforceme
	We are in the process of revising the NZ Trade in Endangered Species Act which implements CITES and will share it with the Secretariat at the appropriate stage.
1.1.1b	Does your legislation or legislative process allow easy amendment of your national law(s) to reflect changes in the CITES Appendices (e.g. to meet the 90 day implementation guidelines)? Yes No
	If 'No', please provide details of the constraints faced:
	It requires full legislative change which can take longer than 90 days

Objective 1.2 Parties have in place administrative procedures that are transparent, practical, coherent and user-friendly, and reduce unnecessary administrative burdens.

Aichi Target 3.

Indicator 1.2.1: The number of Parties that have adopted standard transparent procedures for the timely issuance of permits in accordance with Article VI of the Convention.

		Yes	No	No information
1.2.1a	Do you have standard operating procedures for application for and issuance of permits?	\boxtimes		
	Are the procedures publicly available?		\boxtimes	
1.2.1b	Do you have:			
	Electronic data management and a paper-based permit issuance system?	\boxtimes		
	Electronic permit information exchange between Management Authorities of some countries If 'Yes', please list countries		\boxtimes	
	Electronic permit information exchange to Management Authorities of all countries?		\boxtimes	

	Т	7	
Electronic permit data exchange between Management Authorities and customs?		\square	
Electronic permit used to cross border with electronic validation by customs?		\boxtimes	
If 'Yes' to any of the above, please provide information on challer	iges faced	or issues	overcome:
Resources not currently available to develop an electronic system annum by New Zealand is small (<400) although cost-benefit of explored to date.			
If 'No', do you have any plans to move towards e-permitting ¹ ?		\boxtimes	
If you are planning to move towards e-permitting, please explain	what migh	t help yου	ı to do so:
e-permit data was fully shared. Indicator 1.2.2: The number of Parties making use of the simplified pro	ocedures p	provided f	or in Resolution
Conf. 12.3 (Rev. CoP16).	followin «O		
1.2.2a Has your country developed simplified procedures for any of the	•	Гіск all ap	plicable
	Yes	No	No information
Where biological samples of the type and size specified in Annex 4 of Resolution Conf. 12.3 (Rev. CoP16) are urgently required.		\boxtimes	
For the issuance of pre-Convention certificates or equivalent documents in accordance with Article VII , paragraph 2.	\boxtimes		
For the issuance of certificates of captive breeding or artificial propagation in accordance with Article VII, paragraph 5.	\boxtimes		
For the issuance of export permits or re-export certificates in accordance with Article IV for specimens referred to in Article VII, paragraph 4.	\boxtimes		
Are there other cases judged by a Management Authority to merit the use of simplified procedures? If 'Yes', please provide detail: Exchange of a limited range of specimens between CITES registered scientific institutions	\boxtimes		
Objective 1.3 Implementation of the Convention at the national level is of the Conference of the Parties. All Aichi targets relevant to CITES, particularly Target 9, To Indicator 1.3.1: The number of Parties that have implemented relevant Decisions of the Conference of the Parties and/or Standing	arget 14 a	and Target	18. Resolutions and
1.3.1a Has your country responded to all relevant special reporting required the period covered in this report, including those in the Resolution Conference of the Parties, Standing Committee recommendation	ons and D	ecisions o	f the

e-permitting refers to the electronic (paperless) management of the permit business process, including permit application, Management Authority – Scientific Authority consultations, permit issuance, notification to customs and reporting.

	the Secretariat (see [link to location on the CITES webs listed])?	ite where	the repo	rting requireme	nts are				
	Responses provided to ALL relevant reporting requirements Responses provided to SOME of the relevant reporting requirements Responses provided to NONE of the relevant reporting requirements No special reporting requirements applicable								
1.3.1b	Were any difficulties encountered during the period cov implementing specific Resolutions or Decisions adopted of the Parties? If 'Yes', please provide details of which Resolution(s) or ware / or height apparentered?	d by the Co	onferenc	e Yes []No ⊠ lifficulties				
	were / are being encountered?								
Objective	1.4 The Appendices correctly reflect the conservation r Aichi Target 1, Target12, Target 14 and Target 19.	needs of sp	oecies.						
	The number and proportion of species that have been four Conf. 9.24 or its successors. This includes both the period								
1.4.1a	1.4.1a Have you undertaken any reviews of whether species would benefit from listing on the CITES Appendices? Yes ☐ No ☐								
	If 'Yes', please provide a summary here, or a link to the report of the work (or a copy of that report to the Secretariat if the work is not available online):								
	We have had discussions on this matter, but at this poin likely to meet the criteria for listing and warrant a full rev				hat are				
Objective	1.5 Best available scientific information is the basis for Aichi Target 2, Target 4, Target 5, Target 6, Target				t 14.				
Indicator 1	 .5.1: The number of surveys, studies or other analyses the sources of information cited in Resolution Co a) the population status of Appendix-II species; b) the trends and impact of trade upon Append c) the status of and trend in naturally-occurring recovery plans. 	nf. 16.7 on ix-II specie	Non-de	triment findings	related to:				
1.5.1a	Have any surveys, studies or other analyses been undertaken in your country in relation to:	Yes	No	Not Applicable	If Yes, How many?				
	- the population status of Appendix II species?	\boxtimes							
	- the trends and impact of trade on Appendix II species?			\boxtimes					
	- the status of and trend in naturally-occurring Appendix I species?	\boxtimes							
	- the impact of any recovery plans on Appendix I species?	\boxtimes							
	Have the surveys, studies or analyses integrated relevant knowledge and expertise of local and indigenous communities?	\boxtimes							

	If there are such studies that you are willing to share, please provide:								
	Species name (scientific)	A brief summary of the results of the survey, stuor other analysis (e.g. population status, decline stable / increase, off-take levels etc), or provide links to published reference material.							
		The status of species is report year cycle for taxonomic group reports for each taxonomic available at: https://www.us/science-publications/series/nclassification	os. The mos group are w.doc.govt.n	st recent publicly z/about-					
4.5.41									
1.5.1b	How are the results of such surveys, stud findings (NDFs)? Please tick all that a		g non-detrim	ent					
		Revised harves		•					
		2	Banning e	. —					
			omestic mea						
		Changed managem Discussion with Manag	•						
		Discussion with ot							
		Other (please provide a short summ	nary):						
1.5.1c	Do you have specific conservation measu			\boxtimes					
	plans for naturally occurring Appendix-I lis	,							
		Not Applicable No information							
	If 'Yes', please provide a brief summary, in impact:		f their						
	Native New Zealand species listed on A At Risk or Declining in NZ are being m others. Details at: https://www.doc.gov	anaged by the Department of Con	servation, a						
1.5.1d	Have you published any non-detriment fir	_	10 🗌						
	If 'Yes', please provide links or examples	to the Secretariat within this report:							
	NDF for shortfin make shark (<i>Isurus</i> or posting in the Sharks and Rays, but no NDFs for porbeagle shark (Lamna nashammerhead shark (Sphyrna zigaen) a found at https://cites.org/eng/prog/ndf	ot yet available online. us), silky shark (Carcharhinus falo and spinetail devil ray (Mobula jap	ciformis), sr	mooth					
1.5.1e	Which of the following (A to F of paragrap do you use in making non-detriment findir		Yes	No					
	A. relevant scientific literature concerning distribution and population trends.	species biology, life history,							
	B. details of any ecological risk assessme	ents conducted.	\boxtimes						
	C. scientific surveys conducted at harvest from harvest and other impacts.	t locations and at sites protected							
	D. relevant knowledge and expertise of lo	ocal and indigenous communities.	\boxtimes						
	E. consultations with relevant local, region	nal and international experts.	\boxtimes						
	F. national and international trade informathe CITES trade database maintained by								

Monitoring Centre (UNEP-WCMC), publications on trade, local knowledge on trade and investigations of sales at markets or through the Internet for example.	
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Indicator 1.5.2: The number of Parties that have adopted standard procedures for making non-detriment findings.

1.5.2a					No
			Yes	No	information
	Do you have standard procedures for making non-detriment findings in line with Resolution Conf. 16.7?		\boxtimes		
	If 'Yes', please briefly describe your procedures for making non- or attach as an annex to this report, or provide a link to where th on the internet:				found
	Our process for completing a complex NDF follows guidant shark species by the German government and TRAFFIC (M				
1.5.2b	When establishing non-detriment findings, have any of the follow guidance been used?	wing	Pleas	se tick	all that apply
	Virtual C	ollege		[
	IUCN Ch	ecklist			\boxtimes
	Resolution Cont	f. 16.7			\boxtimes
	2008 NDF wor	kshop		[
	Species specific gui	dance		[\boxtimes
		Other		[
	If 'Other' or 'Species specific guidance', please specify details: \$	See abov	ve		
1.5.2c		Case by			\boxtimes
		Annually		_	
		Every two Less freq	•		
		A mix of t	. ,		
	Please describe the circumstances under which non-detriment f	findings	would	be cha	anged:
	If new information became available on population trends to or more relaxed measures	hat requ	uired s	stricter	r measures

Indicator 1.5.3: The number and proportion of annual export quotas based on population surveys.

1.5.3a	Do you set annual export quotas?	Yes No	
	If 'Yes', do you set quotas based on population survey, or by other means? Please specify, for each species, how quotas are set:		
	Species Name (scientific) Sharks	Population Survey?	Other, please specify
			Quota manageme nt limits
1.5.3b	Have annual export quotas been set at levels which will ensure sustainable production and consumption?	Yes No	

If 'Yes', please describe how this fits into your non-detriment finding process:

Based on Quota Management System catch limits

Objective '	1.6	Parties cooperate in managing s Aichi Target 4, Target 5, Target 6					12 an	d Target 19.
Indicator 1.	6.1:	The number of bilateral and management of shared CITES I						oecifically provide for co-
1.6.1a	1.6.1a Is your country a signatory to any bile agreements for co-management of s If 'Yes', please provide brief details, i countries are involved:			ecies?Y	′es 🖂 N	lo 🗌	eeme	ents, and which other
Signatories of the Convention on the Conservation of Migratories to the Pacific Cetacean Memorandum of Unders Signatories to the International Convention for the Regulation					Underst	andin	ıg (un	der CMS)
Indicator 1.	6.2:	The number of cooperative mar populations of CITES-listed spe		nt plans	, includi	ng red	cover	y plans, in place for shared
1.6.2a Do you have any cooperative management plans, including in place for shared populations of CITES-listed species?					ecove	ery pla	ans, Yes ⊠ No □	
		es', please list the species for whi published plan for each species.	ch these	e plans a	are in pla	ace aı	nd pro	ovide a link or reference
		REP is leading on the developmer se of which include CITES species		review	of a nur	mber o	of ma	rine species action plans
	Spe	cies Name (scientific) Link o	r referer	nce to a	publishe	ed pla	ın	
Indicator 1.		The number of workshops and oth o address the conservation and n						
1.6.3a		ve the CITES authorities received vities provided by external source		enefited	from ar	ny of	the fo	ollowing capacity-building
		ase tick boxes to indicate which get group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Tar	get group	Ora adv	Tec	Fina	Trai	Ð.	What were the external sources ¹ ?
	ł	ff of Management Authority						
	ł	ff of Scientific Authority ff of enforcement authorities						
		ders						
•	1						. —	·

Please provide the names of Parties, and any non-Parties, involved.

	NGOs						
	Public						
	Other (please specify):						
1.6.3b	Have the CITES authorities been the other range States?	provide	rs of any	of the f	ollow	ing ca	apacity-building activities to
	Please tick boxes to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	Q dg	Tec ass	Fin	Ta	₹	Details
	Staff of Management Authority	\boxtimes	\square			\boxtimes	Capacity building workshop in Tonga
							Support in region with NDFs
							Secured funding to contract legal advice to support legislation development/review in Samoa and Tonga
	Staff of Scientific Authority	\boxtimes	\boxtimes		\boxtimes		
	Staff of enforcement authorities	\boxtimes					
	Traders						
	NGOs						
	Public						
	Other Parties/International meetings						Supported Vanuatu to attend capacity building training in Tonga
	Other (please specify)						
1.6.3c	In what ways do you collaborate with	other C	ITES P	arties?			
		Never	Rarely	Sometimes	Very Often	Always	Further detail / examples
	Information exchange				\boxtimes	Г	
	Monitoring / survey						
	Habitat management			\boxtimes		Ē	
	Species management			\boxtimes			
	Law enforcement				\boxtimes		
	Capacity building				\boxtimes		
	Other (please provide details)						

Objective 1.7 Parties are enforcing the Convention to reduce illegal wildlife trade.

Aichi Target 4, Target 5, Target 6, Target 7, Target 9, Target 10, Target 12 and Target 19.

Indicator 1.7.1:

- The number of Parties that have, are covered by, or engaged with:

 an international enforcement strategy and/or action plan;

 formal international cooperation, such as an international enforcement network;

- a national enforcement strategy and/or action plan; and
- formal national interagency cooperation, such as a national interagency enforcement committee.

1.7.1a	.7.1a Do you have, are you engaged in, or covered by:		No	No Information			
	– an international enforcement strategy and/or action plan?		\boxtimes				
	formal international cooperation, such as an international enforcement network?	\boxtimes					
	– a national enforcement strategy and/or action plan?		\boxtimes				
	– formal national interagency cooperation, such as a national interagency enforcement committee?	\boxtimes					
	If 'Yes' to any of the above, please specify the level of engagement and provide additional details: New Zealand is engaged internationally through the INTERPOL Wildlife Crime Working Group and participates and leads in WCWG operations. Domestically, the Department of Conservation, Ministry for Primary Industries and NZ Customs service have a Memorandum of Understanding setting out how the three agencies cooperate to detect and disrupt wildlife crime.						

Indicator 1.7.2: The number of Parties with a process or mechanism for reviewing their enforcement strategies, and the activities taken to implement their strategies.

1.7.2a	Do you have a process or mechanism for reviewing your enforcement strategy(ies) and the activities taken to implement your strategy(ies)?	Yes No, but review is under consideration No No information	
	If 'Yes', what do you do?		
	If 'Yes' or 'No, but review is under consideration', which tools do yo	ou find of value?	
1.7.2b	Have you used the International Consortium on Combating Wildlife Crime (ICCWC) Wildlife and Forest Crime Analytic Toolkit, or equivalent tools?	Yes	er
	If 'Yes', please provide feedback on the parts of the toolkit used ar equivalent tools have been. Please specify improvements that could be specified by specific to specific be specified by	ıld be made:	t

Indicator 1.7.3: The number of Parties that have criminal (penal) law and procedures, capacity to use forensic technology, and capacity to use specialized investigation techniques, for investigating, prosecuting, and penalizing CITES offences..

1.7.3a	Do you have law and procedures in place for investigating, prosecuting, and penalizing CITES offences as a crime?	Yes No	
	If 'Yes', please provide the title of the legislation and a summary of the penalties available:	No information	
	Trade in Endangered Species Act 1989, which carries maximum penalties of prison terms of up to 5 years, and/or fines of up to		

	NZD\$250,000					
1.7.3b	Are criminal offences such as poaching and wildlife tr recognized as serious crime ¹ in your country?	affickin	g	Yes No No information	n 🗆	
	If 'Yes', please explain what criteria must be met for poaching or wildlife trafficking offences to be treated as serious crimes:					
	To be treated as a serious crime (and therefore triggering other legislative instruments such as Proceeds of Crime legislation) the maximum sentence for an offence must be at least 5 years, which includes offences under the Trade in Endangered Species Act 1989.					
1.7.3c	Do you have capacity to use forensic technology ² to sinvestigation of CITES offences?	the	Yes No			
				No information	n 🗌	
	If 'Yes', please provide a brief summary of any samples from CITES-listed species that were collected and submitted to an appropriate forensic analysis facility (located in your country and/or another country) during the period covered in this report:					
	If 'Yes', and your country has an appropriate forensic analysis facility for CITES-listed species, please indicate which species it applies to:					
1.7.3d	Did your authorities participate in or initiate any multi-			Yes	\boxtimes	
	law enforcement operation(s) targeting CITES-listed siduring the period covered in this report?	species	3	No		
				No information		
	If 'Yes', please provide a brief summary, including any other Parties:	/ lesso	ns lear	ned which migl	nt be helpful for	
	Operation Thunder 2020 –run by Interpol and the WC	O.		<u>, </u>		
1.7.3e	Do you have a standard operating procedure among			Yes	\boxtimes	
	agencies for submitting information related to CITES INTERPOL and/or the World Customs Organization?	oπence	es to	No		
	TIVE TO BE ATTACHE THE VIOLE OUSSIES OF STREET			No information		
	Do you have legislative provisions for any of the				If yes, how many times was this	
	following that can be applied to the investigation,				used during the	
	prosecution and/or sentencing of CITES offences			No	period covered	
1.7.3f	as appropriate?	Yes	No	information	by this report?	
	General crime ⁴				2	
	Predicate offences ⁵				0	
	Asset forfeiture ⁶				0	
	Corruption ⁷	\boxtimes			0	

The United Nations Convention against Transnational Organized Crime defines serious crime as conduct constituting an offence punishable by imprisonment for at least four years or a more serious penalty.

² Capacity to use forensic technology means the ability to collect, handle and submit samples from crime scenes involving CITES-listed species to an appropriate forensic analysis facility, located either in your country or in another country(ies).

³ A multi-disciplinary law enforcement operation is one that involves officers from all relevant enforcement disciplines as appropriate, for example officers from Police, Customs and the wildlife regulatory authority. It could be either sub-national, national or international in scope.

General crime laws relate to offences such as fraud, conspiracy, possession of weapons, and other matters as set out in the national criminal code.

⁵ Article 2, paragraph (h) of the United Nations Convention against Transnational Organized Crime defines a predicate offence is an offence whose proceeds may become the subject of any of the money-laundering offences established under the Convention.

⁶ Asset forfeiture is the seizure and confiscation of assets obtained from criminal activities to ensure that criminals do not benefit from the proceeds of their crimes.

Provisions against corruption include national laws to implement the United Nations Convention against Corruption covering offences such as bribery of officials, embezzlement or misappropriation of public funds, trading in influence and abuse of functions by public officials.

	International cooperation in criminal matters ¹	\boxtimes			0		
	Organized crime ²	\boxtimes			0		
	Specialized investigation techniques ³	\boxtimes			0		
	If 'Yes' to any of the above, please explain how each is used for CITES offences? Please provid brief summary, including any lessons learned which might be helpful for other Parties:						
1.7.3g	Do you have institutional capacity to implement the le provisions listed in question 1.7.3f against CITES offer			Yes No No information			
	If 'No', please provide a brief summary of your major capacity-building needs:						

Indicator 1.7.4: The number of Parties using risk assessment and intelligence to combat illegal trade in CITES-listed species.

1.7.4a	Do you use risk assessment to target CITES enforcement effort?	Always Very often Sometimes Rarely Never No information	
1.7.4b	Do you have capacity to analyse information gathered on illegal trade in CITES-listed species?	Yes No No information	
1.7.4c	Do you use criminal intelligence ⁴ to inform investigations into illegal trade in CITES-listed species?	Always Very often Sometimes Rarely Never No information	
1.74d	Have you implemented any supply-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	
1.7.4e	Have you implemented any demand-side activities to address illegal trade in CITES-listed species during the period covered in this report?	Yes No, but activities are under development No No information	

International cooperation in criminal matters includes legislation through which a formal request for mutual legal assistance and/or extradition of a person for criminal prosecution can be forwarded to another country.

Article 2, paragraph (a) of the United Nations Convention against Transnational Organized Crime defines an organized criminal group as a structured group of three or more persons, existing for a period of time and acting in concert with the aim of committing one or more serious crimes or offences established in accordance with the Convention, in order to obtain, directly or indirectly, a financial or other material benefit.

Specialized investigation techniques are techniques that are deployed against serious and/or organized crime when conventional law enforcement techniques fail to adequately address the activities of crime groups. Examples include controlled deliveries and covert operations.

⁴ Criminal intelligence is information that is compiled, analyzed and disseminated in an effort to anticipate, prevent and/or monitor criminal activity. Examples include information on potential suspects held in a secure database and inferences about the methods, capabilities and intentions of specific criminal networks or individuals that are used to support effective law enforcement action.

Indicator 1.7.5: The number of administrative measures, criminal prosecutions and other court actions for CITES-related offences.

			,					
During the	e period covered in this report:	Yes	No	No Information				
1.7.5a	Have any administrative measures (e.g. fines, bans, suspensions) been imposed for CITES-related offences?	\boxtimes						
	If 'Yes', please indicate how many and for what types of offend details:	es. If avai	lable, please	e attach				
	31 Infringement notices issued for importing CITES listed species without the appropriate permits							
1.7.5b	Have there been any criminal prosecutions of CITES-related offences?							
	If 'Yes', how many and for what types of offences? If available, please attach details:							
	2 prosecutions. One for the import of 12 vials of bear bile, one for the import of the skulls of lynx, bears and crocodiles.							
1.7.5c	Have there been any other court actions against CITES-related offences?							
	If 'Yes', what were the offences involved and what were the result	s? Please	attach detail	s:				
1.7.5d	How were any confiscated specimens disposed of?		Tick	all that apply				
	 Return to country of export 							
	 Public zoos or botanical gardens 			\boxtimes				
	 Designated rescue centres 							
	Approved private facilities							
	- Euthanasia							
	Other (please specify): Destruction							
	Have you encountered any challenges in disposing of confisca	ited specir	mens?					
	Do you have good practice that you would like to share with ot	her Partie	s?					

Objective 1.8 Parties and the Secretariat have adequate capacity-building programmes in place. Aichi Target 1, Target 12 and Target 19.

Indicator 1.8.1: The number of Parties with national and regional training programmes and information resources in place to implement CITES including the making of non-detriment findings, issuance of permits and enforcement.

1.8.1a	Do you have information resources or training in place to support: Yes The making of non-detriment findings? Permit officers? Enforcement officers?	/No	
1.8.1b	Is the CITES Virtual College used as part of your capacity building work? What improvements could be made in using the Virtual College for capacity building?	Yes No No information	
X	Is the ICCWC Wildlife and Forest Crime Toolkit used in the development of capacity-building programmes, or does it form part of the curriculum of such programmes? What improvements could be made in using the ICCWC Toolkit for capacity building?	Yes No No information	

GOAL 2 SECURE THE NECESSARY FINANCIAL RESOURCES AND MEANS FOR THE OPERATION AND IMPLEMENTATION OF THE CONVENTION

Objective 2.1 Financial resources are sufficient to ensure operation of the Convention.

Information to be provided through records held by the Secretariat on financial management of the Convention.

Objective 2.2 Sufficient resources are secured at the national and international levels to ensure compliance with and implementation and enforcement of the Convention.

Aichi Target 1, Target 2, Target 3, Target 12, Target 19 and Target 20.

Indicator 2.2.1: The number of Parties with dedicated staff and funding for Management Authorities, Scientific Authorities and wildlife trade enforcement agencies.

2.2.1a	Do you have an approved service standard(s)¹ for your Management Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards? General permitting enquiries -responses within 7 working days, assessment of permit applications and issuance of permits or decision to decline made within 20 working days		Yes No	
	If 'Yes', do you have performance targets for these standards ² ? If 'Yes', what are your performance targets?	See 2.2.1a	Yes No	
	Do you publish your performance against service standard targets?	000 2.2.10	Yes No	
	If possible, please provide your performance against service standards during the period covered in this report:			
	The vast majority of these services are completed within these timeframes			
	If you did not meet your performance targets then was this shortfall a result of: — availability of funding?	Yes □		No
	- number of staff?			
	a shortage of skills?			
	If 'Yes' to a shortage of skills, which skills do you need more of?			
2.2.1b	Do you have an approved service standard(s) ⁴⁷ for your Scientific Authority(ies)? If 'No', please go to Question 2.2.1d. If 'Yes', for which services are there standards, and what are those standards?		Yes No	
	Respond to Management Authority NDF requests within 10 working days.			

For example, a time frame in which you are required to provide a response on a decision to issue or not issue a permit, certificate, or re-export certificate.

² For example, 85% of all decisions will take place within the service standard.

	l	u have performance targets are your performance targe			Yes No	\square
	Respond to I working days	Management Authority NI 6.	OF requests within 10			
		ease provide your performa ing the period covered in th				
	Average resp (max 6 days	oonse time for NDFs in 20	20 was 1.2 working days			
).		
	If you did not i a result of:	meet your performance tar	gets then was this shortfall	Yes		No
	availability	of funding?				
	number of	staff?				
	a shortage					
	If 'Yes' to a sh	ortage of skills, which skills	do you need more of?			
2.2.1c	enforcement a If 'No', please If 'Yes', for wh	an approved service standa authority(ies)? go to Question 2.2.1d. ich services are there stan	.,		Yes No	
	standards?					
	-	u have performance targets are your performance targe			Yes No	
	standards dur	ease provide your performaing the period covered in the	nis report:			
	If you did not in a result of:	meet your performance tar	gets then was this shortfall	Yes		No
		of funding?				
	number of	=				
	a shortage			П		
		ortage of skills, which skills	do you need more of?			
2.2.1d			ir answered 'No' to the first pe of approved service standa			
	Do you have s	sufficient of the following fo	r your authorities to function	effectively?		
		Management Authority(ies)	Scientific Authority(ies)	1	ement ity(ies)	
	Funding?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	
	Staff?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	
	Skills?	Yes 🗌 No 🗌	Yes 🗌 No 🗌	Yes ⊠	No 🗌	

Indicator 2.2.2: The number of Parties that have undertaken one or more of the following activities:

- changed the budget for activities;
- hired more staff;
- developed implementation tools;
- purchased technical equipment for implementation, monitoring or enforcement.

2.2.2a	Have any of the following activities been undertaken during the period covered in this report to enhance the effectiveness of CITES implementation at the national level?							
	Hiring of more staff				\boxtimes			
	Development of implementation tools							
	Purchase of technical equipment for im	plementati	ion, monitorin	g or enforcement				
	Other (please specify):		,	S	_			
2.2.2b	During the period covered in this report	t, was the	1	Otable				
	budget for your:	,	Increased	Stable	Decreased			
	Management Authority(ies)							
	Scientific Authority(ies)			\boxtimes				
	Enforcement authorities		\boxtimes					
2.2.2c	Have you been able to use international development funding assistance to include level of implementation of your		Yes	No	Not applicable			
	Management Authority(ies)?				\boxtimes			
	Scientific Authority(ies)?				\boxtimes			
	Enforcement authorities?				\boxtimes			
2.2.2d	What is the respective level of priority f the national level through the following		ng the effectiv	veness of CITES	implementation at			
	Activity	High	Medium	Low	Not a Priority			
	Hiring of more staff							
	Development of implementation tools			\boxtimes				
	Purchase of new technical equipment for implementation, monitoring or enforcement				\boxtimes			
	e-permitting			\boxtimes				
	Other (please specify): Update of domestic legislation		\boxtimes					
2.2.2e	Do you have a operational system (e.g electronic database) for managing	-	Yes	Under development	No			
	Species information		\boxtimes					
	Trade information		\boxtimes					
	Non-detriment findings		\boxtimes					
Indicator 2.2.3: The number of Parties raising funds for CITES implementation through user fees or other mechanisms. 2.2.3a Does the Management Authority charge fees for: Tick all that are applicable								
	 Administrative procedures 	9			П			
	Issuance of CITES documents (e.	g. for impo	rt, exports, re	-export, or introdu	uction from			
	the sea)		·	·				
	Shipment clearance (e.g. for the ir of CITES-listed species)				лп и ic sca			
	Licensing or registration of operation of a company to the co	•	oduce CITES	species				
	Harvesting of CITES-listed specie	S						
	Use of CITES-listed species							
	Assignment of quotas for CITES-I	isted speci	es					
	Other (please specify):							

2.2.3b	Is a fee schedule publicly available?	Yes 🛛 N	o 🗌
	If 'Yes', please provide an internet link, or a copy of the schedule to the Secretari	at:	
	https://www.legislation.govt.nz/regulation/public/1991/0274/1.0/DLM150372.html		
	https://www.iegisiation.govt.nz/regulation/public/1991/02/14/1.0/DEW130372.html		
2.2.3c	Have revenues from fees been used for the implementation of CITES or wildlife	conservation	?
		Entirely	\boxtimes
		Partly	
		Not at all	
	1	lot relevant	
2.2.3d		Yes	No
	Do you raise funds for CITES management through charging user fees?		\boxtimes
	Do your fees recover the full economic cost of issuing permits?		\boxtimes
	Do you have case studies on charging or using fees?		\boxtimes
	If 'Yes' to any of the above, please provide brief details:		
	Do you use innovative financial mechanisms to raise funds for CITES		
	implementation?		\boxtimes
	If 'Yes', please provide brief details:		

Indicator 2.2.4: The number of Parties using incentive measures as part of their implementation of the Convention.

2.2.4a	Do you use incentive measures¹ such as those described in CoP14 Doc 14.32 to implement the						
	Convention? YesNo						
	Due diligence						
	Compensatory mechanisms 🔲 🛛						
	Certification \square						
	Communal property rights $\square igotimes$						
	Auctioning of quotas						
	Cost recovery or environmental charges⊠□						
	Enforcement incentives						
	If 'Yes' to any of the above, or if you use other measures, please provide a summary or link to						
	further information:						
2.2.4b	Have incentives harmful to biodiversity been eliminated? Not at all						
	Very little□						
	Somewhat						
	Completely⊠						

Defined as 'Social and economic incentives that promote and regulate sustainable management of and responsible trade in, wild flora and flora and promote effective enforcement of the Convention'. The intent of such measures is not to promote wildlife trade as such, but rather to ensure that any wildlife trade undertaken is conducted in a sustainable manner.

Objective 2.3 Sufficient resources are secured at the national and international levels to implement capacity-building programmes.

Aichi Target 12, Target 19 and Target 20.

Indicator 2.3.1: The number of capacity building activities mandated by Resolutions and Decisions that are fully funded.

2.3.1a	How many training and capacity building activities ¹ have you run during the period covered in this report?					t assi e ariat	stance Conducted or assisted by the Secretariat
			N	lone			
		1			\boxtimes		
				2-5			
				6-10 1-20			
		N	ı More tha				
	Please list the Resolutions or Decisions			111 20		Ш	
2.3.1b	What sorts of capacity building activities	have ta	aken pla	ce?			
	Training of border agencies by CITES M			taulat .	مادان		aa frana N7
	Training workshop for Tonga, led by SP Funding for legislative development in						
2.3.1c	What capacity building needs do you ha	_	····· 3···				
	Please tick all boxes which apply to indicate which target group and which activity.	Oral or written advice/guidance	Technical assistance	Financial assistance	Training	Other (specify)	
	Target group	Б	ä	正覧	F		Details
	Staff of Management Authority						
	Staff of Scientific Authority						
	Staff of enforcement authorities						
ļ	Traders / other user groups					Ш	
	NGOs					Ш	
	Public						Increasing awareness of CITES in general
	Other (please specify)						Increasing awareness and capability of CITES for border control agencies

An activity might be a single day training e.g. for a group of staff from the Management Authority, or a longer course / project undertaken by an individual.

GOAL 3CONTRIBUTE TO SIGNIFICANTLY REDUCING THE RATE OF BIODIVERSITY LOSS AND TO ACHIEVING RELEVANT GLOBALLY-AGREED GOALS AND TARGETS BY ENSURING THAT CITES AND OTHER MULTILATERAL INSTRUMENTS AND PROCESSES ARE COHERENT AND MUTUALLY SUPPORTIVE

Objective 3.1 Cooperation between CITES and international financial mechanisms and other related institutions is enhanced in order to support CITES-related conservation and sustainable development projects, without diminishing funding for currently prioritized activities.

Aichi Target 2 and Target 20.

Indicator 3.1.1: The number of Parties funded by international financial mechanisms and other related institutions to develop activities that include CITES-related conservation and sustainable development elements.

3.1.1a	Has funding from international financial mechanisms and other related institutions been used to develop activities that include CITES-related conservation and sustainable development elements?	Yes No Not applicable No information	
	If 'Yes', please provide brief details:		
3.1.1b	During the period covered in this report, has funding for your country from international funding mechanisms and other related institutions:	Increased Remained stable Decreased	

Indicator 3.1.2: The number of countries and institutions that have provided additional funding from CITES Authorities to another country or activity for conservation and sustainable development projects in order to further the objectives of the Convention.

3.1.2a	Have you provided technical or finance or countries in relation to CITES?	Yes No No	information					
	If 'Yes', please tick boxes to indicate type of assistance provided Country(ies)	Species Management¹	Habitat Management²	Sustainable use	Law Enforcement	Livelihoods	Other (specify)	Details (provide more information in an Appendix if necessary)
	Region						\boxtimes	Identifying demand reduction priorities and communications
	Tonga						\boxtimes	Capacity Building workshop
	Samoa and Tonga							Funding to contract legal advice for legislation development/review

Use species conservation column for work directly related to species – e.g. population surveys, education programmes, conflict resolution, etc.

Use habitat conservation column for work that will indirectly support species conservation – e.g. habitat management, development of policy frameworks for how land is managed, etc.

Objective 3.2 Awareness of the role and purpose of CITES is increased globally. Aichi Target 1, Target 4, Target 12 and Target 18.

Indicator 3.2.1: The number of Parties that have been involved in CITES awareness raising activities to bring about better awareness by the wider public and relevant user groups of the Convention requirements.

3.2.1a	Have CITES authorities been involved in any of the following activities to bring about better awareness of the Convention's		Relevant User
	requirements by the wider public and relevant user groups?	Wider public	Groups
	- Press conferences		
	- Press releases	\boxtimes	
	Newspaper articles, brochures, leaflets	\boxtimes	
	Television appearances	\boxtimes	\boxtimes
	Radio appearances	\boxtimes	\boxtimes
	- Presentations		
	Public consultations / meetings (for NZ pre-COP public consultation)		
	Market surveys		
	- Displays	\boxtimes	
	Information at border crossing points	\boxtimes	
	Telephone hotline		
	Website(s) – if so please provide link(s) www.doc.govt.nz/cites	\boxtimes	
	Other (specify):		
	Please attach copies of any items or describe examples: Engagement with relevant user groups such as consumers of traditional medicines – radio/TV appearances and revamped website		
	World Wildlife Day celebrations – widely engagement with youth through competitions		
	TV appearances – NZ MA officers have appeared on TVNZ's Border Patrol programme relating to specific cases		

Indicator 3.2.2: The number of visits to the CITES website.

3.2.2a	How regularly do your Authorities consult the Cl	TES wel	osite?					
	Please tick boxes to indicate the most frequent usage (decide on an average amongst staff if necessary). Target group	Daily	Weekly	Monthly	Less frequently	Not known		
	Staff of Management Authority	\boxtimes						
	Staff of Scientific Authority		\boxtimes					
	Staff of enforcement authorities		\boxtimes					
3.2.2b	What has been your experience with using the	CITES w	vebsite?	Exc	ellent			
				Goo	d	\boxtimes		
		Average						
	Poor							
				Very Poor				
				No i	Poor			

Any further comments on the CITES Website? (e.g. useful aspects, any difficulties encountered, which authorities find which functions/tools most useful, what is missing, etc):

Accessing new CITES website took some adjustment - but it is good.

Indicator 3.2.3: The number of Parties with web pages on CITES and its requirements.

A question relating to this indicator is within question 3.2.1a.

Objective 3.3 Cooperation with relevant international environmental, trade and development organizations is enhanced.

Indicator 3.3.1 The number of Parties which report that they have achieved synergies in their implementation of CITES, other biodiversity-related conventions and other relevant multilateral environmental, trade and development agreements.

3.3.1a	Have measures been taken to achieve coordination and reduce duplication of activities between the national CITES authorities and national focal points for other multilateral environmental agreements (e.g. the other biodiversity-related conventions: CBD, CMS, ITPGR, Ramsar, WHC) ¹ to which your country is party?	Yes No No information						
	If 'Yes', please give a brief description: talking between focal points to coordinate approaches							

Indicator 3.3.2: The number of biodiversity conservation or sustainable use projects, trade and development goals, or scientific and technical programmes that integrate CITES requirements.

3.3.2a	How many international projects which integrate CITES issues has your country contributed towards? (regional capacity building; trade negotiations x2, demand reduction)					
3.3.2b	In addition to 3.2.2a, how many national level projects has your country implemented which integrate CITES issues?					
3.3.2c	Have there been any efforts at a national scale for your CITES Management or Scientific Authorities to collaborate with:	Yes	No			
	Agencies for development?		\boxtimes			
	Agencies for trade?	\boxtimes				
	Provincial, state or territorial authorities?		\square			
	Local authorities or communities?		\square			
	Indigenous or local peoples?	\boxtimes				
	Trade or other private sector associations?	\boxtimes				
	NGOs?	\boxtimes				
	Other (please specify)					
3.3.2d	Are CITES requirements integrated into?	Yes	No			
	National and local development strategies?	\boxtimes				
	National and local poverty reduction strategies?		\square			
	Planning processes?					
	National accounting?					

CBD = Convention on Biological Diversity; CMS = Convention on the Conservation of Migratory Species of Wild Animals, ITPGR = International Treaty on Plant Genetic Resources for Food and Agriculture, Ramsar = The Convention on Wetlands of International Importance, WHC = World Heritage Convention.

Indicator 3.3.3:	governmental organizations to participate in and/or fund CITES workshops and other
	governmental organizations to participate in and/or fund CITES workshops and other training
	and capacity-building activities.

3.3.3a	Has funding been provided or received to facilitate CITES workshops, training or other capacity building activities to / from:	Tick if applicable	Which organizations?	
	Inter-governmental organizations?		SPREP has helped support capacity building in the region	
	Non-governmental organizations?			

Objective 3.4 The contribution of CITES to the relevant Millennium Development Goals, the sustainable development goals set at WSSD, the *Strategic Plan for Biodiversity 2011-2020* and the relevant *Aichi Biodiversity Targets*, and the relevant outcomes of the United Nations Conference on Sustainable Development is strengthened by ensuring that international trade in wild fauna and flora is conducted at sustainable levels.

This objective may also be assessed by a variety of means beyond the reporting format, including action taken to implement many of the CITES resolutions and decisions.

Aichi Target 1, Target 2, Target 3, Target 4, Target 5, Target 6, Target 7, Target 12, Target 14, Target 17, Target 18 and Target 19.

Indicator 3.4.1: The conservation status of species listed on the CITES Appendices has stabilized or improved.

3.4.1a	Do you have data which shows that the conservation status of naturally occurring species in your country listed on the CITES Appendices has stabilized or improved?	es	No	Not Applicable	
	Appendix I		\boxtimes		
	Appendix II [\boxtimes		
	Appendix III [\boxtimes		
	If there are such studies that you are willing to share, please provid	e:			
	Species name (scientific) Link to the data, or a brief summary				
3.4.1b	Do you have examples of specific examples of success stories or emerging problems with any CITES listed species? If 'Yes', please provide details:	Yes No No i	nformatic	on 🗆	

Indicator 3.4.2: The number of Parties incorporating CITES into their National Biodiversity Strategy and Action Plan (NBSAP).

3.4.2a	Has CITES been incorporated into your country's National Biodiversity Strategy and Action Plan (NBSAP)? CITES is implicitly covered in the high level strategy and will be	Yes No No information	
3.4.2b	implemented through the action plan which is still under development. Have you been able to obtain funds from the Global Environment	Yes	
0.4.25	Facility (GEF) or other sources to support CITES aspects of NBSAP	No	
	implementation?	No information	

Objective 3.5 Parties and the Secretariat cooperate with other relevant interr agreements dealing with natural resources, as appropriate, in order collaborative approach to species which can be endangered by uns those which are commercially exploited. Aichi Target 2, Target 4, Target 5, Target 6, Target 7, Target 10, Target 19.						r to achieve a cohere sustainable trade, in	ent and cluding		
Indicator 3.5	5.1:	The number of cooperative action to prevent species from being un							ements
3.5.1a	Has your country taken action under established bilateral or multilateral agreements other than CITES to prevent species from being unsustainably exploited through international trade? If 'Yes', please provide details: refer to response under 1.6.1a								
Indicator 3.5	5.2:	The number of times other relevenatural resources are consulted							
3.5.2a	that agre by C Mana Scier	rage number of times per year international organizations or ements have been consulted ITES Authorities agement Authority(ies) https://orcement.com/district/page/fices/	Once	□ □ 2-5 times	⊠ ⊠ 6-20 times	☐ ☐ More than 20 times	□ □ No consultation	Optional comment which organization issues consulted	ns and
Please prov	ride ar	Gen ny additional comments you would	neral fee		ncludir	ng com	ment	s on this format.	
		Item							
Copy of ful Web link(s		of CITES-relevant legislation if ch	anged		Not	losed availab viously		ded 🖂	
Awareness	Please list any materials annexed to the report, e.g. fee schedules, awareness raising materials, etc: Awareness raising material is available on the department's CITES web pages: www.doc.govt.nz/cites CITES Wiki (resource for NZ border agencies) http://citesnz.pbworks.com/w/page/8486158/FrontPage								
your count	Have any constraints to implementation of the Convention arisen in your country requiring attention or assistance? No No Information								
outdated le	egislat	escribe the constraint and the typ iion c1989. Assistance as peer re	eview ma	ay be re	quired	l if a re∖	view c	of the Act occurs.	
		restrictions have constrained of for sea cucumbers	our abil	ity to h	old a v	worksh	iop w	ith Oceania Parties	s to
Are there e Parties?	examp	oles of good practice you would lik	ke to sha	are with	other	Yes No			

No Information

If 'Yes' please provide details / links:

How could this report format be improved?

Automatic fill of tick boxes and removal of repetition – it would be a very large task for some fo the smaller parties in our region.

Thank you for completing the report. Please remember to include relevant attachments referred to in the report when it is submitted to the Secretariat.