

# CITES SECRETARIAT WORKPLAN

SC45 Doc. 7.1 ( Rev. 1)  
Annex/Anexo/Annexe 3

## CITES RESOLUTIONS

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R1.3	<b>DELETION OF SPECIES FROM APPENDIX II OR III IN CERTAIN CIRCUMSTANCES<sup>1</sup></b>						
R1.3, para a)	RESOLVES that a) no species can be included in more than one appendix						
R1.3, para a)	<ul style="list-style-type: none"> <li>When revising the Appendices after CoP11 and CoP12, ensure that no 'species' is included in more than one Appendix.</li> </ul>	Verification	High	SSU	1100	No	August 2000 and January 2003
R1.3, para b)	RESOLVES that b) where a species is added to Appendix I the Secretariat is authorized, where appropriate, to delete that species from Appendix II and after reference to the interested Party from Appendix III. If a species is added to Appendix II, that the Secretariat is similarly authorized after reference to the interested Party to delete it from Appendix III. For the purpose of this Resolution the term 'species' is subject to the qualifications set out in paragraph 4 of the interpretation of Appendix I and paragraph 4 of the interpretation of Appendix II <sup>2</sup>						
R1.3, para b)	<ul style="list-style-type: none"> <li>If a proposal is adopted to include in Appendix I or II a species already in Appendix III, consult the Party that has included the species in Appendix III and delete the species therefrom.</li> </ul>	Verification Correspondence	High	SSU	1100	No	August 2000 and January 2003
R1.5 (Rev.)	<b>INTERPRETATION AND IMPLEMENTATION OF CERTAIN PROVISIONS OF THE CONVENTION</b>						
R1.5 (Rev.), para a)	ADOPTS the following recommendations: a) any species or any parts or derivatives subject to a reservation (Article XXIII) by any Party must be treated by Parties as if coming from a State not party to the Convention (Article X)  If a country makes a reservation on any species listed in Appendix I or II, that country should not propose that this species be listed in Appendix III						
R1.5 (Rev.), para a)	<ul style="list-style-type: none"> <li>Reject any request for inclusion in Appendix III of species already included in Appendix I or II (the matter of reservations is irrelevant)</li> </ul>	Verification, correspondence	High	SSU	1100	No	ongoing

<sup>1</sup> The title formerly referred incorrectly to Appendix I or II.

<sup>2</sup> Reference should rather be made to the definition of the term 'species' as given in Article I (a) of the Convention

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R1.5 (Rev.), para b)	ADOPTS the following recommendations: b) for the purpose of practical use, corrections of errors of an orthographical nature, of misprints, and of other purely factual errors may be made by consensus agreement. However, changes in the text of the Convention must necessarily follow the amendment procedure provided for by the Convention						
R1.5 (Rev.), para b)	<ul style="list-style-type: none"> <li>In printed copies of the Convention text, correct minor changes agreed by the CoP.</li> </ul>	Editing, printing	High	CSU	1100	No	as required
R1.5 (Rev.), para c)	ADOPTS the following recommendations: c) direct communications between the Management Authorities are essential and should be established for proper implementation of the Convention						
R1.5 (Rev.), para c)	<ul style="list-style-type: none"> <li>Ensure that all Parties have contact details of the Management Authorities of other Parties by maintaining the CITES Directory up to date</li> </ul>	Verification notification	High	CSU	1100	No	ongoing
<b>R1.6 (REV.)</b>	<b>(REGARDING RARE ISLAND FAUNA AND THE COLLECTION OF WILD ANIMALS FOR THE PET TRADE)</b>						
R1.6 (Rev.), 'URGES' para a)	URGES: a) governments with jurisdiction over rare island fauna and flora to take every opportunity to protect them and their vanishing habitats						
R1.6 (Rev), URGES para a)	<ul style="list-style-type: none"> <li>Request Parties through a Notification to inform Secretariat of steps taken to protect island species that are included in the Appendices and endangered in the wild.</li> </ul>	Notification	Low	SSU	1100	No	October 2001
R1.6 (Rev), URGES para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R1.6 (Rev.), 'URGES' para b)	URGES: b) exporting countries to endeavour to restrict gradually the collection of wild animals for the pet trade and all Parties to encourage the breeding of animals for this purpose, with the objective of eventually limiting the keeping of pets to those species which can be bred in captivity.						
R1.6 (Rev.), 'URGES' para b)	<ul style="list-style-type: none"> <li>This provision may no longer be applicable in general terms and should be repealed. The captive-breeding of species can not in all cases be considered to have been without negative impacts on wild populations. It may also be in conflict with the spirit of the Convention on Biological Diversity, i.e. to encourage all Parties to breed island species and to diminish the link between economic benefits from trade and habitat conservation.</li> </ul>	Document to CoP12	Low	CSU	1100	No	2001
R1.6 (Rev.), 'URGES' para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R2.10 (Rev.)	<b>INTERPRETATION OF ARTICLE VII</b>						
R2.10 RECOMMENDS	<b>RECOMMENDS that Parties experiencing significant problems in administering or enforcing the exemptions contained in Article VII of the Convention take stricter national measures where appropriate to eliminate those problems.</b>						
R2.10 RECOMMENDS	<ul style="list-style-type: none"> <li>Since Article XIV allows for stricter domestic measures and the 'significant problems' no longer appear relevant, suggest this resolution be deleted.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R2.10 RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	Low	CSU	1100	No	June 2002
R2.11 (Rev.)	<b>TRADE IN HUNTING TROPHIES OF SPECIES LISTED IN APPENDIX</b>						
R2.11 RECOMMENDS Paras a), b) and c)	<p>a) with the exception of the rare case of exemptions granted under paragraph 3 of Article VII of the Convention, trade in hunting trophies of animals of the species listed in Appendix I be permitted only in accordance with Article III, i.e. accompanied by import and export permits;</p> <p>b) in order to achieve the envisaged complementary control of trade in Appendix-I species by the importing and exporting countries in the most effective and comprehensive manner, the Scientific Authority of the importing country accept the finding of the Scientific Authority of the exporting country that the exportation of the hunting trophy is not detrimental to the survival of the species, unless there are scientific or management data to indicate otherwise; and</p> <p>c) the scientific examination by the importing country in accordance with paragraph 3(a) of Article III of the Convention be carried out independently of the result of the scientific assessment by the exporting country in accordance with paragraph 2(a) of Article III, and vice versa.</p>						
R2.11 RECOMMENDS Paras a), b), c)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R3.4	<b>TECHNICAL COOPERATION</b>						
R3.4 (1 <sup>st</sup> para)	<b>CALLS on all Parties to ensure the inclusion of the technical assistance, in matters relating to this Convention, in the bilateral and multilateral programmes of development aid in which they participate.</b>						
R3.4 (1 <sup>st</sup> para)	<ul style="list-style-type: none"> <li>Gather information from Parties about their bilateral activities requesting emphasis on those that may be relevant to CITES issues.</li> </ul>	Survey	Low	CBU	1100	No	August 2001
R3.4 (1 <sup>st</sup> para)	<ul style="list-style-type: none"> <li>From information received, produce a report and disseminate such report to Parties.</li> </ul>	Report	Low	CBU	1100	No	September 2001
R3.4 (1 <sup>st</sup> para)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	September 2001
R3.4 (2 <sup>nd</sup> para)	<b>URGES Parties to make special funding and qualified staff available, possibly by way of 'associate expert' assignments to the Secretariat and to developing countries, to carry out such technical assistance projects for the benefits of the other Parties.</b>						
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Survey with targeted Parties to identify those willing to provide secondments, technical assistance, etc. to the Secretariat and/or to developing countries.</li> </ul>	Survey (selected Parties)	Low	CBU	1100	No	October 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	October 2001
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Prepare report on Parties willing to assist in selected topics through training, lecturing and/or assignments of staff.</li> </ul>	Report	Low	CBU	1100	No	November 2001
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	November 2001
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Include in the capacity building programme of the Secretariat, those Parties willing to provide technical assistance. Inform Parties accordingly.</li> </ul>	Report informing type of assistance that developed Parties are willing to provide to less developed Parties	Low	CBU	1100	No	Ongoing
R3.4 (2 <sup>nd</sup> para)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Ongoing
<b>R3.4 (3<sup>rd</sup> para)</b>	<b>REQUESTS the Secretariat in consultation with the Standing Committee to continue to seek external funding for this purpose, and to execute the projects so funded, on behalf of the Parties.</b>						
R3.4 (3 <sup>rd</sup> para)	<ul style="list-style-type: none"> <li>In close coordination with all units of the Secretariat identify priorities for activities related to technical assistance that do require external funding: training seminars, information tools, etc.</li> </ul>	Priorities established	High	CBU	1100	No	Ongoing
R3.4 (3 <sup>rd</sup> para)	<ul style="list-style-type: none"> <li>Prepare project proposals and contact potential donors, do associated follow-up.</li> </ul>	Project proposals	High	CBU	1100	No	Ongoing
R3.4 (3 <sup>rd</sup> para)	<ul style="list-style-type: none"> <li>For activities where funding is secured, liaise and coordinate with the corresponding unit for the implementation of the activity.</li> </ul>	Project implementation	High	CBU	1100	No	Ongoing
R3.4 (3 <sup>rd</sup> para)	<ul style="list-style-type: none"> <li>Maintain database on projects and their status, along with funding sources</li> </ul>	Database	Medium	CBU	1100	No	Ongoing
<b>R4.6 (Rev.)</b>	<b>SUBMISSION OF DRAFT RESOLUTIONS AND OTHER DOCUMENTS FOR MEETINGS OF THE CONFERENCE OF THE PARTIES</b>						
R4.6 (Rev.)	Send a Notification to the Parties to remind them of the contents of this Resolution well in advance of CoP12	notification	High	CSU	1100	No	February 2002
R4.6 (Rev.)	Translation into working languages	Translation	High	SCU	1100	No	February 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R4.6 (Rev.), para a)	<b>RECOMMENDS that:</b> a) the text of any draft resolutions to be submitted to a meeting of the Conference of the Parties be communicated to the Secretariat at least 150 days before the meeting						
R4.6 (Rev.), para a)	<ul style="list-style-type: none"> <li>Check that draft resolutions for consideration at CoP12 are received before the deadline</li> </ul>	Checking	High	CSU	1100	No	June 2002
R4.6 (Rev.), para b)	<b>RECOMMENDS that:</b> b) the text of any documents submitted for consideration at a meeting of the Conference of the Parties be communicated to the Secretariat at least 150 days before the meeting						
R4.6 (Rev.), para b)	<ul style="list-style-type: none"> <li>Check that all working documents to be considered at CoP12 are received before the deadline</li> </ul>	Checking	High	CSU	1100	No	June 2002
R4.6 (Rev.), para c)	<b>RECOMMENDS that:</b> c) the Secretariat be authorized to accept draft resolutions and documents (other than proposals for amendment of Appendices I and II) after the time limit of 150 days has expired only in exceptional circumstances, when it is established, to the satisfaction of the Secretariat, that the draft resolutions or documents could not be communicated before the expiration date						
R4.6 (Rev.), para c)	<ul style="list-style-type: none"> <li>Reject documents submitted late unless the late submission is justified</li> </ul>	correspondence	High	CSU	1100	No	June 2002
R4.6 (Rev.), para d)	<b>RECOMMENDS that:</b> d) as a general rule, documents be no more than 12 pages in length						
R4.6 (Rev.) Para d)	<ul style="list-style-type: none"> <li>If proposals to amend Appendices I and II more than 12 pages long are received for consideration at Cop12, request the proponent Party to provide a revised document only 12 pages long (with font Univers 11) or to provide the translations (as agreed by SC)</li> </ul>	correspondence	High	SSU	1100	No	June 2002
R4.6 (Rev.), para d)	<ul style="list-style-type: none"> <li>If working documents more than 12 pages long are received for consideration at CoP12, request the submitting Party to provide a revised document only 12 pages long (with font Univers 11) or to provide the translations (as agreed by SC)</li> </ul>	correspondence	High	CSU	1100	No	June 2002
R4.6 (Rev.)	<b>DECIDES that:</b> any draft resolutions or decisions submitted for consideration at a meeting of the Conference of the Parties that have budgetary and workload implications for the Secretariat must contain or be accompanied by a budget for the work involved and an indication of the source of funding						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R4.6 (Rev.) DECIDES	<ul style="list-style-type: none"> <li>If a draft resolution or decision is received that has budgetary or workload implications but does not contain a budget or an indication of the source of funds, draw attention to this in the Secretariat's comments distributed before CoP12</li> </ul>	documentation	High	CSU	1100	No	August 2002
R4.6 (Rev.) DECIDES	<ul style="list-style-type: none"> <li>During CoP12, draw attention to any draft resolution or decision that has budgetary or workload implications but does not contain a budget or an indication of the source of funds</li> </ul>	Presentation	High	PMU	1100	No	November 2002
R4.22	<b>PROOF OF FOREIGN LAW</b>						
R4.22 RECOMMENDS para a) and b) And REQUESTS	<p>a) Parties informing the Secretariat of the existence, adoption or amendment of stricter domestic measures provide the Secretariat with a copy of the laws, regulations, decrees, and other documents establishing such measures, any interpretation and other information which may be of assistance in understanding such measures, citations to such laws, regulations, decrees, and other documents, and the name, address and telephone/telex number of the government organization and official responsible for implementing such measures</p> <p>b) Parties informing the Secretariat of the invalidity, deficiency or special requirements of permits and certificates do so in a signed statement containing the name, address, and telephone/telex number of the government agency and official responsible for granting the relevant permits and certificates</p> <p><b>REQUESTS that the Secretariat attach copies of the information submitted by the Parties mentioned in paragraphs a) and b) to the relevant notifications it circulates to the Parties.</b></p>						
R4.22	<ul style="list-style-type: none"> <li>This is well established practice and the supply of legislation to the Secretariat is addressed in other resolutions. Suggest deletion</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R4.22	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R4.25	<b>EFFECTS OF RESERVATIONS</b>						
R4.25, para a)	<p>RECOMMENDS that:</p> <p>a) Any Party having entered a reservation with regard to the transfer from Appendix II to Appendix I of a species continue to treat that species as if it remained on Appendix II for all purposes, including documentation and control</p>						
R4.25, para a)	<ul style="list-style-type: none"> <li>Where a Party with a reservation on an Appendix-I species authorizes trade therein, advise that at least Appendix-II-equivalent documentation and controls apply</li> </ul>	correspondence	Medium	LCU	1100	No	ongoing
R4.25, para b)	<p>RECOMMENDS that:</p> <p>b) by analogy, any Party having entered a reservation with regard to any species listed in Appendix I treat that species as if it were listed in Appendix II for all purposes, including documentation and control</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R4.25, para b)	<ul style="list-style-type: none"> <li>Where a Party with a reservation on an Appendix-I species authorizes trade therein, advise that at least Appendix-II-equivalent documentation and controls apply</li> </ul>	correspondence	Medium	LCU	1100	No	ongoing
R4.25, 'CALLS'	CALLS on the Parties having entered reservations nevertheless to maintain and communicate statistical records on trade in the species concerned, as part of their annual reports, so that international trade in these species may be properly monitored						
R4.25 CALLS	<ul style="list-style-type: none"> <li>Check annual reports to see whether data are included</li> </ul>	Verification	Medium	LCU	1100	No	ongoing
R4.25, CALLS	<ul style="list-style-type: none"> <li>Remind the Parties concerned if the data on species subject to reservations are missing.</li> </ul>	correspondence Translation	Medium	LCU	1100	No	ongoing
<b>R4.27</b>	<b>INTERPRETATION OF ARTICLE XVII, PARAGRAPH 3, OF THE CONVENTION</b>						
R4.27	RECOMMENDS that the meaning of Article XVII, paragraph 3, of the Convention be interpreted in its narrow sense so as to mean that the acceptance of two-thirds of the Parties at the time of the adoption of an amendment is required for the coming into force of such amendment						
R4.27	<ul style="list-style-type: none"> <li>Monitor acceptance of the Gaborone amendment</li> </ul>	monitoring	High	CSU	1100	No	ongoing
R4.27	<ul style="list-style-type: none"> <li>Maintain liaison with the Depositary Government</li> </ul>	correspondence	High	CSU	1100	No	ongoing
R4.27	<ul style="list-style-type: none"> <li>Inform Parties when the amendment enters into force</li> </ul>	notification	High	CSU	1100	No	at the required time
R4.27	Translation into working languages	translation	High	CSU	1100	No	at the required time
<b>R5.20</b>	<b>GUIDELINES FOR THE SECRETARIAT WHEN MAKING RECOMMENDATIONS IN ACCORDANCE WITH ARTICLE XV</b>						
R5.20	<ul style="list-style-type: none"> <li>Monitor implementation of Resolution by staff unit as required;</li> </ul>	Management of Secretariat	Low	PMU	1100	No	ongoing
R5.20	<ul style="list-style-type: none"> <li><i>This Resolution should not only be directed to the Secretariat, but to the Parties as well. Therefore the recommendations concerned should be included in Annex 6 to Resolution Conf. 9.24, or be a separate Annex to that Resolution.</i></li> </ul>					No	
R5.20	<ul style="list-style-type: none"> <li><i>The original intent was to instruct the Secretariat how to include references to other sources in its comments, to avoid that these 'could look biased to a Party or</i></li> </ul>					No	

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	<i>NGO' (document Doc. 5.41).</i>						
R5.20	<ul style="list-style-type: none"> <li>For that purpose, this Resolution is now superfluous.</li> </ul>					No	
R5.20	ESTABLISHES the following guidelines to be followed by the Secretariat when making recommendations in accordance with Article XV, paragraph 2(b) and (c), of the Convention:						
	a) where appropriate, references shall be cited in the text of recommendations so that specific data can be traced to a source						
R5.20, para a)	<ul style="list-style-type: none"> <li>Ensure that any reference to an information source is appropriately quoted in the text of the Secretariat's recommendations</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.20, para b)	b) citations shall be given in accordance with a recognized scientific standard for such citations						
R5.20, para b)	<ul style="list-style-type: none"> <li>In consultation with CSU, determine which recognized scientific standard or standards for citations should be used</li> </ul>	Document	High	SSU	1100	No	2001
R5.20, para b)	<ul style="list-style-type: none"> <li>Inform the Parties about the standards through a Notification</li> </ul>	Notification	High	SSU	1100	No	2001
R5.20, para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2001
R5.20, para b)	<ul style="list-style-type: none"> <li>Ensure that all Secretariat's documents use this standard</li> </ul>	Documents	High	CSU	1100	No	Continuous
R5.20, para c)	c) data in unpublished form may be used and referred to provided the source is indicated. If the information is classified as confidential, the fact must be stated						
R5.20, para c)	<ul style="list-style-type: none"> <li>Include such references in the Secretariat's Recommendations Document</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.20, para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R5.20, para d)	d) if the species has been listed previously or proposed for listing or delisting, a brief history of such listing or proposals and their treatment under CITES may be included in the recommendations						
R5.20, para d)	<ul style="list-style-type: none"> <li>Include such history in the Secretariat's Comments Document, if it is not already included in the supporting statement.</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.20, para d)	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R5.20, para e)	e) if applicable, reference should be made to any existing Resolutions affecting the proposal or to any draft resolutions that have been tabled and await consideration by the Parties						
R5.20, para e)	<ul style="list-style-type: none"> <li>Include such references in the Secretariat's Recommendations Document</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120



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R5.20, para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R5.20, para f)	f) additional biological and/or trade data may be requested from the proposing and/or range states or from any other source to confirm or dispute other available data						
R5.20, para f)	<ul style="list-style-type: none"> <li>Request trade data from WCMC for all species for which amendment proposals are submitted if not already included in the supporting statement</li> </ul>	Documentation	High	SSU	1100	No	2002 CoP12-150
R5.20, para f)	<ul style="list-style-type: none"> <li>Compare these with information provided in the proposals and comment on relevant difference in the Secretariat's Recommendations Document</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.20, para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R5.20, para f)	<ul style="list-style-type: none"> <li>If trade data comparison shows considerable discrepancies also inform the proponent and the range States concerned.</li> </ul>	Correspondence	High	SSU	1100	No	2002 CoP12-120
R5.20, para g)	g) as far as possible, the Secretariat recommendations should be based on as wide a range of information as it can obtain recognizing that such information should not be limited to scientific data						
R5.20, para g)	<ul style="list-style-type: none"> <li>Will be done in this manner</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.20 'URGES'	URGES the Secretariat to continue to endeavour to provide recommendations with the main objective of furthering the principles and effective implementation of the Convention						
R5.20 'URGES'	<ul style="list-style-type: none"> <li>Continue to use this main objective as the basis for providing recommendations</li> </ul>	Secretariat's Recommendations Document	High	SSU	1100	No	2002 CoP12-120
R5.10	<b>DEFINITION OF "PRIMARILY COMMERCIAL PURPOSES"</b>						

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R5.10	<p>RECOMMENDS that for the purposes of Article III, paragraphs 3(c) and 5(c), of the Convention, the following general principles and the examples in the Annex attached to the present Resolution be used by the Parties in assessing whether the importation of a specimen of an Appendix I species would result in its use for "primarily commercial purposes":</p> <p>General Principles</p> <ol style="list-style-type: none"> <li>1. Trade in Appendix I species must be subject to particularly strict regulation and authorized only in exceptional circumstances.</li> <li>2. An activity can generally be described as "commercial" if its purpose is to obtain economic benefit, including profit (whether in cash or in kind) and is directed toward resale, exchange, provision of a service or other form of economic use or benefit.</li> <li>3. The term "commercial purposes" should be defined by the country of import as broadly as possible so that any transaction which is not wholly "non-commercial" will be regarded as "commercial". In transposing this principle to the term "primarily commercial purposes", it is agreed that all uses whose non-commercial aspects do not clearly predominate shall be considered to be primarily commercial in nature with the result that the importation of Appendix I specimens should not be permitted. The burden of proof for showing that the intended use of specimens of Appendix I species is clearly non-commercial shall rest with the person or entity seeking to import such specimens.</li> <li>4. Article III, paragraphs 3(c) and 5(c), of the Convention concern the intended use of the Appendix I specimen in the country of importation, not the nature of the transaction between the owner of the specimen in the country of export and the recipient in the country of import. It can be assumed that a commercial transaction underlies many of the transfers of Appendix I specimens from the country of export to the country of import. This does not automatically mean, however, that the specimen is to be used for "primarily commercial purposes".</li> </ol>						
R5.10	<ul style="list-style-type: none"> <li>• No specific action required</li> </ul>						
R5.11	<b>DEFINITION OF THE TERM "PRE-CONVENTION SPECIMEN"</b>						
R5.11	<p>DECIDES to revoke Resolution Conf. 4.11, adopted at its fourth meeting</p> <p>RECOMMENDS that:</p> <ol style="list-style-type: none"> <li>a) for the purposes of Article VII, paragraph 2, of the Convention, the date on which a specimen is acquired be: <ol style="list-style-type: none"> <li>i) for live and dead animals or plants taken from the wild: the date of their initial removal from their habitat; or</li> <li>ii) for parts and derivatives: the date of their introduction to personal possession, whichever date is the earliest</li> </ol> </li> <li>b) the certificate referred to in Article VII, paragraph 2, only be issued by a Management Authority of an exporting country where it is satisfied that at the date on which a specimen was acquired: <ul style="list-style-type: none"> <li>- the species involved was not listed in one of the Convention appendices; or</li> <li>- its country was not a Party to the Convention; or</li> <li>- the specimen concerned was subject to a reservation entered by its country with regard to the species involved</li> </ul> </li> <li>c) the certificate referred to in Article VII, paragraph 2, only be issued by a Management Authority of a re-exporting country where it is satisfied that at the date on which a specimen was acquired: <ul style="list-style-type: none"> <li>- the species involved was not listed in one of the Convention appendices; or</li> <li>- the country of origin was not a Party to the Convention; or</li> <li>- the specimen concerned was subject to a reservation entered by the country of origin with regard to the species involved;</li> <li>- in addition to the second and third considerations, its own country: <ul style="list-style-type: none"> <li>- was not a Party to the Convention; or</li> </ul> </li> </ul> </li> </ol>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>- was treated as a state not a Party to the Convention with respect to trade in the species concerned under Article XXIII, paragraph 3, of the Convention</p> <p>d) a Management Authority of an importing country only recognize a pre-Convention certificate issued by another Party state if the date of acquisition of the specimen is anterior to the date at which the Convention entered into force in the country of import for the specimen concerned</p> <p>e) Parties which issue a pre-Convention certificate either indicate on this certificate the precise date of acquisition of the specimen concerned or certify that this specimen was acquired before a specific date</p> <p>f) a specimen be not qualified for the Article VII, paragraph 2 exemption if neither of the dates referred to in e) can be determined</p> <p>g) Parties do not accept pre-Convention certificates which have not been issued in compliance with this Resolution</p> <p>h) in the case of a species uplisted, i.e. from Appendix III to II or I, or from Appendix II to I, or downlisted from Appendix I to II or III specimens concerned shall be subject to the provisions applicable to them at the time of export, re-export or import</p> <p>CALLS on Parties to take any necessary measures in order to prevent the undue acquisition of specimens of a species between the date at which the Conference of the Parties approves the inclusion of that species in Appendix I and the date at which the inclusion takes effect</p>						
R5.11	<ul style="list-style-type: none"> <li>No specific action is required</li> </ul>						
R.6.5 (Rev.)	<b>IMPLEMENTATION OF CITES IN THE EUROPEAN ECONOMIC COMMUNITY</b>						
R6.5 (Rev.) REQUESTS AND RECOMMENDS	<p><b>REQUESTS</b> that the European Economic Community, in view of its abolition of internal border controls, urgently establish full means of Community supervision of its legislation by means of an adequately staffed Community inspectorate; and</p> <p><b>RECOMMENDS</b> that the European Economic Community monitor the movement of CITES specimens within and between Member States in accordance with the mechanisms foreseen in EEC Council Regulation 3626/82 and by use of existing forms available under Community legislation.</p>						
R6.5 (Rev.) REQUESTS AND RECOMMENDS	<ul style="list-style-type: none"> <li>The EC Regulation quoted has now been repealed and replaced. The EU undertakes the actions called for and this resolution is redundant. Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R6.5 (Rev.) REQUESTS AND RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	June 2002
R.6.7	<b>INTERPRETATION OF ARTICLE XIV, PARAGRAPH 1, OF THE CONVENTION</b>						
R6.7 RECOMMENDS paras a) and b)	<p>a) each Party intending to take stricter domestic measures pursuant to Article XIV, paragraph 1, of the Convention regarding trade in specimens of non-indigenous species included in the appendices make every reasonable effort to notify the range States of the species concerned at as early a stage as possible prior to the adoption of such measures, and consult with those range States that express a wish to confer on the matter; and</p> <p>b) each Party that has taken such stricter domestic measures for non-indigenous species prior to the adoption of this Resolution consult, if requested, on the appropriateness of such measures with range States of the species concerned.</p>						
R6.7 RECOMMENDS	<ul style="list-style-type: none"> <li>Review previous actions and determine if action needed to address problems reported</li> </ul>	Internal report	Medium	LCU	1100	No	June 2002
R6.7 RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	Yes	June 2002
R.7.12(REV)	<b>MARKING REQUIREMENT FOR TRADE IN SPECIMENS OF TAXA WITH POPULATIONS IN BOTH APPENDIX I AND APPENDIX II</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R7.12 (Rev.) RECOMMENDS para a)	a) with respect to the identification of live specimens, any marking system that requires the attachment of a tag, band or other uniquely marked label, or the marking of a part of the animal's anatomy be undertaken only with due regard for the humane care, well-being and natural behaviour of the specimen concerned; and						
R7.12 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Incorporate into new Resolution on marking</li> </ul>	COP12 doc	Medium	LCU	1100	No	June 2002
R7.12 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	June 2002
R7.12 (Rev.) RECOMMENDS Para b)	b) with regard to parts and derivatives of ranched or captive-bred animals, where requested by individual Parties, the Secretariat purchase and disseminate appropriately coded tags or stamps and that the costs be recovered from participating Parties.						
R7.12 (Rev.) RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Take action as and when requested</li> </ul>	Order and supply	Low	LCU	1203	No	As and when required
R7.12 (Rev.) RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Prepare invoice for relevant Parties, as and when required</li> </ul>	Invoice	Low	PMU	1100	No	As and when required
R.8.2(REV)	IMPLEMENTATION OF THE CONVENTION IN THE EUROPEAN ECONOMIC COMMUNITY (EEC)						
R.8.2 (Rev.) URGES	a) the EEC Member States that are Parties to the Convention to complete the development of appropriate legislation and to increase substantially the allotment of resources required to ensure the enforcement of the Convention, and to provide to the international community the necessary assurance regarding compliance with the agreements in force; and b) the EEC Member State not party to CITES to ratify the Convention as soon as possible; and RECOMMENDS that Parties that have not yet done so accept the Gaborone amendment.						
R8.2 (Rev.)	<ul style="list-style-type: none"> <li>Whilst aspects of this resolution remain valid, it is worthy of being re-drafted and updated. The Secretariat should write to the Party which has presidency of the EU and invite the submission of a fresh resolution at COP12</li> </ul>	Letter	Low	CSU	1100	No	Sept 2001
R8.3	RECOGNITION OF THE BENEFITS OF TRADE IN WILDLIFE						
R8.3	<ul style="list-style-type: none"> <li>No action required.</li> </ul>						
R.8.4	NATIONAL LAWS FOR IMPLEMENTATION OF THE CONVENTION						
R8.4 DIRECTS	DIRECTS the Secretariat, within available resources: a) to identify those Parties whose domestic measures do not provide them with the authority to: i) designate at least one Management Authority and one Scientific Authority; ii) prohibit trade in specimens in violation of the Convention; iii) penalize such trade; or iv) confiscate specimens illegally traded or possessed;						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<b>b) to seek from each Party so identified information indicating the procedures, action and time frames that are needed in order to establish the measures necessary to properly enforce the provisions of the Convention; and</b> <b>c) to report its findings, recommendations or progress to the Standing Committee and at the ninth meeting of the Conference of the Parties</b>						
R8.4 DIRECTS b)	<ul style="list-style-type: none"> <li>Arrange for assessment of new Party legislation and update existing analyses</li> </ul>	Individual country analyses	High	LCU	1100 and 2105	No	January 2001
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Prepare report for SC45</li> </ul>	Report	High	LCU	1100	No	March 2001
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	March 2001
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Prepare report for SC46</li> </ul>	Report	Medium	LCU	1100	No	Dec 2001
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	Dec 2001
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Prepare report for COP12</li> </ul>	Report	Medium	LCU	1100	No	June 2002
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	June 2002
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Amend resolution, para c), which still refers to COP9</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R8.4 DIRECTS c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	June 2002
<b>R8.4 URGES</b>	<b>URGES all Parties that have not adopted the appropriate measures to fully implement the Convention to do so and inform the Secretariat when such measures have been adopted</b>						
R8.4 URGES	<ul style="list-style-type: none"> <li>Remind Parties placed in Categories 2 and 3 during Phases I and II of NLP—and with high trade—of applicable Decisions</li> </ul>	Correspondence	High	LCU	1100 and 2105	No	Jan 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>Remind Parties placed in Categories 2 and 3 during Phases I and II of NLP—and without high trade—of applicable Decisions</li> </ul>	Correspondence	High	LCU	1100 and 2105	No	May 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>Remind Parties placed in Categories 2 and 3 during Phase 3 of NLP of applicable Decisions</li> </ul>	Correspondence	High	LCU	1100 and 2105	No	May 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>Prepare report for SC45</li> </ul>	SC doc	High	LCU	1100	No	March 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	Translation	High	CSU	1100	No	March 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>Prepare report for SC46</li> </ul>	SC doc	High	LCU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R8.4 URGES	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	SC doc	High	CSU	1100	No	Dec 2001
R8.4 URGES	<ul style="list-style-type: none"> <li>Prepare report for COP12</li> </ul>	COP12 doc	High	LCU	1100	No	June 2002
R8.4 URGES	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	Translation	High	CSU	1100	No	June 2002
<b>R8.4 2<sup>nd</sup> DIRECTS</b>	<b>DIRECTS the Secretariat to seek external funding to enable it to provide technical assistance to Parties in the development of their measures to implement the Convention</b>						
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Send funding proposal to potential donors (Annex 4), Doc. 11.21.1)</li> </ul>	Letters	High	CBU	1100	No	On going
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Prioritize Parties to be included in 1<sup>st</sup> workshop</li> </ul>	Internal report; communication with donor	High	LCU	1100	No	Sept 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Contact relevant Parties to identify venue and obtain input</li> </ul>	Letters	High	LCU	1100	No	Sept 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Analyse responses</li> </ul>	Analyses	High	LCU	1100 and 2105	No	Oct 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Prepare material for workshop</li> </ul>	Workshop documents	High	LCU	1100 and 2105	8,500	Oct 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	Translation	High	CSU	1100 and 2105	Yes	Oct 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Organize 1<sup>st</sup> workshop</li> </ul>	Write to host country, arrange accommodation, travel etc.	High	CSU	1100 1301	185,000	Dec 2001
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Review success of 1<sup>st</sup> workshop</li> </ul>	De-brief internal document	High	LCU	1100	No	Jan 2002
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Prioritize Parties to be included in 2<sup>nd</sup> workshop, in collaboration with UNITAR or donor</li> </ul>	Internal report	High	LCU	1100	No	Jan 2002
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Contact relevant Parties to identify venue and obtain input</li> </ul>	Letters	High	LCU	1100	No	Jan 2002
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Analyse responses</li> </ul>	Analyses	High	LCU	1100	No	Feb 2002
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Prepare and translate material for workshop</li> </ul>	Workshop documents	High	LCU	1100 and 2105	17,000	Feb 2002
R8.4	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	Translation	High	CSU	1100 and	Yes	Feb 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
2nd DIRECTS					2105		
R8.4 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Organize 2nd workshop</li> </ul>	Write to host country, arrange accommodation, travel etc.	High	CSU	1100 1301	185,000	Mar 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Review success of 2nd workshop</li> </ul>	De-brief internal document	High	LCU	1100	No	May 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Prioritize Parties to be included in 3rd workshop</li> </ul>	Internal report	High	LCU	1100	No	June 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Contact relevant Parties to identify venue and obtain input</li> </ul>	Letters	High	LCU	1100	No	July 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Analyse responses</li> </ul>	Analyses	High	LCU	1100 and 2105	No	Aug 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Prepare and translate material for workshop</li> </ul>	Workshop documents	High	LCU	1100 and 2105	17,000	Sep 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>translation into working languages</li> </ul>	Translation	High	CSU	1100 and 2105	Yes	Sep 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Organize 3rd workshop</li> </ul>	Write to host country, arrange accommodation, travel etc.	High	CSU	1100 1301	185,000	Dec 2002
R8.4 2nd DIRECTS	<ul style="list-style-type: none"> <li>Review success of 3rd workshop</li> </ul>	De-brief internal document	High	LCU	1100	No	Dec 2002
<b>R8.4 INVITES</b>	<b>INVITES all Parties, governmental, intergovernmental and non-governmental organizations and other sources to provide financial and/or technical assistance for the development of such measures.</b>						
R8.4 INVITES	<ul style="list-style-type: none"> <li>Continued fundraising, linked with DIRECTS above</li> </ul>	Communication	High	CBU	1100	No	Ongoing
R8.4 INVITES	<ul style="list-style-type: none"> <li>Secretariat to encourage, linked with DIRECTS above</li> </ul>	Letters and informal contact	High	LCU	1100	No	Ongoing
<b>R8.9 Rev.</b>	<b>TRADE IN SPECIMENS OF APPENDIX-II SPECIES TAKEN FROM THE WILD</b>						
R8.9 Rev, 'DIRECTS', para a)	DIRECTS the Animals Committee and the Plants Committee: a) in cooperation with the Secretariat and experts, to continue to review the biological, trade and other relevant information on Appendix-II species, with a view to identifying problems with the aim of ensuring the implementation of Article IV, paragraphs 2 (a), 3 and 6 (a);						
R8.9 Rev, 'DIRECTS', para	<ul style="list-style-type: none"> <li>No specific action required, establishes a mandate for Animals and Plants</li> </ul>	Cooperative work of Plants and	Very High	SSU	1100	No	ongoing

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
a)	Committees and requiring Secretariat cooperation (also see Decisions 11.106-109);	Animals Committees and Secretariat					
R8.9 Rev, 'DIRECTS', para b)	b) in relation to those species under review for which sufficient information is available on trade and biological status, to determine possible problems with the implementation of the relevant paragraphs of Article IV, and following consultation with the range States, to make specific recommendations. Such recommendations shall be either primary or secondary recommendations; <ul style="list-style-type: none"> <li>i) primary recommendations include, for example, administrative procedures, specific quotas, zero quotas or temporary restrictions on exports of the species concerned; and</li> <li>ii) secondary recommendations include, for example, field studies or evaluation of threats to populations or other relevant factors, including illegal trade, habitat destruction, internal or other uses, designed to provide the information necessary for a Scientific Authority non-detriment finding;</li> </ul>						
R8.9 Rev, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>• No specific action required, establishes types of recommendations that the Animals and Plants Committees can make;</li> </ul>	Primary and Secondary Recommendations	Very High	SSU	1100	No	ongoing
R8.9 Rev, 'DIRECTS', para c)	c) for those species under review for which sufficient information on trade and biological status of the species under review is not available: <ul style="list-style-type: none"> <li>i) to recommend taxon-specific status assessments;</li> <li>ii) to recommend country-specific status assessments;</li> <li>iii) to recommend to range States the establishment of cautious quotas as an interim measure; and</li> <li>iv) to make, as appropriate, recommendations as described under paragraph b) above once the assessments referred to in paragraphs c) i) and ii) have been completed; and</li> </ul>						
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Initiate external reviews of status assessments on a species or country basis, which may include a research project done in cooperation with Parties concerned;</li> </ul>	Liaison AC, as well as consultant, communicate interim recommendations to Party	Very High	SSU	2103	No	AC17 + AC18
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation	Very High	CSU	2104	No	AC17 + AC18
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Monitor projects and seek funds for fauna and flora projects, if needed</li> </ul>		Very High	CBU	1100	130,000	Dec. 2001
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Communicate any interim recommendation of a cautious quota for species under assessment;</li> </ul>	Notification	High	SSU	1100	No	AC17 + AC+18 PC11 + PC12
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	AC17 + AC+18 PC11 + PC12
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>• Ensure that AC/PC take results of assessment into account in the formulation of Primary and Secondary</li> </ul>	Document	High	SSU	1100	No	Acxx/PCxx



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	Recommendations						
R8.9 Rev, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Acxx/PCxx
R8.9 Rev, 'DIRECTS', para d)	d) to report at each meeting of the Conference of the Parties on the progress of this review, and on the measures adopted and those recommended to implement Article IV for Appendix-II species subject to significant trade;						
R8.9 Rev, 'DIRECTS', para d)	<ul style="list-style-type: none"> <li>Report progress, measures adopted and recommendations made to CoP12</li> </ul>	Report of AC to CoP12	High	SSU	1100	No	CoP12-150
R8.9 Rev, 'DIRECTS', para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-150
R8.9 Rev, 'DETERMINES'	DETERMINES that these reviews shall be carried out in close consultation with all range States concerned, and in accordance with the Decisions of the Conference of the Parties regarding the implementation of this Resolution;						
R8.9 Rev, 'DETERMINES'	<ul style="list-style-type: none"> <li>Refer to Decision 11. 106 for consultation procedure that should be followed;</li> </ul>	Liaison with Parties concerned	High	SSU	1100	No	dependent on review cycle
R8.9 Rev, 'DETERMINES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	dependent on review cycle
R8.9 Rev, 'RECOMMENDS', para a)	RECOMMENDS that: a) the above-mentioned recommendations of the Animals Committee and the Plants Committee be communicated by the Secretariat to each Party concerned;						
R8.9 Rev, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>Communicate primary and secondary recommendations to the Parties concerned;</li> </ul>	Letters to Parties	High	SSU	1100	No	>AC16 >PC10
R8.9 Rev, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	>AC16 >PC10
R8.9 Rev, 'RECOMMENDS', para b)	b) for primary recommendations, each Party concerned, within 90 days of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;						
R8.9 Rev, 'RECOMMENDS', para b)	<ul style="list-style-type: none"> <li>Assess responses received from Parties in response to primary recommendations;</li> </ul>	Secretariat's assessments of responses to Primary Recommendations; Liaison with experts and Parties	High	SSU	1100	No	>AC16, <90 days after issuance of Primary Recommendations
R8.9 Rev, 'RECOMMENDS', para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	>AC16, <90 days after issuance of Primary Recommendations
R8.9 Rev, 'RECOMMENDS'	<ul style="list-style-type: none"> <li>Consult with appropriate experts where</li> </ul>	Correspondence	High	SSU	1100	No	<90 days after issuance of

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
, para b)	necessary;						Primary Recommend ations
R8.9 Rev, 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>Liaise with Party concerned to obtain clarification as required</li> </ul>	Correspondence	High	SSU	1100	No	<90 days after issuance of Primary Recommend ations
R8.9 Rev, 'RECOMMENDS' , para c)	c) for secondary recommendations, each Party concerned, within 12 months of receipt, demonstrate to the satisfaction of the Secretariat that it has implemented or taken action to implement the recommendations;						
R8.9 Rev, 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>Assess responses received from Parties in response to primary recommendations;</li> </ul>	Secretariat's assessments of responses to Primary Recommendations; Liaison with experts and Parties	High	SSU	1100	No	>ACx/PCx <12 months after issuance of Secondary Recommendatio ns
R8.9 Rev, 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	>ACx/PCx <12 months after issuance of Secondary Recommend ations
R8.9 Rev, 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>Consult with appropriate experts where necessary;</li> </ul>	Correspondence	High	SSU	1100	No	Ongoing
R8.9 Rev, 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>Liaise with Party concerned to obtain clarification as required</li> </ul>	Correspondence	High	SSU	1100	No	Ongoing
R8.9 Rev, 'RECOMMENDS' , para d)	d) for recommendations made pursuant to paragraphs c) i) and ii) under 'DIRECTS' above, each range State concerned, in consultation with the Secretariat and the Chairman of the Animals Committee or Plants Committee, as appropriate, complete a status assessment within two years of receipt of the recommendations of the committee concerned;						
R8.9 Rev, 'RECOMMENDS' , para d)	<ul style="list-style-type: none"> <li>Ensure the completion of significant trade studies (status assessments) within two years</li> </ul>	Project management	High	SSU CBU	1100 2103	No	<2 years
R8.9 Rev, 'RECOMMENDS' , para e)	e) for recommendations made under the provisions of paragraph c) iii) under 'DIRECTS' above, each Party concerned, within 90 days of receipt of the recommendations of the Animals Committee or the Plants Committee, demonstrate to the satisfaction of the Secretariat that it has implemented the recommendations;						
R8.9 Rev, 'RECOMMENDS' , para e)	<ul style="list-style-type: none"> <li>Assess response from affected Parties regarding the setting (or amendment) of cautious quotas</li> </ul>	Secretariat's assessments of responses to Primary Recommendations; Liaison with experts	High	SSU	1100	No	<90 days after issuance of interim recommend ations

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
		and Parties					
R8.9 Rev, 'RECOMMENDS' , para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	<90 days after issuance of interim recommendations
R8.9 Rev, 'RECOMMENDS, para f)	f) upon failure of a concerned Party to satisfy the Secretariat that it has fulfilled the requirements specified in paragraph b), c), d) or e) of this section, the Secretariat recommend to the Standing Committee that all Parties immediately take strict measures, including as appropriate suspension of trade in the affected species with that Party;						
R8.9 Rev, 'RECOMMENDS, para f)	<ul style="list-style-type: none"> <li>Recommendation to the Standing Committee</li> </ul>	Secretariat's recommendations to the Standing Committee	High	SSU	1100	No	SCxx
R8.9 Rev, 'RECOMMENDS, para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	SCxx
R8.9 Rev, 'RECOMMENDS' , para g)	g) following acceptance of the Secretariat's recommendation by the Standing Committee, the Secretariat notify the Parties accordingly; and						
R8.9 Rev, 'RECOMMENDS' , para g)	<ul style="list-style-type: none"> <li>Notify Parties of recommended stricter measures through a Notification</li> </ul>	Notification	High	SSU	1100	No	>SCxx
R8.9 Rev, 'RECOMMENDS' , para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	>SCxx
R8.9 Rev, 'RECOMMENDS' , para h)	h) in the case of suspension of trade in accordance with paragraph f) above, trade in the affected species with the Party concerned be reinstated only when that Party demonstrates to the satisfaction of the Standing Committee, through the Secretariat, compliance with the recommendations made by the Animals Committee or Plants Committee with respect to the implementation of Article IV, paragraph 2 (a), 3 or 6 (a);						
R8.9 Rev, 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>Notify Standing Committee of compliance and that recommended stricter measures be repealed;</li> </ul>	Liaise with Standing Committee, Notification to Parties	High	SSU	1100	No	ongoing
R8.9 Rev, 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	ongoing
R8.9 Rev, 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>Notify Parties of repeal of recommended stricter measures if approved by Standing Committee</li> </ul>	letter/Notification	High	SSU	1100	No	ongoing
R8.9 Rev, 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	ongoing
R8.9 Rev 'DIRECTS' para a)	DIRECTS the Secretariat for the purpose of monitoring and facilitating the implementation of this Resolution and the relevant paragraphs of Article IV of the Convention, and for allowing the reintroduction of a species into the review process in case of concern; a) to report to each meeting of the Animals Committee and the Plants Committee on the implementation by the countries concerned of the recommendations						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	made by the committee; and						
R8.9 Rev 'DIRECTS' para a)	<ul style="list-style-type: none"> <li>Report to Animals Committee or Plants Committee of current state of implementation of Phase IV recommendations;</li> </ul>	Report to AC16 concerning Phase IV of review	High	SSU	1100	No	AC16- 45
R8.9 Rev 'DIRECTS' para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	AC16- 45
R8.9 Rev 'DIRECTS' para a)	<ul style="list-style-type: none"> <li>Review implementation of recommendations from earlier phases, particularly the cases where recommended stricter measures are still valid and report to AC;</li> </ul>	Secretariat's review of implementation of recommendations / Document	Medium	SSU	1100	No	Initiate review in 2001
R8.9 Rev 'DIRECTS' para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	2001
R8.9 Rev, 'DIRECTS', para b)	b) to immediately inform the Animals Committee and the Plants Committee about possible concerns regarding trade in species: <ul style="list-style-type: none"> <li>i) that had been eliminated from the review process at a time when the committee concerned believed that the trade data available indicated that the trade was not detrimental to the survival of the species concerned; or</li> <li>ii) for which the Secretariat was satisfied that the primary or secondary recommendations had been fulfilled by the Parties concerned; and</li> </ul>						
R8.9 Rev, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>sub-para i requires that trade for all previously reviewed species be monitored continuously, which is not possible. Recommend amendment to CoP12 to refer to a periodic review or deletion of this provision, or include in the LCU report commissioned from WCMC to CoP12</li> </ul>	Report to CoP12	Low	SSU LCU	1100	No	ongoing
R8.9 Rev, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	ongoing
R8.9 Rev, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>Inform Animals or Plants Committee as required</li> </ul>	Liaison with Animals Committee	Low	SSU	1100	No	as required
R8.9 Rev, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	as required
R8.9 Rev, 'URGES'	URGES the Parties and all organizations interested in the utilization and conservation of wildlife to provide the necessary financial support and/or technical assistance to those Parties in need of such assistance to ensure that wild populations of species of fauna and flora subject to significant international trade are maintained at a level that will allow international trade that is not detrimental to their survival.						
R8.9 Rev, 'URGES'	<ul style="list-style-type: none"> <li>No specific action required other than a periodic reminder to Parties and relevant organizations to provide financial support;</li> </ul>	Notification	Very low	CBU	1100	No	ongoing

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R8.9 Rev, 'URGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very low	CSU	1100	No	ongoing
R.8.13(REV)	<b>USE OF CODED-MICROCHIP IMPLANTS FOR MARKING LIVE ANIMALS IN TRADE</b>						
R8.13 (Rev.) RECOMMENDS Para a)	<b>a) Parties, where possible and appropriate, and without excluding the use of other methods, adopt the use of implantable transponders for the secure identification of live animals of Appendix-I species to be determined in accordance with the advice of the IUCN/SSC Captive Breeding Specialist Group</b>						
R8.13 (Rev.) RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Ongoing monitoring and distribute any new information</li> </ul>	Notifications as and when necessary	Low	LCU	1100	No	As and when appropriate
R8.13 (Rev.) RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	As and when appropriate
R8.13 (Rev.) RECOMMENDS Para b)	<b>b) Parties take into account the findings of the IUCN/SSC Captive Breeding Specialist Group regarding frequency, size and sterility of transponder as well as procedures for registration within a central data bank</b>						
R8.13 (Rev.) RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Ongoing monitoring and distribute any new information</li> </ul>	Notifications as and when necessary	Low	LCU	1100	No	As and when appropriate
R8.13 (Rev.) RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	As and when appropriate
R8.13 (Rev.) RECOMMENDS Para c)	<b>c) microchip transponders be implanted where consistent with the well-being of the specimens concerned</b>						
R8.13 (Rev.) RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.13 (Rev.) RECOMMENDS Para d)	<b>d) Methods of secure identification such as the use of implantable transponders be applied also to animals of species listed in Appendix I or Appendix II that form part of travelling exhibitions or circuses</b>						
R8.13 (Rev.) RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>	None	None	LCU	1100	No	None
R8.13 (Rev.) DIRECTS Para a)	<b>a) the Secretariat to consult regularly with the ISO Central Secretariat on this subject, and to urge it to resolve current problems with standards ISO 11784 and ISO 11785;</b>						
R8.13 (Rev.) DIRECTS Para b)	<b>b) the Management Authority of each Party to make contact with all known manufacturers of microchip-implants and associated equipment on its territory and inform them about the present Resolution, urge them to strive towards the production of compatible equipment that can be applied universally and ask them for information about their products compatible with CITES needs and to advise the Secretariat about the results, for the information of the Parties; and</b>						
R8.13 DIRECTS	<b>c) the Animals Committee to monitor developments in microchip-implant technology and application techniques and to advise the Secretariat about</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para c)	such developments, for the information of the Parties.						
R8.15	<b>GUIDELINES FOR A PROCEDURE TO REGISTER AND MONITOR OPERATIONS BREEDING APPENDIX-I ANIMAL SPECIES FOR COMMERCIAL PURPOSES</b>						
R8.15	<b>See Resolution Conf.11.14</b>						
R.8.16	<b>TRAVELLING LIVE-ANIMAL EXHIBITIONS</b>						
R8.16 RECOMMENDS Para a)	a) each Party issue to any exhibition based in its State and wishing to travel to other States, a pre-Convention certificate or a certificate of captive-breeding, as appropriate, for each animal travelling to another State. The certificate should include in box 5, or in another box if the standard permit form is not used, the following language: "The specimen covered by this certificate belongs to a travelling animal exhibition. If the specimen leaves the possession of the exhibition, this certificate must be immediately returned to the issuing Management Authority"						
R8.16 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Amendments to be made to the sample permit previously distributed</li> </ul>	Notification to the Parties	Medium	LCU	1100	No	September 2001
R8.16 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	September 2001
R8.16 RECOMMENDS Para b)	b) pre-Convention certificates and certificates of captive breeding issued for exhibitions be valid for a maximum period of three years to allow multiple imports, exports and re-exports of the individual specimens in these exhibitions						
R8.16 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para c)	c) in order to avoid any problem concerning the implementation of Resolution Conf. 5.11, adopted at the fifth meeting of the Conference of the Parties (Buenos Aires, 1985), pre-Convention certificates for exhibitions be issued only for specimens that have been acquired before 1 July 1975 or before the date of inclusion of the species concerned in any of the appendices to the Convention						
R8.16 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para d)	d) Parties consider such pre-Convention certificates and certificates of captive-breeding as proof that the specimens concerned have been registered with the issuing Management Authority and allow the movement of such specimens across their borders						
R8.16 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para e)	e) Parties not collect the above-mentioned certificates at their borders but allow the documents to remain with the specimens and be considered valid for export or re-export from each Party						
R8.16 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS	f) Parties check exhibitions closely, for export/ re-export and for import, and note especially whether live specimens are transported and cared for in						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para f)	<b>a manner that minimises the risk of injury, damage to health or cruel treatment</b>						
R8.16 RECOMMENDS Para f)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para g)	<b>g) Parties require that specimens be marked or identified in such a way that the authorities of the Party into which an exhibition enters can verify that the pre-Convention certificates or certificates of captive breeding correspond to the specimens</b>						
R8.16 RECOMMENDS Para g)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para h)	<b>h) when, during a stay in a State, an animal in possession of an exhibition gives birth, the Management Authority of that State be notified and issue a Convention certificate as appropriate. In the case of an addition of specimens to an exhibition, the Management Authority of the Party in which the addition takes place should issue the appropriate document for each new specimen to be used in the exhibition. When an animal is no longer in the possession of an exhibition (death, sale, theft, etc.), the original certificate should be immediately returned to the issuing Management Authority</b>						
R8.16 RECOMMENDS Para h)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para i)	<b>i) when, during a stay in a State, a pre-Convention certificate or certificate of captive breeding for a specimen is lost, stolen or accidentally destroyed, only the Management Authority which has issued the document may issue a duplicate. This duplicate will bear the same number, if possible, and the same date of validity as the original document, and contain the following statement: "This certificate is a true copy of the original"</b>						
R8.16 RECOMMENDS Para i)	<ul style="list-style-type: none"> <li>No specific action required unless problems reported</li> </ul>	None	None	LCU	1100	No	None
R8.16 RECOMMENDS Para j)	<b>j) the Parties include in their annual reports lists of all pre-Convention certificates and certificates of captive breeding issued for specimens in exhibitions.</b>						
R8.16 RECOMMENDS Para j)	<ul style="list-style-type: none"> <li>Seek feedback from WCMC regarding annual reports</li> </ul>	Include in annual report doc for COP12 if necessary	Low	LCU	1100 and 2109	No	As and when appropriate
R8.16 RECOMMENDS Para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 2109	No	As and when appropriate
R8.21	<b>CONSULTATION WITH RANGE STATES ON PROPOSALS TO AMEND APPENDICES I AND II</b>						
R8.21	RECOMMENDS that for any submission of a proposal to amend Appendix I or II of the Convention one of the following two procedures be applied						
R8.21	<ul style="list-style-type: none"> <li>Prepare Notification to the Parties informing them about the obligation to implement this Resolution when preparing proposals for amendment of the</li> </ul>	Notification	High	CSU	1100	No	2001 CoP12-390

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	Appendices						
R8.21	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2001 CoP12-390
R8.21, para a), i), ii), iii)	a) where the proposing Party intends to consult the range States, it: <ul style="list-style-type: none"> <li>i) advises the Management Authorities of the range States within which the species occurs of its intention to submit a proposal;</li> <li>ii) consults with the Management and Scientific Authorities of these States on the substance of the proposal; and</li> <li>iii) includes the opinions of these Authorities in section 6 of the proposal submitted in accordance with Resolution Conf. 2.17 except that, where no response has been received from a range State within a reasonable period of time, the proposing Party may instead simply document its attempts to obtain these opinions</li> </ul>						
R8.21, para a), i), ii), iii)	<ul style="list-style-type: none"> <li>Secretariat to verify if the proposal contains indeed adequate reference to the consultation mentioned above, and include its observations in the Secretariat's Comments Document, including recommendations for rejection in case of non-compliance.</li> </ul>	Secretariat's Comments Document	High	SSU	1100	No	2002 CoP12-120
R8.21, para a), i), ii), iii)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R8.21, para b), i), ii), iii)	b) where prior consultation with range States will not take place: <ul style="list-style-type: none"> <li>i) the Party submits the proposal at least 330 days in advance of the next scheduled meeting of the Conference of the Parties;</li> <li>ii) the Secretariat circulates the proposal as soon as possible to all Parties; and</li> <li>iii) interested Parties send their comments to the proposing Party in order to allow it to submit a revised proposal at least 150 days prior to the meeting. The revised proposal should incorporate the comments received, in compliance with Resolution Conf. 2.17, separating them into two categories, reflecting the opinions of range States and non-range States</li> </ul>						
R8.21, para b), i), ii), iii)	<ul style="list-style-type: none"> <li>Secretariat to distribute received proposal with a Notification</li> </ul>	Notification	High	CSU	1100	No	2001 CoP12-330
R8.21, para b), i), ii), iii)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2001 CoP12-330
R8.21, para b), i), ii), iii)	<ul style="list-style-type: none"> <li>Parties send comments to proponent Parties</li> </ul>			Parties			
<b>R8.22 (Rev.)</b>	<b>ADDITIONAL CRITERIA FOR THE ESTABLISHMENT OF CAPTIVE-BREEDING OPERATIONS FOR CROCODILIANS</b>						
<b>R8.22 (Rev.) 'RECOMMENDS' (1ST)</b>	RECOMMENDS that Parties allowing the establishment of commercial captive-breeding operations for Appendix-I crocodilians not allow wild-caught animals to form the breeding stock unless justified in a national management plan demonstrating conservation value						
<b>R8.22 (Rev.)</b>	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R8.22 (Rev.)	DIRECTS the Secretariat to include a new captive-breeding operation in its Register of operations that breed specimens of species included in Appendix I in captivity for commercial purposes under the provisions of Resolution Conf. 8.15 only when it has been proved that the breeding stock has been established in a manner not detrimental to the survival of the species in the wild within its area of natural distribution						



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R8.22 (Rev.)	<ul style="list-style-type: none"> <li>Check that all requests to include crocodile-breeding operations in the Register contain the necessary proof or otherwise request it.</li> </ul>	checking, correspondence	Medium	CSU	1100	No	ongoing
R8.22 (Rev.)	<ul style="list-style-type: none"> <li>Enter a crocodile-breeding operation in the Register only if the necessary proof is provided.</li> </ul>	registration, notification	Medium	CSU	1100	No	ongoing
R8.22 (Rev.)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100	No	ongoing
R8.22 (Rev.) 'RECOMMENDS' (2nd)	RECOMMENDS also that any Party wishing to establish a long-term commercial harvest of wild adults satisfy the criteria adopted under the Convention for the transfer of its population to Appendix II						
R8.22 (Rev.)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R9.5	<b>TRADE WITH STATES NOT PARTY TO THE CONVENTION</b>						
R9.5 RECOMMENDS para a)	<p>that:</p> <p>a) permits and certificates issued by States not party to the Convention not be accepted by Parties unless they contain:</p> <p>i) the name, stamp and signature of a competent issuing authority;</p> <p>ii) sufficient identification of the species concerned for the purposes of the Convention;</p> <p>iii) certification of the origin of the specimen concerned including the export permit number from the country of origin, or justification for omitting such certification;</p> <p>iv) in the case of export of specimens of a species included in Appendix I or II, certification to the effect that the competent scientific institution has advised that the export will not be detrimental to the survival of the species (in case of doubt a copy of such advice should be required) and that the specimens were not obtained in contravention of the laws of the State of export;</p> <p>v) in the case of re-export, certification to the effect that the competent authority of the country of origin has issued an export document that substantially meets the requirements of Article VI of the Convention; and</p> <p>vi) in the case of export or re-export of live specimens, certification to the effect that they will be transported in a manner that will minimize the risk of injury, damage to health or cruel treatment;</p>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.5 RECOMMENDS para b)	b) Parties accept documentation from States not party to the Convention only if details of the competent authorities and scientific institutions of such States are included in the most recent updated list of the Secretariat or after consultation with the Secretariat;						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.5 RECOMMENDS para c)	<b>c) the recommendations above also apply to specimens in transit destined for or coming from States not party to the Convention, including specimens in transit between such States;</b>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.5 RECOMMENDS para d)	<b>d) particular attention be given to the inspection of specimens in transit exported or re-exported from, and/or destined for States not party to the Convention and to the inspection of documentation for such specimens;</b>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.5 RECOMMENDS para e)	<b>e) Parties authorize import from and export or re-export to States not party to the Convention of specimens of wild origin of Appendix-I species only in special cases where it benefits the conservation of the species or provides for the welfare of the specimens, and only after consultation with the Secretariat;</b>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.5 RECOMMENDS para f)	<b>f) Parties allow import from States not party to the Convention of captive-bred and artificially propagated specimens of Appendix-I species only after favourable advice from the Secretariat; and</b>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.5 RECOMMENDS para g)	<b>g) Parties communicate to the Secretariat any inconsistencies in trade involving States not party to the Convention; and</b>						
R9.5	<ul style="list-style-type: none"> <li>This guidance remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.6 (Rev)	<b>TRADE IN READILY RECOGNIZABLE PARTS AND DERIVATIVES</b>						
R9.6 (Rev.) RECOMMENDS para a)	<b>a) Parties consider all products of ranching operations to be readily recognizable; and</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.6 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.6 (Rev.) RECOMMENDS para b)</b>	<b>b) importing Parties that require that CITES export permits or re-export certificates accompany imports of parts and derivatives do not waive that requirement when such parts and derivatives are not considered to be readily recognizable by the exporting or re-exporting Party;</b>						
R9.6 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.6 (Rev.) AGREES</b>	<b>that coral sand and coral fragments (as defined in Annex 1 of Resolution Conf. 11.10) are not considered readily recognizable and are therefore not covered by the provisions of the Convention; and</b>						
R9.6 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7</b>	<b>TRANSIT AND TRANSHIPMENT</b>						
<b>R9.7 RECOMMENDS para a)</b>	<b>a) for the purpose of Article VII, paragraph 1, of the Convention, the phrase 'transit or transshipment of specimens' be interpreted to refer only to specimens that remain in Customs control and are in the process of shipment to a named consignee when any interruption in the movement arises only from the arrangements necessitated by this form of traffic;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS para b)</b>	<b>b) the Parties inspect, to the extent possible under their national legislation, specimens in transit or being transhipped, to verify the presence of valid export documentation as required under the Convention or to obtain satisfactory proof of its existence;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is</li> </ul>	None	None	LCU	1100	No	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	required unless problems are reported or new procedures are considered appropriate.						
<b>R9.7 RECOMMENDS para c)</b>	<b>c) such valid export documentation clearly show the ultimate destination of the shipment;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS para d)</b>	<b>d) any change of ultimate destination be investigated by the country of transit or transshipment to verify that the transaction complies with the purposes of the Convention;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS para e)</b>	<b>e) Parties adopt legislation allowing them to seize and confiscate specimens in transit or being transhipped without valid export documentation or proof of the existence thereof;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS para f)</b>	<b>f) when an illegal shipment in transit is discovered by a Party that can not seize it, the Party provide to the country of final destination and to the Secretariat all relevant information on the shipment as soon as possible and, if applicable, to other countries through which the shipment will pass in transit;</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS</b>	<b>g) the above recommendations be applied also to specimens in transit or being transhipped that are destined for or coming from States not party to</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para g)	<b>the Convention, including specimens in transit between such States; and</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.7 RECOMMENDS para h)</b>	<b>h) Parties note that the Convention does not make special provision for airport lounges (including duty-free shops), free ports or non-Customs zones, because each Party is deemed to have sovereignty over the whole of its territory, and to apply the Convention accordingly; and</b>						
R9.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
<b>R9.9</b>	<b>CONFISCATION OF SPECIMENS EXPORTED OR RE-EXPORTED IN VIOLATION OF THE CONVENTION</b>						
<b>R9.9 RECOMMENDS para a)</b>	<b>a) when specimens are exported or re-exported in violation of the Convention, importing Parties:</b> <b>i) consider that the seizure and confiscation of such specimens are generally preferable to the definitive refusal of the import of the specimens; and</b> <b>ii) notify as soon as possible the Management Authority of the State from which the specimens were consigned of the violation and of any enforcement actions taken concerning the specimens; and</b>						
R9.9	<ul style="list-style-type: none"> <li>This resolution recommends actions following seizures of specimens exported or re-exported in violation of CITES. Similar actions might also be required in respect of imports in violation of CITES. There seems little reason for this guidance to stand alone in a separate resolution. Suggest consolidation, with minor re-draft, into Resolution Conf 11.3 (Enforcement and compliance)</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R9.9	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R9.9 RECOMMENDS para b)</b>	<b>b) when the import of specimens that have been exported or re-exported in violation of the Convention is refused by the country to which the specimens are consigned, the exporting or re-exporting Party take the measures necessary to ensure that such specimens are not re-entered into illegal trade, including monitoring their return to the country and providing for their confiscation.</b>						
R9.9	<ul style="list-style-type: none"> <li>This resolution recommends actions following seizures of specimens exported</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	or re-exported in violation of CITES. Similar actions might also be required in respect of imports in violation of CITES. There seems little reason for this guidance to stand alone in a separate resolution. Suggest consolidation, with minor re-draft, into Res Conf 11.3 (Enforcement and compliance)						
R9.9	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R9.10 (Rev.)	<b>DISPOSAL OF ILLEGALLY TRADED, CONFISCATED AND ACCUMULATED SPECIMENS</b>						
R9.10 (Rev.) RECOMMENDS	<p><b><u>Regarding the export or re-export of illegally traded specimens</u></b></p> <p>a) except in the circumstances specified in paragraphs b) and c) below, Parties not authorize any re-export of specimens for which there is evidence that they were imported in violation of the Convention;</p> <p>b) when applying Article III, paragraph 4 (a), and Article IV, paragraph 5 (a), of the Convention to specimens imported not in accordance with the provisions of the Convention that are being re-exported by a Management Authority for purposes of implementing the provisions of Article VIII or of this Resolution, or for investigatory or judicial purposes, the specimens be deemed to have been imported in accordance with the provisions of the Convention;</p> <p>c) when applying Article IV, paragraphs 2 (b) and 5 (a), of the Convention to specimens of species in Appendix II that have been confiscated as a result of attempts to import or export them illegally and that have subsequently been sold by the Management Authority, having satisfied itself that this would not be detrimental to the survival of the species, the specimens be deemed to have been obtained in accordance with the provisions of the Convention and with the laws of the State for the protection of fauna and flora for the purposes of issuing export permits or re-export certificates; and</p> <p>d) permits and certificates granted in accordance with paragraph b) or c) above clearly indicate that the specimens are confiscated specimens;</p>						
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Consideration could be given to consolidating this resolution with Res Conf 10.7 (Disposal of confiscated live specimens).</li> </ul>	Incorporate in manual	Low	CSU	1100 and 5201	No	Dec 2002
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2002
R9.10 (Rev.) RECOMMENDS	<p><b><u>Regarding the disposal of illegally traded specimens of species in Appendix II</u></b></p> <p>e) as a general rule, confiscated parts and derivatives of Appendix-II species be disposed of in the best manner possible to benefit enforcement and administration of the Convention, and that steps be taken to ensure that the person responsible for the offence does not receive financial or other gain from the disposal;</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p><b>f) in the case of live specimens, Parties having not done so endeavour to make legislative provision to require the guilty importer and/or the carrier to meet the costs of confiscation, custody and returning specimens to the country of origin or re-export (as appropriate), where the Scientific Authority of the confiscating State deems it in the interest of the specimens to do so, and the country of origin or re-export so wishes; and</b></p> <p><b>g) where such legislation does not exist and the country of origin or re-export wishes the live specimens to be returned, the financial assistance of non-governmental organizations be sought to facilitate the return;</b></p>						
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Consideration could be given to consolidating this resolution with Res Conf 10.7 (Disposal of confiscated live specimens).</li> </ul>	Incorporate in manual and prepare Doc for COP12	Low	CSU	1100 and 5201	No	Dec 2002
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2002
<b>R9.10 (Rev.) RECOMMENDS</b>	<p><b><u>Regarding the disposal of seized or confiscated plants</u></b></p> <p><b>h) priority be given to the care of seized or confiscated wild-collected specimens of Appendix-I species and of Appendix-II species that may be at risk; and</b></p>						
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Consideration could be given to consolidating this resolution with Res Conf 10.7 (Disposal of confiscated live specimens).</li> </ul>	Incorporate in manual and prepare Doc for COP12	Low	CSU	1100 and 5201	No	Dec 2002
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2002
<b>R9.10 (Rev.) RECOMMENDS</b>	<p><b><u>In general</u></b></p> <p><b>i) Parties publicize information on seizures and confiscations when appropriate as a deterrent to illegal trade, and inform the public about their procedures for dealing with seized and confiscated specimens and about rescue centres; and</b></p>						
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It</li> </ul>	None	None	LCU	1100	No	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.						
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Consideration could be given to consolidating this resolution with Res Conf 10.7 (Disposal of confiscated live specimens).</li> </ul>	Incorporate in manual and prepare Doc for COP12	Low	CSU	1100 and 5201	No	Dec 2002
R9.10 (Rev.)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2002
<b>R9.14 (Rev.)</b>	<b>CONSERVATION OF RHINOCEROS IN ASIA AND AFRICA</b>						
R9.14 (Rev.) URGES para a)	URGES: a) all Parties that have stocks of rhinoceros horn to identify, mark, register and secure all such stocks;						
R9.14 (Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Remind Parties of this provision (in recognition of the special challenges posed by controlling and marking stocks);</li> </ul>	Notification, market survey of secure marking systems	High	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R9.14 (Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Ask Parties to inform Secretariat confidentially of marking systems used;</li> </ul>		High	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Offer advice to Parties that appear to use inadequate marking systems;</li> </ul>		High	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Explore commercial options of marking stocks securely in recognition of the value of marking systems for enforcement)</li> </ul>		High	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para b)	URGES: b) all Parties to adopt and implement comprehensive legislation and enforcement controls, including internal trade restrictions and penalties, aimed at reducing illegal trade in rhinoceros parts and derivatives;						
R9.14 (Rev.) URGES para b)	<ul style="list-style-type: none"> <li>Remind Parties of this provision and request updated information (which could also provided as part of the biannual report);</li> </ul>	Notification	Medium	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	Dec 2001
R9.14 (Rev.) URGES para c)	URGES: c) the Secretariat, where possible, to assist those Parties with inadequate legislation, enforcement, or control of stocks, by providing them technical advice and relevant information;						
R9.14 (Rev.)	<ul style="list-style-type: none"> <li>Offer assistance to Parties that require</li> </ul>	Notification	High	LCU	1100	No	Dec 2001



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
URGES para c)	such assistance, as a priority, Parties that are rhinoceros range States that are in National Legislation Category III;						
R9.14 (Rev.) URGES para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R9.14 (Rev.) URGES para d)	URGES: d) range States to be vigilant in their law enforcement efforts, including the prevention of illegal hunting and the early detection of potential offenders;						
R9.14 (Rev.) URGES para d)	<ul style="list-style-type: none"> <li>Remind Parties of this provision;</li> </ul>	Notification	Low	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Dec 2001
R9.14 (Rev.) URGES para e)	URGES: e) that law enforcement cooperation between and among States be increased in order to curtail illegal trade in rhinoceros horn; and						
R9.14 (Rev.) URGES para e)	<ul style="list-style-type: none"> <li>Remind Parties of this provision</li> </ul>	Notification; Liaison with Parties with recent incidents of illegal hunting and trade	Low	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Dec 2001
R9.14 (Rev.) URGES para e)	<ul style="list-style-type: none"> <li>Identify the need for promoting greater cooperation than already exists on the basis of recent occurrences of illegal hunting and trade</li> </ul>		Low	LCU	1100	No	Dec 2001
R9.14 (Rev.) URGES para f)	URGES: f) the consumer States, as a matter of priority, to work with all user groups and industries to develop and implement strategies for reducing the use and consumption of rhinoceros parts and derivatives;						
R9.14 (Rev.) URGES para f)	<ul style="list-style-type: none"> <li>May no longer be appropriate and is against the spirit of the Convention on Biological Diversity. Propose amendment to refer to illegal rather than all use and consumption;</li> </ul>	Notification	Low	LCU	1100	No	June 2002
R9.14 (Rev.) URGES para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	June 2002
R9.14 (Rev.) DIRECTS para a)	DIRECTS the Standing Committee to continue to pursue actions aimed at reducing illegal trade, ensuring that: a) all such actions are accompanied by evaluations of their effectiveness;						
R9.14 (Rev.) DIRECTS para a)	<ul style="list-style-type: none"> <li>No action required, except to remind the Standing Committee that such actions may have unintended consequences</li> </ul>	Liaison with the Standing Committee	High	PMU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	(drive the price of horn up) and work against the conservation objectives of some range States (e.g. delinking commercial, use from habitat conservation and recovery plans)						
R9.14 (Rev.) DIRECTS para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS para b)	b) appropriate, cost-effective, standardized indicators of success are developed and/or refined to measure changes in levels of illegal hunting and of the status of rhinoceros populations in the range States; and						
R9.14 (Rev.) DIRECTS para b)	<ul style="list-style-type: none"> <li>Support work of Standing Committee by doing an inventory of existing monitoring systems;</li> </ul>	Letters to range States; Report to Standing Committee; Translation Liaison with IUCN Rhinoceros Specialist Groups, TRAFFIC and WCO	Medium	SSU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS para b)	<ul style="list-style-type: none"> <li>Assess if any important populations is not being monitored or is inadequately monitored, involving the IUCN African and Asian Rhinoceros Specialist Groups;</li> </ul>		Medium	SSU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS para b)	<ul style="list-style-type: none"> <li>Continue liaison with TRAFFIC and WCO to assess the level of illegal trade</li> </ul>		Medium	LCU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS para c)	c) the policies that guide interventions are responsive and adaptive to the outcome of evaluations;						
R9.14 (Rev.) DIRECTS para c)	<ul style="list-style-type: none"> <li>No action required, except to remind the Standing Committee of this provision when required;</li> </ul>	Liaison with the Standing Committee	Low	PMU	1100	No	2001
R9.14 (Rev.) DIRECTS para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R9.14 (Rev.) STRONGLY URGES	<p>STRONGLY URGES range States, and all other Parties where applicable, to submit a report, according to an agreed format, to the Secretariat at least six months prior to each meeting of the Conference of the Parties, detailing the following:</p> <p>a) the status of captive and wild rhinoceros populations;</p> <p>b) a summary of incidents of illegal hunting;</p> <p>c) a summary of incidents of illegal trade in rhinoceros parts and derivatives;</p> <p>d) the status, type, and frequency of law enforcement activities and monitoring programmes for all major rhinoceros populations;</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	e) the status of development and implementation of national legislation and national conservation action plans; and f) the status of marking, registration and control of rhinoceros horn stocks;						
R9.14 (Rev.) STRONGLY URGES	<ul style="list-style-type: none"> <li>Remind range States and other appropriate Parties that such reports have to be submitted</li> </ul>	Notification	High	SSU	1100	No	CoP12-240
R9.14 (Rev.) STRONGLY URGES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-240
R9.14 (Rev.) DIRECTS	DIRECTS the Secretariat to develop a standard format for these reports, to evaluate the reports as well as any information it has received related to trade in rhinoceros parts and derivatives, and to submit a written summary of these for consideration at each meeting of the Conference of the Parties;						
R9.14 (Rev.) DIRECTS	<ul style="list-style-type: none"> <li>Develop format;</li> </ul>	Notification; Report to CoP12	High	LCU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R9.14 (Rev.) DIRECTS	<ul style="list-style-type: none"> <li>Communicate format to relevant Parties;</li> </ul>			LCU		No	CoP12-240
R9.14 (Rev.) DIRECTS	<ul style="list-style-type: none"> <li>Assess reports received and summarize in a report to CoP12</li> </ul>			LCU		No	June 2002
R9.14 (Rev.) RECOMMENDS	RECOMMENDS that those range States without a budgeted conservation and management plan for rhinoceros should develop and implement one as expeditiously as possible, utilizing all available relevant expertise and resources;						
R9.14 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Remind Parties of this provision;</li> </ul>	Notification	Low	CBU	1100	No	2001
R9.14 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R9.14 (Rev.) RECOMMENDS	RECOMMENDS further that those range States with an existing, budgeted plan for rhinoceros should endeavour to implement the plan as expeditiously as possible, and should undertake a review of the adequacy of enforcement and trade control measures therein;						
R9.14 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Remind Parties of this provision;</li> </ul>	Notification	Low	CBU	1100	No	2001
R9.14 (Rev.) RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R9.14 (Rev.) CALLS	CALLS upon all governments and intergovernmental organizations, international aid agencies and non-governmental organizations to provide funds to implement rhinoceros conservation activities, especially efforts to prevent the illegal killing of rhinoceros and to control and monitor the illegal trade in rhinoceros horn;						
R9.14 (Rev.) CALLS	<ul style="list-style-type: none"> <li>Remind Parties and other bodies of this provision when appropriate</li> </ul>	As appropriate	Low	LCU	1100	No	Dec 2001
R9.14 (Rev.) CALLS	CALLS for constructive engagement amongst all Parties to the Convention and synergy between the Convention and the IUCN/SSC Rhino Specialist Groups to achieve the aims of this Resolution;						
R9.14 (Rev.) CALLS	<ul style="list-style-type: none"> <li>Remind Parties and other bodies of this provision when appropriate</li> </ul>	As appropriate	Low	PMU	1100	No	2001
R9.19	<b>GUIDELINES FOR THE REGISTRATION OF NURSERIES EXPORTING ARTIFICIALLY PROPAGATED SPECIMENS OF APPENDIX-I SPECIES</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.19, para a)	The responsibility for the registration of nurseries that, for export purposes, artificially propagate specimens of Appendix-I plant species shall rest with the Management Authority of each Party, in consultation with the Scientific Authority of that Party						
R9.19 para a)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R9.19, para b)	Any Management Authority that wishes to register any commercial nursery artificially propagating specimens of species included in Appendix I for export purposes shall provide to the Secretariat, for inclusion in its Register, all appropriate information to obtain and maintain the registration of each such nursery						
R9.19 para b)	<ul style="list-style-type: none"> <li>Check all requests to include nurseries in the register and seek any missing information from the Parties concerned.</li> </ul>	verification correspondence	Medium	CSU	1100	No	ongoing
R9.19, para c)	Artificially propagated specimens of Appendix-I species produced in registered nurseries may only be exported when: <ul style="list-style-type: none"> <li>i) they are packed and labelled in such a way that they are clearly separated from artificially propagated or wild-collected Appendix-II and/or Appendix-III plants in the same consignment; and</li> <li>ii) the CITES export permit clearly states the registration number attributed by the Secretariat and the name of the nursery of origin if it is not the exporter</li> </ul>						
R9.19 para c)	<ul style="list-style-type: none"> <li>No specific action required.</li> </ul>						
R9.19, para d)	Notwithstanding the right of each Party to remove a nursery within its jurisdiction from the Register, any Party that becomes aware of, and can demonstrate, a failure of a registered exporting nursery to comply satisfactorily with the requirements for registration may propose to the Secretariat that this nursery be deleted from the Register, but the Secretariat will only make the deletion after consultation with the Management Authority of the Party in which the nursery is located						
R9.19 para d)	<ul style="list-style-type: none"> <li>In consultation with the Management Authority concerned, investigate any allegations of failure to comply with the requirements for registration.</li> </ul>	verification correspondence	Medium	CSU	1100	No	ongoing
R9.19 para d)	<ul style="list-style-type: none"> <li>If failure to comply with the requirements for registration is demonstrated, delete the nursery from the Register.</li> </ul>	notification	Medium	CSU	1100	No	ongoing
R9.19 para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100	No	ongoing
R9.19 DIRECTS	DIRECTS the Secretariat to review any application for registration, and to compile and update a Register of Commercial Nurseries that, for export purposes, artificially propagate specimens of plant species included in Appendix I, on the basis of information received from the Parties, and to communicate this Register to the Parties						
R9.19 DIRECTS	<ul style="list-style-type: none"> <li>Review applications for registration of nurseries to ensure that all required information is provided</li> </ul>	verification correspondence	Medium	CSU	1100	No	ongoing
R9.19 DIRECTS	<ul style="list-style-type: none"> <li>Check that information about management of nurseries proposed for registration is adequate</li> </ul>	review	Medium	SSU	1100	No	ongoing

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.19 DIRECTS	<ul style="list-style-type: none"> <li>If all requirements for registration are met, include the nurseries in the Register</li> </ul>	computerization notification	Medium	CSU	1100	No	ongoing
R9.19 DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100	No	ongoing
<b>R9.20 (Rev.)</b>	<b>GUIDELINES FOR EVALUATING MARINE TURTLE RANCHING PROPOSALS SUBMITTED PURSUANT TO RESOLUTION CONF. 10.18</b>						
R9.20 (Rev.), 'RECOMMENDS' , para a)	RECOMMENDS that: a) any Party seeking to allow international trade in products of sea turtle ranches satisfy all the requirements of the Convention and Resolutions Conf. 5.16 (Rev.), adopted at the fifth meeting of the Conference of the Parties (Buenos Aires, 1985) and amended at the 10th meeting and Conf. 10.18;						
R9.20 (Rev.), 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R9.20, 'RECOMMENDS' , (Rev.), para b)	RECOMMENDS that: b) any Party seeking to transfer a marine turtle population from Appendix I to Appendix II pursuant to Resolution Conf. 10.18 provide information in accordance with the guidelines contained in the Annex to this Resolution						
R9.20 (Rev.), 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R9.20 (Rev.), 'RECOMMENDS' , para c)	RECOMMENDS that: c) any Party whose population of marine turtles is transferred to Appendix II pursuant to this Resolution and Resolution Conf. 10.18 ensure that procedures for regular adequate reporting to the Secretariat exist and are implemented. Failure to satisfy this requirement and to demonstrate conservation benefit to the population or compliance with other requirement of Resolution Conf. 10.18 may result in the application of paragraph c) of that Resolution under the last RECOMMENDS						
R9.20 (Rev.), para c)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
<b>R9.24</b>	<b>CRITERIA FOR AMENDMENT OF APPENDICES I AND II</b>						
R9.24	<ul style="list-style-type: none"> <li>Monitor implementation of Resolution by staff unit as required;</li> </ul>	Management of Secretariat	Low	PMU	1100	No	ongoing
R9.24	ADOPTS the following Annexes as an integral part of this Resolution: Annex 1: Biological criteria for Appendix I; Annex 2a: Criteria for the inclusion of species in Appendix II in accordance with Article II, paragraph 2(a); Annex 2b: Criteria for the inclusion of species in Appendix II in accordance with Article II, paragraph 2(b); Annex 3: Special cases; Annex 4: Precautionary measures; Annex 5: Definitions, notes and guidelines; and Annex 6: Format for proposals to amend the appendices;						
R9.24	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R.924, 'RESOLVES' (1st)	RESOLVES that when considering any proposal to amend Appendix I or II the Parties shall apply the precautionary principle so that scientific uncertainty should not be used as a reason for failing to act in the best interest of the conservation of the species;						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.24, 'RESOLVES' (1st)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R.924,'RESOLVE S (2nd), para a)	a) any species that is or may be affected by trade should be included in Appendix I if it meets at least one of the biological criteria listed in Annex 1						
R9.24, 'RESOLVES' (2nd), para a)	<ul style="list-style-type: none"> <li>Evaluate the proposal against compliance with the trade criterion and the criteria in Annex 1. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para b) i),ii),iii), iv)	b) a species "is or may be affected by trade" if: i) it is known to be in trade; or ii) it is probably in trade, but conclusive evidence is lacking; or iii) there is potential international demand for specimens; or iv) it would probably enter trade were it not subject to Appendix-I controls;						
R9.24, 'RESOLVES' (2nd), para b)i),ii),iii),iv)	<ul style="list-style-type: none"> <li>Ensure that this criterion is appropriately interpreted in each proposal. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para b)i),ii),iii),iv)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para c)	c) any species that meets the criteria for inclusion in Appendix II listed in Annex 2a should be included in Appendix II in accordance with Article II, paragraph 2(a)						
R9.24, 'RESOLVES' (2nd), para c)	<ul style="list-style-type: none"> <li>Evaluate the proposal against compliance with the trade criterion and the criteria in Annex 2a. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, para d)	d) species should be included in Appendix II under the provisions of Article II, paragraph 2(b), if they satisfy the criteria listed in Annex 2b						
R9.24, 'RESOLVES' (2nd), para d)	<ul style="list-style-type: none"> <li>Evaluate the proposal against compliance with the trade criterion and the criteria in Annex 2b. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
(2nd), para d)							CoP12-120
R.924, 'RESOLVES' (2nd), para e)	e) species should be included in more than one appendix at the same time, and higher taxa should be included in the appendices, only if the species or higher taxa concerned satisfy the relevant criteria listed in Annex 3						
R9.24, 'RESOLVES' (2nd), para e)	<ul style="list-style-type: none"> <li>Evaluate the proposal against compliance with the criteria in Annex 3. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para f)	f) species of which all specimens in trade have been bred in captivity or artificially propagated should not be included in the appendices if there is no probability of trade taking place in specimens of wild origin;						
R9.24, 'RESOLVES' (2nd), para f)	<ul style="list-style-type: none"> <li>Evaluate the proposal against the provisions of this paragraph. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para g)	g) for any species included in Appendix I for which sufficient data are available to demonstrate that it does not meet the criteria listed in Annex 1 should be transferred to Appendix II only in accordance with the relevant precautionary measures listed in Annex 4;						
R9.24, 'RESOLVES' (2nd), para g)	<ul style="list-style-type: none"> <li>Evaluate the proposal against the provisions of this paragraph. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para h)	h) any species included in Appendix II in accordance with Article II, paragraph 2(a), that does not meet the criteria listed in Annex 2a should be deleted only in accordance with the relevant precautionary measures listed in Annex 4; and species included in accordance with Article II, paragraph 2(b), because they look like the species subject to the deletion, or for a related reason, should also be deleted only in accordance with the relevant precautionary measures						
R9.24, 'RESOLVES' (2nd), para h)	<ul style="list-style-type: none"> <li>Assist the Animals and Plants Committees with their review of the Appendices, and draw attention to species that might need review</li> </ul>	Document for AC and d PC	High	SSU	1100	No	Ongoing
R9.24, 'RESOLVES' (2nd), para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Ongoing
R9.24, 'RESOLVES' (2nd), para h)	<ul style="list-style-type: none"> <li>Evaluate the proposal against the provisions of this paragraph. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R9.24, 'RESOLVES' (2nd), para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (2nd), para i)	i) the views, if any, of intergovernmental organizations with competence for the management of the species concerned should be taken into account						
R9.24, 'RESOLVES' (2nd), para i)	Contact intergovernmental organizations concerned, provide them with the relevant amendment proposals and seek their comments	Correspondence	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para i)	Include the comments received in the Secretariat's Review Document	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (2nd), para i)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.924, 'RESOLVES' (3rd)	RESOLVES that proposals to amend Appendices I and II should be based on the best information available and presented in the format in Annex 6, unless otherwise justified						
R9.24, 'RESOLVES' (3rd)	<ul style="list-style-type: none"> <li>Evaluate the proposal against the provisions of this paragraph. Include the conclusions in the Secretariat's Review Document</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 CoP12-120
R9.24, 'RESOLVES' (3rd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-120
R.9.24, 'RESOLVES' (4th)	RESOLVES that to monitor the effectiveness of protection offered by the Convention, the status of species included in Appendices I and II should be regularly reviewed by the range States and proponents, in collaboration with the Animals Committee or the Plants Committee, subject to the availability of funds;						
R9.24, 'RESOLVES' (4th)	<ul style="list-style-type: none"> <li>Remind Parties that have included species under the provisions of the Appendices about the provisions of this paragraph</li> <li><i>Note, after two intervals between CoP's</i></li> </ul>	Correspondence	High	SSU	1100	No	2003 Cop11+90 Continuous
R9.24, 'RESOLVES' (4th)	<ul style="list-style-type: none"> <li>Inform the Animals and the Plants Committee about the responses received</li> </ul>	Document	High	SSU	1100	No	2003 First PC after CoP12
R9.24, 'RESOLVES' (4th)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2003 First PC after CoP12
R9.24, 'RESOLVES'	<ul style="list-style-type: none"> <li>Take action as/if appropriate (Resolution Conf. 8.9 (Rev.), proposals, field studies</li> </ul>	Documents, Projects	High	SSU	1100	40.000	2004



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
(4th)							
R9.24, 'RESOLVES' (4th)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2004
R.924, 'URGES'	URGES Parties and co-operating organizations to provide financial and technical assistance, when requested, in the preparation of proposals to amend the appendices, the development of management programmes, and the review of the effectiveness of the inclusion of species in the appendices. Parties should be open to using other available international mechanisms and instruments for these purposes in the broader context of biodiversity						
R9.24	<ul style="list-style-type: none"> <li>No action required from the Secretariat.</li> </ul>						
R.924, RECOMMENDS'	RECOMMENDS that the text and the annexes of this Resolution should be fully reviewed before the twelfth meeting of the Conference of the Parties with regard to the scientific validity of the criteria, definitions, notes and guidelines and their applicability to different groups of organisms						
R9.24	<ul style="list-style-type: none"> <li>See Decision 11.2.</li> </ul>			SSU			
<b>R10.2</b>	<b>PERMITS AND CERTIFICATES</b>						
<b>R10.2</b>	<p><b><u>Regarding standardization of CITES permits and certificates</u></b></p> <p><b>AGREES that:</b></p> <p>a) to fulfil the requirements of Article VI of the Convention and relevant Resolutions, export and import permits, re-export and pre-Convention certificates, and certificates of captive breeding and artificial propagation should include all the information specified in Annex 1 of the present Resolution;</p> <p>b) every form should be printed in one or more of the working languages of the Convention (English, Spanish, French) and in the national language if it is not one of the working languages;</p> <p>c) every form should indicate which type of document it is (import or export permit, re-export or pre-Convention certificate, certificate of captive breeding or artificial propagation);</p> <p>d) if a permit or certificate form includes a place for the signature of the applicant, the absence of the signature should render the permit or certificate invalid; and</p> <p>e) if an annex is attached to a permit or certificate as an integral part of it, this and the number of pages should be clearly indicated on the permit or certificate, and each page of the annex should include the following:</p> <p>i) the number of the permit or certificate and its date of issue; and</p> <p>ii) the signature and the stamp or seal, preferably embossed, of the authority issuing the document; and</p>						
R10.2 AGREES	<ul style="list-style-type: none"> <li>The words "(except phytosanitary certificates)" require to be inserted after 'artificial propagation' in para a)</li> </ul>	Minor re-drafts for CoP12	Low	CSU	1100 and 5201	No	June 2002
R10.2 AGREES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	No	June 2002
<b>R10.2</b>	<p><b>RECOMMENDS that:</b></p> <p>a) Parties wishing to modify their permit and certificate forms, to reprint existing documents or to introduce new documents, first ask the Secretariat for advice;</p> <p>b) Parties adapt the contents and, to the extent practicable, the format of their export permits and re-export certificates to the standard form attached to the present Resolution as Annex 2;</p> <p>c) for tracking and annual reporting purposes, permit and certificate numbers be limited, if possible, to 14 characters in the format:</p> <p style="text-align: center;"><b>WWxxYYYYYY/zz</b></p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>where WW represents the last two digits of the year of issuance; xx represents the two-letter ISO code of the country; YYYYYY represents a six-digit serial number; and zz represents two digits or letters, or a combination of a digit and a letter, that a Party may use for national informational purposes;</p> <p>d) Parties state, on each of their permits and certificates, the purpose of the transaction using the following codes:</p> <ul style="list-style-type: none"> <li>T Commercial</li> <li>Z Zoos</li> <li>G Botanical gardens</li> <li>Q Circuses and travelling exhibitions</li> <li>S Scientific</li> <li>H Hunting trophies</li> <li>P Personal</li> <li>M Biomedical research</li> <li>E Educational</li> <li>N Reintroduction or introduction into the wild</li> <li>B Breeding in captivity or artificial propagation;</li> </ul> <p>e) the following codes be used to indicate the source of the specimens:</p> <ul style="list-style-type: none"> <li>W Specimens taken from the wild</li> <li>R Specimens originating from a ranching operation</li> <li>D Appendix-I animals bred in captivity for commercial purposes and Appendix-I plants artificially propagated for commercial purposes, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 4, of the Convention</li> <li>A Plants that are artificially propagated in accordance with Resolution Conf. 11.11, paragraph a), as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5, of the Convention (specimens of species included in Appendix I that have been propagated artificially for non-commercial purposes and specimens of species included in Appendices II and III)</li> <li>C Animals bred in captivity in accordance with Resolution Conf. 10.16 (Rev.), as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5, of the Convention (specimens of species included in Appendix I that have been bred in captivity for non-commercial purposes and specimens of species included in Appendices II and III)</li> <li>F Animals born in captivity (F1 or subsequent generations) that do not fulfil the definition of 'bred in captivity' in Resolution Conf. 10.16 (Rev.), as well as parts and derivatives thereof</li> <li>U Source unknown (must be justified)</li> <li>I Confiscated or seized specimens;</li> </ul> <p>f) when a security stamp is affixed to a permit or certificate, the number of the stamp also be recorded on the document;</p> <p>g) for wildlife specimens of exceptional value, in addition to affixing a security stamp, all Parties consider issuing permits and certificates printed on security paper;</p> <p>h) when issuing permits and certificates, the Parties follow the standard nomenclatures adopted by the Conference of the Parties to indicate the names of species (see Resolution Conf. 11.22);</p> <p>i) on permits and certificates for trade in specimens that are readily recognizable as coral rock, where the genus cannot be readily determined, the scientific name for the given specimens should be 'Scleractinia';</p> <p>j) any Party wishing to authorize export of coral rock (as defined in Resolution Conf. 11.10 Annex) identified to ordinate level only should, in view of the inability to make a non-detriment finding for coral rock pursuant to Article IV, paragraph 2 (a), apply the provisions of Article IV, paragraph 3;</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>k) Parties that authorize export of coral rock should:</p> <p>i) establish an annual quota for exports and communicate this quota to the Secretariat for distribution to the Parties; and</p> <p>ii) through their Scientific Authorities, make an assessment (which would be available to the Secretariat on request), based on a monitoring programme, that such export will not affect the role that coral rock has in ecosystems affected by the extraction of such specimens;</p> <p>l) Parties indicate on their permits and certificates the number of specimens concerned and/or the unit of measurement used, in particular the weight (in kilograms), and avoid general descriptions such as "one case" or "one batch";</p> <p>m) Parties that do not already do so affix a security stamp to each export permit and re-export certificate;</p> <p>n) when a security stamp is affixed to a permit or certificate, it be cancelled by a signature and a stamp or seal, preferably embossed;</p> <p>o) Parties that have not yet done so communicate to the Secretariat the names of the persons empowered to sign permits and certificates, as well as three specimens of their signatures, and that all the Parties communicate, within one month of any change thereto, the names of persons who have been added to the list of those already empowered to sign, the names of persons whose signatures are no longer valid and the dates the changes took effect;</p> <p>p) when the means of transport used requires a bill of lading or an air way-bill, the number of such document be stated on the permit or certificate;</p> <p>q) each Party inform the other Parties, direct or through the Secretariat, of any stricter internal measures it has taken under Article XIV, paragraph 1 (a), of the Convention, and that, when a Party is informed of this, it refrain from issuing permits and certificates that run counter to these measures;</p> <p>r) when an export permit or a re-export certificate has been cancelled, lost, stolen or destroyed, the issuing Management Authority immediately inform the Management Authority of the country of destination, as well as the Secretariat regarding commercial shipments; and</p> <p>s) when a permit or certificate is issued to replace a document that has been cancelled, lost, stolen or destroyed, or that has expired, it indicate the number of the replaced document and the reason for the replacement;</p>						
R10.2 First RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2 Second AGREES	<p>II. <b>Regarding export permits and re-export certificates</b></p> <p><b>AGREES</b> that a re-export certificate should also specify:</p> <p>a) the country of origin, the number of the export permit of the country of origin and its date of issue; and</p> <p>b) the country of last re-export, the number of the re-export certificate of that country and its date of issue;</p> <p>or if the case arises:</p> <p>c) justification for the omission of any of the aforementioned information; and</p>						
R10.2 Second AGREES	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2 Second RECOMMENDS	<p><b>RECOMMENDS</b> that:</p> <p>a) exported specimens and re-exported specimens not appear on the same document, unless it is clearly indicated which specimens are being exported and which re-exported;</p> <p>b) when re-export certificates are issued for specimens whose form has not changed since being imported, the unit of measure used be the same as that used on the permit or certificate accepted when they were imported;</p> <p>c) the provisions of Article III, paragraph 3, Article IV, paragraph 4, and Article V, paragraph 3, of the Convention be understood to mean that an export permit or re-export certificate shall be valid for import purposes only if presented within a period of six months from the date on which it was granted;</p> <p>d) the words "used for export within a period of six months", in Article VI, paragraph 2, of the Convention, be interpreted to mean that all export activities, including, but not limited to, transport, presentation for import, etc. shall be concluded before the expiry of the said six-month</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>period from the date of granting the permit or certificate;</p> <p>e) after the expiry of the said six-month period, an export permit or re-export certificate be considered as void and of no legal value whatsoever;</p> <p>f) however, for the purpose of trade in timber species included in Appendices II and III with the annotation #5, the validity of the export permit or re-export certificate may be extended beyond the normal maximum of six months after the date of issue, on the condition that:</p> <p>i) the shipment has arrived in the port of final destination before the date of expiration indicated on the permit or certificate and is being held in Customs bond (i.e. is not considered as imported);</p> <p>ii) the time extension does not exceed six months from the date of expiration of the permit or certificate and no previous extension has been granted;</p> <p>iii) the appropriate enforcement personnel has included the date of arrival and the new date of expiration in the box relating to special conditions, or an equivalent place, on the export permit or re-export certificate, certifying the modification with an official stamp or seal and signature;</p> <p>iv) the shipment is imported for consumption from the port where it was located when the extension was approved and before the new date of expiration; and</p> <p>v) a copy of the export permit or re-export certificate as amended in accordance with sub-paragraph iii) above is sent to the country of export or re-export, allowing it to amend its annual report, and to the CITES Secretariat;</p> <p>g) no export permit or re-export certificate be issued for a specimen known to have been acquired illegally, even if it has been imported in accordance with the national legislation, unless the specimen has previously been confiscated;</p> <p>h) Parties not authorize the import of any specimen if they have reason to believe that it was not legally acquired in the country of origin;</p> <p>i) when a country has voluntarily fixed national export quotas for specimens of species included in Appendix I, for non-commercial purposes, and/or in Appendices II and III, it inform the Secretariat of the quotas before issuing export permits and of any changes thereto as soon as they are made and it state on each export permit the total number of specimens already exported in the current year (including those covered by the permit in question) and the quota for the species concerned;</p> <p>j) when a country has export quotas allocated by the Conference of the Parties for specimens of species included in Appendices I and II, it state on each export permit the total number of specimens already exported in the current year (including those covered by the permit in question) and the quota for the species concerned; the exporting and importing countries involved in trade in specimens of species for which there are such quotas should send copies of the original export permits, issued or received as appropriate, to the Secretariat to ensure that the quotas are not exceeded;</p> <p>k) when trade in tagged crocodilian skins is authorized, the same information as is on the tags be given on the export permit or re-export certificate (or other Convention document); and</p> <p>l) in the case of crocodilian species subject to quotas approved by the Conference of the Parties, no permit, certificate or other document for skins be issued before the skins are tagged in accordance with the requirements of the issuing Management Authority and their sizes are recorded;</p>						
R10.2 Second RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2	<p><b>III. <u>Regarding import permits</u></b></p> <p><b>AGREES</b> that an import permit for specimens of species included in Appendix I may carry, among other things, certification that the specimens will not be used for primarily commercial purposes and, in the case of live specimens, that the recipient has suitable facilities to house and care for them; and</p> <p><b>RECOMMENDS</b> that:</p> <p>a) the provisions of Article III, paragraphs 2 and 4, of the Convention be understood to mean that an import permit should be recognized as valid by a Management Authority of a State of export or re-export only if presented within a period of 12 months from the date on which it was granted; and</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	b) after the expiry of the said 12-month period of validity, an import permit granted by the State of import in order that it can be presented to a Management Authority of a State of export or re-export in accordance with the provisions of Article III, be considered as void and of no legal value whatsoever;						
R10.2 Third AGREES and Third RECOMMENDS	• This remains valid – no action required	none	none	LCU	1100	No	None
R10.2	<b>IV. <u>Regarding pre-Convention certificates</u></b> <b>AGREES</b> that a pre-Convention certificate should also specify: a) that the specimen covered by the certificate is pre-Convention; and b) the date of acquisition of the specimen as defined in Resolution Conf. 5.11 adopted at the fifth meeting of the Conference of the Parties (Buenos Aires, 1985);						
R10.2 Fourth AGREES	• This remains valid – no action required	none	none	LCU	1100	No	None
R10.2	<b>V. <u>Regarding certificates of origin</u></b> <b>RECOMMENDS</b> that: a) certificates of origin for export of specimens of species listed in Appendix III only be issued by a Management Authority competent to grant Convention permits or certificates or by the competent authority if trade is from a State not a Party to the Convention, and that Parties not accept certificates of origin unless they are issued by such authorities; b) a certificate of origin contain, as a minimum, the following information: i) the full name of the Convention and, if possible, its logo; ii) the complete name and address of the issuing Management Authority, as included in the CITES Directory, its stamp and the signature of an authorized person; iii) a unique control number; iv) the names and addresses of the exporter and importer; v) the country of destination; vi) the scientific name of the species to which the specimens belong; vii) a description of the specimens in one of the three working languages of the Convention, using the nomenclature of specimens distributed by the Secretariat; viii) the number or quantity of the specimens and, if appropriate, the unit of measure used; ix) the date of issue; x) the date of expiry; and xi) a statement that the specimens originate in the country that issued the certificate of origin; and c) a certificate of origin be recognized as valid only if presented for import within a period of 12 months from the date on which it was granted;						
R10.2 Fourth RECOMMENDS	• This remains valid – no action required	none	none	LCU	1100	No	None
R10.2	<b>VI. <u>Regarding phytosanitary certificates</u></b> <b>RECOMMENDS</b> that: a) any Party having considered the practices governing the issue of its phytosanitary certificates for export of Appendix-II specimens, and						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>having determined that such practices provide adequate assurance that the specimens are artificially propagated (as defined in Resolution Conf. 11.11), may consider these documents as certificates of artificial propagation in accordance with Article VII, paragraph 5, of the Convention. Such certificates must include the scientific name of the species and the type and quantity of the specimens and bear a stamp, seal or other specific indication stating that the specimens are artificially propagated as defined by CITES; and</p> <p>b) any Party using phytosanitary certificates as certificates of artificial propagation inform the Secretariat and provide copies of the certificates, stamps, seals, etc. that are used;</p>						
R10.2 Fifth RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2	<p><b>VII. <u>Regarding the change of destination on export permits and re-export certificates issued for timber species included in Appendices II and III with the annotation #5</u></b></p> <p>RECOMMENDS that an export permit or a re-export certificate that indicates the complete names and addresses of the (re-)exporter and importer, in conformity with Annex 1, paragraph d), to this Resolution, not be accepted for import into a country other than the one for which it was issued, except under the following conditions:</p> <p>a) the actual quantity of specimens exported or re-exported is included in the designated box on the export permit or re-export certificate, certified by the stamp or seal and signature of the authority that carried out the inspection at the time of export or re-export;</p> <p>b) the exact quantity referred to under a) is imported;</p> <p>c) the number of the bill of lading of the shipment is included on the permit or certificate;</p> <p>d) the bill of lading of the shipment is presented to the Management Authority together with the original of the export permit or re-export certificate at the time of import;</p> <p>e) the import takes place within six months after the issue of the export permit or re-export certificate;</p> <p>f) the period of validity of the export permit or re-export certificate has not already been extended;</p> <p>g) the Management Authority of the importing country includes on the permit or certificate, in the box relating to special conditions, or an equivalent place, the following text, certified by its stamp or seal and signature:</p> <p>“import into [name of country] permitted in accordance with Resolution Conf. 10.2 (Rev.) (section VII) on [date]”; and</p> <p>h) a copy of the export permit or re-export certificate as amended in accordance with sub-paragraph g) above is sent to the country of export or re-export, allowing it to amend its annual report, and to the CITES Secretariat;</p>						
R10.2 Sixth RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2	<p><b>VIII. <u>Regarding retrospective issue of permits and certificates</u></b></p> <p>RECOMMENDS that:</p> <p>a) a Management Authority of an exporting or re-exporting country:</p> <p>i) not issue CITES documents retrospectively;</p> <p>ii) not provide exporters, re-exporters and/or consignees in importing countries with declarations about the legality of exports or re-exports of specimens having left its country without the required CITES documents; and</p> <p>iii) not provide exporters, re-exporters and/or consignees in importing countries with declarations about the legality of export or re-export documents which at the time of export, re-export or import did not meet the requirements of the Convention;</p> <p>b) a Management Authority of an importing country, or of a country of transit or transshipment, not accept export or re-export documents that were issued retrospectively;</p> <p>c) exceptions from the recommendations under a) and b) above not be made with regard to Appendix-I specimens, and be made with regard to Appendix-II and -III specimens only where the Management Authorities of both the exporting (or re-exporting) and the importing countries are, after a prompt and thorough investigation in both countries and in close consultation with each other, satisfied:</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	i) that the irregularities that have occurred are not attributable to the exporter (or re-exporter) or the importer; and ii) that the export (or re-export) and import of the specimens concerned are otherwise in compliance with the Convention and with the relevant legislation of the countries of export (or re-export) and import; and d) whenever exceptions are made: i) the export permit or re-export certificate clearly indicate that it is issued retrospectively; and ii) the reasons for the relaxation, which should come within the purview of paragraph c), sub-paragraphs i) and ii) above, are specified on the permit or certificate and a copy sent to the Secretariat; and						
R10.2 Seventh RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.2	<b>IX. <u>Regarding acceptance and clearance of documents and security measures</u></b> <b>RECOMMENDS that:</b> a) the Parties refuse to accept permits and certificates if they have been altered (by rubbing out, scratching out, etc.), modified or crossed out, unless the alteration, modification or crossing-out has been authenticated by the stamp and signature of the authority issuing the document; b) whenever irregularities are suspected, the Parties exchange issued and/or accepted permits or certificates to verify their authenticity; c) when a security stamp is affixed to a permit or certificate, the Parties refuse the document if the security stamp is not cancelled by a signature and a stamp or seal; d) the Parties refuse to accept any re-export certificate that refers to an export permit that does not exist or that is invalid; e) the Parties refuse to accept permits and certificates that do not indicate the name of the species concerned (including subspecies when appropriate), except in the case where: i) the Conference of the Parties has agreed that the use of higher-taxon names is acceptable; ii) the issuing Party can show it is well justified and has communicated the justification to the Secretariat; or iii) certain manufactured products contain pre-Convention specimens that can not be identified to the species level; f) in the event of mismatches of information within a permit, re-export certificate, or other Convention document for crocodilian skins, the Management Authority of the importing Party immediately contact its counterpart in the exporting/re-exporting Party to establish whether this was a genuine error arising from the volume of information required by this Resolution and Resolution Conf. 11.12, and that, if this is the case, every effort be made to avoid penalizing those involved in such transactions; g) when a Party refuses to accept a permit or certificate, it keep the original or, if this is against its national laws, it cancel the document indelibly, preferably by perforation, particularly the security stamp; h) when a Party refuses to accept a permit or certificate issued for export or re-export, it immediately inform the exporting or re-exporting country; i) when a Party is informed that a permit or certificate it has issued for export or re-export has been refused, it take measures to ensure that the specimens in question do not enter into illegal trade; and j) Parties ensure that, when the original of an export permit or re-export certificate is not used by the permittee for the trade authorized, it is returned by the permittee to the issuing Management Authority in order to prevent the illegal use of the document; and						
R10.2 Eighth RECOMMENDS	<ul style="list-style-type: none"> <li>This remains valid – no action required</li> </ul>	none	none	LCU	1100	No	None
R10.3	<b>DESIGNATION AND ROLE OF THE SCIENTIFIC AUTHORITIES</b>						
R10.3, 'DIRECTS', para a)	<b>DIRECTS the Secretariat:</b> a) to continue its efforts to identify the Scientific Authorities in each country						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Secretariat to continue seeking this information from Parties and non-Parties that have not provided this.</li> </ul>	Correspondence Translation	High	CSU	1100	No	Continuous
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Secretariat in the absence of receiving this information from non-Parties ensure implementation of paragraph b) of Resolution Conf. 9.5</li> </ul>	Correspondence, documents, Notifications	High	LCU	1100	No	Continuous
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Continuous
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>In case of non-compliance, implement the relevant provisions of Resolution Conf. 8.4 and Decisions 11.15-11.21</li> </ul>	Correspondence, Documents	High	LCU	1100	No	Continuous and CoP12-150
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Continuous and CoP12-150
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Report to the Standing Committee, as appropriate</li> </ul>	Document	High	LCU	1100	No	As appropriate
R10.3, 'DIRECTS', para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	As appropriate
R10.3, 'DIRECTS', para b)	DIRECTS the Secretariat: b) to continue to identify in its reports on alleged infractions those countries that have not informed the Secretariat of their Scientific Authorities						
R10.3, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>To do so</li> <li><b><i>In view of the changed approach with regard to the Infractions report, and the current decisions 11.15-11.21, this direction would seem superfluous</i></b></li> </ul>	Document	High	LCU	1100	No	Cop12-150
R10.3, 'DIRECTS', para c)	DIRECTS the Secretariat: c) to continue to provide to all Parties information on the Scientific Authorities or comparable entities of States not party						
R10.3, 'DIRECTS', para c)	<ul style="list-style-type: none"> <li>Secretariat to regularly update the Directory</li> </ul>	Directory	High	CSU	1100	No	Continuous
R10.3, 'RECOMMENDS', para a)	RECOMMENDS that: a) all Parties designate Scientific Authorities independent from Management Authorities						
R10.3, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>The Secretariat, as appropriate, to verify if this is the case</li> <li><b><i>Regional coordinators?</i></b></li> </ul>	Correspondence	High	CSU	1100	No	As appropriate



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.3, 'RECOMMENDS, para b)	RECOMMENDS that: b) Parties not accept export permits from countries that have not informed the Secretariat of their Scientific Authorities for more than one interval between regular meetings of the Conference of the Parties						
R10.3, 'RECOMMENDS, para b)	<ul style="list-style-type: none"> <li>Inform the Parties about Parties to which this provision applies</li> <li><b>Note, in view of the procedures established in the Decisions, this paragraph seems superfluous</b></li> </ul>	Notification	High	CSU	1100	No	As appropriate
R10.3, 'RECOMMENDS, para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	As appropriate
R10.3, 'RECOMMENDS, para c)	RECOMMENDS that: c) Management Authorities not issue any export or import permit, or certificate of introduction from the sea, for species listed in the appendices without first obtaining the appropriate Scientific Authority findings or advice						
R10.3, 'RECOMMENDS, para c)	<ul style="list-style-type: none"> <li><b>This paragraph is instructing the Parties to implement the Convention and therefore superfluous</b></li> </ul>	Minor redraft for CoP12	Low	CSU	1100	No	CoP12-150
R10.3, 'RECOMMENDS, para d)	RECOMMENDS that: d) Parties enlist the assistance of Scientific Authorities of other Parties, as appropriate						
R10.3, 'RECOMMENDS, para d)	<ul style="list-style-type: none"> <li>Secretariat to deal with this item as part of its training programme for Scientific Authorities</li> </ul>	Training	High	SSU CBU	1100	30.00	Continuous
R10.3, 'RECOMMENDS, para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Continuous
R10.3, 'RECOMMENDS, para e)	RECOMMENDS that: e) neighbouring Parties consider sharing their resources by supporting common scientific institutions to provide the scientific findings required under the Convention						
R10.3, 'RECOMMENDS, para e)	<ul style="list-style-type: none"> <li><i>Note: This is a strange recommendation. Suggest that this be amended to 'sharing and trading the same species' and be amalgamated with the one above.</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para f)	RECOMMENDS that: f) the Parties consult with the Secretariat when there is reason for concern as to whether the proper Scientific Authority findings are being made						
R10.3, 'RECOMMENDS, para f)	<ul style="list-style-type: none"> <li><i>This is not the role of a Scientific Authority, and should therefore be deleted from this Resolution</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS,	RECOMMENDS that:						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para g)	g) the appropriate Scientific Authority advise on the issuance of export permits or of certificates for introduction from the sea for Appendix-I or -II species, stating whether or not the proposed trade would be detrimental to the survival of the species in question, and that every export permit or certificate of introduction from the sea be covered by Scientific Authority advice						
R10.3, 'RECOMMENDS, para g)	<ul style="list-style-type: none"> <li><i>This is a repetition of the text of the Convention and should be deleted</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para h)	RECOMMENDS that: h) the findings and advice of the Scientific Authority of the country of export be based on the scientific review of available information on the population status, distribution, population trend, harvest and other biological and ecological factors, as appropriate, and trade information relating to the species concerned						
R10.3, 'RECOMMENDS, para h)	<ul style="list-style-type: none"> <li><i>This is a repetition of the text of the Convention and should be deleted</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para i)	RECOMMENDS that: i) the appropriate Scientific Authority of the importing country advise on the issuance of permits for the import of specimens of Appendix-I species, stating whether the import will be for purposes not detrimental to the survival of the species						
R10.3, 'RECOMMENDS, para i)	<ul style="list-style-type: none"> <li><i>This is a repetition of the text of the Convention and should be deleted</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para j)	RECOMMENDS that: j) the appropriate Scientific Authority monitor the status of native Appendix-II species and export data, and recommend, if necessary, suitable remedial measures to limit the export of specimens in order to maintain each species throughout its range at a level consistent with its role in the ecosystem and well above the level at which the species might become eligible for inclusion in Appendix I						
R10.3, 'RECOMMENDS, para j)	<ul style="list-style-type: none"> <li><i>This is a repetition of the text of the Convention and should be deleted</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para k)	RECOMMENDS that: k) the appropriate Scientific Authority either make the findings required on the suitability of the recipient to house and care for live specimens of Appendix-I species being imported or introduced from the sea, or make its recommendations to the Management Authority prior to the latter making such findings and the issuance of permits or certificates						
R10.3, 'RECOMMENDS, para k)	<ul style="list-style-type: none"> <li><i>This is a repetition of the text of the Convention and should be deleted. In addition the paragraph is strangely constructed, because of the use of 'or'. The SA must make the finding 'and' provide recommendations to the MA.</i></li> </ul>			SSU		No	CoP12-150
R10.3, 'RECOMMENDS, para l)	RECOMMENDS that: l) the appropriate Scientific Authority provide advice to its Management Authority as to whether or not scientific institutions seeking registration for the purpose of being issued labels for scientific exchange meet the criteria established in Resolution Conf. 2.14, and other standards or any stricter national requirements						
R10.3, 'RECOMMENDS,	<ul style="list-style-type: none"> <li><i>No tasks for Secretariat</i></li> </ul>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para l)							
R10.3, 'RECOMMENDS, para m)	RECOMMENDS that: m) the appropriate Scientific Authority review all applications submitted for consideration under Article VII, paragraph 4 or 5, and advise its Management Authority as to whether the facility concerned meets the criteria for producing specimens considered to be bred in captivity or artificially propagated in accordance with the Convention and relevant Resolutions						
R10.3, 'RECOMMENDS, para m)	<ul style="list-style-type: none"> <li>No tasks for Secretariat</li> </ul>						
R10.3, 'RECOMMENDS, para n)	RECOMMENDS that: n) the appropriate Scientific Authority gather and analyse information on the biological status of species affected by trade to assist in the preparation of proposals necessary to amend the appendices						
R10.3, 'RECOMMENDS, para n)	<ul style="list-style-type: none"> <li>No tasks for Secretariat</li> </ul>						
R10.3, 'RECOMMENDS, para o)	RECOMMENDS that: o) the appropriate Scientific Authority review proposals to amend the appendices submitted by other Parties and make recommendations as to how the delegation of its own country should address each proposal						
R10.3, 'RECOMMENDS, para o)	<ul style="list-style-type: none"> <li>No tasks for Secretariat</li> </ul>						
R10.3, 'ENCOURAGES'	ENCOURAGES the Parties , the Secretariat and interested non-governmental organizations to develop and support workshops/seminars designed specifically to improve the implementation of CITES by Scientific Authorities						
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Secretariat to implement its programme of work on assisting scientific Authorities in making non-detriment findings by:</li> </ul>						
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Contracting consultants to prepare manuals and documentation for use in training seminars</li> </ul>	Documents	High	SSU	1100, 2113	No	2000
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100, 2113	Yes	2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Seek funds for the organization of training seminars</li> </ul>	Correspondence	High	CBU	1100	No	2000-2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Develop general programme for training seminars</li> </ul>	Documents	High	SSU	1100 2113	No	2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2113	Yes	Nov 2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Develop general programme for training seminars</li> </ul>	Documents	High	CBU	1100 2113	No	2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in West Africa</li> </ul>	Seminar	High	SSU	1100 2113	No	Nov 2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in West Africa</li> </ul>	Seminar	High	CSU	1100 2113	No	Nov 2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Develop training materials specific for West-Africa</li> </ul>	Documents	High	SSU CBU	1100 2113	20,000	Nov 2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2113	Yes	Nov 2001
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in Central America</li> </ul>	Seminar	High	SSU CBU	1100 2113	No	April/May 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in Central America</li> </ul>	Seminar	High	CSU	1100 2113	No	April/May 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Develop training materials specific for Central America</li> </ul>	Documents	High	SSU CBU	1100 2113	20,000	April/May 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2113	Yes	April/May 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in S.E. Asia</li> </ul>	Seminar	High	SSU	1100 2113	No	June 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Organize training seminar in S.E. Asia</li> </ul>	Seminar	High	CSU	1100 2113	No	June 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Develop training materials specific for S.E. Asia</li> </ul>	Documents	High	SSU CBU	1100 2113	20,000	June 2002
R10.3, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2113	No	June 2002
R10.4	<b>CO-OPERATION AND SYNERGY WITH THE CONVENTION ON BIOLOGICAL DIVERSITY</b>						
R10.4, 'CALLS' (1st)	<b>CALLS upon the CITES Secretariat and the Secretariat of the Convention on Biological Diversity to co-ordinate their programme activities particularly through the UNEP co-ordination meetings</b>						
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Develop the CITES Secretariat's Workplan (2000-2002)</li> </ul>	Workplan	High	PMU	1100	No	2000 (Nov.)
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2000 (Nov.)
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Distribute Workplan to CBD Secretariat and UNEP and seek from the CBD a copy of its Workplan</li> </ul>	Distribute Workplan	High	PMU	1100	No	2000 (Dec.)

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Suggest to CBD possible project partnerships, based on the current Workplans of both agencies.</li> </ul>	Propose Project Partnerships	High	PMU	1100	No	2001 (March)
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Propose a joint CITES / CBD Workplan and copy to UNEP and the CITES Standing Committee.</li> </ul>	Propose Joint CITES / CBD Workplan (Standing Committee)	High	PMU	1100	No	2001 (April)
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2001 (April)
R10.4, 'CALLS' (1st)	<ul style="list-style-type: none"> <li>Submit agreed joint Workplan to CBD for distribution to relevant meetings (e.g. SUBSTA, COP).</li> </ul>	Joint Workplan	High	PMU	1100	No	2001 (June)
R10.4, 'SUGGESTS'	SUGGESTS that Parties, as appropriate to their national circumstances and to encourage synergy, take measures to achieve co-ordination and reduce duplication of activities between their national authorities for each Convention						
R10.4, 'SUGGESTS'	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R10.4, 'CALLS' (2nd)	CALLS upon Parties to explore opportunities for obtaining funding through the Global Environment Facility for relevant projects, including multilateral projects, which fulfil the eligibility criteria and guidance provided by the Conference of the Parties to the Convention on Biological Diversity to the Global Environment Facility						
R10.4, 'CALLS' (2nd)	<ul style="list-style-type: none"> <li>Contact Parties, as appropriate, to propose relevant CITES projects proposed for GEF funding</li> </ul>	Contact relevant Party	High	CBU	1100	Yes (variable)	Ongoing
R10.4, 'CALLS' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes (variable)	Ongoing
R10.4, 'RECOMMENDS'	RECOMMENDS that the Secretariat investigate opportunities whereby CITES can become a partner in the implementation of appropriate provisions of the Convention on Biological Diversity						
R10.4, 'RECOMMENDS'	<ul style="list-style-type: none"> <li>Suggest to CBD which elements of their provisions can be implemented by CITES and propose appropriate mechanisms for this to occur.</li> </ul>	<ul style="list-style-type: none"> <li>Select relevant CBD Provisions</li> <li>Propose appropriate implementing mechanisms</li> </ul>	High	PMU	1100	No	2001 (March)
R10.4, 'INVITES'	INVITES the Conference of the Parties to the Convention on Biological Diversity, at its fourth meeting, to consider further modalities for enhancing co-operation and synergy between the two Conventions, to be considered at the 11th meeting of the Conference of the Parties to CITES						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.4, 'INVITES'	<ul style="list-style-type: none"> <li>Review and update this paragraph</li> </ul>	Revised Resolution	Low	CSU	1100	No	2002 - 150
R10.4, 'INVITES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 - 150
R10.4, 'DIRECTS'	DIRECTS the Chairman of the Standing Committee to transmit to the Conference of the Parties to the Convention on Biological Diversity this and other relevant Resolutions and Decisions adopted at the 10th and all future meetings of the Conference of the Parties						
R10.4, 'DIRECTS'	<ul style="list-style-type: none"> <li>Gather all relevant Resolutions, Decisions and Strategic Plan Action Items, relating to the CBD</li> </ul>	Compiled Annex Document	High	CSU	1100	No	June 2001
R10.4, 'DIRECTS'	<ul style="list-style-type: none"> <li>Communicate the Annex Document to the CBD Secretariat (copy to UNEP)</li> </ul>	Letter to CBD	High	CSU	1100	No	June 2001
R10.5	<b>SHIPMENTS COVERED BY ATA AND TIR CARNETS</b>						
R10.5 RECOMMENDS	<b>RECOMMENDS that all Parties ensure that their Management Authorities issue the appropriate documents for shipments travelling on ATA and TIR carnets; and</b>						
R10.5	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R10.5	<ul style="list-style-type: none"> <li>Consideration could be given to deleting this as a resolution</li> </ul>	Revised Resolutions for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.5	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.5	<ul style="list-style-type: none"> <li>Incorporate the guidance in the forthcoming Implementation Manual</li> </ul>	Provision in Manual	Low	LCU	1100 and 5201	No	Dec 2003
R10.5	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2003
R10.5 URGES	<b>URGES all Parties to communicate with their Customs and other CITES enforcement officials to ensure that all CITES shipments travelling on these carnets comply with the applicable provisions of CITES.</b>						
R10.5	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is</li> </ul>	None	None	LCU	1100	No	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	required unless problems are reported or new procedures are considered appropriate.						
R10.5	<ul style="list-style-type: none"> <li>Consideration could be given to deleting this as a resolution</li> </ul>	Revised Resolutions for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.5	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.5	<ul style="list-style-type: none"> <li>Incorporate the guidance in the forthcoming Implementation Manual</li> </ul>	Provision in Manual	Low	LCU	1100 and 5201	No	Dec 2003
R10.5	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2003
<b>R10.6</b>	<b>CONTROL OF TRADE IN TOURIST SOUVENIR SPECIMENS</b>						
<b>R10.6 URGES</b>	<b>URGES that:</b> <ul style="list-style-type: none"> <li><b>a) all Parties comply fully with the requirements of Article III of the Convention with respect to tourist souvenir specimens of Appendix-I species;</b></li> <li><b>b) Parties take all necessary steps to prohibit the sale of tourist souvenir specimens of Appendix-I species in places of international departure, such as international airports, seaports and border crossings and particularly in duty-free areas beyond Customs control points;</b></li> <li><b>c) such steps include inspection and provision of information to merchants;</b></li> <li><b>d) all Parties make their best efforts to comply fully with the purpose of the Convention with respect to tourist souvenir specimens of Appendix-II species and in particular control export and import of specimens of those species which are likely to be adversely affected by heavy trade; and</b></li> <li><b>e) importing countries experiencing problems with imports of tourist souvenir specimens notify the relevant exporting countries and the CITES Secretariat accordingly;</b></li> </ul>						
R10.6	<ul style="list-style-type: none"> <li>Although the majority of this resolution remains valid and provides useful guidance, it may also, in practice, lead to confusion, as the term 'personal and household effects' has never been adequately defined. The resolution would benefit from being re-drafted or replaced by a new resolution.</li> </ul>	Doc for COP12	Low	LCU	1100 and 5201	No	June 2002
R10.6	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.6	<ul style="list-style-type: none"> <li>In order to assist in re-draft or preparation of new resolution, consultation should take place with World Customs Organization to help identify best wording</li> </ul>	Letter to WCO	Low	LCU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	for definition of personal and household effects.						
R10.6 RECOMMENDS	<b>RECOMMENDS that:</b> a) all Parties provide information through displays and by other means, in all relevant languages, in places of international departure and arrival, informing travellers about the purpose and requirements of the Convention, and of their responsibilities with respect to international and domestic laws relating to the export and import of wildlife specimens; b) Parties, in collaboration with national and international tourist agencies, carriers and other relevant bodies, take all possible steps to ensure that tourists travelling abroad are made aware of the import and export controls that are or may be in force; c) a person in possession of tourist souvenir specimens of Appendix-II species covered by an export permit be afforded the exemption for personal effects given by Article VII when entering States other than the State of usual residence or when leaving States other than the State of export; and d) the term 'tourist souvenir specimen' apply only to personal and household effects acquired outside the owner's State of usual residence and not be applied to live specimens;						
R10.6	<ul style="list-style-type: none"> <li>Although the majority of this resolution remains valid and provides useful guidance, it may also, in practice, lead to confusion, as the term 'personal and household effects' has never been adequately defined. The resolution would benefit from being re-drafted or replaced by a new resolution.</li> </ul>	Doc for COP12	Low	LCU	1100 and 5201	No	June 2002
R10.6	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.6	<ul style="list-style-type: none"> <li>In order to assist in re-draft or preparation of new resolution, consultation should take place with World Customs Organization to help identify best wording for definition of personal and household effects.</li> </ul>	Letter to WCO	Low	LCU	1100	No	Dec 2001
R10.7	<b>DISPOSAL OF CONFISCATED LIVE SPECIMENS OF SPECIES INCLUDED IN THE APPENDICES (JMS)</b>						
R10.7 RECOMMENDS	<b>RECOMMENDS that:</b> a) a Management Authority before making a decision on the disposal of confiscated live specimens of species in the Appendices consult with and obtain the advice of its own Scientific Authority and, if possible, of that of the State of export of the confiscated specimens, and other relevant experts such as IUCN/SSC Specialist Groups; b) each Scientific Authority in preparing its advice take note of the guidelines in Annexes 1 and 2; c) the Secretariat be informed about any decision taken on the disposal of confiscated live specimens of species that are either in Appendix I or, if in Appendix II or III, involve commercial quantities; and d) in the case where live specimens arrive in an importing country without the proper export permits or re-export certificates, and where an importer refuses to accept a shipment of live specimens, the shipment be confiscated and the specimens disposed of in accordance with the guidelines set out in Annex 1 or 2;						



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R10.7	<ul style="list-style-type: none"> <li>Consideration could be given to deleting this as a resolution. Additionally/ alternatively, the text of Resolution 9.10( Disposal of illegally traded, confiscated and accumulated specimens) could be consolidated with this resolution.</li> </ul>	Prepare Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.7	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.7	<ul style="list-style-type: none"> <li>Incorporate the guidance in the forthcoming Implementation Manual</li> </ul>	Provision in Manual	Low	LCU	1100 and 5201	No	Dec 2003
<b>R10.7 URGES</b>	<b>URGES Management Authorities, in consultation with Scientific Authorities and other bodies concerned, to develop action plans to deal with seized and confiscated live specimens consistent with the guidelines set out in Annex 3; and</b>						
R10.7	<ul style="list-style-type: none"> <li>This resolution contains guidance on a specific aspect of implementation. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R10.7	<ul style="list-style-type: none"> <li>Consideration could be given to deleting this as a resolution. Additionally/ alternatively, the text of Resolution 9.10( Disposal of illegally traded, confiscated and accumulated specimens) could be consolidated with this resolution.</li> </ul>	Prepare Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.7	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.7	<ul style="list-style-type: none"> <li>Incorporate the guidance in the forthcoming Implementation Manual</li> </ul>	Provision in Manual	Low	LCU	1100 and 5201	No	Dec 2003
<b>R10.8</b>	<b>CONSERVATION OF AND TRADE IN BEARS</b>						
<b>R10.8 URGES</b>	<b>URGES all Parties, particularly bear range and consuming countries, to take immediate action in order to demonstrably reduce the illegal trade in bear parts and derivatives by the 11th meeting of the Conference of the Parties, by</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R18.8 URGES	<ul style="list-style-type: none"> <li>This text refers to COP11 and is now out of date. The text is also unrealistic in calling for immediate actions and demonstrable reductions. Suggest re-draft or deletion for COP12</li> </ul>	Doc for COP	Low	CSU	1100 and 5201	No	June 2002
R18.8 URGES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.8 URGES para a)</b>	<b>a) confirming, adopting or improving their national legislation to control the import and export of bear parts and derivatives, ensuring that the penalties for violations are sufficient to deter illegal trade</b>						
<b>R10.8 URGES para a)</b>	<ul style="list-style-type: none"> <li>Many trade and consumer states already have provisions in their legislation. Adequate penalties should already be in legislation to implement CITES and to single out tiger is illogical. It would be better to highlight those States who remain a problem. Suggest re-draft or deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
<b>R10.8 URGES para a)</b>	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R10.8 URGES para b)</b>	<b>b) increasing CITES enforcement by providing additional resources, nationally and internationally, for wildlife trade controls</b>						
<b>R10.8 URGES para b)</b>	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. The desired action is also already addressed in Res Conf 11.3 (Enforcement and compliance). Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
<b>R10.8 URGES para b)</b>	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.8 URGES para c)</b>	<b>c) strengthening measures to control illegal export as well as import of bear parts and derivatives</b>						
<b>R10.8 URGES para c)</b>	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. The desired action is also already addressed in Res Conf 11.3 (Enforcement and compliance). Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
<b>R10.8 URGES para c)</b>	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.8 URGES</b>	<b>d) initiating or encouraging new national efforts in key producer and consumer countries to identify, target and eliminate illegal markets</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para d)							
R10.8 URGES para d)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. Suggest incorporation in Res Conf 11.3 (Enforcement and compliance)</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 URGES para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.8 URGES para e)	<b>e) developing international training programmes on enforcement of wildlife laws for field personnel, with a specific focus on bear parts and derivatives, and exchanging field techniques and intelligence</b>						
R10.8 URGES para e)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. Suggest incorporation in Res Conf 11.3 (Enforcement and compliance)</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 URGES para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.8 URGES para f)	<b>f) developing bilateral and regional agreements for conservation and law enforcement efforts</b>						
R10.8 URGES para f)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. Suggest incorporation in Res Conf 11.3 (Enforcement and compliance)</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 URGES para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.8 RECOMMENDS	<b>RECOMMENDS that all Parties review and strengthen measures, where necessary, to enforce the provisions of the Convention relating to specimens of species included in Appendices I and II, where bear parts and derivatives are concerned</b>						
R10.8 RECOMMENDS	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.8 2nd RECOMMENDS Para a)	<b>a) strengthening dialogue between government agencies, industry, consumer groups and conservation organizations to ensure that legal trade does not provide a conduit for illegal trade in parts and derivatives of Appendix-I bears and to increase public awareness of CITES trade controls</b>						
R10.8 2nd RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for action that is relevant to all CITES trade. Suggest incorporation in Res Conf 11.3 (Enforcement and compliance)</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.8 2nd RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.8 2nd RECOMMENDS Para b)</b>	<b>b) encouraging bear range and consumer countries that are not party to CITES to accede to the Convention as a matter of urgency</b>						
R10.8 2nd RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>The only range and consumer States of any significance that are non-Parties are Bhutan, Lao People's Democratic Republic and Democratic People's Republic of Korea. Each of these are tiger range States and have already been contacted and encouraged to accede. Suggest deletion</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 2nd RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.8 2nd RECOMMENDS Para c)</b>	<b>c) providing funds for research on the status of endangered bears, especially Asian species</b>						
R10.8 2 <sup>nd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>No specific action required by Secretariat. If assistance sought by Parties for research, funding proposals may require to be drafted.</li> </ul>	Funding proposals	Low	CBU	1100	50,000	As and when required
R10.8 2 <sup>nd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	50,000	As and when required
<b>R10.8 2nd RECOMMENDS Para d)</b>	<b>d) working with traditional-medicine communities to reduce demand for bear parts and derivatives, including the active promotion of research on and use of alternatives and substitutes that do not endanger other wild species</b>						
R10.8 2 <sup>nd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for such action. In any case, this type of activity is already called for in Res Conf 10.19 (Traditional medicines). Suggest deletion</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 2 <sup>nd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	yes	June 2002
<b>R10.8 2nd RECOMMENDS Para e)</b>	<b>e) developing programmes in co-operation with traditional-medicine communities and conservation organizations to increase public awareness and industry knowledge about the conservation concerns associated with the trade in bear specimens and the need for stronger domestic trade controls and conservation measures</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.8 2 <sup>nd</sup> RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>It is illogical to single out bear for such action. In any case, this type of activity is already called for in Res Conf 10.19 (Traditional medicines). Suggest deletion</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.8 2 <sup>nd</sup> RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	yes	June 2002
<b>R10.8 CALLS</b>	<b>CALLS upon all governments and intergovernmental organizations, international aid agencies and non-governmental organizations to provide, as a matter of urgency, funds and other assistance to stop the illegal trade in bear parts and derivatives and to ensure the survival of all bear species.</b>						
<b>R10.8 CALLS</b>	<ul style="list-style-type: none"> <li>No specific action required by Secretariat. If assistance sought by Parties for particular action, funding proposals may require to be drafted.</li> </ul>	Funding proposals	Low	CBU	1100	50,000	As and when required
<b>R 10.9</b>	<b>CONSIDERATION OF PROPOSALS FOR THE TRANSFER OF AFRICAN ELEPHANT POPULATIONS FROM APPENDIX I TO APPENDIX II</b>						
R10.9	<ul style="list-style-type: none"> <li>Monitor the role and application of this Resolution in terms of possible precedents for other species that it may hold versus standardizing the approach to amend the Appendices for all species (with particular attention to cases where the recommendations of the Panel of Experts are not followed by the Parties)</li> </ul>		Moderate	SSU	1100	No	ongoing
R10.9, 'RESOLVES', para a)	<b>RESOLVES that:</b> a) all proposals to transfer populations of the African elephant from Appendix I to Appendix II shall be subject to a review by a Panel of Experts, which shall consider: i) the scientific evidence regarding the numbers and trends of the populations; ii) the conservation and management of these populations, and threats to their status; and iii) the adequacy of controls on trade in ivory and other parts and derivatives;						
R10.9, 'RESOLVES', para a)	<ul style="list-style-type: none"> <li>Inform proponent Parties of this requirement when a proposal is received to transfer a population to Appendix II (keep a standard information letter on this and related aspects of the implementation of the Resolution on the network to facilitate rapid execution) ;</li> </ul>	Liaison with Parties concerned;	Very High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	No	CoP12-145

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.9, 'RESOLVES', para b)	RESOLVES that: b) the Panel of Experts shall include expertise in the following areas: i) elephant ecology and population biology; ii) field conservation and management; iii) monitoring of trade in parts and derivatives of elephants; iv) establishment and operation of trade regimes including establishment of quotas; and v) security of stocks of elephant parts and derivatives and/or wildlife law enforcement;						
R10.9, 'RESOLVES', para b)	<ul style="list-style-type: none"> <li>Inform proponent Parties and the Standing Committee who shall select the members of the panel of this requirement when a proposal is received to transfer a population to Appendix II;</li> </ul>	Liaison with Parties concerned and Standing Committee	Very High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para b)	<ul style="list-style-type: none"> <li>Maintain a short list of persons with suitable expertise.</li> </ul>	Short list of potential members	Low	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para c)	RESOLVES that: c) the Standing Committee, after consultation as appropriate with UNEP, IUCN, TRAFFIC International, the affected range State and the region concerned, shall nominate the members of the Panel of Experts, which should not exceed six in number;						
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Inform proponent Parties of this requirement when a proposal is received to transfer a population to Appendix II;</li> </ul>	Liaison with Standing Committee and all Parties concerned	Very High	SSU	1100	No	Initiate CoP12-145
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	Yes	Initiate CoP12-145
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Propose a short list of candidates to the Standing Committee;</li> </ul>	Liaison with Standing Committee and all Parties concerned	Very High	SSU	1100	No	Complete CoP12-120
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	Yes	Complete CoP12-120
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Communicate nominations of the Standing Committee to the Parties concerned;</li> </ul>	Liaison with Standing Committee and all Parties concerned	Very High	SSU	1100	No	Complete CoP12-120
R10.9, 'RESOLVES',	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	Yes	Complete CoP12-120

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para c)							
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Inform the members of their nomination;</li> </ul>	Liaison with Standing Committee and all Parties concerned	Very High	SSU	1100	No	Complete CoP12-120
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Very High	CSU	1100	Yes	Complete CoP12-120
R10.9, 'RESOLVES', para c)	<ul style="list-style-type: none"> <li>Maintain standard drafts of letters on these subjects on the network to facilitate rapid execution;</li> </ul>	Liaison with Standing Committee and all Parties concerned	Very High	SSU	1100	No	Complete CoP12-120
R10.9, 'RESOLVES', para d)	RESOLVES that: d) the selection should take into account the need for appropriate geographical representation;						
R10.9, 'RESOLVES', para d)	<ul style="list-style-type: none"> <li>Inform the Standing Committee of this requirement when a proposal is received to transfer a population to Appendix II and facilitate liaison with the parties mentioned as appropriate; take into account when compiling a short list of candidates</li> </ul>	Liaison with Standing Committee and parties concerned	High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-145
R10.9, 'RESOLVES', para e)	RESOLVES that: e) the proponent State should appoint a representative to facilitate the work of the Panel and to act as an adviser;						
R10.9, 'RESOLVES', para e)	<ul style="list-style-type: none"> <li>Inform proponent Parties of this requirement when a proposal is received to transfer a population to Appendix II ;</li> </ul>	Liaison with Parties concerned	High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-145
R10.9, 'RESOLVES', para f)	RESOLVES that: f) the Standing Committee shall direct the CITES Secretariat to convene the Panel of Experts;						
R10.9, 'RESOLVES', para f)	<ul style="list-style-type: none"> <li>Inform the Standing Committee of this requirement when a proposal is received to transfer a population to Appendix II;</li> </ul>	Liaison with Standing Committee	High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-145
R10.9,	RESOLVES that:						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
'RESOLVES', para g)	g) the Panel of Experts shall: <ul style="list-style-type: none"> <li>i) meet at its earliest convenience but no later than two months following the receipt by the Secretariat of a proposal to be reviewed and as frequently thereafter as is necessary;</li> <li>ii) evaluate, within 45 days after its first meeting if possible, each proposal to transfer a population to Appendix II;</li> <li>iii) elect its Chairman from within its own membership;</li> <li>iv) be provided with technical assistance and support as required;</li> <li>v) assign particular tasks to individual members and may appoint consultants to carry out studies on its behalf; and</li> <li>vi) be financed from the regular budget of the CITES Secretariat or from funds assigned for this purpose by Parties;</li> </ul>						
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• Inform the panel members of this requirement;</li> </ul>	Liaison with Panel members	High	SSU	1100	No	Meet <CoP12-90
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Meet <CoP12-90
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• arrange a meeting(s) of the Panel;</li> </ul>	Meeting of the Panel		CSU	1100 3304	No	
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• recommend a programme of work; and assess requirements of technical assistance that the panel may have;</li> </ul>	Evaluation of proposal(s) and report of the Panel		SSU	1100 3304	No	
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• produce a report on the findings of the Panel for the CoP;</li> </ul>	Report		CSU	1100 3304	Yes	Complete evaluation <CoP12-45
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation		SSU	1100 3304	No	Complete evaluation <CoP12-45
R10.9, 'RESOLVES', para g)	<ul style="list-style-type: none"> <li>• Maintain budgetary provision of \$50,000 on budget line 3304 in CoP years</li> </ul>	Line in Trust Fund budget	High	PMU	\$50,000 on 3304	No	Whenever budgets are compiled for next bi-/triennium
R10.9, 'RESOLVES', para h)	RESOLVES that: <ul style="list-style-type: none"> <li>h) the proponent State should undertake to give the Panel or its accredited consultants free and unrestricted access to all data in its possession regarding elephant populations, elephant management, trade in parts and derivatives of elephants and, as appropriate, law enforcement procedures and actions;</li> </ul>						
R10.9, 'RESOLVES', para h)	<ul style="list-style-type: none"> <li>• Inform proponent Parties of this requirement when a proposal is received to transfer a population to Appendix II ;</li> </ul>	Liaison with Parties concerned	High	SSU	1100	No	CoP12-145
R10.9, 'RESOLVES', para h)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-145



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.9, 'RESOLVES', para l)	RESOLVES that: i) in evaluating the status and management of an elephant population the Panel of Experts shall take into account: i) the viability and sustainability of the population, and potential risks; ii) the affected range State's demonstrated ability to monitor the subject population; and iii) the effectiveness of current anti-poaching measures;						
R10.9, 'RESOLVES', para i)	<ul style="list-style-type: none"> <li>Inform the panel members of this requirement;</li> </ul>	Liaison with Panel members	High	SSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para i)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para j)	RESOLVES that: j) in evaluating the affected range State's ability to control trade in ivory from African elephants, the Panel of Experts shall take into account: i) whether total levels of offtake from both legal and illegal killing are sustainable; ii) whether control of ivory stocks is adequate to prevent the mixing of legal and illegal ivory; iii) whether law enforcement is effective; and iv) whether enforcement and controls are sufficient to ensure that no significant amounts of ivory taken or traded illegally from other countries are traded within or through the territory of the affected range State;						
R10.9, 'RESOLVES', para j)	<ul style="list-style-type: none"> <li>Inform the panel members of this requirement;</li> </ul>	Liaison with Panel members	High	SSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para k)	RESOLVES that: k) when appropriate, the Panel of Experts shall also consider: i) the trade in parts and derivatives from the African elephant other than ivory and the controls on such trade in the proponent State; and ii) the controls on ivory trade in specified importing countries;						
R10.9, 'RESOLVES', para k)	<ul style="list-style-type: none"> <li>Inform the panel members of this requirement;</li> </ul>	Liaison with Panel members	High	SSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para k)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-120
R10.9, 'RESOLVES', para l)	RESOLVES that: l) the Panel of Experts shall also evaluate whether acceptance of the proposal under review is likely to have a positive or negative impact on the conservation status of the elephant population and its environment in the affected range State;						
R10.9, 'RESOLVES', para l)	<ul style="list-style-type: none"> <li>Inform the panel members of this requirement;</li> </ul>	Liaison with Panel members	High	SSU	1100	No	CoP12-120
R10.9, 'RESOLVES',	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-120

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para l)							
R10.9, 'RESOLVES', para m)	RESOLVES that: m) for the purpose of deciding on the transfer of a population of the African elephant from Appendix I to Appendix II and the necessary conditions to be attached to such a transfer, the Parties shall take into account the report of the Panel of Experts and in particular: i) the status of the elephant population in the affected range State; ii) the affected range State's ability to manage and conserve its population effectively; and iii) the affected range State's ability to control trade in elephant ivory						
R10.9, 'RESOLVES', para m)	<ul style="list-style-type: none"> <li>Refer to this provision in the Secretariat's comments on proposals;</li> </ul>	Document with Secretariat's comments on proposals	High	SSU	1100	No	CoP12-60
R10.9, 'RESOLVES', para m)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-60
R10.9 'REPEALS'	REPEALS Resolution Conf. 7.9 – Terms of Reference for the Panel of Experts on the African Elephant and Criteria for the Transfer of Certain African Elephant Populations from Appendix I to Appendix II.						
R10.9 'REPEALS'	<ul style="list-style-type: none"> <li>No action required other than to consider proposing incorporation of this Resolution in Res. Conf. 9.24 in future</li> </ul>					No	
R10.10 (Rev.)	<b>TRADE IN ELEPHANT SPECIMENS</b>						
R10.10 (Rev.) REC1	<b><u>REGARDING MARKING</u></b>  <b>Whole tusks of any size, and cut pieces of ivory that are both 20 cm or more in length and one kilogram or more in weight, be marked by means of punch-dies or, where this is not practicable, with indelible ink, using the following formula: country of origin two-letter ISO code, the last two digits of the year/the serial number for the year in question/and the weight in kilograms (e.g., KE 00/127/14). This number is to be placed at the 'lip mark', in the case of whole tusks, and highlighted with a flash of colour</b>						
R10.10 (Rev.) REC1	<ul style="list-style-type: none"> <li>Incorporate into Implementation Manual and continue to use absence of such marking to distinguish ivory in illegal trade</li> </ul>	Implementation Manual segment; references in advice provided to Parties	Low	LCU	1100	No	Dec 2003
R10.10 (Rev.) REC1	<ul style="list-style-type: none"> <li>Include in efforts to harmonize overall CITES marking and documentation</li> </ul>	New Resolution on marking	High	LCU	1100	No	June 2002
R10.10 (Rev.) REC1	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	June 2002
R10.10 (Rev.) REC2	<b><u>REGARDING CONTROL OF INTERNAL IVORY TRADE</u></b>  <b>To those Parties in whose jurisdiction there is an ivory carving industry that is not yet structured, organized or controlled and those Parties designated as ivory importing countries, that comprehensive internal legislative, regulatory and enforcement measures be adopted to:</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	a) register or license all importers, manufacturers, wholesalers and retailers dealing in raw, semi-worked or worked ivory products; and b) introduce recording and inspection procedures to enable the Management Authority and other appropriate government agencies to monitor the flow of ivory within the State, particularly by means of: i) compulsory trade controls over raw ivory; and ii) a comprehensive and demonstrably effective reporting and enforcement system for worked ivory;						
R10.10 (Rev.) REC2	<ul style="list-style-type: none"> <li>Write to relevant Parties asking for an assessment of current legislation, whether there are any plans to improve weak legislation and whether assistance is needed to that end</li> </ul>	Letter; technical assistance	Low	LCU	1100	No	Jan 2002
R10.10 (Rev.) AGR	<b>REGARDING MONITORING OF ILLEGAL HUNTING AND TRADE IN ELEPHANT SPECIMENS</b>						
R10.10 (Rev.) AGR	<ul style="list-style-type: none"> <li>Continue efforts to strengthen and expand MIKE and ETIS programmes</li> </ul>	Sub-regional projects	High	PMU	1100	2,919,100	Ongoing
R10.10 (Rev.) REC3	<b>Regarding assistance to elephant range States</b> <b>Parties assist range States to improve their capacity to manage and conserve their elephant populations through improved law enforcement, surveys and monitoring of wild populations</b>						
R10.10 (Rev.) REC3	<ul style="list-style-type: none"> <li>Identify States with priority need for assistance and develop work plans/projects as appropriate (link with MIKE)</li> </ul>	Technical assistance	Low	CBU	1100	No	Ongoing
R10.10 (Rev.) REC4	<b>REGARDING QUOTAS FOR AND TRADE IN RAW IVORY</b>						
R10.10 (Rev.) REC4	<ul style="list-style-type: none"> <li>No action needed as there are zero quotas and no authorized trade (?)</li> </ul>						
R10.10 (Rev.) APP	<b>APPEALS to all governments, non-governmental conservation organizations and other appropriate agencies to provide funds for the resources required in the Secretariat and producer States to ensure that the recommendations in this Resolution can be effectively implemented</b>						
R10.10 (Rev.) APP	<ul style="list-style-type: none"> <li>Continue efforts to raise funds for implementation and to monitor compliance</li> </ul>	Funds raised; implementation reports	High	PMU	1100	Yes	Ongoing
R10.10 (Rev.) APP	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Ongoing
R10.11	<b>CONSERVATION OF THE HOUBARA BUSTARD</b>						
R10.11 'URGES'	URGES all Parties that are range States for the houbara bustard to take all appropriate action to prohibit all hunting, trapping and egg collection activities in breeding and nesting areas of this species						
R10.11 'URGES'	<ul style="list-style-type: none"> <li>Request range States to inform Secretariat of measures taken to</li> </ul>	Notification	Low	SSU	1100	No	Sept. 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	implement recommendation;						
R10.11 'URGES'	<ul style="list-style-type: none"> <li>If responses indicate that no significant action has been, propose to repeal Resolution at CoP12 or radically modify it to focus on illegal trade</li> </ul>	Document to CoP12		CSU	1100	No	CoP12-150
R10.11 'URGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation		CSU	1100	Yes	CoP12-150
R10.11 'CALLS'	CALLS upon all range States of the Asiatic subspecies of the houbara bustard ( <i>Chlamydotis undulata maqueenii</i> ) to review the Draft Agreement officially circulated by the Government of Saudi Arabia and communicate their comments to the National Commission for Wildlife Conservation and Development (NCWCD), Riyadh, Saudi Arabia						
R10.11 'CALLS'	<ul style="list-style-type: none"> <li>Request range States to inform Secretariat of measures taken to implement this recommendation;</li> </ul>	Notification	Low	SSU	1100	No	Sept. 2001
R10.11 'CALLS'	<ul style="list-style-type: none"> <li>If responses indicate that no significant action has been, propose to repeal Resolution at CoP12 or radically modify it to focus on illegal trade</li> </ul>	Document to CoP12		CSU	1100	No	CoP12-150
R10.11 'CALLS'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation		CSU	1100	Yes	CoP12-150
R10.11 'ENCOURAGES'	ENCOURAGES all range States of this species to co-operate among themselves to initiate research and technical partnerships for the conservation of the species over the entirety of its range						
R10.11 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Request range States to inform Secretariat of measures taken to implement recommendation;</li> </ul>	Notification	Low	SSU	1100	No	Sept. 2001
R10.11 'ENCOURAGES'	<ul style="list-style-type: none"> <li>If responses indicate that no significant action has been, propose to repeal Resolution at CoP12 or radically modify it to focus on illegal trade</li> </ul>	Document to CoP12		CSU	1100	No	CoP12-150
R10.11 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation		CSU	1100	No	CoP12-150
<b>R10.12 (Rev.)</b>	<b>CONSERVATION OF STURGEONS</b>						
R10.12(Rev.) URGES para a)	URGES the range States of species in the order Acipenseriformes to: a) encourage scientific research particularly in the Eurasian region to promote the sustainability of sturgeon fisheries through management programmes;						
R10.12(Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Remind Parties of this requirement</li> </ul>	Notification	High	SSU	1100	No	2001
R10.12(Rev.) URGES para a)	<ul style="list-style-type: none"> <li>Translation</li> </ul>	Translation	High	CSU	1100	No	2001
R10.12(Rev.) URGES para b)	b) curtail the actual illegal fishing and export of sturgeon specimens by improving the enforcement of existing laws regulating fisheries and export in close contact with the CITES Secretariat, ICPO-Interpol and the World Customs Organization;						
R10.12(Rev.) URGES para b)	<ul style="list-style-type: none"> <li>Incorporate in sturgeon conservation strategies;</li> </ul>	Proposed conservation strategy	High	SSU	1100	see DIRECTS	initiate in 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
		for sturgeons				para b)	
R10.12(Rev.) URGES para b)	<ul style="list-style-type: none"> <li>Propose incorporation of such a provision in the proposed Caspian fisheries protocol under the Caspian Regional Seas Convention</li> </ul>	Clause in proposed Caspian fisheries protocol	High	LCU	1100	No	Dec 2001
R10.12(Rev.) URGES para c)	c) explore ways of enhancing the participation of representatives of all agencies responsible for sturgeon fisheries in conservation and sustainable-use programmes for these species; and						
R10.12(Rev.) URGES para c)	<ul style="list-style-type: none"> <li>Incorporate in sturgeon conservation strategies;</li> </ul>	Proposed conservation strategy for sturgeons	High	SSU	1100	see DIRECT Spara b)	initiate in 2001
R10.12(Rev.) URGES para c)	<ul style="list-style-type: none"> <li>Propose incorporation of such a provision in the proposed Caspian fisheries protocol under the Caspian Regional Seas Convention</li> </ul>	Clause in proposed Caspian fisheries protocol	High	LCU	1100	see DIRECTS para b)	Dec 2001
R10.12(Rev.) URGES para d)	d) promote regional agreements between range States of sturgeon species aiming at proper management and sustainable utilization of sturgeons;						
R10.12(Rev.) URGES para d)	<ul style="list-style-type: none"> <li>Incorporate in sturgeon conservation strategies</li> </ul>	Proposed conservation strategy for sturgeons	High	SSU	1100	see DIRECTS para b)	initiate in 2001
R10.12(Rev.) URGES para d)	<ul style="list-style-type: none"> <li>support development of agreements such as the proposed Caspian fisheries protocol under the Caspian Regional Seas Convention</li> </ul>	Collaborate with UNEP/ROE	High	LCU	1100	see DIRECTS para b)	Dec 2001
R10.12(Rev.) RECOMMENDS para a)	RECOMMENDS: a) that Parties provide the Secretariat with copies of applicable legislation on CITES, including legislation on sturgeon species, particularly referring to the export of personal effects (property);						
R10.12(Rev.) RECOMMENDS para a)	<ul style="list-style-type: none"> <li>Request national legislation through a Notification or direct appeal where required</li> </ul>	Notification; incorporate in national legislation project	High	LCU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	January 2001
R10.12(Rev.) RECOMMENDS para b)	b) that range States inform the Secretariat about legal exporters of sturgeon parts and derivatives;						
R10.12(Rev.) RECOMMENDS para b)	<ul style="list-style-type: none"> <li>Remind Parties of this recommendation;</li> </ul>	Notification; Proposed conservation strategy for sturgeons;	High	LCU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.12(Rev.) RECOMMENDS para b)	<ul style="list-style-type: none"> <li>Recommend that a requirement to register legal exporters be Incorporated in sturgeon conservation strategies</li> </ul>	Notification; Proposed conservation strategy for sturgeons;	High	LCU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para c)	c) that importing countries be particularly vigilant in controlling the unloading of sturgeon specimens;						
R10.12(Rev.) RECOMMENDS para c)	<ul style="list-style-type: none"> <li>Remind Parties of this recommendation;</li> </ul>	Notification	High	LCU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para c)	<ul style="list-style-type: none"> <li>Propose amendment to clarify meaning of "onloading";</li> </ul>	Proposed amendment to CoP12	Medium	CSU	1100	No	CoP12-150
R10.12(Rev.) RECOMMENDS para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	CoP12-150
R10.12(Rev.) RECOMMENDS para d)	d) that Parties ensure that all their relevant agencies cooperate in establishing the necessary organization, scientific and control mechanisms needed to implement the provisions of the Convention with respect to the sturgeon, and in any projects designed to conserve sturgeon species;						
R10.12(Rev.) RECOMMENDS para d)	<ul style="list-style-type: none"> <li>Incorporate in sturgeon conservation strategies</li> </ul>	Proposed conservation strategy for sturgeons	High	SSU	1100	see DIRECTS para b)	initiate in 2001
R10.12(Rev.) RECOMMENDS para d)	<ul style="list-style-type: none"> <li>support development of agreements such as the proposed Caspian fisheries protocol under the Caspian Regional Seas Convention</li> </ul>	collaborate with UNEP/ROE	High	LCU	1100	see DIRECTS para b)	Dec 2001
R10.12(Rev.) RECOMMENDS para e)	e) that Parties consider the harmonization of their national legislation related to personal exemptions for caviar, to allow for the personal effects exemption under Article VII, paragraph 3, and consider limiting this exemption to no more than 250 g per person;						
R10.12(Rev.) URGES para e)	<ul style="list-style-type: none"> <li>Remind Parties of this recommendation</li> </ul>	Notification	High	SSU	1100	No	January 2001
R10.12(Rev.) URGES para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	January 2001
R10.12(Rev.) RECOMMENDS para f)	f) that range States of sturgeon species included in Appendix II in accordance with Article II, paragraph 2 (a), consider the feasibility of establishing annual export quotas for sturgeon specimens and, if they are established, communicate such quotas to the Secretariat;						
R10.12(Rev.) RECOMMENDS para f)	<ul style="list-style-type: none"> <li>No further action other than outlined in Decision 11.59</li> </ul>						
R10.12(Rev.)	g) that Parties monitor the storage, processing and re-packaging of sturgeon specimens in Customs free zones and free ports, and for airline and cruise line						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
RECOMMENDS para g)	catering;						
R10.12(Rev.) RECOMMENDS para g)	<ul style="list-style-type: none"> <li>Remind Parties of this recommendation</li> </ul>	Notification	High	LCU	1100	No	Dec 2001
R10.12(Rev.) RECOMMENDS para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	January 2001
R10.12(Rev.) RECOMMENDS para h)	h) that the Secretariat, in consultation with the Animals Committee, explore the development of a uniform marking system for sturgeon parts and derivatives and aquaculture stocks to assist in subsequent identification of the species while consulting with appropriate experts in fisheries, aquaculture and industry, and particularly in collaboration with range States; and						
R10.12(Rev.) RECOMMENDS para h)	<ul style="list-style-type: none"> <li>See Resolution Conf. 11.13 regarding the labeling system</li> </ul>	Support for research and development of a DNA identification system	High	SSU	1100 2101 (in 2000 budget)	10.000	January 2001
R10.12(Rev.) RECOMMENDS para h)	<ul style="list-style-type: none"> <li>Support the involvement of the IUCN/SSC Sturgeon Specialist Group in the development of DNA-based identification systems for stocks and specimens in trade;</li> </ul>	Support for research and development of a DNA identification system	High	SSU	1100	25,000	ongoing
R10.12(Rev.) RECOMMENDS para h)	<ul style="list-style-type: none"> <li>Consult with known forensic service providers to determine suitable sources of identification services</li> </ul>		High	LCU	1100	No	ongoing
R10.12(Rev.) RECOMMENDS para i)	i) that the Animals Committee consider the trade in sturgeon specimens in the context of the review of significant trade, pursuant to Resolution Conf. 8.9 (Rev.); and						
R10.12(Rev.) RECOMMENDS para i)	<ul style="list-style-type: none"> <li>Subject to discussion of the first ten species reviewed in 2000 at AC16, determine if additional species warrant inclusion in Phase V of the Review of Significant Trade; and Contract TRAFFIC or similar organization to conduct the review</li> </ul>	Review of additional species	High	SSU	1100 2103	No	January 2001
R10.12(Rev.) RECOMMENDS para i)	<ul style="list-style-type: none"> <li>For species reviewed in 2000, communicate recommendations drafted by the Animals Committee to the relevant Parties and implement the rest of the process outlined in Res. Conf. 8.9 *Rev.) and Decision 11.106</li> </ul>	Review of additional species	High	SSU	1100 2103	No	January 2001
R10.12(Rev.) DIRECTS para a)	DIRECTS the Secretariat: a) in collaboration with range States and international organizations from both industry and the conservation community, to assist with the development of a						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	strategy including action plans for the conservation of Acipenseriformes; and						
R10.12(Rev.) DIRECTS para a)	<ul style="list-style-type: none"> <li>Assist with the development of a conservation strategy including action plans for sturgeons by, in recognition of the complexity of the task: <ul style="list-style-type: none"> <li>identifying suitable partner organizations;</li> <li>developing a collaborative approach;</li> <li>conduct an inventory of existing strategies, plans and management systems and determine the need for improvements;</li> <li>support the development of strategies and action plans by the countries concerned</li> </ul> </li> </ul>	Proposed conservation strategy for sturgeons	High	SSU	1100	No	Initiate in 2001
R10.12(Rev.) DIRECTS para b)	b) for that purpose, to seek financial assistance from Parties, international organizations, United Nations Specialized Agencies, intergovernmental and non-governmental organizations and industry.						
R10.12(Rev.) DIRECTS para b)	<ul style="list-style-type: none"> <li>Raise funds for the development of a conservation strategy for sturgeons;</li> </ul>	Funds for the proposed conservation strategy for sturgeons;	High	CBU	1100	No	2001
<b>R10.13</b>	<b>IMPLEMENTATION OF THE CONVENTION FOR TIMBER SPECIES</b>						
R10.13, para a)	Any Party that intends to present an amendment proposal for a timber species ... should consult with at least four different organizations listed ... to verify or request biological and trade data and should include any relevant information in the amendment proposal before this is sent to the Secretariat for distribution to the Parties.						
R10.13, para a)	<ul style="list-style-type: none"> <li>Prepare a Notification, explaining this requirement;</li> </ul>	Notification	Low	SSU	1100	No	2002 COP12 -200
R10.13, para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12 -200
R10.13, para a)	<ul style="list-style-type: none"> <li>"If amendment proposals are received that do not comply with this requirement, ensure that the Secretariat's assessment of the proposal refers to this deficiency and move not to recommend the adoption of the proposal.</li> </ul>	Secretariat's Review Document	Low	SSU	1100	No	2002 COP12 -120
R10.13, para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	2002 COP12 -120



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.13, para b)	When any proposal is submitted to amend the CITES appendices for timber species, for the implementation of paragraph I) of the second RESOLVES of Resolution Conf. 9.24, the Secretariat should seek the views of ITTO, FAO, and IUCN and present these to the Conference of the Parties;						
R10.13, para b)	<ul style="list-style-type: none"> <li>Proposals submitted for comment to the three agencies specified.</li> </ul>	Request for comment	High	SSU	1100	No	2002 COP12 -150
R10.13, para b)	<ul style="list-style-type: none"> <li>Comments received incorporated into the Secretariat's review of amendment proposals received</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 COP12 -90
R10.13, para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12 -90
R10.13,para c)	The following definitions be applied with respect to the current annotations #5 and #6 [see I), ii) and iii)]						
R10.13,para c)	<ul style="list-style-type: none"> <li>Include, in the above-mentioned Notification, reference to this requirement.</li> </ul>	Notification	Low	SSU	1100	No	2002 COP12 -200
R10.13,para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12 -200
R10.13, para d)	For the purpose of annotations in the appendices for parts and derivatives of species traded as timber, definitions be used that, to the extent possible, are based on the tariff classifications of the harmonized System of the World Customs Organization;						
R10.13, para d)	<ul style="list-style-type: none"> <li>Check that this requirement is applied before adoption by the COP of any amendment proposals for Timber species</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 COP12 -120
R10.13, para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12 -120
R10.13, para d)	<ul style="list-style-type: none"> <li>Inform proponent Parties concerned on the proposed annotations seeking their agreement</li> </ul>	Correspondence	High	SSU	1100	No	2002 CoP12-120
R10.13, para e)	Proposals for the inclusion of timber species in Appendix II or III indicate clearly which parts and derivatives should be regulated;						
R10.13, para e)	<ul style="list-style-type: none"> <li>Include, in the above-mentioned Notification, reference to this requirement.</li> </ul>	Notification	Low	SSU	1100	No	2002 COP12 -200
R10.13, para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12 -200
R10.13, para f)	Where these [parts and derivatives] are different from the parts and derivatives included in the current annotation #5, the proponent also propose the relevant amendment to Resolution Conf. 10.2 (Rev.) if the procedures for extending the period of validity of, and/or changing the destination on, the export permit or re-export certificate should apply;						
R10.13, para f)	<ul style="list-style-type: none"> <li>Include, in the above-mentioned Notification, reference to this requirement.</li> </ul>	Notification	Low	SSU	1100	No	2002 COP12 -200
R10.13, para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12 -200

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.13, para g)	Timber taken from trees grown in monospecific plantations be considered as being artificially propagated in accordance with the definition contained in Resolution Conf. 9.18 (Rev.):						
R10.13, para g)	<ul style="list-style-type: none"> <li>Consider revising Resolution Conf. 11.11 to include this definition and remove this text from Resolution Conf. 10.13</li> </ul>	Draft revised Resolutions	High	CSU	1100	None	2002 COP12 -150
R10.13, para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12 -150
R10.13, para h)	Parties consider any possible deleterious conservation and trade impacts before they impose stricter domestic measures on trade in timber specimens of species included in Appendix II or III;						
R10.13, para h)	<ul style="list-style-type: none"> <li>Include, in the above-mentioned Notification, reference to this requirement.</li> </ul>	Notification	Low	SSU	1100	No	2002 COP12 -200
R10.13, para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12 -200
R10.13, para i)	<p>Management Authorities work with governmental agencies (including local governments), non governmental organizations, industry and the general public to develop and provide information on the objectives, provisions and implementation of the Convention to counter the misconception that the inclusion of species in the appendices represents a ban on the trade in specimens of these species, and to disseminate the message that international trade and utilization of timber species included in Appendices II and III are generally permitted and can be beneficial;</p> <p><b><i>This does not require action from the Secretariat, but if external funding is provided, the following could be considered (Possible costs are a questimate. Note that the USA has already published a timber brochure.</i></b></p>						
R10.13, para i)	<ul style="list-style-type: none"> <li>If external funding is available, develop a consultancy contract for the preparation of the necessary promotional materials required to meet this objective</li> </ul>	Consultancy Contract	Low	CBU	1100	35.000	Funding dependent
R10.13, para j)	<ul style="list-style-type: none"> <li>Prepare, translate, print and distribute the promotional materials produced for this objective</li> </ul>	Promotional Materials	Low	CSU	1100	12.000	Funding dependent
R10.13, para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	Funding dependent
R10.13, para j)	The range States pay particular attention to internationally traded timber species within their territories for which the knowledge of the biological status and silvicultural requirements gives cause for concern.						
R10.13, para j)	<ul style="list-style-type: none"> <li>If such cause for concern has been detected, the Secretariat or Parties could suggest that the Plants Committee consider these taxa under the provisions of Resolution Conf. 8.9 (Rev.); if not a CITES species, Plants Committee could seek a Party to prepare an Appendix-II listing proposal</li> </ul>	Document to PC	High	SSU	1100	No	

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.13, para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	
R10.14	<b>QUOTAS FOR LEOPARD HUNTING TROPHIES AND SKINS FOR PERSONAL USE</b>						
R10.14 RECOMMENDS	<p>a) in reviewing applications for permits to import whole skins or nearly whole skins of leopard <i>Panthera pardus</i> (including hunting trophies), in accordance with paragraph 3 (a) of Article III of the Convention, the Scientific Authority of the State of import approve permits if it is satisfied that the skins being considered are from one of the following States, which may not export more of the said skins taken from any one calendar year than the number shown under 'Quota' opposite the name of the State:</p> <p>b) in reviewing applications for permits to import whole skins or nearly whole skins of leopard, in accordance with paragraph 3 (c) of Article III of the Convention, the Management Authority of the State of import be satisfied that the said skins are not to be used for primarily commercial purposes if:</p> <p>i) the skins are acquired by the owner in the country of export and are being imported as personal items that will not be sold in the country of import; and</p> <p>ii) the owner imports no more than two skins in any calendar year and their export is authorized by the legislation of the country of origin;</p> <p>c) the Management Authority of the State of import permit the import of leopard skins in accordance with this Resolution only if each skin has a self-locking tag attached which indicates the State of export, the number of the specimen in relation to the annual quota and the calendar year in which the animal was taken in the wild – for example ZW 6/500 1997 indicating that Zimbabwe is the State of export and that the specimen is the sixth specimen taken in the wild in Zimbabwe out of its quota of 500 for 1997 – and if the same information as is on the tag is given on the export document;</p> <p>d) in the case of whole or nearly whole leopard skins traded according to the terms of this Resolution, the words "has been granted" in paragraph 2 (d) of Article III of the Convention be deemed to have been satisfied upon the written assurance of the Management Authority of the State of import that an import permit will be granted;</p> <p>f) the system adopted in this Resolution be continued, with any increase in a quota or any new quota (i.e. for a State not previously having one) requiring the consent of the Conference of the Parties, in accordance with Resolution Conf. 9.21 adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994);</p>						
R10.14	<ul style="list-style-type: none"> <li>Change title to better reflect contents</li> </ul>	Document	Low	LCU	1100	No	CoP12-150
R10.14	<ul style="list-style-type: none"> <li>The majority of this resolution relates to the management of leopard trophies and skins. It remains valid and only one specific action is required unless problems are reported or new procedures are considered appropriate.</li> </ul>	None	None	LCU	1100	No	None
R10.14	<ul style="list-style-type: none"> <li>Incorporate into new Resolution on marking</li> </ul>	None	None	LCU	1100	No	None
R10.14 DIRECTS	<p><b>DIRECTS the Secretariat to recommend to the Parties to suspend imports of leopard trophies and skins from any country granted annual quotas that has not met the reporting requirement in accordance with recommendation e) of this Resolution, but only after first checking with the range State concerned that the special report has not been submitted</b></p> <p>e) each State that permits exports of leopard skins in terms of this Resolution submit to the Secretariat, by 31 March of each year, a special report on the number of trophies and skins so exported during the previous year; as optional information, that each State include details of the permit numbers, the identification numbers of the tags attached to the skins, the countries of destination and the numbers of the import permits; and that the Secretariat submit a report to each regular meeting of the Conference of the Parties</p>						
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Monitor submission of reports and contact</li> </ul>	Contact with range	High	LCU	1100	No	May 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	Botswana, Central African Republic, Ethiopia, Kenya, Malawi, Mozambique, Namibia, South Africa, United Republic of Tanzania, Zambia and Zimbabwe, as appropriate, if not submitted. Issue Notification for suspension of imports if not satisfactory explanation.	State(s) if necessary Notification if necessary					
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	May 2001
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Monitor submission of report and contact relevant range State(s) if not submitted. Issue Notification for suspension of imports if not satisfactory explanation.</li> </ul>	Contact with range States(s) if necessary Notification if necessary	High	LCU	1100	No	May 2002
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	May 2002
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Prepare report for COP12</li> </ul>	Doc for COP	Medium	LCU	1100	No	June 2002
R10.14 DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	June 2002
<b>R.10.15</b>	<b>ESTABLISHMENT OF QUOTAS FOR MARKHOR HUNTING TROPHIES</b>						
<b>R10.15 RECOMMENDS</b>	<p><b>RECOMMENDS that:</b></p> <p>a) in reviewing applications for permits to import markhor hunting trophies, in accordance with paragraph 3 (a) of Article III of the Convention, the Scientific Authority of the State of import approve permits if it is satisfied that the trophies being considered are from Pakistan and will be traded in accordance with the provisions of this Resolution;</p> <p>b) in reviewing applications for permits to import markhor hunting trophies, in accordance with paragraph 3 (c) of Article III of the Convention, the Management Authority of the State of import be satisfied that the said trophies are not to be used for primarily commercial purposes if:</p> <p>i) the trophies are acquired by the owners in the country of export and are being imported as personal items that will not be sold in the country of import; and</p> <p>ii) each owner imports no more than one trophy in any calendar year and export is authorized by the legislation of the country of origin;</p> <p>c) the Management Authority of the State of import permit the import of markhor hunting trophies in accordance with this Resolution only if each trophy has a self-locking tag attached which indicates the State of export, the number of the specimen in relation to the annual quota and the calendar year to which the quota applies, and if the same information as is on the tag is given on the export document;</p> <p>d) in the case of trophies traded according to the terms of this Resolution, the words "has been granted" in paragraph 2 (d) of Article III of the Convention be deemed to have been satisfied upon the written assurance of the Management Authority of the State of import that an import permit will be granted;</p> <p>f) the system adopted in this Resolution be continued, with any increase in the quota or any new quota (i.e. for another State not previously having one) requiring the consent of the Conference of the Parties, in accordance with Resolution Conf. 9.21 adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994); and</p>						
R10.15	<ul style="list-style-type: none"> <li>Change title to better reflect contents</li> </ul>	Document	Low	LCU	1100	No	CoP12-150
R10.15	<ul style="list-style-type: none"> <li>The majority of this resolution relates to the management of markhor hunting</li> </ul>	None	None	LCU	1100	No	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	trophies from Pakistan. It remains valid and only one specific action is required unless problems are reported or new procedures are considered appropriate.						
R10.15	<ul style="list-style-type: none"> <li>Incorporate into new Resolution on marking</li> </ul>	None	None	LCU	1100	No	None
R10.15 DIRECTS	<p><b>DIRECTS the Secretariat to recommend to the Parties to suspend imports of markhor hunting trophies if Pakistan, or any other country subsequently approved for an export quota, has not met the reporting requirement in accordance with recommendation e) of this Resolution, but only after first checking with Pakistan (or any other range State concerned) to ascertain why the special report has not been submitted.</b></p> <p><b>e) Pakistan submit to the Secretariat, by 31 March of each year, or later if prevailing climatic conditions have delayed the completion of surveys, a special report on the status of <i>Capra falconeri</i> including its population status and the number of hunting trophies exported during the previous quota year; as optional information, that Pakistan include details of the permit numbers, the identification numbers of the tags attached to the trophies, the countries of destination and the numbers of the import permits; that the Management Authority of Pakistan inform the Secretariat if the report will be submitted after the deadline; and that the Secretariat submit a report to each regular meeting of the Conference of the Parties</b></p>						
R10.15 DIRECTS	<ul style="list-style-type: none"> <li>Monitor submission of report and contact Pakistan if not submitted. Issue Notification for suspension of imports if not satisfactory explanation.</li> </ul>	Contact with Pakistan if necessary Notification if necessary	High	LCU	1100	No	May 2001
R10.15 DIRECTS	<ul style="list-style-type: none"> <li>Monitor submission of report and contact Pakistan if not submitted. Issue Notification for suspension of imports if not satisfactory explanation.</li> </ul>	Contact with Pakistan if necessary Notification if necessary	High	LCU	1100	No	May 2002
R10.15 DIRECTS	<ul style="list-style-type: none"> <li>Prepare report for COP12</li> </ul>	Doc for COP	Low	LCU	1100	No	June 2002
R10.17 (Rev.)	<b>ANIMAL HYBRIDS</b>						
R10.17(Rev.) DECIDES	<p>DECIDES that:</p> <p>a) hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild;</p> <p>b) hybrid animals that have in their recent lineage one or more specimens of species included in Appendix I or II shall be subject to the provisions of the Convention just as if they were full species, even if the hybrid concerned is not specifically included in the Appendices;</p> <p>c) if at least one of the animals in the recent lineage is of a species included in Appendix I, the hybrids shall be treated as specimens of species included in Appendix I (and shall be eligible for the exemptions of Article VII when applicable);</p> <p>d) if at least one of the animals in the recent lineage is of a species included in Appendix II, and there are no specimens of an Appendix-I species in such lineage, the hybrids shall be treated as specimens of species included in Appendix II; and</p> <p>e) as a guideline, the words “recent lineage”, as used in this Resolution, shall generally be interpreted to refer to the previous four generations of the lineage;</p>						
R10.17(Rev.) DECIDES	<ul style="list-style-type: none"> <li>Take into account when commenting on a proposal to include hybrids in the Appendices</li> </ul>	Secretariat's review of proposals document	High	SSU	1100	No	CoP12-150

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.17(Rev.) DECIDES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	CoP12-150
R10.17(rev.) 'RECOMMENDS'	RECOMMENDS that, when Parties are considering the making of non-detriment findings, in accordance with Article III, paragraph 2 (a), or Article IV, paragraph 2 (a), for specimens of hybrids that are subject to the provisions of the Convention, they take into account any potential detriment to the survival of the listed species; and						
R10.17 'RECOMMENDS'	<ul style="list-style-type: none"> <li>Advise Parties accordingly if requested, otherwise too rare to include in future guidelines on non-detriment findings</li> </ul>	Advice to Parties	Low	SSU	1100	No	ongoing
R10.19	<b>TRADITIONAL MEDICINES</b>						
R10.19 RECOMMENDS Para a)	<b>a) work closely with groups of traditional-medicine practitioners and consumers in developing public education and awareness programmes towards the reduction and eventual elimination of illegal use of endangered species, and developing awareness of the need to avoid over-exploitation of other wild species</b>						
R10.19 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Several Parties and NGOs and engaged in these activities. No specific action is required by the Secretariat. It should, however and when called upon to do so, support such efforts and provide relevant input.</li> </ul>	Articles and attendance at relevant meetings	Low	LCU	11001601	No	Ongoing
R10.19 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>The Secretariat should continue to monitor activities in this field, bring attention to new developments and report to COP.</li> </ul>	Alerts CITES World articles Doc for COP	Low	LCU	1100	No	June 2002
R10.19 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R10.19 RECOMMENDS Para b)	<b>b) ensure that, in accordance with Resolution Conf. 9.6 adopted at the ninth meeting of the Conference of the Parties (Fort Lauderdale, 1994), their national legislation effectively controls trade in all parts and derivatives of species used for healing purposes and trade in medicinal products containing or purporting to contain them</b>						
R10.19 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>This recommendation should apply to all CITES-listed species and it is illogical to single out traditional medicine. Suggest re-draft or deletion.</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R10.19 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.19 RECOMMENDS Para c)	<b>c) strengthen efforts to enforce legislation governing trade in threatened and endangered species and capitalize on the value of such action in focusing public attention on the importance of safeguarding wild populations</b>						
R10.19 RECOMMENDS	<ul style="list-style-type: none"> <li>This recommendation is relevant to all</li> </ul>	Doc for COP12	Low	CSU	1100 and	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para c)	CITES trade and it is illogical to single out traditional medicine. Suggest deletion.				5201		
R10.19 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
<b>R10.19 RECOMMENDS Para d)</b>	<b>d) promote the development of techniques, including the application of forensic science, for identifying parts and derivatives used in traditional medicines</b>						
R10.19 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>As part of ongoing monitoring of this subject, disseminate any information obtained regarding new developments.</li> </ul>	Alerts CITES World articles	Low	LCU	1100	No	Ongoing
R10.19 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	Ongoing
R10.19 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>If particular species are identified, through TIGERS, as being of significant concern, communicate this to relevant research centres.</li> </ul>	Letters to USFWS Lab and/or Detecting Centre of Wild Fauna and Flora in China (for example)	Low	LCU	1100	No	Ongoing
R10.19 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>If specific areas of concern are identified, consider funding proposals to seek donor support for research.</li> </ul>	Project documents and funding proposals	Low	CBU	1100	50,000	As and when required
<b>R10.19 RECOMMENDS Para e)</b>	<b>e) investigate the potential for further use in traditional medicines of substitutes for specimens of threatened wild species, ensuring that this does not lead to other species becoming threatened</b>						
R10.19 RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>As part of ongoing monitoring of this subject, disseminate any information obtained regarding new developments.</li> </ul>	Alerts CITES World articles	Low	LCU SSU	1100	No	Ongoing
R10.19 RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	Ongoing
<b>R10.19 RECOMMENDS Para f)</b>	<b>f) consider, where appropriate and with sufficient safeguards, the application of artificial propagation and, in certain circumstances, captive breeding, to meet the needs of traditional medicines where this would relieve pressure on wild populations of species and is in accordance with their national legislation</b>						
R10.19 RECOMMENDS Para f)	<ul style="list-style-type: none"> <li>As part of ongoing monitoring of this subject, disseminate any information obtained regarding new developments.</li> </ul>	Alerts CITES World articles	Low	LCU SSU	1100	No	Ongoing

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.19 RECOMMENDS Para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	Ongoing
R10.19 URGES	<b>URGES potential donors to assist with funding actions to implement the measures in this Resolution</b>						
R10.19 URGES	<ul style="list-style-type: none"> <li>No specific action required. Respond to requests from Parties for assistance in financing projects.</li> </ul>	Funding proposals	Low	CBU	1100	No	As and when required
R10.20	<b>FREQUENT CROSS-BORDER MOVEMENT OF PERSONALLY OWNED LIVE ANIMALS</b>						
R10.20 RECOMMENDS	<p>a) the term ‘personal or household effects’ in Article VII, paragraph 3, for the purpose of the application of this Resolution, include personally owned live animals that are based and registered in the owner's State of usual residence;</p> <p>b) although any Party may issue a certificate of ownership to the personal owner of a legally acquired live animal who wishes to travel to other States with the animal as a personal or household effect, it should do so only after agreement between the Parties concerned and if the usual residence of the owner is in the territory of such Party and the animal is registered with the Management Authority of that Party;</p> <p>c) a Management Authority not issue a certificate of ownership for a live animal of a species listed in the Appendices that is a personal or household effect unless it is satisfied that the live animal is legally possessed by the applicant and that the animal has not been acquired in contravention of the provisions of the Convention;</p> <p>d) the Management Authority require the applicant for a certificate of ownership to provide his name and address and pertinent data regarding the live animal, including the species, sex and mark number or other means of identification;</p> <p>e) the certificate issued in accordance with paragraph b) above include in box 5, or in another box if the standard form referred to in Resolution Conf. 10.2<sup>1</sup> is not used, the following language: "The specimen covered by this certificate, which permits multiple cross-border movements, is owned for personal non-commercial use and may not be transported for commercial purposes. If the certificate holder is no longer in the possession of the live animal, the certificate must be immediately returned to the issuing Management Authority";</p> <p>f) when a live animal that is the subject of a certificate of ownership issued pursuant to this Resolution is no longer in the possession of the owner (escape, death, sale, theft, etc.), the original certificate of ownership be immediately returned to the issuing Management Authority;</p> <p>g) a certificate of ownership issued for a live animal as a personal or household effect be valid for a maximum period of three years to allow multiple imports, exports and re-exports of the animal;</p> <p>h) the Parties concerned treat each certificate of ownership as a type of passport that allows the movement of a live animal accompanied by its owner across their borders upon presentation of the original certificate to the appropriate border control officer who:</p> <p>i) inspects the original and validates it with an ink stamp, signature and date to show the history of movement from State to State; and</p> <p>ii) does not collect the original at the border, but allows it to remain with the specimen;</p> <p>i) the Parties concerned inspect such a live animal to ensure that it is transported and cared for in a manner that minimizes the risk of injury, damage to health or cruel treatment;</p> <p>j) the Parties concerned require that any live animal that is a personal or household effect be securely marked or otherwise appropriately identified and that this mark be included on the certificate of ownership so that the authorities of the State into which the live animal enters can verify that the certificate corresponds to the live animal in question;</p> <p>k) when, during a stay in another State, a live animal travelling under a certificate of ownership produces progeny, the holder of the certificate comply with the requirements of Article III, IV or V to export such progeny from the State where it was produced and to import it into the holder's State of usual residence. For progeny produced from an animal travelling under a certificate of ownership, a certificate of ownership may be issued after it has been moved to the State of usual residence of the owner of the parent;</p>						
R10.20	<ul style="list-style-type: none"> <li>This resolution contains general guidance on a specific aspect of international</li> </ul>	None	None	LCU	1100	No	None



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	movement of CITES-listed species, primarily pets. It remains valid and no specific action is required unless problems are reported or new procedures are considered appropriate.						
R10.20	<ul style="list-style-type: none"> <li>Consideration could be given to deleting this as a resolution</li> </ul>	COP12 Doc	Low	CSU	1100 and 5201	No	June 2002
R10.20	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R10.20	<ul style="list-style-type: none"> <li>Incorporate the guidance in the forthcoming Implementation Manual</li> </ul>	Provision in Manual	Low	LCU	1100 and 5201	No	Dec 2002
R10.20	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	Dec 2002
<b>R10.21</b>	<b>TRANSPORT OF LIVE ANIMALS</b>						
R10.21 'DIRECTS' (1st)	DIRECTS the Animals Committee to deal with matters related to the transport of live animals;						
R10.21 'DIRECTS' (1st)	<ul style="list-style-type: none"> <li>Propose the deletion of this paragraph in view of specific directives to the Animals Committee elsewhere in the Resolution, or an amendment to this paragraph to clarify with which matters related to the transport of live animals the Animals Committee should deal if not those specified elsewhere in the Resolution.</li> </ul>	Proposed amendment in document to CoP12	Low	CSU	1100	No	AC17-90 CoP12-150
R10.21 'DIRECTS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	AC17-90 CoP12-150
R10.21 'DIRECTS' (1st)	<ul style="list-style-type: none"> <li>Recommend that most appropriate involvement by AC should be to provide an advisory service to the Parties (and Secretariat) concerning the refinement of guidelines for transport and preparation for shipment of live wild animals</li> </ul>	Proposed amendment in document to CoP12	Low	SSU	1100	No	AC17-90 CoP12-150
R10.21 'RECOMMENDS' , para a)	RECOMMENDS that: a) suitable measures be taken by the Parties to promote the full and effective use by Management Authorities of the Guidelines for Transport and Preparation for Shipment of Live Wild Animals and Plants and that they be brought to the attention of carriers, freight forwarders and international organizations and conferences competent to regulate conditions of carriage by air, land and sea or inland waterways;						
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Provide copies to all Parties and competent international organizations of</li> </ul>	Provide Revised CITES Guidelines	Low	CSU	1100	No	Initiate fund raising in 2001;

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	the Guidelines once revised;	document to all Parties, relevant international organizations and make it accessible to the public on the Web; raise external funds; report on compliance to CoP					Unknown, after completion of revision and publication of revised guidelines
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Provide copies to all Parties and competent international organizations of the Guidelines once revised;</li> </ul>	Provide Revised CITES Guidelines document to all Parties, relevant international organizations and make it accessible to the public on the Web; raise external funds; report on compliance to CoP	Low	CBU	1100	20.000	Initiate fund raising in 2001; Unknown, after completion of revision and publication of revised guidelines
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Provide revised Guidelines on the website;</li> </ul>			CSU		No	
R10.21 'RECOMMENDS' , para a)	<ul style="list-style-type: none"> <li>Assess compliance by asking Parties through a Notification to inform the Secretariat of cases of seizures of shipments or refusals of import on the basis of inadequate use of the guidelines</li> </ul>	Notification	Low	LCU	1100	No	Dec 2001
R10.21 'RECOMMENDS' , para b)	RECOMMENDS that: b) Parties invite the above organizations and institutions to comment on and amplify these Guidelines, so as to promote their effectiveness;						
R10.21 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>Initiate the review and updating of the Guidelines, by asking Parties through a Notification to invite relevant institutions to comment on the existing guidelines; alternatively</li> </ul>	Notification to Parties; Proposed amendment in document to CoP12; Revised CITES Guidelines document	Low	SSU	1100	20.000	Initiate in 2001 once external funds are available

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.21 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>propose amendment to paragraph to (preferred option) enable the Secretariat to conduct the review and consult with relevant bodies as required and propose revised guidelines for adoption by the Conference of the Parties; and/or (second option) to reword this paragraph to : "Parties invite the above organizations and institutions to comment on and suggest improvements to these Guidelines";</li> </ul>	Draft Resolution	Low	SSU	1100	No	CoP12-150
R10.21 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	CoP12-150
R10.21 'RECOMMENDS' , para b)	<ul style="list-style-type: none"> <li>compile revised Guidelines, in consultation with the Animals (and Plants) Committees, based on input collected by Parties;</li> </ul>	Document	Low	SSU	1100	No	ongoing
R10.21 'RECOMMENDS' , para c)	RECOMMENDS that: c) the regular communication of the CITES Secretariat and the Standing Committee with the Live Animals and Perishables Board of the International Air Transport Association (IATA) be continued and that a relationship with the Animals Transportation Association (AATA) be developed;						
R10.21 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>propose amendment to paragraph to delete reference to the Standing Committee, which does not regularly have to communicate with these bodies;</li> </ul>	Proposed amendment in document to CoP12; Liaison with relevant bodies	Low	SSU	1100	No	CoP12-150
R10.21 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	CoP12-150
R10.21 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>maintain contact with bodies and keep them on mailing list;</li> </ul>	Letters	Low	SSU	1100	No	ongoing
R10.21 'RECOMMENDS' , para c)	<ul style="list-style-type: none"> <li>initiate contact with Animals Transportation Association (AATA)</li> </ul>	Letters	Low	SSU	1100	No	initiate in 2001
R10.21 'RECOMMENDS' , para d)	RECOMMENDS that: d) for as long as the CITES Secretariat and the Standing Committee agree, the IATA Live Animals Regulations be deemed to meet the CITES Guidelines in respect of air transport;						
R10.21 'RECOMMENDS' , para e)	<ul style="list-style-type: none"> <li>No action required except to refer to in revised guidelines</li> </ul>	Reference in revised Guidelines	Low	SSU	1100	No	unknown

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.21 'RECOMMENDS' , para e)	RECOMMENDS that: e) except where it is inappropriate, the IATA Live Animals Regulations should be used as a reference to indicate suitable conditions for carriage by means other than air;						
R10.21 'RECOMMENDS' , para e)	<ul style="list-style-type: none"> <li>No action required except to refer to in revised guidelines</li> </ul>	Reference in revised Guidelines	Low	SSU	1100	No	unknown
R10.21 'RECOMMENDS' , para f)	RECOMMENDS that: f) the IATA Live Animals Regulations be incorporated into the domestic legislation of the Parties;						
R10.21 'RECOMMENDS' , para f)	<ul style="list-style-type: none"> <li>propose amendment to change "incorporated" to "referred to where appropriate" as IATA LAR are continuously updated and some Parties may have alternative regulations that are sufficient and specific to the species traded from that country;</li> </ul>	Proposed amendment in document to CoP12	Low	CSU	1100	No	CoP12-150
R10.21 'RECOMMENDS' , para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	CoP12-150
R10.21 'RECOMMENDS' , para f)	<ul style="list-style-type: none"> <li>assess as part of National legislation project</li> </ul>	Correspondence	Low	LCU	1100	No	ongoing
R10.21 'RECOMMENDS' , para g)	RECOMMENDS that: g) applicants for export permits or re-export certificates be notified that, as a condition of issuance, they are required to prepare and ship live specimens in accordance with the IATA Live Animals Regulations for transport by air and the CITES Guidelines for Transport of Live Specimens for carriage by means other than air;						
R10.21 'RECOMMENDS' , para g)	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification	Low	LCU	1100	No	2001
R10.21 'RECOMMENDS' , para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'RECOMMENDS' , para h)	RECOMMENDS that: h) to the extent possible, shipments of live animals be examined and necessary action taken to determine the well-being of the animals by CITES-designated persons or airline personnel during extended holding periods at transfer points;						
R10.21 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification (?)	Low	LCU	1100	No	2001
R10.21 'RECOMMENDS' , para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'RECOMMENDS' , para i)	RECOMMENDS that: i) where Parties to the Convention have designated ports of entry and exit, animal-holding facilities be provided						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.21 'RECOMMENDS' , para i)	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification	Low	LCU	1100	No	2001
R10.21 'RECOMMENDS' , para i)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'RECOMMENDS' , para j)	RECOMMENDS that: j) to the extent possible, Parties ensure that animal-holding facilities are open for inspection of shipments, with the concurrence of the transport company, by CITES-designated enforcement personnel or designated observers; and that any documented information be made available to the appropriate authorities and transport companies						
R10.21 'RECOMMENDS' , para j)	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification	Low	LCU	1100	No	2001
R10.21 'RECOMMENDS' , para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'URGES'	URGES all Parties that permit imports of live animals: to maintain records of the number of live specimens per shipment and of mortalities in transport of species listed in the appendices; to note obvious causes of mortality, injury or damage to health; and to provide these data relating to the previous calendar year along with their annual reports						
R10.21 'URGES'	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification	Low	SSU	1100	No	2001
R10.21 'URGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'DECIDES'	DECIDES that non-submission of these data will be noted in a report from the Secretariat to the Standing Committee						
R10.21 'DECIDES'	<ul style="list-style-type: none"> <li>Assess compliance by referring to annual reports for 1998, 1999 and 2000 and compile report</li> </ul>	Report on non-compliance to Standing committee	Low	LCU	1100	No	Dec 2001
R10.21 'DECIDES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'DIRECTS' (2nd), para a)	DIRECTS further the Animals Committee, in consultation with the Secretariat: a) to establish the format for the presentation of data on mortality and injury or damage to health in transport;						
R10.21 'DIRECTS' (2nd), para a)	<ul style="list-style-type: none"> <li>propose amendment to clarify that this directive refers to the section "URGES".</li> </ul>	Review of reporting format in document to AC17	Low	SSU	1100	No	2001
R10.21 'DIRECTS' (2nd), para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21 'DIRECTS' (2nd), para a)	<ul style="list-style-type: none"> <li>review current formats used by Parties and if there is a lack of uniformity, request the Animals Committee to propose a</li> </ul>	Review of reporting format in document to AC17	Low	SSU	1100	No	AC17-90

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	standard format						
R10.21 'DIRECTS' (2nd), para b)	DIRECTS further the Animals Committee, in consultation with the Secretariat: b) to conduct a systematic review of the scope and causes of the mortality and injury or damage to health of animals during the shipment and transport process and of means of reducing such mortality and injury or damage to health; i) the review should include a process for making recommendations to the Parties designed to minimize mortality, on the basis of consultation with exporting, importing, re-exporting and transit countries, IATA and AATA, and additional information from scientists, veterinarians, zoological institutions, trade representatives, carriers, freight forwarders and other experts; and ii) these recommendations should be focused on individual species and countries of export, import, re-export or transit where appropriate, particularly those that have significant high mortality rates in transport, and should be designed to provide positive solutions to identified problems						
R10.21 'DIRECTS' (2nd), para b)	<ul style="list-style-type: none"> <li>Based on discussions at AC16, request Animals Committee to develop a systematic programme of work to implement this directive</li> </ul>	Working programme of Animals Committee	Low	SSU	1100	35.000	2001 AC17-90
R10.21 'DIRECTS' (3rd), para a)	DIRECTS the Secretariat: a) to convey these recommendations to the exporting, importing or re-exporting Parties concerned, IATA and AATA after they have been approved by the Standing Committee						
R10.21 'DIRECTS' (3rd), para a)	<ul style="list-style-type: none"> <li>Forward recommendations from Animals Committee to Standing Committee for approval and subsequently to the relevant Parties and organizations;</li> </ul>	Recommendation to Standing Committee, Parties and relevant organizations	Low	SSU	1100	No	2002 post-AC17
R10.21 'DIRECTS' (3rd), para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 post-AC17
R10.21 'DIRECTS' (3rd), para b)	DIRECTS the Secretariat: b) in consultation with the Animals and Standing Committees, to monitor the implementation of these recommendations and of other aspects of this Resolution and report its findings and recommendations at each meeting of the Conference of the Parties						
R10.21 'DIRECTS' (3rd), para b)	<ul style="list-style-type: none"> <li>In consultation with Animals and Standing Committees, ask Parties and organizations to confirm that recommendations are implemented;</li> </ul>	Report to CoP	Low	SSU	1100	No	ongoing 2002 Cop12-150
R10.21 'DIRECTS' (3rd), para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	ongoing 2002 Cop12-150
R10.21, 'INVITES'	INVITES non-governmental organizations, particularly veterinary, scientific, conservation, welfare and trade organizations with expertise in the shipment, preparation for shipment, transport, care or husbandry of live animals, to provide the necessary financial, technical and other assistance to those Parties in need of and requesting such assistance to ensure the effective implementation of the provisions of the Convention for the transport and preparation for shipment of live animals subject to international trade						
R10.21, 'INVITES'	<ul style="list-style-type: none"> <li>No action required by Secretariat except to remind Parties of this Recommendation</li> </ul>	Notification	Low	SSU	1100	No	2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R10.21, 'INVITES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001
R10.21, 'NOTES', para a)	NOTES that in order to improve implementation of the IATA Live Animals Regulations by the Parties there is a need for greatly increased awareness of the Regulations through: a) more effective methods of training of personnel of airlines and enforcement agencies						
R10.21, NOTES', para a)	<ul style="list-style-type: none"> <li>No action required by Secretariat</li> </ul>	-	-	-	-	-	-
R10.21, 'NOTES', para b)	NOTES that in order to improve implementation of the IATA Live Animals Regulations by the Parties there is a need for greatly increased awareness of the Regulations through: b) improved methods of liaison and information						
R10.21, NOTES', para b)	<ul style="list-style-type: none"> <li>No action required by Secretariat</li> </ul>	-	-		-		-
R10.21, 'REPEALS'	REPEALS Resolution Conf. 9.23 – Transport of Live Specimens						
R10.21, 'REPEALS'	<ul style="list-style-type: none"> <li>No action required by Secretariat</li> </ul>						
<b>R11.1</b>	<b>ESTABLISHMENT OF COMMITTEES</b>						
R11.1 AGREES	AGREES to formalize a system for the appointment of committees of the Conference of the Parties and to establish procedures to be followed when committees are created						
R11.1 AGREES	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para a)	RESOLVES that: There shall be a permanent Standing Committee of the Conference of the Parties, which shall be the senior Committee, and shall report to the Conference of the Parties						
R11.1, para a)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para b)	There shall be an Animals Committee, a Plants Committee and a Nomenclature Committee, which shall report to the Conference of the Parties at its meetings and, if so requested, to the Standing Committee between meetings of the Conference of the Parties						
R11.1, para b)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para c)	The Conference of the Parties may appoint additional committees as the need arises						
R11.1, para c)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para d)	The Conference of the Parties or the Standing Committee may appoint working groups with specific terms of reference as required to address specific problems. These working groups shall have a defined life span which shall not exceed the period until the next meeting of the Conference of the Parties, at which time it may be renewed if necessary. They shall report to the Conference of the Parties and, if so requested, to the Standing Committee						
R11.1, para d)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para e)	The Rules of Procedure to be adopted by the Standing Committee shall apply to other Committees as far as is practicable						
R11.1, para e)	<ul style="list-style-type: none"> <li>When the Rules of Procedure of the Standing Committee are amended, prepare any text necessary for</li> </ul>	drafting Translation	High	CSU	1100		as required

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	amendment of the Rules of procedure of the Animals and Plants Committees						
R11.1, para e)	<ul style="list-style-type: none"> <li>Advise the Animals and Plants Committees of the proposed change</li> </ul>	consultation	High	SSU	1100		as required
R11.1, para e)	<ul style="list-style-type: none"> <li>Comment on any proposals of the Animals and Plants Committees to amend their Rules of Procedure.</li> </ul>	consultation	High	SSU	1100		as required
R11.1, para e)	<ul style="list-style-type: none"> <li>Review any proposals of the Animals and Plants Committees to amend their Rules of Procedure</li> </ul>	consultation	High	CSU	1100		as required
R11.1, para f)	Regional representatives shall be elected by the Conference of the Parties as members of the Standing Committee						
R11.1, para f)	<ul style="list-style-type: none"> <li>Advise regional meetings on the procedures and requirements</li> </ul>	consultation, presentation at meetings Translation	High	Regional Assistants	1100 1602		at each CoP
R11.1, para g)	To the extent possible, the Secretariat shall make provision for the payment, if requested, of reasonable and justifiable travel expenses of members of the Standing Committee, the Animals Committee and the Plants Committee						
R11.1, para g)	<ul style="list-style-type: none"> <li>Review requests for travel payments from the Chairman of the Standing Committee</li> </ul>	Review	High	PMU	1100		as required
R11.1, para g)	<ul style="list-style-type: none"> <li>Review requests for travel payments from the Chairman of the Animals Committee</li> </ul>	Review	High	SSU	1100		as required
R11.1, para g)	<ul style="list-style-type: none"> <li>Review requests for travel payments from the Chairman of the Plants Committee</li> </ul>	Review	High	SSU	1100		as required
R11.1, para g)	<ul style="list-style-type: none"> <li>When approved, arrange for justified travel expenses to be reimbursed</li> </ul>	administration	High	PMU	1100 3301/2/3		as required
R11.1, para h)	All committees established by the Conference of the Parties shall be listed in annexes to this Resolution						
R11.1, para h)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.1, para i)	The Secretariat shall, upon request by a committee chairman, provide secretarial services when such services can be met within the approved budget of the Secretariat						
R11.1, para i)	<ul style="list-style-type: none"> <li>Organize secretarial services at meetings of the Standing Committee</li> </ul>	Secretarial services	High	CSU			
R11.1, para i)	<ul style="list-style-type: none"> <li>Organize secretarial services at meetings of the Animals Committee</li> </ul>	Secretarial services	High	SSU	1100		at each Committee meeting
R11.1, para i)	<ul style="list-style-type: none"> <li>Organize secretarial services at meetings</li> </ul>	Secretarial services	High	SSU	1100		at each



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	of the Plants Committee				3301/2/3		Committee meeting
R11.1	REPEALS Resolution Conf. 9.1. (Rev.) (Fort Lauderdale, 1994; amended Harare, 1997) – Establishment of Committees						
R11.1	Annex 1 Establishment of the Standing Committee of the Conference of the Parties						
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Organize the 45th, 46th and 47th meetings of the Standing Committee</li> </ul>	Organization	High	CSU	1100		Jan-Feb 2001, Nov-Dec 2001, Jun-Jul 2002
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Prepare agendas for the 45th, 46th and 47th meetings of the Standing Committee</li> </ul>	Documentation Correspondence	High	CSU	1100		Feb 2001, Dec 2001 Jul 2002
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100		Feb 2001, Dec 2001 Jul 2002
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Prepare documents for the 45th, 46th and 47th meetings of the Standing Committee</li> </ul>	Drafting, documentation	High	CSU	1100	Yes	Feb-Mar 2001, Dec-2001 & Jan 2002, Jul-Aug 2002
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Feb-Mar 2001, Dec-2001 & Jan 2002, Jul-Aug 2002
R11.1 Annex 1	<ul style="list-style-type: none"> <li>Make travel arrangements for regional members</li> </ul>	Organization	High	CSU	1100 3301		Mar 2001, Jan 2002, Aug 2002
R11.1 Annex 2	Annex 2 Establishment of the Animals and Plants Committees of the Conference of the Parties						
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Organize meetings of the Animals Committee 17 and 18</li> </ul>	organization	High	CSU	1100	No	Mar 2001 Feb 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Prepare agendas for the 17th and 18th meetings of the Animals Committee</li> </ul>	documentation correspondence	High	SSU	1100	No	Mar 2001 Feb 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Prepare documents for the 17th and 18th meetings of the Animals Committee</li> </ul>	drafting, documentation	High	SSU	1100	No	Apr 2001 Mar 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Apr 2001 Mar 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Make travel arrangements for regional members</li> </ul>	organization	High	CSU	1100 3303	No	Apr 2001 Mar 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>When approved, arrange for justified travel expenses of regional members to be reimbursed</li> </ul>	administration	High	PMU	1100 3303	No	Aug 2001 Jul 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Organize the 11th and 12th meetings of the Plants Committee</li> </ul>	organization	High	CSU	1100	No	May 2001 Mar 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Prepare agendas for the 11th and 12th meetings of the Plants Committee</li> </ul>	documentation correspondence	High	SSU	1100	No	May 2001 Mar 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	High	CSU	1100	No	Jun 2001 Apr 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Prepare documents for the 11th and 12th meetings of the Plants Committee</li> </ul>	drafting, documentation	High	SSU	1100	No	Jun 2001 Apr 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	High	CSU	1100	No	Jun 2001 Apr 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>Make travel arrangements for regional members</li> </ul>	organization	High	CSU	1100 3302	No	Jun 2001 Apr 2002
R11.1 Annex 2	<ul style="list-style-type: none"> <li>When approved, arrange for justified travel expenses of regional members to be reimbursed</li> </ul>	administration	High	PMU	1100 3302	No	Sep 2001 Aug 2002
R11.1	Annex 3 Establishment of the Nomenclature Committee of the Conference of the Parties						
R11.1 Annex 3	<ul style="list-style-type: none"> <li>Seek the Committee's advice on nomenclature used in proposals to amend the Appendices</li> </ul>	correspondence Translation	High	SSU	1100	No	May/June 2002
R11.1 Annex 3	<ul style="list-style-type: none"> <li>Seek external funding for production of publications prepared by the Committee.</li> </ul>	correspondence Translation	Medium	CBU	1100	external funding to be determined for each publication	to be determined
R11.2	<b>FINANCING AND BUDGETING OF THE SECRETARIAT AND OF MEETINGS OF THE CONFERENCE OF THE PARTIES</b>						
R11.2 'APPROVES'	APPROVES the 2001-2002 budget (Annex 2) including five new posts previously approved by the Standing Committee at its 40th and 42nd meetings and AGREES that, during the biennium 2001-2002, funding required for two of these posts will be drawn from the available balance in the CITES Trust Fund, and one of these posts may be funded from the biennium budget from cost savings, if available						
R11.2 'APPROVES'	<ul style="list-style-type: none"> <li>If sufficient cost savings are made, advise senior management that the fifth post can be funded from the CITES Trust Fund</li> </ul>	New Post created and appointed	High	PMU	OTL	No	November 2000 Nov. 2001
R11.2 'REQUESTS' (1st)	REQUESTS that the Secretariat provide clear indication of the increase in Parties contributions consequent to each proposed budget						
R11.2 'REQUESTS' (1st)	<ul style="list-style-type: none"> <li>Provide information on the increase in Parties contributions consequent to the proposed budget</li> </ul>	COP 12 Budget documents	Low	PMU	OTL	No	2002 COP12- 90

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'REQUESTS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	OTL	Yes	2002 COP12-90
R11.2 'DIRECTS' (1st)	DIRECTS the Secretariat, in association with the Standing Committee, to identify one-off and discrete programmes that conclude before or soon after 2002 with a view to allocating the freed-up resources to future funding						
R11.2 'DIRECTS' (1st)	<ul style="list-style-type: none"> <li>Carry out the review of CITES programmes to determine whether they will require continuation in the triennium 2002-2005</li> </ul>	SC46 document	Low	PMU	1100	No	2002 SC46-62
R11.2 'DIRECTS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	2002 SC46-62
R11.2 'AUTHORIZES'	AUTHORIZES the Secretariat, subject to the priorities below, to draw additional funds from the CITES Trust Fund balance at the end of each year, provided that it is not reduced below CHF 1 million at the commencement of any year						
R11.2 'AUTHORIZES'	<ul style="list-style-type: none"> <li>Monitor flow and use of the resources of the CITES Trust Fund to determine the availability of the draw down funds</li> </ul>	Bi-monthly Status reports of the Trust Fund	High	PMU	OTL	No	Ongoing
R11.2 'NOTES'	NOTES that Parties, in discussions of priorities for the use of additional funds from the Trust Fund balance and any savings from the approved biennium budget, gave strong support for use of such funds to implement specific activities to assist Parties in implementation, capacity building, enforcement and regional co-ordination						
R11.2 'NOTES'	<ul style="list-style-type: none"> <li>Identify priorities for specific activities and actions</li> </ul>	SC45 document SC46 document	High	PMU	1100	No	2001 SC45-62 2002 SC46-62
R11.2 'NOTES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2001 SC45-62 2002 SC46-62
R11.2 'DIRECTS' (2nd) a)	DIRECTS the Secretariat, in association with the Standing Committee: a) to incorporate such of the above priority tasks into the base operating budget as can effectively be done with the funds available						
R11.2 'DIRECTS' (2nd) a)	<ul style="list-style-type: none"> <li>submit for consideration and approval by the Standing Committee priority activities to be funded from the draw down funds, if these become available</li> </ul>	SC45 and 46 documents	High	PMU	1100	No	2001 SC45-62 2002 SC46-62
R11.2 'DIRECTS' (2nd) a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2001 SC45-62 2002 SC46-62

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'DIRECTS' (2nd) b)	DIRECTS the Secretariat, in association with the Standing Committee: b)to establish the priorities for funding the un-funded or insufficiently funded budget items, referred to in Annex 4 to this Resolution deriving from Resolutions and Decisions adopted at the 11th meeting of the Conference of the Parties, from any available draw down in the Trust Fund balance, or from savings or adjustments to the items within the base operating budget or from external funding. In establishing priorities, first priority should be given to new activities for the Parties						
R11.2 'DIRECTS' (2nd) b)	<ul style="list-style-type: none"> <li>submit for consideration and approval by the Standing Committee priority activities to be funded from the draw down funds, if these become available</li> </ul>	SC45 and 46 documents	High	PMU	1100	No	2001 SC45-62 2002 SC46-62
R11.2 'DIRECTS' (2nd) b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2001 SC45-62 2002 SC46-62
R11.2 'AGREES' a)	AGREES: a)that contributions to the Trust Fund shall be based on the United Nations scale of assessment, as amended from time to time, adjusted to take account of the fact that not all members of the United Nations are Parties to the Convention						
R11.2 'AGREES' a)	<ul style="list-style-type: none"> <li>maintain the scale of assessment table for CITES Parties, to reflect changes introduced from time to time by the UN and the changing ratio of non-Party members</li> </ul>	Current Assessment Table available	High	PMU	OTL	No	Ongoing
R11.2 'AGREES' b)	AGREES: b)that any other basis of assessment of contributions shall not be used without the consent of all Parties present and voting at a meeting of the Conference of the Parties						
R11.2 'AGREES' b)	<ul style="list-style-type: none"> <li>this text should be revised by the Secretariat and submitted to the COP for approval</li> </ul>	COP finance document	Low	CSU	1100	No	2002 COP12-90
R11.2 'AGREES' b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	2002 COP12-90
R11.2 'AGREES' c)	AGREES: c)that any change in the basic scale of contributions which would increase the liability of a Party to contribute, or would impose a new such liability, shall not apply to that Party without its consent, and that any proposal to change the basic scale of contributions from that currently in use shall only be considered by the Conference of the Parties if notice of such proposal has been communicated by the Secretariat to all Parties at least 90 days before the meeting						
R11.2 'AGREES' c)	<ul style="list-style-type: none"> <li>when the basic scale is changed by the UN, convey the changes to the Parties for agreement at a COP</li> </ul>	COP finance document	High	PMU	OTL	No	2002 COP12-90

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'AGREES' c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	OTL	Yes	2002 COP12-90
R11.2 'AGREES' d)	AGREES: d)that Parties should pay their contributions to the Trust Fund in accordance with the agreed scale as in the Table attached to this Resolution and, whenever possible, should make special contributions to the Trust Fund above their assessed contributions						
R11.2 'AGREES' d)	<ul style="list-style-type: none"> <li>Write to the Parties annually, encouraging them to pay their annual contributions on time and seeking their additional financial support for the work of Convention.</li> </ul>	Letters to Parties	High	PMU	OTL	No	2001 (January) 2002 (January)
R11.2 'AGREES' d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	OTL	Yes	2001 (January) 2002 (January)
R11.2 'AGREES' d)	<ul style="list-style-type: none"> <li>Follow up letter to those Parties that have not paid their contributions to the CITES Trust Fund.</li> </ul>	Letters to relevant Parties	High	PMU	OTL	No	2001 (July) 2002 (July)
R11.2 'AGREES' d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	OTL	No	2001 (July) 2002 (July)
R11.2 'REQUESTS' (2nd)	REQUESTS all Parties to pay their contributions as far as possible during the year prior to the one to which they relate or, in any case, promptly by the beginning of the calendar year to which the contributions apply						
R11.2 'REQUESTS' (2nd)	<ul style="list-style-type: none"> <li>Write to the Parties annually, encouraging them to pay their annual contributions on time and seeking their additional financial support for the work of Convention.</li> </ul>	Letters to Parties	High	PMU	OTL	No	2001 (January) 2002 (January)
R11.2 'REQUESTS' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	OTL	No	2001 (January) 2002 (January)
R11.2 'APPEALS'	APPEALS strongly to those Parties which, for legal or other reasons, have so far been unable to contribute to the Trust Fund to do so						
R11.2 'APPEALS'	<ul style="list-style-type: none"> <li>Identify Parties that are delinquent in their payments and draft a personalized letter to them from the Secretary General</li> </ul>	Letters to delinquent Parties	High	PMU	OTL	No	2001 (January and July) 2002 (January and July)
R11.2 'APPEALS'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	OTL	No	2001 (January and July) 2002 (January and July)
R11.2 'URGES'	URGES all Parties that have not yet done so to deposit as soon as possible an instrument of acceptance of the amendments to the Convention adopted on 22 June 1979 and 30 April 1983						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'URGES'	<ul style="list-style-type: none"> <li>identify the Parties , which have not ratified the Amendments</li> </ul>	List of non ratifying Parties	High	CSU	1100	No	2000 (Dec.)
R11.2 'URGES'	<ul style="list-style-type: none"> <li>arrange with the relevant missions in Geneva to meet with appropriate officials of those countries</li> </ul>	Meeting with Missions	High	PMU	1100	No	2001 (through-out)
R11.2 'INVITES' (1st)	INVITES States not Parties to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to consider contributing to the Trust Fund						
R11.2 'INVITES' (1st)	<ul style="list-style-type: none"> <li>Develop relevant project proposals for external funding</li> </ul>	Project proposals	High	CBU	1100	No`	Dec. 2000 July 2001 Jan. 2002
R11.2 'INVITES' (2nd)	INVITES all Parties to support, through their representatives in UNEP, UNDP and the World Bank, the requests of the Secretariat for additional funding of CITES projects by the Global Environment Facility						
R11.2 'INVITES' (2nd)	<ul style="list-style-type: none"> <li>Assist the CITES Management Authorities in developing relevant project proposals eligible for GEF funding</li> </ul>	Project proposals	Low	CBU	1100	No	2001 (January)
R11.2 'INVITES' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2001 (January)
R11.2 'DIRECTS' (3rd)	DIRECTS the Secretariat to continue to implement the Procedures for Approval of Externally Funded Projects before accepting any external funds from non-governmental sources; and further DECIDES that any approved externally funded project that has not received funding after the end of three years will be deleted from the list of approved projects and any conditionally approved externally funded project will be deleted from the list after one year if the conditional issues have not been resolved						
R11.2 'DIRECTS' (3rd)	<ul style="list-style-type: none"> <li>Delete from the list of the approved projects, the projects, for which the external funding was not obtained for more than 3 years and those conditionally approved projects, for which the conditional issues have not been resolved for one year</li> </ul>	Updated list of SC approved projects for external funding. SC45 document	High	CBU	1100	No	2000 (Nov.) 2001 SC45-62
R11.2 'DIRECTS' (3rd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2000 (Nov.) 2001 SC45-62
R11.2 'REMINDS'	REMINDS the Parties of Resolution Conf. 4.6 (Rev.) which provides "that any draft resolutions or decisions submitted for consideration at a meeting of the Conference of the Parties that have budgetary and workload implications for the Secretariat must contain or be accompanied by a budget for the work involved and an indication of the source of funding"						
R11.2 'REMINDS'	<ul style="list-style-type: none"> <li>Remind Parties of Resolution Conf. 4.6 (Rev.), prior to COP12</li> </ul>	Notification	Low	CSU	1100	No	2002 COP12-200

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'REMINDS'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 COP12-200
R11.2 'DECIDES' a) I)	DECIDES that: a) in respect of servicing the three working languages of the Convention: i) the Budget Committee at meetings of the Conference of the Parties shall be provided with simultaneous interpretation for all three languages						
R11.2 'DECIDES' a) I)	<ul style="list-style-type: none"> <li>Ensure simultaneous translation in three working languages of the Convention at the Budget Committee's meetings</li> </ul>	Simultaneous interpretation provided	High	CSU	1100	No	2002 COP12
R11.2 'DECIDES' a) II)	DECIDES that: a) in respect of servicing the three working languages of the Convention: ii) simultaneous interpretation shall continue to be provided at the meetings of the Plants and Animals Committees						
R11.2 'DECIDES' a) II)	<ul style="list-style-type: none"> <li>Ensure simultaneous translation in three working languages of the Convention at the Plants and Animals Committee's meetings</li> </ul>	Simultaneous interpretation provided	High	CSU	1100	No	2000 (Dec.) 2001 PC & AC mtgs
R11.2 'DECIDES' a) iii)	DECIDES that: a) in respect of servicing the three working languages of the Convention: iii) the Secretariat shall carry out a review of its document translation services with a view to the efficient and effective translation in all three languages						
R11.2 "DECIDES' a) iii)	<ul style="list-style-type: none"> <li>Review the Secretariat's document translation services and recommend cost-effective alternatives, if any</li> </ul>	Secretariat's review document	High	CSU	1100	No	2000 (Dec.)
R11.2 "DECIDES' a) iii)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2000 (Dec.)
R11.2 'DECIDES' a) iv)	DECIDES that: a) in respect of servicing the three working languages of the Convention: iv) costs associated with these items shall be covered by making adjustment within the base operating budget by a comparable amount;						
R11.2 'DECIDES' a) iv)	<ul style="list-style-type: none"> <li>Propose adjustments within the base operational budget, if necessary</li> </ul>	Budget adjustments	High	PMU	1201	No	SC45-62
R11.2 'DECIDES' b)	DECIDES that: b) in respect of the review of activities in any work unit, the Secretariat shall have the authority to make staffing decisions as necessary to implement the priorities of the Parties within the overall budget and in accordance with the UN rules; and						
R11.2 'DECIDES' b)	<ul style="list-style-type: none"> <li>Ensure that the staffing arrangements in place maximize the Secretariat's efficiency and ability to implement programmes</li> </ul>	Staffing decisions	High	PMU	1100	No	Ongoing

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.2 'DECIDES' c)	DECIDES that: c) any work for the Secretariat deriving from a new resolution or decision shall only be undertaken if additional funds are approved or if existing work carried out under the Trust Fund is reprioritized at the time such a resolution or decision is adopted by the Conference of the Parties or as authorized by the Standing Committee relative to Annex 4.						
R11.2 'DECIDES' c)	<ul style="list-style-type: none"> <li>Align the Secretariat's workplan with the funds available in the Trust Fund</li> </ul>	Workplan aligned with the Trust Fund	High	PMU	OTL	No	Continuous
R11.2 'DECIDES' c)	<ul style="list-style-type: none"> <li>Monitor flow and use of the Trust Fund resources to assess the availability of funds for any new work</li> </ul>	Status of the Trust Fund	High	PMU	OTL	No	Continuous
R11.3	<b>ENFORCEMENT AND COMPLIANCE</b>						
R11.3 URGES	<b>URGES all Parties to strengthen, as soon as possible, the controls on trade in wildlife in the territories under their jurisdiction, and in particular controls on shipments from producing countries, including neighbouring countries, and to strictly verify the documents originating from such countries with the respective Management Authorities</b>						
R11.3 URGES	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 URGES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.3 RECOMMENDS Para a)	<b>a) all Parties:</b> <b>i) ensure strict compliance and control in respect of all mechanisms and provisions of the Convention relating to the regulation of trade in animal and plant species listed in Appendix II, and of all provisions ensuring protection against illegal traffic for the species listed in the appendices;</b> <b>ii) in case of violation of the above-mentioned provisions, immediately take appropriate measures pursuant to Article VIII, paragraph 1, of the Convention in order to penalize such violation and to take appropriate remedial action; and</b> <b>iii) inform each other of all circumstances and facts likely to be relevant as regards illegal traffic and also of control measures, with the aim of eradicating such traffic</b>						
R11.3 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>It is illogical to simply refer to trade in Appendix II species. This should be re-drafted.</li> </ul>	Doc for COP12	Low	CSU	1100 and 5201	No	June 2002
R11.3 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 5201	Yes	June 2002
R11.3 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.3	<b>b) importing Parties in particular: not accept under any circumstances or pretext, export or re-export documents issued by any authority,</b>						



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
<b>RECOMMENDS Para b)</b>	<b>irrespective of its hierarchical level, other than the Management Authority officially designated as competent by the exporting or re-exporting Party and duly notified to the Secretariat</b>						
R11.3 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 RECOMMENDS Para c)</b>	<b>c) if an importing country has reason to believe that an Appendix-II or -III species is traded in contravention of the laws of any country involved in the transaction, it:</b> <b>i) immediately inform the country whose laws were thought to have been violated and, to the extent possible, provide that country with copies of all documentation relating to the transaction; and</b> <b>ii) where possible, apply stricter domestic measures to that transaction as provided for in Article XIV of the Convention</b>						
R11.3 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 2<sup>nd</sup> RECOMMENDS Para a)</b>	<b>a) when issuing permits and certificates, Parties use a standardized nomenclature for the names of parts and derivatives, established by the Secretariat</b>						
R11.3 2 <sup>nd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Recommend repeal to COP12 as experience does not demonstrate need</li> </ul>	Doc for COP12	Low	LCU	1100	No	June 2002
R11.3 2 <sup>nd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 2<sup>nd</sup> RECOMMENDS Para b)</b>	<b>b) the Secretariat establish a draft nomenclature and submit it to the Parties, who will have 60 days in which to present their observations; that the Secretariat then establish the definitive nomenclature; and that the same procedure be applied to modify the nomenclature</b>						
R11.3 2 <sup>nd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Recommend repeal to COP12 as experience does not demonstrate need</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.3 2 <sup>nd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>rd</sup> RECOMMENDS Para a)</b>	<b>a) when, in application of Article XIII, the Secretariat requests information on an alleged infraction, Parties reply within a time-limit of one month or, if this is impossible, acknowledge within the month and indicate a date, even an approximate one, by which they consider it will be possible to provide the information requested</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.3 3 <sup>rd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS case-tracking facility</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 3 <sup>rd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>RD</sup> RECOMMENDS Para b)</b>	<b>b) when, within a one year time-limit, the information requested has not been provided, Parties provide the Secretariat with justification of the reasons for which they have not been able to respond</b>						
R11.3 3 <sup>rd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS case-tracking facility</li> </ul>	Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 3 <sup>rd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>rd</sup> RECOMMENDS Para c)</b>	<b>c) if major problems with implementation of the Convention in particular Parties are brought to the attention of the Secretariat, the Secretariat work together with the Party concerned to try to solve the problem and offer advice or technical assistance as required</b>						
R11.3 3 <sup>rd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Individual case work Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 3 <sup>rd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>rd</sup> RECOMMENDS Para d)</b>	<b>d) if it does not appear a solution can be readily achieved, the Secretariat bring the matter to the attention of the Standing Committee, which may pursue the matter in direct contact with the Party concerned with a view to helping to find a solution</b>						
R11.3 3 <sup>rd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Report to SC45</li> </ul>	ISC 45 document and recommendations	High	LCU	1100	No	March 2001
R11.3 3 <sup>rd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	March 2001
R11.3 3 <sup>rd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Report to SC46</li> </ul>	SC46 document and recommendations	Medium	LCU	1100	No	Dec 2001
R11.3 3 <sup>rd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100	No	Dec 2001
R11.3 3 <sup>rd</sup>	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Individual case	Low	LCU	1100	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
RECOMMENDS Para d)		work as and when required. Reports to SC when needed and include in Infraction Report to COP 12					
R11.3 3 <sup>rd</sup> RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>rd</sup> RECOMMENDS Para e)</b>	<b>e) the Secretariat keep the Parties informed as fully as possible, through Notifications, of such implementation problems and of actions taken to solve them, and include such problems in its report of alleged infractions</b>						
R11.3 3 <sup>rd</sup> RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Individual case work. Notifications as and when required. Alerts issued as and when required. Include in Infraction Report to COP 12	Low	LCU	1100	No	June 2002
R11.3 3 <sup>rd</sup> RECOMMENDS Para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 2<sup>nd</sup> URGES</b>	<b>URGES the Parties, inter-governmental and non-governmental organizations to provide additional financial support for the enforcement of the Convention, by providing funds to the enforcement assistance work of the Secretariat</b>						
R11.3 2 <sup>nd</sup> URGES	<ul style="list-style-type: none"> <li>Secretariat to encourage and identify specific projects for support</li> </ul>	Informal contacts and/or letters seeking support Project proposals	Low	CBU	1100	No	As and when necessary and appropriate
R11.3 2 <sup>nd</sup> URGES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	As and when necessary and appropriate
R11.3 2 <sup>nd</sup> URGES	<ul style="list-style-type: none"> <li>Establish contingency fund to enable Secretariat to conduct ad hoc missions to Parties to help rectify specific problems</li> </ul>	Missions	Medium	CBU	1100	30,000	As and when necessary and appropriate
<b>R11.3 DIRECTS Para a)</b>	<b>the Secretariat to utilize such funds towards the following priorities:</b> <b>a) the appointment of additional officers to the Secretariat to work on enforcement-related matters</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.3 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Obtain funding to enable appointment of research assistant</li> </ul>	Establish job descriptions, vacancy announcements etc.	Medium	CBU	1100	86,000	As and when necessary and appropriate
R11.3 DIRECTS Para b)	<b>b) assistance in the development and implementation of regional law-enforcement agreements</b>						
R11.3 DIRECTS Para b)	<ul style="list-style-type: none"> <li>Take action when assistance sought and support existing agreements</li> </ul>	Attend meetings as and when appropriate	Low	LCU	1100	No	As and when necessary and appropriate
R11.3 DIRECTS Para c)	<b>c) training and technical assistance to the Parties;</b>						
R11.3 DIRECTS Para c)	<ul style="list-style-type: none"> <li>Seek funding to enable one seminar to be held per year</li> </ul>	Organize seminars and workshops	Low	CBU	1100	100,000	As and when necessary and appropriate
R11.3 3 <sup>rd</sup> URGES	<b>URGES the Parties to offer secondment of enforcement officers to assist the Secretariat in addressing law enforcement issues</b>						
R11.3 URGES	<ul style="list-style-type: none"> <li>Secretariat to encourage</li> </ul>	Informal contacts and/or letters seeking support	Low	LCU	1100	No	As and when necessary and appropriate
R11.3 3 <sup>rd</sup> URGES	<ul style="list-style-type: none"> <li>Seek funding to enable appointment of Senior Enforcement Officer (Customs)</li> </ul>	Establish job description, vacancy announcement etc.	Medium	CBU		116,000	As and when necessary and appropriate
R11.3 2 <sup>nd</sup> DIRECTS	<b>DIRECTS the Secretariat to pursue closer international liaison between the Convention's institutions, national enforcement agencies, and existing intergovernmental bodies, particularly the World Customs Organization and ICPO-Interpol</b>						
R11.3 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Maintain ongoing liaison</li> </ul>	Regular contact and casework. Record in Infraction report to COP 12. Attend meetings	High	LCU	1100 and 1601	No	June 2002
R11.3 2 <sup>nd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Maintain ongoing liaison</li> </ul>	Regular contact on policy issues and strategic approaches. Attend meetings	High	LCU	1100 and 1601	No	Ongoing
R11.3 4 <sup>th</sup> RECOMMENDS para a)	<b>a) Management Authorities co-ordinate with governmental agencies responsible for enforcement of CITES, including Customs and Police, by arranging training activities and joint meetings, and facilitating the exchange of information through, for example, the establishment of inter-agency committees at national level</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.3 4 <sup>th</sup> RECOMMENDS para a)	<ul style="list-style-type: none"> <li>Provide support as and when requested and appropriate</li> </ul>	Attend meetings Record in Infraction Report to COP12	Low	LCU	1100 and 1601	No	June 2002
R11.3 4 <sup>th</sup> RECOMMENDS para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 1601	Yes	June 2002
<b>R11.3 4<sup>th</sup> RECOMMENDS Para b)</b>	<b>b) the Parties provide to the Secretariat detailed information on significant cases of illegal trade</b>						
R11.3 4 <sup>th</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Seek funding to enable employment of consultant to upgrade database</li> </ul>	Consultancy contract	Medium	CBU		10,000	As soon as funding becomes available
R11.3 4 <sup>th</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Individual case work Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 4 <sup>th</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 4<sup>th</sup> RECOMMENDS Para c)</b>	<b>c) the Parties inform the Secretariat, when possible, about convicted illegal traders and persistent offenders</b>						
R11.3 4 <sup>th</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Ongoing monitoring and use of TIGERS</li> </ul>	Individual case work Infraction report for COP12	Low	LCU	1100	No	June 2002
R11.3 4 <sup>th</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 3<sup>rd</sup> DIRECTS</b>	<b>DIRECTS the Secretariat to communicate such information quickly to the Parties;</b>						
R11.3 3 <sup>rd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Use of TIGERS database</li> </ul>	Individual case work and Alerts Infraction Report for COP12	Low	LCU	1100	No	June 2002
R11.3 3 <sup>rd</sup> DIRECTS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.3 5<sup>th</sup> RECOMMENDS Para a)</b>	<b>a) promote incentives to secure the support and co-operation of local and rural communities in managing wildlife resources and thereby combating illegal trade</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.3 5 <sup>th</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Ongoing monitoring and liaison and review biennial reports from Parties</li> </ul>	Include in Infraction report to COP12	Low	LCU	1100	No	June 2002
R11.3 5 <sup>th</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.3 5 <sup>th</sup> RECOMMENDS Para b)	<b>b) where appropriate, evaluate and utilize for enforcement purposes, information from non-governmental sources while maintaining standards of confidentiality</b>						
R11.3 5 <sup>th</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Use of TIGERS database</li> </ul>	Individual case work and Alerts Infraction Report for COP12	Low	LCU	1100	No	June 2002
R11.3 5 <sup>th</sup> RECOMMENDS Para c)	<b>c) consider the formation, at national level, of specialized wildlife enforcement units or teams</b>						
R11.3 5 <sup>th</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Ongoing monitoring and liaison and review biennial reports from Parties</li> </ul>	Include in Infraction report to COP12	Low	LCU	1100	No	June 2002
R11.3 ENCOURAGES	<b>ENCOURAGES States to offer rewards for information on illegal hunting and trafficking of specimens of Appendix-I species leading to the arrest and conviction of the offenders</b>						
R11.3 ENCOURAGES	<ul style="list-style-type: none"> <li>Ongoing monitoring and liaison and review biennial reports from Parties</li> </ul>	Include in Infraction report to COP12	Low	LCU	1100	No	June 2002
R11.4	<b>CONSERVATION OF CETACEANS, TRADE IN CETACEAN SPECIMENS AND THE RELATIONSHIP WITH THE INTERNATIONAL WHALING COMMISSION</b>						
R11.4, 'RECOMMENDS' (1st)	RECOMMENDS that those Parties that do not currently adhere to the International Convention for the Regulation of Whaling be encouraged to do so						
R11.4, 'RECOMMENDS' (1st)	<ul style="list-style-type: none"> <li>Together with the IWC, prepare a list of CITES Parties that are not adhering to the IWC's requirements, detailing the aspects that are not being complied with.</li> </ul>	List of Parties and associated non-compliance details	Low	LCU	1100	No	Dec 2001
R11.4, 'RECOMMENDS' (1st)	<ul style="list-style-type: none"> <li>Inform relevant Parties of this resolution and the aspects of the IWC decision that they are not complying with.</li> </ul>	Letter to relevant Parties	Low	LCU	1100	No	Jan 2002
R11.4, 'RECOMMENDS' (1st)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Jan 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.4, 'RECOMMENDS' (2nd)	RECOMMENDS that Parties pay particular attention to the documentation requirements for specimens of cetaceans under Articles IV and XIV						
R11.4, 'RECOMMENDS' (2nd)	<ul style="list-style-type: none"> <li>Proposals submitted for comment to the relevant inter-governmental bodies.</li> </ul>	Request for comment	High	SSU	1100	No	2002 COP12 –150
R11.4, 'RECOMMENDS' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 COP12 –150
R11.4, 'RECOMMENDS' (2nd)	<ul style="list-style-type: none"> <li>Comments received incorporated into the Secretariat's review of amendment proposals received</li> </ul>	Secretariat's Review Document	High	SSU	1100	No	2002 COP12 –120
R11.4, 'RECOMMENDS' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 COP12 –120
R11.4, 'RECOMMENDS' (3rd)	RECOMMENDS that the Parties agree not to issue any import or export permit, or certificate for introduction from the sea, under this Convention for primarily commercial purposes for any specimen of a species or stock protected from commercial whaling by the International Convention for the Regulation of Whaling						
R11.4, 'RECOMMENDS' (3rd)	<ul style="list-style-type: none"> <li>No action required</li> </ul>						
R11.4, 'REQUESTS'	REQUESTS that the Secretariat communicate to the Parties a list of such species and stocks and revised versions of this list as necessary						
R11.4, 'REQUESTS'	<ul style="list-style-type: none"> <li>Together with the IWC, prepare a list of species and stocks protected from commercial whaling by the IWC.</li> </ul>	List of species and stocks regulated by the IWC	Low	LCU	1100	No	Dec 2001
R11.4, 'REQUESTS'	<ul style="list-style-type: none"> <li>Notify the Parties of this list of 'taxa' and revise as and when necessary.</li> </ul>	Notification	Low	LCU	1100	No	July 2002
R11.4, 'REQUESTS'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	July 2002
R11.4, 'WELCOMES'	WELCOMES the work of the IWC in this respect and URGES CITES Parties to explore the issue of illegal trade in whale meat and the geographic origin of meat apparently illegally traded and to co-operate with the CITES Secretariat in the collection of information on this subject						
R11.4, 'WELCOMES'	<ul style="list-style-type: none"> <li>Write to Parties seeking information about suspected illegal trade in whale meat.</li> </ul>	Letter to Parties	Low	LCU	1100	No	Dec 2001
R11.4, 'WELCOMES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Dec 2001
R11.4, 'WELCOMES'	<ul style="list-style-type: none"> <li>Based on the information received, develop an effective enforcement protocol</li> </ul>	Effective enforcement	Low	LCU	1100	No	Dec 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	to stop any illegal trade in these products.	protocols developed					
R11.4, 'WELCOMES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Dec 2002
R11.4, 'ENCOURAGES'	ENCOURAGES the IWC to keep CITES Parties fully informed through the CITES Secretariat and the Standing Committee between meetings of the Conference of the Parties on all related developments regarding the illegal trade in whale products						
R11.4, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Write to IWC to seek this information concerning the levels and incidents of illegal trade in whale meat.</li> </ul>	Letter to IWC	Low	LCU	1100	No	Dec 2001
R11.4, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Prepare and Enforcement Alert article to notify relevant CITES of Notify the Parties of the levels and incidents of illegal trade in whale meat in their countries.</li> </ul>	Enforcement Alert article	Low	LCU	1100	No	July 2002
R11.4, 'ENCOURAGES'	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	July 2002
R11.4, INVITES'	INVITES all countries concerned to co-operate to prevent illegal trade in whale meat, and to report to the CITES Secretariat on any development regarding this issue						
R11.4, INVITES'	<ul style="list-style-type: none"> <li>See relevant dot point actions under R11.4, 'WELCOMES' and R11.4, 'ENCOURAGES'</li> </ul>	Various	Low	LCU	1100	No	Dec 2001
R11.4, 'DIRECTS'	DIRECTS the Secretariat to share with the IWC any information it collects regarding illegal trade in whale meat						
R11.4, 'DIRECTS'	<ul style="list-style-type: none"> <li>Write to IWC to provide them with any information collected concerning the levels and incidents of illegal trade in whale meat.</li> </ul>	Letter to IWC	Low	LCU	1100	No	Dec 2001 and Dec 2002
R11.5	<b>CONSERVATION OF AND TRADE IN TIGERS</b>						
R11.5 URGES Para a)	<b>a) all Parties and non-Parties, especially tiger range and consumer States, to adopt comprehensive legislation and enforcement controls as a matter of urgency, with the aim of eliminating trade in tiger parts and derivatives, in order to demonstrably reduce the illegal trade in tiger parts and derivatives by the 12th meeting of the Conference of the Parties</b>						
R11.5 URGES Para a)	<ul style="list-style-type: none"> <li>It is pointless to single out adoption of legislation and controls for tiger, since that is required to implement any CITES trade. Suggest resolution be re-drafted</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 URGES Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.5 URGES Para a)	<ul style="list-style-type: none"> <li>Secretariat can prepare overview, through its own knowledge and with limited contact with principle range and consumer States, of current activities on tiger trade.</li> </ul>	Doc for COP	High	LCU	1100	No	June 2002
R11.5 URGES Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	June 2002
<b>R11.5 URGES Para b)</b>	<b>b) the Secretariat, where possible, to assist those Parties seeking to improve their legislation, by providing to them technical advice and relevant information</b>						
R11.5 URGES Para b)	<ul style="list-style-type: none"> <li>Respond to requests for assistance</li> </ul>	Advice and assistance	Low	LCU	1100 and 2105	No	Dictated by demand
R11.5 URGES Para b)	<ul style="list-style-type: none"> <li>Seek funding to enable employment of consultant where appropriate</li> </ul>	Consultancy contract	Low	CBU		20,000	As and when necessary
<b>R11.5 URGES Para c)</b>	<b>c) all Parties seeking to improve their legislation controlling the trade in tigers and tiger parts and derivatives, or to adopt such legislation, to include penalties adequate to deter illegal trade and to consider introducing national measures to facilitate implementation of CITES, such as voluntarily prohibiting internal trade in tigers and tiger parts and derivatives, as well as in products labelled as containing parts and derivatives of tiger</b>						
R11.5 URGES Para c)	<ul style="list-style-type: none"> <li>Many trade and consumer states already have provisions in their legislation. Adequate penalties should already be in legislation to implement CITES and to single out tiger is illogical. It would be better to highlight those States who remain a problem. Suggest re-draft or deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 URGES Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.5 URGES Para d)</b>	<b>d) all Parties to treat any product claiming to contain tiger specimens as a readily recognizable tiger derivative and therefore subject to the provisions relating to Appendix-I species, as provided for in Resolution Conf. 9.6<sup>3</sup>, and to enact legislation where it does not exist, to fully implement these provisions for such products</b>						
R11.5 URGES Para d)	<ul style="list-style-type: none"> <li>Many trade and consumer states already have provisions in their legislation. Adequate penalties should already be in legislation to implement CITES and to single out tiger is illogical. It would be better to highlight those States who remain a problem. Suggest re-draft or deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
11.5 URGES Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.5 URGES Para e)</b>	<b>e) those Parties and non-Parties in whose countries stocks of tiger parts and derivatives exist to consolidate and ensure adequate control of such stocks</b>						
R11.5 URGES Para e)	<ul style="list-style-type: none"> <li>The Secretariat has no knowledge of any legal stocks that are not under control. Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 URGES Para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.5 URGES Para f)</b>	<b>f) all range States and consumer States that are not party to CITES to accede to it at the earliest possible date</b>						
R11.5 URGES Para f)	<ul style="list-style-type: none"> <li>Write to non-Parties</li> </ul>	Letter	Low	PMU	1100	No	DEC 2000
R11.5 URGES Para f)	<ul style="list-style-type: none"> <li>Prepare funding proposal for missions to non-Parties</li> </ul>	Project proposal	Low	CBU	1100	No	June 2001
R11.5 URGES Para f)	<ul style="list-style-type: none"> <li>Undertake missions to these States?</li> </ul>	Letters seeking invitations Missions	Low	PMU	1100	51,000	Dec 2001
<b>R11.5 URGES Para g)</b>	<b>g) tiger range and non-range States to support and participate in international tiger conservation programmes and consider joining the Global Tiger Forum</b>						
R11.5 URGES Para g)	<ul style="list-style-type: none"> <li>Maintain contact with GTF and report to COP12</li> </ul>	Letters – attend GTF meetings Report to COP	Low	LCU	1100 and 1601	8,500	June 2002
R11.5 URGES Para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100 and 1601	Yes	June 2002
<b>R11.5 RECOMMENDS Para a)</b>	<b>a) that the governments of tiger range States and, where appropriate, non-range States, establish co-operative bilateral and multilateral arrangements for the management of shared wildlife species and protected habitats with common boundaries in order to achieve more effective control of illegal cross-border movement of tigers and tiger parts and derivatives</b>						
R11.5 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Secretariat can prepare overview, through its own knowledge and with limited contact with range and non-range States where necessary. Include in report to COP.</li> </ul>	Doc for COP	High	LCU	1100	No	June 2002
R11.5 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	June 2002
<b>R11.5 para b)</b>	<b>b) that Parties and non-Parties convene regional workshops on law enforcement needs associated with cross-border movement of tiger parts and</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<b>derivatives, with technical assistance from the CITES Secretariat and, where available, financial support from interested governments and NGOs</b>						
R11.5 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Respond to requests for assistance but recommend that any such workshops address enforcement as a general issue and not concentrate on tiger.</li> </ul>	Technical assistance	Low	LCU	1100	185,000	As and when funding becomes available
R11.5 Para c)	<b>c) that all range and consumer States strengthen communication and sharing of information by designating at least one contact person in order to establish a regional network to assist in the control of the illegal trade in tiger parts and derivatives</b>						
R11.5 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Illogical to establish contact point simply for tiger. Suggest re-draft or deletion</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.5 Para d)	<b>d) all Parties and non-Parties to use fully the ECO-MESSAGE of ICPO-Interpol, which relates to standard procedures for exchange of intelligence data, for improved collaborative enforcement in the control of tiger trade</b>						
R11.5 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Secretariat to encourage</li> </ul>	Alert	High	LCU	1100	No	Done in 2000
R11.5 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Done in 2000
R11.5 REQUESTS Para a)	<b>a) countries with the relevant expertise to encourage and support range and consumer States, as a matter of urgency, in the development of a forensic protocol for identifying tiger-bone derivatives in medicines and the establishment of forensic facilities, and to provide technical assistance to aid the detection and accurate identification of tiger parts and derived manufactured products</b>						
R11.5 REQUESTS Para a)	<ul style="list-style-type: none"> <li>No specific action required by Secretariat but to distribute information that comes to light through general monitoring activities</li> </ul>	Distribute by Alert or article in CITES World	Low	LCU	1100	No	As and when required
R11.5 REQUESTS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	As and when required
R11.5 REQUESTS Para b)	<b>b) that, since biological and distribution data are essential for the implementation of the Convention, donor nations assist in funding the infrastructure and the provision of expertise to develop computer databases and mapping, as well as any other necessary conservation management and enforcement techniques</b>						
R11.5 REQUESTS Para b)	<ul style="list-style-type: none"> <li>No specific action required by Secretariat</li> </ul>	None	None	SSU	None	No	None
R11.5 REQUESTS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	None	CSU	None	Yes	None

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
<b>R11.5 2<sup>nd</sup> RECOMMENDS Para a)</b>	<b>a) work with traditional-medicine communities and industries to develop strategies for eliminating the use and consumption of tiger parts and derivatives</b>						
R11.5 2 <sup>nd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Such work is already ongoing and expanding. It is illogical to single out tiger. Suggest re-draft or deletion.</li> </ul>	Report to COP12	Low	CSU	1100	No	June 2002
R11.5 2 <sup>nd</sup> RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.5 2<sup>nd</sup> RECOMMENDS Para b)</b>	<b>b) carry out appropriate education and awareness campaigns, making use of indigenous knowledge and traditional wisdom, directed at appropriate rural urban communities and other targeted groups in range States, on the ecological importance of the tiger, its prey and its habitat</b>						
R11.5 2 <sup>nd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>No specific action required by Secretariat. This approach requires to be used for all CITES-listed species, not just tiger. Suggest deletion and/or incorporation in another resolution.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 2 <sup>nd</sup> RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.5 2<sup>nd</sup> RECOMMENDS Para c)</b>	<b>c) where necessary and appropriate, remove tiger parts and derivatives from the official pharmacopeia and include acceptable substitute products that do not endanger other wild species, and introduce programmes to educate industry and user groups in consumer States in order to eliminate the use of tiger-derived substances and promote the adoption of alternatives</b>						
R11.5 2 <sup>nd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>No specific action required by Secretariat. This approach requires to be used for all relevant CITES-listed species, not just tiger. Already addressed in Res Conf 10.19. Suggest deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.5 2 <sup>nd</sup> RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.6</b>	<b>TRADE IN VICUÑA CLOTH</b>						
<b>R11.6 RECOMMENDS. Para a)</b>	<b>Management Authorities authorize the import of vicuña cloth only if the reverse bears the logotype corresponding to the country of origin and the trade mark VICUÑA – COUNTRY OF ORIGIN or if it is cloth containing pre-Convention wool of vicuña;</b>						
R11.6 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Prepare a Notification, encouraging compliance with this recommendation</li> </ul>	Notification	High	LCU	1100	No	Dec 2001
R11.6 RECOMMENDS	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para a)							
R11.6 RECOMMENDS Para b)	any State member of the <i>Convenio para la Conservación y Manejo de la Vicuña</i> that exports vicuña cloth in accordance with this Resolution inform the Secretariat on an annual basis about the quantity of products exported, the number of animals sheared and the local populations to which they belong, and that the Secretariat submit a report at each regular meeting of the Conference of the Parties;						
R11.6 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Prepare a letter seeking reports on an annual basis from the 5 State members of that treaty</li> </ul>	Letter	High	LCU	1110	No	Dec 2001
R.11.6 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Prepare report for COP12</li> </ul>	Report to COP12	Low	LCU	1110	No	June 2002
R.11.6 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1110	Yes	June 2002
<b>R11.7</b>	<b>CONSERVATION AND TRADE IN MUSK DEER</b>						
<b>R11.7 Urges</b>	<b>URGES all Parties, particularly musk deer range and consuming countries and those through which musk deer specimens pass in transit, to take immediate action in order to reduce demonstrably the illegal trade in musk deriving from wild musk deer by:</b>						
R11.7 Para a)	<ul style="list-style-type: none"> <li>Prepare a Notification, encouraging compliance with this requirement</li> </ul>	Notification	High	LCU	1100	No	Dec 2001
R11.7 Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Dec 2001
<b>R11.7, Para a)</b>	<b>Introducing innovative enforcement methods in range and consumer States and, as a matter of priority, strengthening enforcement efforts in key border regions;</b>						
R11.7, Para a)	<ul style="list-style-type: none"> <li>Prepare a Notification, encouraging compliance with this requirement</li> </ul>	Notification	High	LCU	1100	No	Dec 2001
R11.7, Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	Dec 2001
R11.7, Para a)	<ul style="list-style-type: none"> <li>If additional information is needed, prepare a letter seeking reports from range and consumer States on enforcement innovations</li> </ul>	Letter	High	LCU	1100	No	June 2001
<b>R11.7, Para b)</b>	<b>Pursuing the development of a clear labelling system for products containing musk, and the development and dissemination of forensic methods to detect natural musk in medicinal and other products;</b>						
R11.7, Para b)	<ul style="list-style-type: none"> <li>If additional information is needed, prepare letters asking for labelling practices</li> </ul>	Letters	High	LCU	1100	No	June 2001
R11.7, Para b)	<ul style="list-style-type: none"> <li>Identify the current status of this process (progress made, available resources, Parties involved, etc.).</li> </ul>	Internal report	Low	LCU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.7, Para b)	<ul style="list-style-type: none"> <li>Prepare guidelines on eco-labelling systems</li> </ul>	Guidelines	Low	LCU	1100	50,000	June 2002
R11.7, Para b)	<ul style="list-style-type: none"> <li>When feasible, disseminate information on forensic methods applicable to this species</li> </ul>	Correspondence	Low	LCU	1100	No	Ongoing
R11.7, Para c)	<b>Encouraging all range States and consumer States that are not party to CITES to accede to it at the earliest possible date in order to improve international trade control of raw musk and products containing musk;</b>						
R11.7, para c)	<ul style="list-style-type: none"> <li>Prepare correspondence to non Parties, encouraging accession to the Convention</li> </ul>	Letters	Low	PMU	1100	No	Dec 2001
R11.7, Para d)	<b>Working with musk consumers to develop alternatives for raw musk in order to reduce demand for natural musk, while encouraging the development of safe and effective techniques for collecting musk from live musk deer; and</b>						
R11.7, Para d)	<ul style="list-style-type: none"> <li>If additional information is needed, prepare letters asking for alternatives that already have been identified by range and consumer States or private sector</li> </ul>	Letters	High	LCU	1100	No	June 2001
R11.7, Para d)	<ul style="list-style-type: none"> <li>Prepare guidelines on safe and effective techniques for collecting musk</li> </ul>	Guidelines	Medium	LCU	1100	50,000	June 2002
R11.7, Para d)	<ul style="list-style-type: none"> <li>When available, disseminate information on alternatives for raw musk</li> </ul>	Correspondence	High	LCU	1100	No	Ongoing
R11.7, Para e)	<b>Developing bilateral and regional agreements for improving musk deer conservation and management, strengthening legislation and strengthening enforcement efforts;</b>						
	<ul style="list-style-type: none"> <li>Incorporate into Resolution Conf. 11.3</li> </ul>	COP12 doc	High	CSU	1100	No	June 2002
	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	June 2002
R11.7,	<b>RECOMMENDS that manufacturing and consumer States co-operate in the development and distribution of identification guides for manufactured products containing musk to assist with enforcement efforts; and</b>						
	<ul style="list-style-type: none"> <li>Solicit information/experience from range and consumer States</li> </ul>	Communication	High	LCU	1100	No	June 2001
R11.7, Last parag.	<b>CALLS on the Parties, international aid agencies, intergovernmental organizations, and non-governmental organizations, as a matter of priority, to provide financial and technical assistance to range States to conduct population surveys, and surveys of domestic markets for musk deer, including both legal and illegal trade.</b>						
	<ul style="list-style-type: none"> <li>Request financial and technical support from the relevant organizations</li> </ul>	Correspondence	High	CBU	1100	No	Dec 2001
R11.8	<b>CONSERVATION OF AND CONTROL OF TRADE IN TIBETAN ANTELOPE</b>						
R11.8 RECOMMENDS	<b>a) all Parties and non-Parties, especially consumer and range States, adopt comprehensive legislation and enforcement controls as a matter of urgency, with the aim of eliminating commercial trade in Tibetan antelope parts and derivatives, especially shahtoosh, in order to demonstrably</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para A)	<b>reduce the illegal trade in Tibetan antelope products by the 12th meeting of the Conference of the Parties</b>						
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Secretariat can prepare overview, through its own knowledge and with limited contact with principle range and consumer States, of current activities on shahtoosh trade.</li> </ul>	Doc for SC 45	High	LCU	1100	No	March 2001
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	March 2001
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>It is pointless to single out adoption of legislation and controls for Tibetan antelope, since that is required to implement any CITES trade. Suggest resolution be re-drafted</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.8 RECOMMENDS Para b)	<b>b) all Parties treat any product claimed to be 'shahtoosh' or claimed to contain Tibetan antelope specimens as a readily recognizable part or derivative of the Tibetan antelope and therefore subject to the provisions relating to Appendix-I species, as provided for in Resolution Conf. 9.6, and enact legislation, where it does not exist, to fully implement these provisions for such products</b>						
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Secretariat can prepare overview, through its own knowledge and with limited contact with principle range and consumer States, of current status of legislation. Majority of trade and consumer states already have provisions in their legislation.</li> </ul>	Doc for SC 45	High	LCU	1100	No	March 2001
R11.8 RECOMMENDS Para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	March 2001
R11.8 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>The form of legislation envisaged is already called for in Conf. 9.6 and does not require to be repeated for shahtoosh. Suggest re-draft or deletion.</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.8 RECOMMENDS Para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.8 RECOMMENDS Para c)	<b>c) all Parties adopt penalties adequate to deter illegal trade and measures to enhance public awareness of the actual origin of the products and of the status of the Tibetan antelope</b>						
R11.8 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Adequate penalties should already be in legislation to implement CITES and to</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	single out Tibetan antelope is illogical. Suggest re-draft or deletion.						
R11.8 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.8 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>In letters to principal consumer and range States, seek details of public awareness campaigns that can be useful examples for others and include in report to SC 45</li> </ul>	Letters	High	LCU	1100	No	Dec 2000
R11.8 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Collate replies from Parties and prepare report for SC45</li> </ul>	SC Doc	High	LCU	1100	No	March 2001
R11.8 RECOMMENDS Para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	March 2001
R11.8 RECOMMENDS Para d)	<b>d) all Parties and non-Parties in whose territory stocks of Tibetan antelope parts and raw materials exist, adopt a registration system and national measures to prevent such stocks from re-entering into trade</b>						
R11.8 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>This is likely to affect very few Parties. Also, legal exports of wool and parts have never been authorized, so almost any stock of such will be unlawful. Suggest re-draft or deletion</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.8 RECOMMENDS Para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.8 DIRECTS Para a)	<b>a) the Secretariat, with the assistance of interested Parties, intergovernmental organizations and non-governmental organizations, to provide funding and technical assistance to the range States of the Tibetan antelope in order to improve anti-poaching efforts, to carry out population censuses, to formulate a conservation strategy and to prevent trade in Tibetan antelope parts and derivatives</b>						
R11.8 DIRECTS Para a)	This recommendation could, taken to its extremes, require very significant resources and finances to implement. It is more practical to identify specific areas where it is believed effective support can be provided. The Secretariat understands that China (essentially the only range State) is already receiving some NGO support regarding population surveys.						
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Liaise with Animals Committee and IUCN, to determine whether assistance can be provided in formulation of conservation strategy. Particular attention to be given to whether Tibetan antelope can be used in a sustainable fashion as enforcement, in itself, is unlikely to resolve the problems being experienced.</li> </ul>	Place on Agenda for future Animals Committee meeting	High	SSU	1100 and 3303	No	Dec 2001



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Write to China and identify what assistance is required in relation to population censuses</li> </ul>	Letter	High	CBU	1100	No	June 2001
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Depending on reply from China, prepare funding proposal</li> </ul>	Project document	High	CBU	1100	34,000	Aug 2001
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Prepare funding proposal to enable in-field assessment of anti-poaching technical assistance requirements of China</li> </ul>	Project document	High	CBU	1100	No	Dec 2001
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Send funding proposal to potential donors</li> </ul>	Letters	High	CBU	1100	No	Jan. 2002
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Undertake in-field assessment of technical assistance requirements of China</li> </ul>	Mission	High	LCU	1100	20,000	Dec 2001
R11.8 DIRECTS Para a)	<ul style="list-style-type: none"> <li>Prepare recommendations on anti-poaching and pass to China</li> </ul>	Report	High	LCU	1100	No	June 2002
	<ul style="list-style-type: none"> <li>Follow-up on recommendations</li> </ul>	Letter	High	LCU	1100	No	Dec 2002
R11.8 DIRECTS Para b)	<b>b) the Secretariat to report to the Standing Committee, at its 45th meeting, on implementation of this Resolution</b>						
R11.8 DIRECTS Para b)	<ul style="list-style-type: none"> <li>Collate reports from Parties and prepare overview of activities</li> </ul>	Report to SC45	High	LCU	1100	No	March 2001
R11.8 DIRECTS Para b)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	High	CSU	1100	Yes	March 2001
R11.8 Para c)	<b>c) the Standing Committee to review this report and to report to the Conference of the Parties at its 12th meeting</b>						
R11.8 DIRECTS Para c)	<ul style="list-style-type: none"> <li>Prepare report for CoP from SC, including overview from Secretariat of activities since SC45.</li> </ul>	SC report to COP12	Low	LCU	1100	No	June 2002
R11.8 DIRECTS Para c)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
R11.8 URGES Para a)	<b>a) the processing countries of the products of Tibetan antelope to continue their efforts to ban the processing of Tibetan antelope wool</b>						
R11.8 URGES Para a)	<ul style="list-style-type: none"> <li>No specific action by Secretariat. Include in overview any information obtained through general monitoring</li> </ul>	Report to SC45	High	LCU	1100	No	March 2001
R11.8 URGES Para b)	<b>b) all countries and territories with relevant experience and technical capabilities to strengthen co-operation and the exchange of information, technology and experience with regard to education and awareness, law enforcement such as smuggling routes and methods, and techniques for the identification of parts and derivatives of Tibetan antelope</b>						
R11.8 URGES Para b)	<ul style="list-style-type: none"> <li>Secretariat to monitor and distribute new information</li> </ul>	Alerts and articles in CITES world	Low	LCU	1100	No	As and when required

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.8 URGES Para c)	<b>c) relevant Parties to designate a contact point and to provide contact details to the Secretariat in order to establish a network to assist in the control of illegal trade in Tibetan antelope parts and derivatives, particularly shahtoosh, and, where appropriate to make full use of the ECO-MESSAGE of ICPO-Interpol and existing law enforcement networks, including the World Customs Organization.</b>						
R11.8 URGES Para c)	<ul style="list-style-type: none"> <li>Link with similar call in Res Conf 11.5 (tigers)</li> </ul>	Alerts	Low	LCU	1100	No	As and when required
R11.8 URGES Para c)	<ul style="list-style-type: none"> <li>Illogical to establish contact point simply for Tibetan antelope. Suggest re-draft or deletion</li> </ul>	Doc for COP12	Low	CSU	1100	No	June 2002
R11.8 URGES Para c)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.9</b>	<b>CONSERVATION OF AND TRADE IN FRESHWATER TURTLES AND TORTOISES IN ASIA AND OTHER REGIONS</b>						
<b>R11.9 Urges Para a)</b>	<b>All Parties, especially Asian freshwater turtle and tortoise range, exporting and consuming States, to enhance and increase enforcement efforts with regard to existing legislation as a matter of urgency;</b>						
R11.9 Urges Para a)	<ul style="list-style-type: none"> <li>Liaise with SSU and through workshop or other means solicit information from range, exporting and consumer States</li> </ul>	Communication	High	LCU	1100)	No	Dec 2001
<b>R11.9 Urges Para b)</b>	<b>All Parties, especially Asian freshwater turtle and tortoise range States, to assess current efforts to manage native freshwater turtle and tortoise populations, and improve those efforts as necessary, e.g. by establishing quotas;</b>						
R11.9 Urges Para b)	<ul style="list-style-type: none"> <li>When possible, provide assistance for establishing quotas.</li> </ul>	Technical Assistance	Low	SSU	1100	No	Ongoing
<b>R11.9 Urges Para c)</b>	<b>All Parties to develop and implement research programmes to identify the species involved in trade, and programmes to monitor and assess the impact of trade;</b>						
R11.9 Urges Para c)	<ul style="list-style-type: none"> <li>Incorporate into planned workshop</li> </ul>	Element of workshop	High	SSU	1100	No	Dec 2001
<b>R11.9 Urges Para d)</b>	<b>All Parties whose national legislation is not sufficient to control effectively the unsustainable harvest of and trade in freshwater turtles and tortoises, to enact legislation to protect these species;</b>						
R11.9 Urges Para d)	<ul style="list-style-type: none"> <li>**If requested, provide assistance to the Parties for developing legislation</li> </ul>	Legal assistance	High	LCU	1100; 2105	No	Ongoing
<b>R11.9 Urges Para e)</b>	<b>All Parties involved in the trade in freshwater turtles and tortoises to examine their national legislation to ensure that treatment of these animals during transport is in accordance with the provisions of the Convention and, where relevant, with IATA regulations, and to take immediate action to correct any deficiencies in such legislation</b>						
R11.9 Urges Para e)	<ul style="list-style-type: none"> <li>If requested, provide assistance to the Parties for developing legislation</li> </ul>	Legal assistance	High	LCU	1100; 2105	No	Ongoing
<b>R11.9 Urges</b>	<b>All Parties to increase public awareness of the threats posed to freshwater turtles and tortoises, including harvest and trade to supply the</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
Para f)	<b>demand for food, traditional medicines and pets;</b>						
R11.9 Urges Para f)	<ul style="list-style-type: none"> <li>When feasible, disseminate information to the Parties, trade community and media</li> </ul>	"Information Campaign"	Low	LCU	1100	20,000 needed to develop and disseminate material	Dec 2002
R11.9 Urges Para f)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	Low	CSU	1100	20,000 needed to develop and disseminate material	Dec 2002
R11.9 Urges Para g)	<b>All Parties to explore ways to enhance the participation of collectors, traders, exporters, importers and consumers in the conservation of and sustainable trade in freshwater turtle and tortoise species.</b>						
R11.9 Urges Para g)	<ul style="list-style-type: none"> <li>When feasible, disseminate information to the Parties, trade community and media</li> </ul>	"Information Campaign"	Low	LCU	1100	To be part of project in para f) above	Dec 2002
R11.9 Urges Para g)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	Low	CSU	1100	To be part of project in para f) above	Dec 2002
R11.10	<b>TRADE IN HARD CORALS</b>						
R11.10 URGES Para a)	<b>Interested Parties and other bodies from range and consumer States to collaborate and provide support, coordinated by the Secretariat, to produce as a priority accessible and practical guides to recognizing corals and coral rock in trade and to make these widely available to Parties through appropriate media</b>						
R11.10 URGES Para a)	<ul style="list-style-type: none"> <li>Liaise with USFWS about publication and distribution of ID guide developed for coral</li> </ul>	Identification guide	High	SSU	1100	No	March 2001
R11.10 URGES Para a)	<ul style="list-style-type: none"> <li>Translation in working languages</li> </ul>	Translation	High	CSU	1100	No	March 2001
R11.10 URGES Para a)	<ul style="list-style-type: none"> <li>Ensure management, scientific and enforcement authorities receive such guides and incorporate them into training activities</li> </ul>	Technical assistance	High	CSU	1100	No	Dec 2001
R11.10 URGES Para b)	<b>Parties to seek synergy with other multilateral environmental agreements and initiatives to work for the conservation and sustainable use of coral reef ecosystems</b>						
R11.10 URGES Para b)	<ul style="list-style-type: none"> <li>Establish list of relevant contacts and activities (e.g. ICRI and UNEP Coral Reef Unit)</li> </ul>	Contact list; calendar of activities	Low	LCU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.10 URGES Para b)	<ul style="list-style-type: none"> <li>Identify meeting or other activity where CITES could work jointly with one or more partners and provide unique contribution</li> </ul>	Joint activity	Low	LCU	1100	No	Dec 2001
R11.11	<b>REGULATION OF TRADE IN PLANTS</b>						
R11.11 REC1 Para a)	<b>Regarding higher-taxon listings of plants</b> <b>Current higher-taxon listings of plants in the Appendices, including the families of Orchidaceae and Cactaceae, be maintained as they are essential for effective control of trade in the many species within those taxa that are threatened or potentially at risk</b>						
R11.11 REC1 Para a)	<ul style="list-style-type: none"> <li>Take into consideration regarding future plant proposals</li> </ul>	Criteria for plant listing	Low	SSU	1100	No	June 2002
R11.11 REC1 Para b)	<b>Parties contemplating preparing a proposal to transfer an individual plant species from a higher-taxon listing in Appendix II to a separate listing in Appendix I consider:</b>  <b>i) whether the increased protection possible by a transfer to Appendix I would compensate for the increased risk created by attracting the attention of traders to the species;</b> <b>ii) the ease with which it can be propagated artificially;</b> <b>iii) the extent to which it is currently available in cultivation from artificially propagated specimens; and</b> <b>iv) any practical problems in identifying the species, particularly in the form which it may be traded</b>						
R11.11 REC1 Para b)	<ul style="list-style-type: none"> <li>Bring to proponents' attention and take into consideration regarding future plant proposals</li> </ul>	Criteria for plant listing	Low	SSU	1100	No	June 2002
R11.11 REC2	<b>Regarding rainsticks</b> <b>Parties consider the harmonization of their national legislation related to personal exemptions for rainsticks of Cactaceae spp. Granted under the personal effects exemption under Article VII, paragraph 3, and consider limiting this exemption to no more than three rain sticks of the species concerned per person</b>						
R11.11 REC2	<ul style="list-style-type: none"> <li>Incorporate into Secretariat paper on personal effects</li> </ul>	Secretariat paper on personal effects	Low	LCU	1100	No	June 2002
R11.11 REC3	<b>Regarding flaked seedlings</b> <b>Flaked seedlings of orchid species listed in Appendix I be interpreted as being exempt from CITES control, taking into account the provisions of Article VII, paragraph 4, and Article 1, paragraph (b) (iii), and agreeing to a derogation from Resolution Conf. 9.6 (Rev.) for this exemption</b>						
R11.11 REC3	<ul style="list-style-type: none"> <li>Bring to the attention of management, scientific and enforcement authorities via Implementation Manual</li> </ul>	Provision in Manual	Low	SSU	1100	No	Dec 2002
R11.11 REC4	<b>Regarding enforcement for plants</b> <b>Parties ensure that</b> <b>a) Enforcement officers are adequately informed of CITES requirements, procedures governing inspection and clearance of CITES plant specimens, and procedures necessary for the detection of illegal trade;</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	b) Enforcing agencies obtain access to materials and expertise enabling identification of plant specimens in trade, including whether the specimen is of wild or artificially propagated origin; c) enforcing agencies utilize annual reports, plant health documents, nursery catalogues and other sources of information to detect possible illegal trade; and d) enforcing agencies maintain close liaison with the Management and Scientific Authorities for the purpose of setting and implementing enforcement priorities;						
R11.11 REC4	<ul style="list-style-type: none"> <li>Use results of Plants Committee meetings for setting enforcement priorities for plant trade</li> </ul>	List of enforcement priorities	High	LCU	1100	No	Sept 2001
	<ul style="list-style-type: none"> <li>Increase content related to plants in CITES presentations at enforcement meetings and in other guidance material for enforcement</li> </ul>	Education/training material	Medium	LCU	1100	No	Dec 2002
	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	SCU	1100	Yes	Dec 2002
	<ul style="list-style-type: none"> <li>Identify funds for completing publication of Tropical Wood identification guide by Environment Canada</li> </ul>	Identification guide	High	CBU	1100	No	Dec 2001
R11.11 REC5, Para a)	<b>REGARDING TRADE IN SALVAGED PLANT SPECIMENS</b> Whenever possible, Parties ensure programmes of environmental modification do not threaten the survival of plant species included in the CITES Appendices, and that protection of Appendix-I species <i>in situ</i> be considered as a national and international obligation;						
R11.11 REC5 Para a)	<ul style="list-style-type: none"> <li>Identify and publicize a relevant good practice via the CITES Newsletter</li> </ul>	Case study	Low	CSU	1100	No	Dec 2001
	<ul style="list-style-type: none"> <li>Within legislation workshops, urge Parties to ensure environmental impact assessment legislation and development planning take CITES species into account</li> </ul>	Workshop material	Low	LCU	1100	No	Dec 2001
	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	SCU	1100	No	Dec 2001
R11.11 REC5 Para b)	<b>Parties establish salvaged specimens in cultivation where concerted attempts have failed to ensure that such programmes do not put at risk wild populations of species included in the CITES Appendices</b>						
R11.11 REC5 Para b)	<ul style="list-style-type: none"> <li>Incorporate into training for Scientific Authorities a section on salvaged specimens</li> </ul>	Training unit	Low	SSU	1100	No	June 2001
R11.11 REC5 Para c)	International trade in salvaged specimens of Appendix-I plants, and of Appendix-II plants whose entry into trade might be detrimental to the survival of the species in the wild, be permitted where all of the following conditions are met: i) such trade would clearly enhance the survival of the species, albeit not in the wild; ii) import is for the purposes of care and propagation of the species; and						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<b>iii) import is by a bona fide botanic garden or scientific institution or a registered nursery;</b>						
R11.11 REC5 Para c)	<ul style="list-style-type: none"> <li>Simplify concepts and Incorporate into guidance and training for Management, Scientific and enforcement authorities</li> </ul>	Implementation manual; training segment	Low	SSU	1100	No	Dec 2001
R11.11 REC6 Para a)	<b>REGARDING EDUCATION ABOUT PLANT CONSERVATION THROUGH CITES</b> <b>Parties routinely provide updates of information on all aspects of CITES implementation for plants for publication in scientific, horticultural or plant trade journals and in the publications of plant associations</b>						
R11.11 REC6 Para a)	<ul style="list-style-type: none"> <li>Provide Parties with initial list of journals/publications and encourage Parties to submit articles</li> </ul>	Articles	Low	SSU	1100	No	Dec 2001
R11.11 REC6 Para b)	<b>Parties regularly provide updates of information on all aspects of CITES implementation to botanic gardens, tourist organizations and relevant non-governmental organizations for further dissemination to the general public</b>						
R11.11 REC6 Para b)	<ul style="list-style-type: none"> <li>Identify or solicit examples and share with Parties</li> </ul>	Information pieces	Low	SSU	1100	No	Ongoing
R11.11 REC6 Para c)	<b>Parties develop and maintain a good liaison with national plant-trade organizations, to inform them about all aspects of the implementation of CITES for plants and to communicate to the Secretariat specific implementation problems presented by these national organizations, for consideration by the Plants Committee</b>						
R11.11 REC6 Para c)	<ul style="list-style-type: none"> <li>Identify or solicit such implementation problems for next meeting of the Plants Committee (link to identification of enforcement priorities)</li> </ul>	Information pieces	Low	LCU	1100	No	Sept 2001
R11.11 REC6 Para d)	<b>The Secretariat develop and maintain a good liaison with international plant-trade organizations and botanic garden associations (in particular with the International Association of Botanic Gardens and Botanic Gardens Conservation International);</b>						
R11.11 REC6 (Para d)	<ul style="list-style-type: none"> <li>Identify a meeting or possible joint activity to which both such organizations/association and the Secretariat could contribute</li> </ul>	Joint activity	Low	SSU	1100	No	Dec 2001
R11.11 REC6 Para e)	<b>The Secretariat distribute information on the beneficial aspects of artificial propagation for the survival of natural populations and, where possible, promote such artificial propagation</b>						
R11.11 REC6 Para d)	<ul style="list-style-type: none"> <li>Incorporate this into the general CITES brochure being developed and future CITES presentations to appropriate forums</li> </ul>	Brochure segment	High	SSU	2105	No	July 2001
R11.12	<b>UNIVERSAL TAGGING SYSTEM FOR THE IDENTIFICATION OF CROCODILIAN SKINS</b>						
R11.12	<b>a) the maintenance of a universal tagging system for the identification of raw, tanned, and/or finished crocodilian skins by the general application of non-reusable tags to all crocodilian skins entering international trade from the countries of origin;</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>b) that crocodilian skins and flanks be individually tagged and that chalecos have attached a tag to each side (flank) before export;</p> <p>c) that the non-reusable tags include, as a minimum: the ISO two-letter code for the country of origin; a unique serial identification number; a standard species code (as provided in Annex 1); and, where appropriate, the year of production or harvest, in accordance with the provisions of Resolution Conf. 11.16, adopted by the Conference of the Parties at its 11th meeting (Gigiri, 2000); and further, that such tags have as a minimum the following characteristics: a self-locking mechanism, heat resistance, inertia to chemical and mechanical processing, and alphanumeric information applied by permanent stamping;</p> <p>d) that the year of production and serial number be separated with a hyphen (-) where the information on tags appears in the sequence: country of origin, year of production, serial number, species code;</p> <p>e) that for the labelling of skins derived from crocodilian hybrids, the designation HYB or, where the parentage is known, the two three-letter codes for the parents, separated by the character 'x' (e.g. PORxSIA where the hybrid is a cross between <i>Crocodylus porosus</i> and <i>Crocodylus siamensis</i>), be used instead of the standard species codes in Annex 1 of this Resolution;</p> <p>f) that tails, throats, feet, backstrips, and other parts be exported in transparent, sealed containers clearly marked with a non-reusable tag together with a description of the content and total weight, and all the information required for tags for individual skins, flanks and chalecos, as outlined in paragraphs c), d) and e);</p> <p>g) that Parties establish, where legally possible, a system of registration or licensing, or both, for producers, tanners, importers and exporters of crocodilian skins;</p> <p>h) that all countries permitting re-export of raw, tanned, and/or finished crocodilian skins implement an administrative system for the effective matching of imports and re-exports and, further, ensure that skins and flanks are re-exported with the original tags intact unless the pieces originally imported have been further processed and cut into smaller pieces;</p> <p>i) that, where the original tags have been lost or removed from raw, tanned, and/or finished skins and flanks, the country of re-export should tag each such skin or flank prior to re-export, with a 're-export tag' meeting all the requirements of paragraph c) above except that the country of origin and standard species codes and years of production and/or harvest will not be required; and further, that the same information as is on these tags should be given on the re-export certificate together with details of the original permit under which the skins were imported;</p> <p>j) that, where a re-export consignment contains untagged skins that pre-date the entry into effect of Resolution Conf. 9.22 (16 February 1995), the Management Authority record this on the re-export certificate;</p> <p>k) that Parties accept export permits, re-export certificates or other Convention documents for trade in crocodilian skins and parts thereof only if they contain the information referred to in paragraph c), f), i) or j), as appropriate, and if the related skins and parts thereof are tagged in accordance with the provisions of this Resolution;</p> <p>l) that Parties, with the advice of the Secretariat if appropriate, implement a management and tracking system for tags used in trade as outlined in Annex 2 to this Resolution; and</p> <p>m) that Management Authorities ensure that tags not affixed to skins, flanks and chalecos in the year specified on the tag are destroyed;</p>						
R11.12 Annex 2 paragraph 1.	<ul style="list-style-type: none"> <li>The CITES Secretariat should establish, maintain, and amend periodically thereafter, a list of approved sources capable of manufacturing tags that meet the minimum requirements as laid down in paragraph c) of this Resolution; and further, the Secretariat should regularly give notice to the Parties of such sources and each Management Authority should obtain tags to mark crocodilian skins only from these approved sources</li> </ul>	Notification to the Parties when new source has been approved	High	LCU	1100	No	When necessary

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.12 Annex 2 paragraph 1.	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	When necessary
R11.12 Annex 2, paragraph 2	<p>Any approved tag manufacturer registered by the Secretariat should first agree, in writing, that it will:</p> <p>a) not duplicate any series of tags produced in accordance with this Resolution;</p> <p>b) sell such tags only to Management Authorities or, in non-party States, to designated government agencies recognized by the Secretariat in accordance with Resolution Conf. 9.5, or to bodies approved by these agencies; and</p> <p>c) report direct and immediately to the Secretariat each order for tags that is fulfilled.</p>						
R11.12 Annex 2, paragraph 3	When ordering tags from approved sources, Management Authorities should immediately inform the Secretariat of the details of each tag order.						
R11.12 DIRECTS	<ul style="list-style-type: none"> <li>The Secretariat to report deficiencies of the system or specific instances of concern to the Animals Committee and the relevant Parties, as appropriate</li> </ul>	If necessary inform AC and/or Party concerned	High	LCU	1100	No	When necessary
R11.13	<b>UNIVERSAL LABELLING SYSTEM FOR THE IDENTIFICATION OF CAVIAR</b>						
R11.13 RECOMMENDS	<p>a) the introduction of a uniform marking system for any primary container (tin, jar, or box into which caviar is directly packed) of more than 249 grams of caviar entering international trade from the countries of origin, based on the application of non-reusable labels on each primary container;</p> <p>b) that for export of primary containers containing less than 250 grams of caviar, the non-reusable labels referred to in paragraph a) above be affixed only to the secondary containers, which should also include a description of the content;</p> <p>c) that the non-reusable label include, as a minimum: grade of the caviar (beluga, sevruga, or ossetra); a standard species code as provided in the Annex; and a unique serial number for the shipment, consisting of the ISO two-letter code for the country of origin, the year of harvest, and a unique number for the primary container that corresponds to the processing plant and lot identification number for the caviar:</p> <p style="text-align: center;">Beluga/HUS/RU/2000/xxxx/yyyy</p> <p>d) that the information, referred to in paragraph c) above be clearly marked on all secondary containers containing one or more primary containers of caviar;</p> <p>e) that, in order to facilitate tracking and monitoring of caviar exports, the same information that is on the label affixed to the secondary container be given on the export permit;</p> <p>f) that, in the event of mismatches of information between a label and a permit, the Management Authority of the importing Party immediately contact its counterpart in the exporting Party to establish whether this was a genuine error arising from the volume of information required by this Resolution, and that, if this is the case, every effort be made to avoid penalizing those involved in such transactions;</p> <p>g) that the Management Authority of the exporting, re-exporting, and importing Parties provide to the Secretariat, when directed by the Standing Committee or agreed to between the range State and the CITES Secretariat, a copy of each export permit for caviar immediately after issuance or upon receipt, as appropriate;</p> <p>h) that Parties accept shipments of caviar imported directly from countries of origin only if they are accompanied by appropriate documents containing the information referred to in paragraph c), d) or e) and if the related processed products are labelled as directed in this Resolution; and</p> <p>i) that Parties establish, where legally possible, a system of registration or licensing or both for importers and exporters of caviar;</p> <p><b>RECOMMENDS that the preceding set of procedures take effect as soon as possible for export quotas for the year 2001; and</b></p>						



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<b>URGES all Parties that trade (export, import or re-export) in caviar to report promptly to the Secretariat the volumes involved each year.</b>						
R11.13	<ul style="list-style-type: none"> <li>This is a new resolution providing guidance on the labelling of caviar shipments. No specific action required. Implementation to be monitored and Doc to be prepared for COP12 if necessary</li> </ul>	Doc for COP12 if necessary	Low	LCU	1100	No	June 2002
R11.13	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
11.14	<b>GUIDELINES FOR A PROCEDURE TO REGISTER AND MONITOR OPERATIONS THAT BREED APPENDIX-I ANIMAL SPECIES FOR COMMERCIAL PURPOSES</b>						
R11.14, DETERMINES a)	The term 'bred in captivity for commercial purposes', as used in Article VII, paragraph 4, of the Convention, shall be interpreted as referring to any specimen of an animal bred to obtain economic benefit, including profit, whether in cash or kind, where the purpose is directed toward sale, exchange or provision of a service or any other form of economic use or benefit						
R11.14, DETERMINES b)	For Appendix I species, Article VII, paragraph 5, of the Convention shall be interpreted as referring to a specimen of an animal bred for non-commercial purposes where each donation, exchange or loan is not for profit and is conducted between two operations involved in a co-operative conservation programme that provides for the participation and/or support of one or more range States for the species concerned						
R11.14, DETERMINES	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.14, AGREES	AGREES to the following procedure to register a captive-breeding operation for each Appendix-I listed animal species bred for commercial purposes and included in Annex 3 <sup>4</sup> to this Resolution, which comprises a list of species that are critically endangered in the wild and/or known to be difficult to keep or breed in captivity						
R11.14, AGREES	<ul style="list-style-type: none"> <li>In accordance with the procedure in Annex 2 review each application to register a captive-breeding operation</li> </ul>	Review	Medium	CSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>Notify all Parties of the applications</li> </ul>	Notification	Medium	CSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Medium	CSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>Refer to Animals Committee members and experts as appropriate</li> </ul>	correspondence	Medium	CSU	1100		As required

<sup>4</sup> *Annex 3 will be available only after it has been approved by the Standing Committee.*

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.14, AGREES	<ul style="list-style-type: none"> <li>Consider the scientific acceptability of each application</li> </ul>	Analysis	Medium	SSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>In case of objections from Parties, seek to resolve problems</li> </ul>	correspondence	Medium	CSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>Where it proves impossible to resolve a problem refer the case to CoP12</li> </ul>	documentation	Medium	CSU	1100		June 2002
R11.14, AGREES	<ul style="list-style-type: none"> <li>If the requirements for registration are met and there is no objection, register the operation.</li> </ul>	notification	Medium	CSU	1100		As required
R11.14, AGREES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100		As required
R11.14, AGREES ALSO	AGREES also that determination of whether or not to apply the exemptions in Article VII, paragraph 4, for the export of specimens of Appendix-I animals bred in captivity for commercial purposes, where the species are not included in Annex 3 of this Resolution, remains the responsibility of the Management Authority of the exporting Party on the advice of the Scientific Authority that each operation complies with the provisions of Resolution Conf. 10.16 (Rev.)						
R11.14, AGREES ALSO	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.14 RESOLVES	<p>RESOLVES that:</p> <ol style="list-style-type: none"> <li>an operation may only be registered according to the procedure in this Resolution, if specimens produced by that operation qualify as 'bred in captivity' according to the provisions of Resolution Conf. 10.16 (Rev.)</li> <li>the first and major responsibility for approving captive-breeding operations under Article VII, paragraph 4, shall rest with the Management Authority of each Party, in consultation with the Scientific Authority of that Party</li> <li>the Management Authority shall provide the Secretariat with appropriate information to obtain, and to maintain, the registration of each captive-breeding operation as set out in Annex 1</li> <li>the Secretariat shall notify all Parties of each application for registration following the procedure set out in Annex 2</li> <li>Parties shall strictly implement the provisions of Article IV of the Convention with respect to specimens of species included in Appendix I originating from operations that breed such specimens in captivity for commercial purposes</li> <li>registered captive-breeding operations shall ensure that an appropriate and secure marking system is used to clearly identify all breeding stock and specimens in trade, and shall undertake to adopt superior marking and identification methods as these become available</li> <li>the Management Authority, in collaboration with the Scientific Authority, shall monitor the management of each registered captive-breeding operation under its jurisdiction and advise the Secretariat in the event of any major change in the nature of the operation or in the type(s) of products being produced for export, in which case the Animals Committee shall review the operation to determine whether it should remain registered</li> <li>any Party within whose jurisdiction an operation is registered may unilaterally request the removal of that operation from the Register without reference to other Parties by so notifying the Secretariat, and, in this case, the operation shall be removed immediately</li> <li>any Party believing that a registered operation does not comply with the provisions of Resolution Conf. 10.16 (Rev.) may, after consultation with the Secretariat and the Party concerned, propose that the Conference of the Parties delete the operation from the Register by a two-thirds vote of the Parties as</li> </ol>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	described in Article XV of the Convention; and, once deleted, such an operation may only be reinstated in the Register by satisfying the procedure outlined in Annex 2						
	j) the Management Authority shall satisfy itself that the captive-breeding operation will make a continuing meaningful contribution according to the conservation needs of the species concerned						
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>See above under "AGREES"</li> </ul>						
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>Delete an operation if requested by the Party concerned</li> </ul>	computerization notification	Medium	CSU	1100		As required
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>Translation</li> </ul>	translation	Medium	CSU	1100		As required
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>If a proposal to delete an operation is received from a Party other than the State in which the operation is located, review the proposal, consult the State concerned</li> </ul>	correspondence	Medium	CSU	1100		As required
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>If the State concerned agrees to the deletion, delete the operation from the Register</li> </ul>	computerization notification	Medium	CSU	1100		As required
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100		As required
R11.14 RESOLVES	<ul style="list-style-type: none"> <li>If the State concerned does not agree to the deletion, refer the matter to CoP12</li> </ul>	documentation Translation	Medium	CSU	1100		June 2002
R11.14 URGES	that Parties, prior to the establishment of captive-breeding operations for exotic species, undertake an assessment of the ecological risks, in order to safeguard against any negative effects on local ecosystems and native species						
R11.14 URGES	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.14, AGREES further para. a)	Parties shall restrict imports for primarily commercial purposes, as defined in Resolution Conf. 5.10, of captive-bred specimens of Appendix-I species listed in Annex 3 of this Resolution to those produced by operations included in the Secretariat's Register and shall reject any document granted under Article VII, paragraph 4, of the Convention, if the specimens concerned do not originate from such an operation and if the document does not describe the specific identifying mark applied to each specimen						
R11.14, AGREES further para b)	Comparable documentation granted in accordance with the Convention by States that are not Parties to the Convention shall not be accepted by Parties without prior consultation with the Secretariat						
R11.14, AGREES further	<ul style="list-style-type: none"> <li>Advise Parties accordingly when they seek verification of permits</li> </ul>	correspondence Translation	Medium	LCU	1100	No	Ongoing
R11.14	REPEALS Resolution Conf. 8.15 (Kyoto, 1992) – Guidelines for a procedure to register and monitor operations breeding Appendix-I animal species for commercial purposes, effective when the list in Annex 3 has been approved by the Standing Committee and distributed by the Secretariat						
R11.14	<ul style="list-style-type: none"> <li>When the Standing Committee approves Annex 3, inform the Parties</li> </ul>	Notification Translation	Medium	CSU	1100		When Annex 3 is approved

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.15	<b>NON-COMMERCIAL LOAN, DONATION OR EXCHANGE OF MUSEUM AND HERBARIUM SPECIMENS</b>						
R11.15, para a)	Parties take every opportunity within the scope of the Convention to encourage scientific research on wild fauna and flora, where this may be of use in conserving species that are threatened with extinction or that may become so						
R11.15, para b)	In order to reduce the potential impact of research, the Parties encourage their natural history museums and herbaria to inventory their holdings of rare and endangered species and make that information widely available to the Parties and the research community. These inventories will allow researchers to efficiently borrow specimens for study						
R11.15, para c)	Addenda should be added to the inventories as specimens become available. Scientific and Management Authorities of the Parties can use the information in determining whether further collecting of some rare species may be justifiable, or whether the need already can be met by borrowing specimens from other museums						
R11.15, para d)	Parties urge their museums and herbaria to undertake such inventories and make such information available						
R11.15, paras a) to d)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.15, para e)	Parties implement the exemption for scientific exchange in Article VII, paragraph 6, of the Convention as follows: <ol style="list-style-type: none"> <li>registration of scientific institutions should be done in a manner that extends the exemption to all scientific institutions meeting certain standards in each Party as determined to be bona fide upon the advice of a Scientific Authority</li> <li>each Management Authority should communicate to the Secretariat as soon as practicable the names and addresses of those scientific institutions so registered, and the Secretariat without delay then communicate this information to all other Parties</li> <li>the requirement that the container used to transport the specimens carry a label issued or approved by a Management Authority should be met by authorizing the use of Customs Declaration labels, provided they bear the acronym 'CITES', identification of contents as herbarium specimens, preserved, dried or embedded museum specimens or live plant material for scientific study, the name and address of the sending institution and the codes of the exporting and importing institutions over the signature of a responsible officer of that registered scientific institution; or a label issued by a Management Authority containing the same information and the users of which would be responsible to that body</li> <li>to prevent abuse of this exemption, it should be limited to shipments of legally obtained specimens between registered scientific institutions and, if trade is to or from a non-Party, the Secretariat shall ensure that the institution in this State meets the same standards for registration, as indicated by competent authorities of the non-party governments</li> <li>the exemption should be applied to include frozen museum specimens, duplicate herbarium specimens, and all other types of scientific specimens named in Article VII, paragraph 6, including those that are legally collected in one State for shipment to another State as non-commercial loans, donations, or exchanges</li> <li>the standards for registration of scientific institutions should be as follows <ol style="list-style-type: none"> <li>collections of animal or plant specimens, and records ancillary to them, permanently housed and professionally curated</li> <li>specimens accessible to all qualified users, including those from other institutions</li> <li>all accessions properly recorded in a permanent catalogue</li> <li>permanent records maintained for loans and transfers to other institutions</li> <li>specimens acquired primarily for purposes of research that is to be reported in scientific publications</li> <li>specimens prepared and collections arranged in a manner that ensures their utility</li> <li>accurate data maintained on specimen labels, permanent catalogues and other records</li> <li>acquisition and possession of specimens accord with the laws of the State in which the scientific institution is located</li> <li>all specimens of species included in Appendix I permanently and centrally housed under the direct control of the scientific institution, and managed</li> </ol> </li> </ol>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	<p>in a manner to preclude the use of such specimens for decoration, trophies or other purposes incompatible with the principles of the Convention</p> <p>vii) scientists who keep private collections should be encouraged to affiliate with registered scientific institutions in order that they may take advantage of the exemption provided in Article VII, paragraph 6</p> <p>viii) all States should take precautions to avoid damage or loss to science of museum and herbarium specimens or of any accompanying data</p> <p>ix) this exemption should be implemented to ensure that non-commercial exchange of scientific specimens is not interrupted and that it occurs in a way consistent with the terms of the Convention</p> <p>x) a five-character coding system for identifying registered institutions should be adopted; the first two characters should be the two-letter country code established by the International Organization for Standardization, as provided in the CITES Directory; the last three characters should be a unique number assigned to each institution by a Management Authority, in the case of a Party, or by the Secretariat, in the case of a non-Party</p>						
R11.15, para e)	<ul style="list-style-type: none"> <li>Review all applications to include scientific institutions in the Register</li> </ul>	Verification	Medium	CSU	1100		as required
R11.15, para e)	<ul style="list-style-type: none"> <li>Consult with the State concerned is there is doubt that these provisions are being followed</li> </ul>	correspondence	Medium	CSU	1100		as required
R11.15, para e)	<ul style="list-style-type: none"> <li>Register scientific institutions as appropriate</li> </ul>	computerization notification	Medium	CSU	1100		as required
R11.15, para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	Medium	CSU	1100		as required
R11.15	<p>REPEALS the Resolutions listed hereunder:</p> <p>a) Resolution Conf. 1.4 (Berne, 1976) – Museum and herbarium inventories</p> <p>b) Resolution Conf. 2.14 (San José, 1979) – Guidelines for non-commercial loan, donation or exchange of museum and herbarium specimens</p>						
<b>R11.16</b>	<b>RANCHING AND TRADE IN RANCHED SPECIMENS OF SPECIES TRANSFERRED FROM APPENDIX I TO APPENDIX II</b>						
R.11.16	<ul style="list-style-type: none"> <li>Consider the option of broadening the scope of this Resolution to provide for a consolidated approach towards ranching as a production system, for Appendix-I species transferred to Appendix-II but also for Appendix-II species; in collaboration with the Animals and Plants Committees</li> </ul>	Discussion paper for PC and AC	High	SSU	1100	No	mid 2001
R.11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	mid 2001
R.11.16	<ul style="list-style-type: none"> <li>Draft amendments to Resolution on ranching of any CITES-listed species for CoP12; in collaboration with the Animals and Plants Committees</li> </ul>	Draft amendments to Resolution	High	SSU	1100	No	2002 CoP12-150
R.11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	SSU	1100	No	2002 CoP12-150
R11.16para a)	<u>Regarding definitions</u>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	DECIDES that: a) the term 'ranching' means the rearing in a controlled environment of specimens taken from the wild; and						
R11.16para a)	<ul style="list-style-type: none"> <li>Explore the application of the term ranching to a wider range of species including plants as well as the degree to which a range of captive rearing systems conform to the concept of ranching to determine if an amendment to this definition is required, in collaboration with the Animals and Plants Committees</li> </ul>	Documents PC11	High	SSU	1100 2119	No	Final report April 2001
R11.16para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2119	Yes	Final report April 2001
R11.16para a)	<ul style="list-style-type: none"> <li>Explore the application of the term ranching to a wider range of species including plants as well as the degree to which a range of captive rearing systems conform to the concept of ranching to determine if an amendment to this definition is required, in collaboration with the Animals and Plants Committees</li> </ul>	Report on the application of the term ranching to a range of production systems to AC16	High	SSU	1100 2119	No	Final report April 2001
R11.16para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100 2119	No	Final report April 2001
R11.16para b)	b) the term 'uniform marking system' means a system of marking each product approved by the Conference of the Parties for a species, which, as a minimum, includes the International Organization for Standardization two-letter code for the country of origin, a unique identification number and the year of production or, for products in stock or manufactured from products of the operation in stock at the time of the proposal, the year of approval of the proposal;						
R11.16para b)	<ul style="list-style-type: none"> <li>When applicable, propose a marking system that would conform to these minimum requirements when a proposal is received to transfer a population from Appendix I to II for the purposes of ranching</li> </ul>	Recommendations in Secretariat's Review document and draft Resolution to CoP	Low	SSU	1100	No	COPxx –150
R11.16para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	COPxx –150
R11.16para b)	<ul style="list-style-type: none"> <li>When applicable, propose a marking system that would conform to these minimum requirements when a proposal is received to transfer a population from Appendix I to II for the purposes of ranching</li> </ul>	Recommendations in Secretariat's Review document and draft Resolution to CoP	Low	LCU	1100	No	COPxx –150
R11.16para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	COPxx –150

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.16para a)	<p><u>Regarding proposals to transfer populations from Appendix I to Appendix II for ranching</u></p> <p>RECOMMENDS that:</p> <p>a) populations of species included in Appendix I that occur within the jurisdiction of Parties and are deemed by the Conference of the Parties to be no longer endangered and to benefit by ranching with the intention of trade be included in Appendix II;</p>						
R11.16para a)	<ul style="list-style-type: none"> <li>No action within present scope of Resolution except as the basis for the Secretariat's comments on a proposal to transfer a species from App. I to II for ranching.</li> </ul>	Recommendations in Secretariat's Review document	High	SSU	1100	No	2002 CoP12-150
R11.16para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-150
R11.16para b)	<p>b) in order to be considered by the Conference of the Parties, any proposal to transfer a population to Appendix II in order to conduct a ranching programme satisfy the following general criteria:</p> <p>i) the programme must be primarily beneficial to the conservation of the local population (i.e., where applicable, contribute to its increase in the wild or promote protection of the species's habitat while maintaining a stable population);</p> <p>ii) all products (including live specimens) of each operation must be adequately identified and documented to ensure that they can be readily distinguished from products of Appendix-I populations;</p> <p>iii) the programme must have in place appropriate inventories, harvest-level controls and mechanisms to monitor the wild populations; and</p> <p>iv) there must be sufficient safeguards established in the programme to ensure that adequate numbers of animals are returned to the wild if necessary and where appropriate;</p>						
R11.16para b)	<ul style="list-style-type: none"> <li>No action within present scope of Resolution except as criteria to be used in the Secretariat's comments on a proposal to transfer a species from App. I to II for ranching.</li> </ul>	Recommendations in Secretariat's Review document	High	SSU	1100	No	2002 CoP12-150
R11.16para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-150
R11.16para c)	<p>c) any Party submitting a ranching proposal for a population of a species, whether or not a ranching proposal has been approved for the species previously, include in the proposal the following, in addition to the usual biological data requested for proposals to amend the Appendices:</p> <p>i) details of its marking system that should meet the minimum requirements of the uniform marking system defined in this Resolution;</p> <p>ii) a list specifying the types of products produced by the operation;</p> <p>iii) a description of the methods that will be used to mark all products and containers entered into trade; and</p> <p>iv) an inventory of current stocks of specimens of the species concerned, whether or not they are from the ranching operation;</p>						
R11.16para c)	<ul style="list-style-type: none"> <li>No action within present scope of Resolution except as criteria to be used in the Secretariat's comments on a proposal to transfer a species from App. I to II for ranching.</li> </ul>	Recommendations in Secretariat's Review document Translation	High	SSU	1100	No	2002 CP12-150

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.16para d)	d) any proposal for the transfer to Appendix II of a Party's population or a smaller geographically separate population of a species, for the purpose of ranching, not be approved by the Conference unless it contains the following: <ul style="list-style-type: none"> <li>i) evidence that the taking from the wild will have no significant detrimental impact on wild populations;</li> <li>ii) an assessment of the likelihood of the biological and economic success of each ranching operation;</li> <li>iii) assurance that the operation shall be carried out at all stages in a humane (non-cruel) manner;</li> <li>iv) documented evidence to demonstrate that the programme is beneficial to the wild population through reintroduction or in other ways; and</li> <li>v) assurance that the criteria specified in paragraph b) above under 'RECOMMENDS' shall continue to be met;</li> </ul>						
R11.16para d)	<ul style="list-style-type: none"> <li>• The relevance of sub-para iii) is questionable and this requirement, which refers to a non-verifiable undertaking by a Proponent, would be better accommodated in a general Resolution on the humane treatment of animals, should there be a reasonable expectation in future that a CoP would indeed support the need for such a Resolution.</li> </ul>	No output foreseen	Low	SSU	1100	No	-
R11.16para e)	e) in order to be discussed at the next meeting of the Conference of the Parties, any proposal for amendment of the Appendices pursuant to this Resolution be received by the Secretariat at least 330 days before that meeting. In consultation with the Animals Committee, the Secretariat shall seek appropriate scientific and technical advice to verify that the criteria specified in paragraph d) above under 'RECOMMENDS' have been met and to review the information and assurances in the proposal that are specified in paragraph d) above. If in the opinion of the Secretariat further information concerning the criteria is required, the Secretariat shall request information from the proposing Party within 150 days after receipt. Thereafter, the Secretariat shall communicate with the Parties in accordance with Article XV of the Convention;						
R11.16para e)	<ul style="list-style-type: none"> <li>• When a proposal has been received within the deadline, consult with relevant experts (e.g. the applicable IUCN/SSC Specialist Group) and the Animals Committee regarding the verification of criteria to be met by the proposal and to review the information and assurances provided in the proposal</li> </ul>	Consultations with the Animals Committee, relevant experts, proponent Parties where applicable, and recommendations in Secretariat's Review document Correspondence	High	SSU	1100	No	2002 CoP12-330 for consultations  COP12-180 for requests to Proponent
R11.16para e)	<ul style="list-style-type: none"> <li>• Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-330 for consultations  COP12-180 for requests to Proponent



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.16para e)	<ul style="list-style-type: none"> <li>Where appropriate, request additional information from Proponent within 150 days of receipt of proposal</li> <li>Standard process of commenting on amendment proposals and informing Parties of the Secretariat's assessment</li> </ul>	Correspondence / document	High	SSU	1100	No	CoP12-330/180
R11.16para f)	f) proposals that include a component of a wild-adult harvest be examined much more stringently than those based purely on collection of eggs, neonates, larvae or other juvenile life stages;						
R11.16para f)	<ul style="list-style-type: none"> <li>Apply when evaluating a ranching proposal with a wild harvest component</li> </ul>	Consultations with the Animals Committee, relevant experts, proponent Parties where applicable, and recommendations in Secretariat's Review document Correspondence	High	SSU	1100	No	2002 CoP12-330 for consultations  CoP12-180 for requests to Proponent
R11.16para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-330 for consultations  CoP12-180 for requests to Proponent
R11.16para f)	<ul style="list-style-type: none"> <li>Clarify the process of amendment of quotas for wild harvesting components of ranching proposals, i.e. to be able to specify which criteria such proposals should comply with, in consultation with the Animals Committee</li> </ul>	Discussion document to AC17, Potential draft Resolution to CoP12	High	SSU	1100	No	mid 2001 for AC17  CoP12-150
R11.16para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	mid 2001 for AC17 CoP12-150
R11.16para g)	g) Parties achieving or having achieved the transfer of their populations of a species to Appendix II under the provisions of this Resolution limit the manner of exploitation of wild populations to those techniques described in their proposals and not, for example, later initiate new short-term programmes for taking wild animals without notifying the Secretariat;						
R11.16para g)	<ul style="list-style-type: none"> <li>No action required under normal circumstances. When there is reason to suspect that wild harvesting has resumed, review trade data, liaise with the Party concerned and as a last resort,</li> </ul>	Where applicable, review trade data; liaison with Party; recommendation to Standing	Low	LCU	1100	No	As and when required

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	recommend remedial action to the Standing Committee.	Committee					
R11.16para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	As and when required
R11.16para h)	h) Any Party with an approved ranching proposal submit any changes to the information supplied in paragraph c) above under 'RECOMMENDS' to the Secretariat. The Secretariat, in consultation with the Animals Committee, should determine whether the changes proposed substantially alter the original ranching programme, and undermine or jeopardize the conservation of the wild population. The Secretariat should advise the Party of its determination accordingly; and						
R11.16para j)	<ul style="list-style-type: none"> <li>When such information has been received, assess changes as required in consultation with the Animals Committee and inform the Party of the outcome of the assessment</li> </ul>	Consultation with the Animals Committee; liaison with the Party	Low	SSU	1100	No	-
R11.16para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	-
R11.16para i)	i) in cases where the Secretariat, in consultation with the Animals Committee, concludes that changes to the ranching programme that are proposed in accordance with paragraph h) would result in substantial changes to management of the species, the proposed management be treated as a new proposal, requiring the submission of a proposal pursuant to this Resolution and to the requirements of Article XV of the Convention;						
R11.16 para i)	<ul style="list-style-type: none"> <li>When applicable, inform Party that a proposal be submitted accordingly to the next CoP</li> </ul>	Liaison with Party	High	SSU	1100	No	-
R11.16 para i)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	-
R11.16para j)	<ul style="list-style-type: none"> <li>Clarify if the amendment of quotas for wild harvesting components of ranching proposals should result in the submission of proposals, in consultation with the Animals Committee</li> </ul>	Discussion document to AC17, Potential draft Resolution to CoP12	High	SSU	1100	No	mid 2001 for AC17 CoP12-150
R11.16para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	mid 2001 for AC17 CoP12-150
R11.16	<u>Regarding trade in ranched specimens of species transferred from Appendix I to Appendix II</u> RECOMMENDS that all Parties prohibit trade in products of ranching operations unless such trade complies with all the terms, conditions and requirements of the approved ranching proposal for the population concerned;						
R11.16	<ul style="list-style-type: none"> <li>No action required under normal circumstances. When trade that does not comply with the approved ranching proposal is reported, investigate, liaise with the Party concerned and as a last</li> </ul>	Where applicable, review trade data; liaison with Party; recommendation to Standing	Low	LCU	1100	No	As and when required

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	resort, recommend remedial action to the Standing Committee	Committee					
R11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	As and when required
R11.16	<p><u>Regarding monitoring and reporting in relation to species transferred from Appendix I to Appendix II for ranching</u></p> <p>RECOMMENDS that:</p> <p>a) annual reports on all relevant aspects of each approved ranching operation be submitted to the Secretariat by the Party concerned, and include any new information on the following:</p> <ul style="list-style-type: none"> <li>i) the status of the wild population concerned;</li> <li>ii) the number of specimens (eggs, young or adults) taken annually from the wild;</li> <li>iii) an estimate of the percentage of the production of the wild population that is taken for the ranching operation;</li> <li>iv) the number of animals released and their survival rates estimated on the basis of surveys and tagging programmes, if any;</li> <li>v) the mortality rate in captivity and causes of such mortality;</li> <li>vi) production, sales and exports of products; and</li> <li>vii) conservation programmes and scientific experiments carried out in relation to the ranching operation or the wild population concerned;</li> </ul>						
R11.16	<ul style="list-style-type: none"> <li>Recommend that this paragraph be repealed or amended, as very few Parties seem to comply with this requirement and the Secretariat may arguably not be in a position to review detailed annual documents from a large number of ranching operations.</li> </ul>	Draft Resolution	High	SSU	1100	No	2002 CoP12-150
R11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-150
R11.16	<p>b) with the consent of the Standing Committee and the Party concerned, the Secretariat should have the option to visit and examine a ranching operation wherever circumstances require it to do so; and</p>						
R11.16	<ul style="list-style-type: none"> <li>Retain option and exercise it when it appears that problems associated with a ranching operation can not be solved otherwise, or when such a visit may be used to solve a generic problem concerning ranching operations in a specific country or regarding a particular species</li> </ul>	Visit ranching operation; liaison with Party and Standing Committee	Low	SSU	1100	No	-
R11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	-
R11.16	<p>c) where the Secretariat reports failure to comply with this Resolution, and the Standing Committee and the Party concerned fail to resolve the matter satisfactorily, the Standing Committee may, after full consultation with the Party concerned, request the Depositary Government to prepare a proposal to transfer the population concerned back to Appendix I;</p>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.16	<ul style="list-style-type: none"> <li>Recommend action by Standing Committee</li> </ul>	Recommendation to Standing Committee	High	PMU	1100	No	2002 CoP12-150
R11.16	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 CoP12-150
<b>R11.17</b>	<b>ANNUAL REPORTS AND MONITORING OF TRADE</b>						
R11.17	<ul style="list-style-type: none"> <li>Assume lead responsibility and liaise with others as needed</li> </ul>	Analyses; revised Guidelines; revisions to Resolution; reports; correspondence	High and low	LCU	1100	No	Committees, SC, COP and ongoing
R11.17	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High and low	CSU	1100	Yes	Committees, SC, COP and ongoing
<b>R11.17, URGES</b>	<b>URGES Parties to submit annual reports in accordance with Guidelines (Notification 788)</b>						
R11.17, URGES	<ul style="list-style-type: none"> <li>Obtain feedback from Parties on overall usefulness of Guidelines and compare Guidelines with reporting guidance used by other MEAs</li> </ul>	Internal communication	Low	LCU	1100	No	Dec 2001
R11.17, URGES	<ul style="list-style-type: none"> <li>If needed, prepare Guidelines revisions and cover note for SC45</li> </ul>	Revised Guidelines and cover document	Low	LCU	1100	No	March 2001
R11.17, URGES	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	March 2001
<b>R11.17, REC para a)</b>	<b>RECOMMENDS Parties report trade in CITES-listed plants at species or generic level but may report artificially propagated App II orchids as such</b>						
R11.17, REC para a)	<ul style="list-style-type: none"> <li>Consult WCMC, SSU and annual reports to see how such trade is being reported</li> </ul>	Written findings	Low	LCU	1100	No	Dec 2001
R11.17, REC para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	Dec 2001
R11.17, REC para a)	<ul style="list-style-type: none"> <li>Based on results of consultation, revise Resolution to delete this section or prepare guidance for Parties</li> </ul>	Revised Resolution or guidance	Low	LCU	1100	No	June 2002
R11.17, REC para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low?	CSU	1100	No	June 2002
<b>R11.17, REC para b)</b>	<b>RECOMMENDS Parties distinguish in their annual reports between plant specimens of wild and artificially propagated origin</b>						
R11.17, REC para b)	<ul style="list-style-type: none"> <li>Consult WCMC, SSU and annual reports to see how such trade is being reported</li> </ul>	Written findings	Low?	LCU	1100	No	Dec 2001
R11.17, REC	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low?	CSU	1100	No	Dec 2001

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para b)							
R11.17, REC para b)	<ul style="list-style-type: none"> <li>Based on results of consultation, revise Resolution to delete this section or prepare guidance for Parties</li> </ul>	Revised Resolution or guidance	Low?	LCU	1100	No	June 2002
R11.17, REC para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low?	CSU	1100	No	June 2002
<b>R11.17, REC para c)</b>	<b>RECOMMENDS Parties include in their annual reports complete data on imports, exports and re-exports of raw ivory including, as a minimum, the country of origin, the year the export was authorized under a quota, the number of whole or substantially whole tusks, and their individual weights and serial numbers</b>						
R11.17, REC para c)	<ul style="list-style-type: none"> <li>Consult WCMC, SSU and annual reports to see how such trade is reported</li> </ul>	Written findings	High	LCU	1100	No	Dec 2001
R11.17, REC para c)	<ul style="list-style-type: none"> <li>Based on results of consultation, revise Resolution to delete this section or prepare guidance for Parties</li> </ul>	Revised Resolution or guidance	High	LCU	1100	No	June 2002
R11.17, REC para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	June 2002
<b>R11.17, REC para d)</b>	<b>RECOMMENDS Parties report trade in hard coral at the species or generic level (link to R11.10?)</b>						
R11.17, REC para d)	<ul style="list-style-type: none"> <li>Consult WCMC, SSU and annual reports to see how such trade is reported</li> </ul>	Written findings	Low	LCU	1100	No	Dec 2001
R11.17, REC para d)	<ul style="list-style-type: none"> <li>Based on results of consultation, revise Resolution to delete this section or prepare guidance for Parties</li> </ul>	Revised Resolution or guidance	Low	CBU or LCU	1100	No	June 2002
R11.17, REC para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	June 2002
<b>R11.17, REC2, para a)</b>	<b>RECOMMENDS Management Authorities consult their national timber organizations to identify any anomalies in their annual reports and to discuss remedies if such anomalies exist (link to Mahogany Working Group and R11.11?)</b>						
R11.17, REC2, para a)	<ul style="list-style-type: none"> <li>Ask representatives to Plants Committee and selected MAs whether they have taken action and the results</li> </ul>	Written findings	Low	LCU	1100	No	Dec 2001
R11.17, REC2, para a)	<ul style="list-style-type: none"> <li>Share written findings at COP12</li> </ul>	Report	Low	LCU	1100	No	June 2002
R11.17, REC2, para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.17, REC2, para b)</b>	<b>RECOMMENDS Management Authorities to carefully review their procedures for reporting trade in timber species included in the appendices to ensure that reporting is based on permits used rather than permits issued (link to EC meeting on WCMC implementation, Mahogany Working Group and trade in plants)</b>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.17, REC2, para b)	<ul style="list-style-type: none"> <li>Consult with Plants Committee and MAs to see whether they have taken action and results</li> </ul>	Written findings	Low	LCU	1100	No	Dec 2001
R11.17, REC2, para b)	<ul style="list-style-type: none"> <li>Share written findings at COP</li> </ul>	Report	Low?	LCU	1100	No	June 2002
R11.17, REC2, para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low?	CSU	1100	Yes	June 2002
<b>R11.17, REC3</b>	<b>RECOMMENDS each Party that is a member of a regional trade agreement include in its annual reports information on trade in specimens of App I, II, and III species with other member States unless the record-keeping and reporting duties are in direct and irreconcilable conflict with the provisions of the regional trade agreement</b>						
R11.17, REC3	<ul style="list-style-type: none"> <li>Consult with EC on compatibility issue</li> </ul>	Correspondence	Low	LCU	1100	No	Dec 2001
R11.17, REC3	<ul style="list-style-type: none"> <li>Advise Parties of consultation results</li> </ul>	Report to COP	Low	LCU	1100	No	June 2002
R11.17, REC3	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	June 2002
<b>R11.17, URGES2</b>	<b>URGES every Party to consider whether the preparation of its statistical reports could be computerized, or undertaken under a contract between the Party and the Wildlife Trade Monitoring Unit of the World Conservation Monitoring Centre</b>						
R11.17, URGES2	<ul style="list-style-type: none"> <li>Consult with WCMC on number of contacts received in this regard, if any</li> </ul>	Written findings	High	LCU	1100	No	Dec 2001
R11.17, URGES2	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Dec 2001
R11.17, URGES2	<ul style="list-style-type: none"> <li>Correspond directly with Parties who have reporting difficulties and might benefit most</li> </ul>	Correspondence	High	LCU	1100	No	Ongoing 2001
<b>R11.17, REC4</b>	<b>RECOMMENDS that Parties studying or developing computer programmes for licensing and reporting trade under the Convention consult with each other, and with the Secretariat, in order to ensure optimal harmonization and compatibility of systems (link with EC meeting on WCMC implementation)</b>						
R11.17, REC4	<ul style="list-style-type: none"> <li>Solicit information from Parties and WCMC</li> </ul>	Written findings	Low	LCU	1100	No	Dec 2001
R11.17, REC4	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low?	CSU	1100	No	Dec 2001
R11.17, REC4	<ul style="list-style-type: none"> <li>Prepare short cover note for submission of findings</li> </ul>	Cover note	Low?	LCU	1100	No	June 2002
<b>R11.17, DEC para a)</b>	<b>DECIDES failure to submit an annual report by 31 October of the year following the year for which the report was due constitutes a major problem with the implementation of the Convention, which the Secretariat shall refer to the Standing Committee for a solution in accordance with Resolution Conf. 11.3 (link to relevant Decision on possible trade recommendations)</b>						
R11.17, DEC para a)	<ul style="list-style-type: none"> <li>Prepare Notification reminding Parties of possible trade implications for non-submission of annual reports and listing</li> </ul>	Notification	High	LCU	1100	No	Sept 2000

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	those Parties who may be subject to a recommendation on trade						
R11.17, DEC para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	Sept 2000
<b>R11.17, DEC para b)</b>	<b>DECIDES the Secretariat may approve a valid request for a reasonable extension of time to the 31 October deadline for the submission of annual reports provided the Party submits to the Secretariat a written request, containing adequate justification before that deadline</b>						
R11.17, DEC para b)	<ul style="list-style-type: none"> <li>Evaluate the justification for requested extensions of time and respond in timely fashion</li> </ul>	Written approvals or denials of time extensions	High	LCU	1100	No	As needed
R11.17, DEC para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	As needed
R11.17, DEC para b)	<ul style="list-style-type: none"> <li>Keep a record of time extensions requested and approved or denied</li> </ul>	Updated file	High	LCU	1100	No	Ongoing
<b>R11.17, APP</b>	<b>APPEALS to all Parties and non-governmental organizations interested in furthering the objectives of the Convention, to make financial contributions to the Secretariat to support the trade monitoring work of the Secretariat and that of the World Conservation Monitoring Centre undertaken under contract to the Secretariat</b>						
R11.17, APP	<ul style="list-style-type: none"> <li>Consult with PMU, CBU and WCMC regarding any additional funds received or needed for trade monitoring work</li> </ul>	Written findings to COP12	Low	LCU	1100	No	June 2002
R11.17, APP	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	LCU	1100	No	June 2002
<b>R11.17, REP</b>	<b>REPEALS Resolution Conf. 9.4 (Rev.)</b>						
R11.17, REP	<ul style="list-style-type: none"> <li>Draw attention to replacement of Conf. 9.4 (Rev.) by Conf. 11.17, during training and other outreach activities</li> </ul>	Statements	High	CBU	1100	No	Ongoing
<b>R 11.18</b>	<b>TRADE IN APPENDIX-II AND -III SPECIES</b>						
R11.18 REC a)	RECOMMENDS that if any Party deems that an Appendix-II or -III species is being traded in a manner detrimental to the survival of that species, it: a) consult directly with the appropriate Management Authorities of the countries involved or, if this procedure is not feasible or successful, make use of the provisions of Article XIII to call upon the assistance of the Secretariat						
R11.18 REC a)	<ul style="list-style-type: none"> <li>Parties to consult Management Authorities involved; or</li> </ul>		High	Parties	n.a.	No	immediate
R11.18 REC a)	<ul style="list-style-type: none"> <li>Secretariat to take action as requested, and to contact Management Authority concerned</li> </ul>	Correspondence Translation	High	SSU	1100	No	immediate
R11.18 REC b)	b) make use of the options provided by Article XIV to apply stricter domestic measures particularly when re-export or transshipment, or trade with a State not party to the Convention is involved						
R11.18 REC b)	<ul style="list-style-type: none"> <li>Parties to evaluate or adapt their</li> </ul>		??	Parties	n.a.	No	immediate

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	legislation and implement this recommendation						
R11.18 REC b)	<ul style="list-style-type: none"> <li><b><i>This paragraph is inappropriate. There is no provision on what the exporting country should do before this can be implemented.</i></b> Secretariat to prepare document to propose deletion of this paragraph, or introduce a 'provision paragraph'. Secretariat should recommend that this Resolution be repealed.</li> </ul>	CoP document	High	CSU	1100	No	CoP12-150
R11.18 REC b)	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-150
R11.18 REC c)	c) make use of the options provided by Article X when trade with a State not party to the Convention is involved						
R11.18 REC c)	<ul style="list-style-type: none"> <li><b><i>This paragraph is also incorrect. It should now refer to Resolution Conf. 9.5. Since Parties should implement this, the para is superfluous</i></b></li> </ul>	CoP document	High	CSU	1100	No	CoP12-150
R11.18 REC c)	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	1100	Yes	CoP12-150
<b>R 11.19</b>	<b>IDENTIFICATION MANUAL</b>						
R 11.19 DIR a)	DIRECT the Secretariat to: a) prepare sheets on the identification of animal and plant species for inclusion in the Identification Manual in the three working languages of the Convention;						
R 11.19	<ul style="list-style-type: none"> <li>Contract people to prepare sheets, or receive sheets from Parties;</li> </ul>	Correspondence	medium	SSU	2106,210 7/1100/ 1300	20.000	continuous
R 11.19	<ul style="list-style-type: none"> <li>Verify that lay out is correct and ask for correction as appropriate;</li> </ul>		medium	SSU	2106/210 7/1100/ 1300	No	continuous
R 11.19	<ul style="list-style-type: none"> <li>Ensure translation into the three languages of the Convention</li> </ul>	Documentation	medium	CSU	2106/210 7/1100/ 1300	10.000	continuous
R 11.19	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	medium	CSU	2106/210 7/1100/ 1300	Yes	continuous
R 11.19	<ul style="list-style-type: none"> <li>Arrange for formatting of the translated sheets</li> </ul>	Documentation	medium	SSU	2106/210 7/1100/ 1300	No	continuous
R 11.19	<ul style="list-style-type: none"> <li>Distribute final sheets to the Parties</li> </ul>	Mailing	medium	CSU	1300/ 5301	No	



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R 11.19 DIR b)	b) upon request from a Party, provide advice on the identification of species, or seek advice from experts on the taxa concerned						
R 11.19	<ul style="list-style-type: none"> <li>Respond to request of Party or seek experts and upon receipt of their opinion communicate these to the Party concerned.</li> </ul>	Correspondence	High if relates to control of shipment, middle if of more general nature	SSU	1102	No	Immediate action upon receipt of request
R 11.19 DIR c)	c) ensure, where relevant, that the subject of identification of species or specimens is included in training seminars organized by the Secretariat						
R 11.19	<ul style="list-style-type: none"> <li>Include relevant issues on identification in the programme of seminars involving trade inspectors; consult with SCU on the taxa involved</li> </ul>	Internal communication	High	CBU	1102	No	continuous
R 11.19 DIR d)	d) provide assistance to Parties in the development of national or regional identification manuals						
R 11.19	<ul style="list-style-type: none"> <li>Respond to such request by providing Parties with identification materials available at the Secretariat, or direct them to sources where this information can be obtained</li> </ul>	Correspondence	Low. All Parties receive a complete ID Manual	SSU	1100	No	continuous
R 11.19 DIR e)	e) obtain, from Parties whose proposals to include new species in the Appendices have been adopted, appropriate data for inclusion in the Identification Manual within one year after acceptance of such additions						
R 11.19	<ul style="list-style-type: none"> <li>Write to the Parties immediately after the CoP on which the proposal has been adopted, and send a reminder after six month and one year</li> </ul>	Correspondence	High	SSU	1100	No	<b>2000-2001</b> CoP+30, 180, 360
R 11.19 DIR f)	f) publish, within its financial capacity, the Identification Manual						
R 11.19	<ul style="list-style-type: none"> <li>Ensure that finalized sheets are sent out to printers, or duplicated in house, and mailed to the Parties</li> </ul>	Documentation	High	SSU	1100/1206/1207	No	continuous
R 11.19 DIR g)	g) inform each meeting of the Standing, Animals and Plants Committees on the progress made						
R 11.19	<ul style="list-style-type: none"> <li>Prepare an information document for the meeting concerned before the time limit indicated in the Rules of Procedure of the Committee concerned.</li> </ul>	Documentation	High	SSU	1100	No	related to timing of the meeting
R 11.19	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	1100	No	related to timing of the meeting
R 11.19 DIR h)	h) report to each meeting of the Conference of the Parties						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R 11.19	<ul style="list-style-type: none"> <li>Prepare document on progress made</li> </ul>	Document	High	SSU	1100	No	<b>2002</b> CoP12-150
R 11.19	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	1100	Yes	<b>2002</b> CoP12-150
R 11.19 EXHORTS	Parties having successfully submitted proposals to include new species in the Appendices, to provide appropriate data for inclusion in the Identification Manual within one year after acceptance of such additions;						
R 11.19	<ul style="list-style-type: none"> <li>Parties to provide the information concerned</li> </ul>	Document	High	Parties, see also E) above	(1100)	No	<b>2000-2001</b> CoP11+360
R 11.19	<ul style="list-style-type: none"> <li>Translation into working languages.</li> </ul>	Translation	High	CSU	(1100)	Yes	<b>2000-2001</b> CoP11+360
R 11.19 APPEALS	Parties and Organizations to provide funds to ensure the production of the Identification Manual						
R 11.19	<ul style="list-style-type: none"> <li>Parties and Organizations to provide such funding; Secretariat to solicit funding</li> </ul>		Medium	CBU	(1100)	No	continuous
R 11.19 REQUE	REQUESTS the Parties to promote the use of the Identification Manual						
R 11.19	<ul style="list-style-type: none"> <li>Parties to promote the Identificaion Manuel.</li> </ul>		High	Parties		No	continuous
<b>R11.20</b>	<b>DEFINITION OF THE TERM 'APPROPRIATE AND ACCEPTABLE DESTINATIONS'</b>						
<b>R11.20 'AGREES'</b>	that where the term 'appropriate and acceptable destinations' appears in an annotation to the listing of a species in Appendix II of the Convention with reference to the export of or international trade in live animals, this term shall be defined to mean destinations where the Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it						
R11.20	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
<b>R11.21</b>	<b>USE OF ANNOTATIONS IN APPENDICES I AND II</b>						
<b>R11.21, 'AGREES' (1st), para a), i), ii), iii)</b>	The following are reference annotations and are for information purposes only: i) asterisks (*/) ii) the annotations "p.e.", for possibly extinct iii) annotations relating to nomenclature (=300- and =400-series)						
R11.21, 'AGREES' (1st), para a), i), ii), iii)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.21, para b), i), ii)	The following are substantive annotations and are integral parts of species listings <ul style="list-style-type: none"> <li>i) annotations relating to the inclusion or exclusion of designated geographically separate populations, subspecies, species, groups of species, or higher taxa, which may include export quotas (-100- and +200-series)</li> <li>ii) annotations relating to specified types of specimens (such as live animals, live plants, or specified parts or derivatives), which may include export quotas (°600-series and #-series)</li> </ul>						
R11.21, 'AGREES' (1st),	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
para b), i), ii)							
R11.21, 'AGREES' (1st), para c)	Reference annotations may be introduced, amended or deleted by the Conference of the Parties, or by the Secretariat, as required, to facilitate the understanding of the Appendices						
R11.21, 'AGREES' (1st), para c)	<ul style="list-style-type: none"> <li>Make, amend or delete reference annotations as necessary after CoP11 and CoP12</li> </ul>	verification, documentation	High	SSU	1100	No	Jul-Aug 2000, Dec 2002 & Jan 2003
R11.21, 'AGREES' (1st), para c)	<ul style="list-style-type: none"> <li>Check amendments to the Appendices</li> </ul>	Verification	High	CSU	1100	No	Aug 2000 Jan 2003
R11.21, 'AGREES' (1st), para d)	Substantive annotations relating to species in Appendix I or II may be introduced, amended or deleted only by the Conference of the Parties in accordance with Article XV of the Convention						
R11.21, 'AGREES' (1st), para d)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.21, 'AGREES' (1st), para e)	Substantive annotations relating to geographically separate populations in Appendices I or II should be in compliance with the split-listing provisions contained in Resolution Conf. 9.24 Annex 3						
R11.21, 'AGREES' (1st), para e)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.21, 'AGREES' (1st), para f)	Substantive annotations used in the context of transferring a species from Appendix I to Appendix II should be in compliance with the precautionary measures contained in Resolution Conf. 9.24 Annex 4						
R11.21, 'AGREES' (1st), para f)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						
R11.21, 'AGREES' (2nd)	no proposal for transfer of a species from Appendix I to Appendix II subject to an annotation relating to specified types of specimens shall be considered from a Party that has entered a reservation for the species in question, unless that Party has agreed to remove the reservation within 90 days of the adoption of the amendment						
R11.21, 'AGREES' (2nd)	<ul style="list-style-type: none"> <li>When a proposal is received from a Party that has a reservation for the species in question: advise it of this decision; and remind the Parties in the Secretariat's comments before COP12.</li> </ul>	correspondence documentation	High	SSU	1100	No	June 2002
R11.21, 'AGREES' (2nd)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	translation	High	SSU	1100	No	June 2002
R11.21, ' (2nd)	<ul style="list-style-type: none"> <li>When a proposal is received from a Party that has a reservation for the species in question remind the Parties during the discussion at CoP12.</li> </ul>	presentation	High	SSU	1100	No	Nov 2002

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.21, 'RECOMMENDS', para a)	Parties submitting proposals that contain substantive annotations ensure that the text is clear and unambiguous						
R11.21, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>Review each proposal to amend the Appendices that contains substantive annotations.</li> </ul>	Review	High	SSU	1100	No	June 2002
R11.21, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>If the text is unclear or ambiguous, advise the Party concerned of the problem (and possible solutions)</li> </ul>	correspondence	High	SSU	1100	No	June 2002
R11.21, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>If the text is not revised, draw attention to the problem (and possible solutions) in the Secretariat's comments before CoP 12</li> </ul>	documentation	High	SSU	1100	No	June 2002
R11.21, 'RECOMMENDS', para a)	<ul style="list-style-type: none"> <li>If the text is not revised, draw attention to the problem (and possible solutions) at the time of discussion at the CoP.</li> </ul>	presentation	High	SSU	1100	No	Nov 2002
R11.21, 'RECOMMENDS', para b)	If a proposed annotation relates to specified types of specimens, the applicable provisions of the Convention for import, export and re-export of each type of specimen should be specified						
R11.21, 'RECOMMENDS', para b)	<ul style="list-style-type: none"> <li>If a proposal is made including an annotation relating to specified types of specimens without indicating the applicable provisions, remind the proponent of this recommendation</li> </ul>	review	High	SSU	1100	No	June 2002
R11.21, 'RECOMMENDS', para b)	<ul style="list-style-type: none"> <li>If the proposal is not corrected to specify the applicable provisions, draw attention to the problem (and possible solutions) in the Secretariat's comments distributed before CoP12</li> </ul>	documentation	High	SSU	1100	No	June 2002
R11.21, 'RECOMMENDS', para b)	<ul style="list-style-type: none"> <li>If the proposal is not corrected to specify the applicable provisions, draw attention to the problem (and possible solutions) at the time of discussion at CoP12</li> </ul>	presentation	High	SSU	1100	No	Nov 2002
R11.21, 'RECOMMENDS', para c)	As a general rule, Parties avoid making proposals to adopt annotations that include live animals or trophies						
R11.21, 'RECOMMENDS', para c)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>						

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
R11.21, 'RECOMMENDS', para d)	Annotations relating to specified types of specimens should be used sparingly, as their implementation is particularly challenging, pecially where there are identification problems or where the purpose of trade has been specified						
R11.21, 'RECOMMENDS', para d)	<ul style="list-style-type: none"> <li>No specific action required</li> </ul>					No	
R11.21, 'DIRECTS', para a)	The Secretariat to report to the Standing Committee, for at least four years following the adoption of a proposal to transfer species from Appendix I to Appendix II subject to a substantive annotation, any credible information it receives indicating a significant increase in the illegal trade in or poaching of such species						
R11.21, 'DIRECTS',para a)	<ul style="list-style-type: none"> <li>In the normal process of gathering information on illegal trade and poaching, pay particular attention to species that have been transferred from Appendix I to II subject to a substantive annotation</li> </ul>	monitoring, investigation	High	LCU	1100	No	Ongoing
R11.21, 'DIRECTS',para a)	<ul style="list-style-type: none"> <li>If within four years of the transfer it appears that there is a significant increase in illegal trade or poaching of such species, bring this to the attention of the Standing Committee.</li> </ul>	Reporting	High	LCU	1100	No	as required
R11.21, 'DIRECTS', para b)	The Standing Committee to investigate any such reports of illegal trade and to take appropriate action to remedy the situation, which may include calling on the Parties to suspend commercial trade in the affected species, or inviting the Depositary Government to submit a proposal to amend the annotation or to retransfer the species to Appendix I						
R11.21, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>Support the Standing Committee's investigation of information received.</li> </ul>	investigation administration	High	LCU	1100	No	as required
R11.21, 'DIRECTS', para b)	<ul style="list-style-type: none"> <li>If the Standing Committee decides to take action, notify the Parties as appropriate.</li> </ul>	Notification	High	CSU	1100	No	as required
R11.21, 'AGREES', (3rd)	For species transferred from Appendix I to II subject to an annotation relating to specified types of specimen, specimens that are not specifically included in the annotation shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly						
R11.21, 'AGREES' (3rd), para	<ul style="list-style-type: none"> <li>If asked to confirm permits or to advise on issuance of permits, inform the Parties accordingly</li> </ul>	correspondence	Medium	LCU	1100	No	as required
<b>R11.22</b>	<b>STANDARD NOMENCLATURE</b>						
R11.22para a)	a) a subspecies be proposed for inclusion in the appendices only if it is generally recognized as a valid taxon, and easily identifiable in the traded form;						
R11.22para a)	<ul style="list-style-type: none"> <li>Prepare a Notification, explaining this requirement</li> </ul>	Notification	High	SSU	1100	No	2002 COP12-180
R11.22para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 COP12-180
R11.22para a)	<ul style="list-style-type: none"> <li>If amendment proposals are received that</li> </ul>	Recommendations	High	SSU	1100	No	<b>2002</b>

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	do not comply with this requirement, ensure that the Secretariat's assessment of the proposal refers to this deficiency and move not to recommend the adoption of the proposal	in Secretariat's Review document					COP12 –150
R11.22para a)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	<b>2002</b> COP12 –150
R11.22para b)	b) where there are identification difficulties, the problem be approached by either including the entire species in Appendix I or Appendix II or by circumscribing the range of the subspecies warranting protection and listing the populations within this area on a country basis;						
R11.22para b)	<ul style="list-style-type: none"> <li>When applicable, ensure that this recommendation is reflected in the Secretariat's Review document</li> </ul>	Recommendations in Secretariat's Review document	High	SSU	1100	No	<b>2002</b> COP12 –150
R11.22para b)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	<b>2002</b> COP12 –150
R11.22para c)	c) where there are domesticated forms of listed taxa, the Nomenclature Committee recommend names for the wild and domestic forms;						
R11.22para c)	<ul style="list-style-type: none"> <li>When applicable, ensure that the Nomenclature Committee be requested to propose names and incorporate such names in its report to the COP</li> </ul>	Report of Nomenclature Committee	Low	SSU	1100	No	<b>2002</b> COP12 –150
R11.22para c)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	Yes	<b>2002</b> COP12 –150
R11.22para d)	d) when submitting a proposal to amend the appendices to the Convention, the proponent identify the reference used to describe the entity being proposed;						
R11.22para d)	<ul style="list-style-type: none"> <li>Prepare a Notification, explaining this requirement</li> </ul>	Notification	High	SSU	1100	No	2002 COP12-180
R11.22para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12-180
R11.22para e)	e) upon receiving proposals to amend the appendices to the Convention, the Secretariat seek, where appropriate, the advice of the Nomenclature Committee on the correct names to use for the species or other taxa in question;						
R11.22para e)	<ul style="list-style-type: none"> <li>When appropriate, ensure that advice be sought from the Nomenclature Committee and included in the Secretariat's assessment of the proposal</li> </ul>	Recommendations in Secretariat's Review document	High	SSU	1100	No	2002 COP12 –150
R11.22para e)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12 –150
R11.22para f)	f) the Secretariat may make orthographic changes in the lists of species included in the appendices to the Convention, without consulting the Conference of the Parties;						
R11.22para f)	<ul style="list-style-type: none"> <li>Request a systematic review of current</li> </ul>	Report on review of	High	SSU	1100	No	Initiate review

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	nomenclature and synonymy used in appendices by the Nomenclature Committee and WCMC prior to CoP12, in collaboration with SSU	nomenclature used in appendices and changes required included in the report of Nomenclature Committee to CoP			1604 2101 2102		Feb. 2002;  Report due in 2002 COP12 –200
R11.22para f)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100, 1604 2101, 2102	Yes	Initiate review Feb. 2002; Report due in 2002 COP12 –200
R11.22para g)	g) the Secretariat inform the Parties whenever the name of a taxon to be used in the appendices to the Convention changes, provided that: i) the change has been recommended or agreed to by the Nomenclature Committee; and ii) the change will not alter the scope of protection for fauna or flora under the Convention;						
R11.22para g)	<ul style="list-style-type: none"> <li>When applicable, ensure that name changes are communicated through a Notification to the Parties</li> </ul>	Notification	High	SSU	1100	No	2002 COP12+90
R11.22para g)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	Yes	2002 COP12+90
R11.22para h)	whenever the scope of a taxon is redefined as a result of a taxonomic revision, the Nomenclature Committee advise the Secretariat on the name to be listed in the appendices or on alternative actions, including amendments to the appendices, required to ensure that the original intent of the listing is retained;						
R11.22para h)	<ul style="list-style-type: none"> <li>On receiving such advice from the Nomenclature Committee, take this requirement into account in the revision of the appendices</li> </ul>	Revised appendices	High	SSU	1100	No	2002 CoP12+90
R11.22para h)	<ul style="list-style-type: none"> <li>Request Nomenclature Committee to outline implications of revised taxonomy to existing appendices in its report to the CoP, in the form that such changes would have to made to the appendices, in collaboration with WCMC and SSU</li> </ul>	Report of Nomenclature Committee to CoP	High	SSU	1100 1601 2101 2102	No	2001 CoP12-300
R11.22para h)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100, 1601, 2101, 2102	Yes	2001 CoP12-300
R11.22para h)	<ul style="list-style-type: none"> <li>On receiving such advice from the Nomenclature Committee, take this requirement into account in the revision of</li> </ul>	Revised appendices	High	SSU	1100	No	2002 CoP12+90

SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	the appendices						
R11.22para i)	i) If there is conflict regarding the choice of taxonomic authority for taxa for which no standard references have been adopted by the Conference of the Parties, countries authorizing export of animals or plants (or parts or derivatives thereof) of such taxa inform the CITES Secretariat and prospective importing countries of their preferred published taxonomic authority. 'Taxonomic authority' means a recent published paper or monograph that reviews the nomenclature of the taxon being exported and that has been reviewed by professionals in the pertinent discipline. In cases where specimens of the taxon are exported from several countries and the exporting countries do not agree, or the exporting and importing countries do not agree, on the taxonomic authority, the zoologist and the botanist of the Nomenclature Committee should determine the most appropriate taxonomic authority;						
R11.22para i)	<ul style="list-style-type: none"> <li>Recommend to CoP12 that this provision be repealed in the interest of encouraging the use of standard nomenclature and be replaced with a request to use standard nomenclature adopted by the CoP on all permits and reports, to be drafted by Nomenclature Committee and Secretariat (CSU and CSU)</li> </ul>	Draft amendment to Resolution	High	CSU	1100	No	2002 CoP12-200
R11.22para i)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	High	CSU	1100	No	2002 CoP12-200
R11.22para j)	j) the Secretariat be provided the citations (and ordering information) of checklists that will be nominated for standard references at least six months before the meeting of the Conference of the Parties at which such checklists will be considered. The Secretariat shall include such information in a Notification to the Parties so that Parties can obtain copies to review if they wish before the meeting;						
R11.22para j)	<ul style="list-style-type: none"> <li>Request Nomenclature Committee to submit required information for transmission via Notification</li> </ul>	Notification	Low	SSU	1100	No	2002 CoP12-200
R11.22para j)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 CoP12-200
R11.22ADOPTS para a)-q)	Adopts the following standard references:....						
R11.22 ADOPTS para a)-q)	<ul style="list-style-type: none"> <li>Request Nomenclature Committee to draft a resolution on Standard Nomenclature to each meeting of the Conference of the Parties that specifies the adoption of standard nomenclatural references</li> </ul>	Proposed standard references kept in Draft Resolution	High	SSU	1100	No	2002 CoP12-200
R11.22 ADOPTS para a)-q)	<ul style="list-style-type: none"> <li>Purchase standard references (and/or request Nomenclature Committee to provide Secretariat with copies of relevant parts of proposed new standard nomenclatural references that the Secretariat may not have available or be able to purchase) so that a full set can be maintained by the Secretariat</li> </ul>	Full set of references in SSU	High	SSU	1100 2108	No	2002 CoP12-200
R11.22URGES para a)-c)	Parties to assign to their Scientific Authorities the principal responsibility for:						



SOURCE	ACTIVITIES	PRODUCTS SERVICES	PRIORITY	ASSIGNMENT	BUDGET LINE	EXTERNAL FUNDS (CHF)	TIME FRAME
	a) interpretation of the listings; b) consultation with the CITES Nomenclature Committee as appropriate; c) identification of nomenclatural issues that may warrant further review by the appropriate CITES committee and preparation of proposals to amend the appendices if appropriate;						
R11.22URGES para a)-c)	<ul style="list-style-type: none"> <li>Include in Manual of Scientific Authority operations and capacity building programmes (see elsewhere)</li> </ul>	Manual of Scientific Authority operations and capacity building programmes	Low	SSU	1100	10.000	2001-
R11.22URGES para d)	d) supporting and co-operating in the development and maintenance of the checklists;						
R11.22URGES para d)	<ul style="list-style-type: none"> <li>Recommend that this paragraph be repealed or amended, as the reference to "the checklists" is confusing.</li> </ul>	Draft Resolution	Low	SSU	1100	No	2002 CoP12-120
R11.22URGES para d)	<ul style="list-style-type: none"> <li>Translation into working languages</li> </ul>	Translation	Low	CSU	1100	No	2002 CoP12-120

<sup>1</sup> The title formerly referred incorrectly to Appendix I or II.

<sup>1</sup> Reference should rather be made to the definition of the term 'species' as given in Article I (a) of the Convention

<sup>1</sup> *Annex 3 will be available only after it has been approved by the Standing Committee.*

