

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES  
OF WILD FAUNA AND FLORA

---

Fifteenth meeting of the Plants Committee and 21st meeting of the Animals Committee  
Geneva (Switzerland), 20-21 May 2005

Synergy between CITES and CBD

ADDIS ABABA PRINCIPLES AND GUIDELINES FOR THE SUSTAINABLE USE OF BIODIVERSITY

The working group comprised the representatives for Africa (Richard Bagine) and Asia to the Animals Committee [Siti Nuramaliati Prijono (Chairman)], the observers from Canada, China, France, Italy, Japan, Madagascar, Mexico, Namibia, South Africa, Spain, United Republic of Tanzania, United Kingdom, United States of America, IFAW, International Council for Game and Wildlife Conservation, IUCN, Humane Society International, Safari Club International Foundation, Species Management Specialists, TRAFFIC, Whale and Dolphin Conservation Society, Wildlife Conservation Society, WWF International, COMURNAT, Conservation Force, David Shepherd Wildlife Foundation, Ornamental Aquatic Trade Association.

The working group discussed the implementation of the relevant decisions and resolutions and recommended asking the Parties to use the following format when submitting case studies to partly fulfil Decisions 13.6 and 13.7

**Way forward**

The working group suggests that the deadline for submission of case studies to the Secretariat be at least 2 months before AC22/PC16.

In addition to the Secretariat's Notification to the Parties requesting the submission of case studies, the working group suggests that AC21/PC15 consider the following approach:

- a) select appropriate candidate species for case studies
- b) invite Parties present at AC21/PC15 to undertake these case studies

During their next session, the Animals and Plants Committee will review the compiled case studies and undertake their analysis.

**Format for case studies on Application of Addis Ababa Principles and Guidelines and linkages to exports of Appendix II specimens**

*In final notification – Secretariat please include introductory language referencing Decisions 13.6 and 13.7 and Resolution Conf. 13.2.*

Following the adoption of Decisions 13.6 and 13.7, the Secretariat invites Parties to submit case studies using the suggested format.

Case Study

1. Introductory information including:
  - a) Name of Party submitting study.
  - b) Appendix II species.
  - c) Species status (e.g. current IUCN Classification, national status or classification if applicable and population trend if known).

- d) Annual exports (for past 5 – 10 years), and export quota if applicable.
- e) Levels and purpose of harvest for use other than international trade; e.g. subsistence, domestic trade, etc.
- f) Other factors influencing the conservation status of the species (eg impact of non-consumptive use, pest control measures etc).

2. Case study analysis of Addis Ababa principles:

When filling in this table, apply these questions to all Practical Principles and associated guidelines.

- a) Applicability: Is this principle (and associated guidelines) applicable to the management of this species? Is it applicable to management of exports of this species?
- b) Actions taken: If applicable, how is this principle (and associated guidelines) currently being used in making management decisions? If applicable to exports, how is it being used in making non-detriment findings? If applicable but not currently in use, how could it be used?

<p><b>Practical Principle 1: Supportive policies, laws and institutions are in place at all levels of governance and there are effective linkages between these levels</b></p> <p><b>Operational guidelines</b></p> <p><b>Rationale</b></p> <ul style="list-style-type: none"> <li>Consider local customs and traditions (and customary law where recognized) when drafting new legislation and regulations;</li> <li>Identify existing and develop new supportive incentives measures, policies, laws and institutions, as required, within the jurisdiction in which a use will take place, also taking into account Articles 8(j) and 10(c), as appropriate;</li> <li>Identify any overlaps, omissions and contradictions in existing laws and policies and initiate concrete actions to resolve them;</li> <li>Strengthen and/or create cooperative and supportive linkages between all levels of governance in order to avoid duplication of efforts or inconsistencies.</li> </ul>
<p>a. Applicability:</p>  <p>b. Action taken and comments:</p>  
<p><b>Practical Principle 2:</b></p>
<p>a. Applicability:</p>  <p>b. Action taken and comments:</p>  
<p><b>Practical Principle 3:</b></p>